AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Suddaby, Glenn T.	Northern District of New York	07/17/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
100 South Clinton St 3rd Floor Syracuse, New York 13261-7198		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	NYS & Local Employee Retirement System - Monthly Retirement payment	$23,402.00
2.	2022	NYS Teachers Retirement System (Pension)	$39,272.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	State of New York
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NYIPLA Annual Judiciary Dinner	3/25/22 - 3/26/22	New York, NY	IP Lawyers / Judiciary meeting	Lodging, travel expenses, meals
2.	NYS Bar Association Federal Litigation Spring Meeting/ Seminar	5/13/22 - 5/15/22	Ithaca, NY	State Bar / Judiciary meeting	Lodging, meals
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Suddaby, Glenn T.	07/17/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. WELLS FARGO IRA #1 (H)									
2. - Cash Account-Wells Fargo-Standard Bank Deposit	A	Dividend	J	T					
3. - AMERICAN ELECTRIC POWER INC	A	Dividend	J	T					
4. - AMGEN INC	A	Dividend	J	T					
5. - ADP	A	Dividend	J	T					
6. - BANK NEW YORK MELLON	A	Dividend	J	T					
7. - BANK OF AMERICA CORP	A	Dividend	J	T	Buy	04/01/22	J		
8. - BLACKROCK INC	A	Dividend	J	T	Buy (add'l)	08/08/22	J		
9. - CHEVRON CORP	A	Dividend	J	T					
10. - CISCO SYSTEMS INC	A	Dividend	J	T					
11. - COMCAST CORP	A	Dividend	J	T					
12. - DIGITAL REALTY TRUST INC	A	Dividend	J	T	Buy	08/10/22	J		
13. - DOVER CORP	A	Dividend	J	T					
14. - GENL DYNAMICS CORP	A	Dividend	J	T					
15. - GENUINE PARTS CO	A	Dividend	J	T					
16. - GILEAD SCIENCES INC	A	Dividend	J	T	Buy (add'l)	03/04/22	J		
17.					Sold (part)	03/04/22	J	B	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Sold (part)	03/04/22	J	A	
19. - HOME DEPOT INC	A	Dividend	J	T	Sold (part)	01/13/22	J	D	
20.					Sold (part)	08/24/22	J	C	
21. - ILLINOIS TOOL WORKS INC	A	Dividend	J	T					
22. - INTL BUSINESS MACHINE CORP	A	Dividend	J	T					
23. - INTUIT INC	A	Dividend	J	T					
24. - JOHNSON & JOHNSON	A	Dividend	J	T					
25. - JP MORGAN CHASE & CO	A	Dividend	J	T					
26. - KIMBERLY CLARK CORP	A	Dividend	J	T					
27. - LOCKHEED MARTIN CORP	A	Dividend	J	T					
28. - M&T BANK CORP	A	Dividend	J	T					
29. - MARSH & MC LENNAN COS INC	A	Dividend	J	T	Buy (add'l)	04/01/22	J		
30. - MCDONALDS CORP	A	Dividend	J	T					
31. - MERCK & CO INC	A	Dividend	J	T					
32. - MICROSOFT CORP	A	Dividend	K	T					
33. - NEXTERA ENERGY INC	A	Dividend	J	T					
34. - PEPSICO INC	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. - PHILLIPS 66	A	Dividend	J	T					
36. - PROCTER & GAMBLE CO	A	Dividend	J	T					
37. - STARBUCKS CORP	A	Dividend	J	T					
38. - TARGET	A	Dividend	J	T	Sold (part)	01/14/22	J	D	
39.					Sold (part)	08/25/22	J	C	
40. - UNITED PARCEL SERVICE	A	Dividend	J	T					
41. - UNITED HEALTH GROUP	A	Dividend	J	T	Buy (add'l)	03/04/22	J		
42. - US BANCORP	A	Dividend	J	T	Buy (add'l)	01/13/22	J		
43. - VERIZON	A	Dividend			Sold	08/08/22	J	C	
44. - WALGREENS BOOTS ALLIANCE INC	A	Dividend	J	T	Buy (add'l)	03/04/22	J		
45. - WASTE MGMT INC	A	Dividend	J	T					
46. - XCEL ENERGY INC	A	Dividend	J	T	Buy (add'l)	08/08/22	J		
47. WELLS FARGO IRA #2 (H)									
48. - Cash Account Wells Fargo - Standard Bank Deposit	A	Dividend	J	T					
49. - AMERICAN BALANCED FUND CL A	A	Dividend	J	T	Buy (add'l)	03/15/22	J		
50.					Buy (add'l)	06/14/22	J		
51.					Buy (add'l)	09/13/22	J		

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52.					Buy (add'l)	12/14/22	J		
53. - CAPITAL INCOME BLDR CL A	A	Dividend	K	T	Buy (add'l)	03/15/22	J		
54.					Buy (add'l)	06/14/22	J		
55.					Buy (add'l)	09/13/22	J		
56.					Buy (add'l)	12/19/22	J		
57. - CAPITAL WORLD GROWTH & INCOME FUND INC CL A	A	Dividend	K	T	Buy (add'l)	03/15/22	J		
58.					Buy (add'l)	06/14/22	J		
59.					Buy (add'l)	09/13/22	J		
60.					Buy (add'l)	12/14/22	J		
61. - FUNDAMENTAL INVS INC CL A	B	Dividend	L	T	Buy (add'l)	03/17/22	J		
62.					Buy (add'l)	06/16/22	J		
63.					Buy (add'l)	09/15/22	J		
64.					Buy (add'l)	12/19/22	J		
65. - GROWTH FUND OF AMERICA CL A	A	Dividend	K	T	Buy (add'l)	12/19/22	J		
66. - NEW PERSPECTIVE FUND CL A	B	Dividend	L	T	Buy (add'l)	12/16/22	J		
67. - NEW WORLD FUND CL A	A	Dividend	J	T	Buy (add'l)	12/16/22	J		
68. - SMALLCAP WORLD FUND CL A	A	Dividend	K	T					

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69. - WASH MUTL INVS FUND INC CL A	B	Dividend	K	T	Buy (add'l)	03/17/22	J		
70.					Buy (add'l)	06/16/22	J		
71.					Buy (add'l)	09/15/22	J		
72.					Buy (add'l)	12/19/22	J		
73. NW MUTUAL LIFE (Whole Life Policy)	B	Dividend	L	T					
74. APN HEALTH LLC Partnership Interest		None	M	T					
75. APN HEALTH LLC Self Directed IRA (H)									
76. - APN HEALTH LLC PARTNERSHIP		None	N	T					
77. Rental Property-Naples, Florida	A	Rent	O	W					
78. VOYA - Retirement (H)									
79. - VOYA GOV'T MONEY MARKET	A	Dividend	L	T	Buy (add'l)	12/31/22	J		
80. FIDELITY VIP INDEX 500	A	Dividend			Merged (with line 81)	01/03/22	J		
81. ROYAL ALLIANCE PERSHING, LLC - IRA (H)									
82. - FDIC INSURED BANK DEPOSIT	A	Int./Div.	J	T					
83. - HUNTINGTON BANK SHARES	A	Dividend	J	T					
84. - BLACKROCK SHORT OBLIGATIONS	A	Dividend	K	T					
85. - ISHARES TR CORE US AGGREG BOND ETF	A	Dividend	K	T					

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86.									
87. - ISHARES TR RUSSELL MID-CAP VALUE ETF	A	Dividend			Sold	05/12/22	K		
88. - ISHARES TR RUSSELL MID-CAP GROWTH ETF	A	Dividend			Sold	05/12/22	K		
89. - ISHARES TR RUSSELL 1000 ETF	A	Dividend			Sold	05/12/22	L		
90. - ISHARES TR RUSSELL 3000 ETF	A	Dividend			Sold	05/12/22	K		
91. - ISHARES TR INTER GOVT CR BD ETF	A	Dividend	K	T	Sold (part)	01/06/22	K		
92. - PIMCO ETF TR 0-5 HIGH YIELD	B	Dividend	K	T					
93. - SPDR SER TR BLOOMBERG BARCLAYS SHORT TERM CORP	B	Dividend	L	T					
94. - JANUS HENDERSON AAA CLO ETF (line 91)	A	Dividend	K	T	Buy	01/06/22	K		
95. - PACER TRENDPILOT US LARGE CAP ETF (line 89)	A	Dividend	L	T	Buy	05/12/22	L		
96. - PACER US CASH COWS 100 ETF (lines 87, 88)	A	Dividend	K	T	Buy	05/13/22	K		
97. - MFS GROWTH (line 90)	A	Dividend	K	T	Buy	05/13/22	K		
98. ROYAL ALLIANCE PERSHING, LLC - Roth IRA (H)									
99. - WASHINGTON MUTUAL INVESTORS FUND CL A	A	Dividend	J	T	Open	12/19/22	J		
100. Discover Bank	A	Interest	J	T					
101. Key Bank (checking)	A	Interest	J	T					
102. Key Bank (savings)	A	Interest	J	T					

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103.									
104.									
105.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Suddaby, Glenn T.	07/17/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Suddaby, Glenn T.	07/17/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Glenn T. Suddaby

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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