AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Suddaby, Glenn T.	Northern District of New York	07/17/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
100 South Clinton St 3rd Floor Syracuse, New York 13261-7198		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	NYS & Local Employee Retirement System - Monthly Retirement payment	$24,116.00
2.	2023	NYS Teachers Retirement System (Pension)	$39,692.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	State of New York
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Suddaby, Glenn T.	07/17/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. WELLS FARGO IRA #1 (H)									
2. - Cash Account-Wells Fargo-Standard Bank Deposit	A	Dividend			Closed	07/10/23	J		
3. - AMERICAN ELECTRIC POWER INC	A	Dividend			Sold	07/10/23	J		
4. - AMGEN INC	A	Dividend			Sold	07/10/23	J		
5. - ADP	A	Dividend			Sold	07/10/23	J		
6. - BANK NEW YORK MELLON	A	Dividend			Sold	07/10/23	J		
7. - BANK OF AMERICA CORP	A	Dividend			Sold	07/10/23	J		
8. - BLACKROCK INC	A	Dividend			Sold	07/10/23	J		
9. - CHEVRON CORP	A	Dividend			Buy (add'l)	02/03/23	J		
10.					Sold	07/10/23	J		
11. - CISCO SYSTEMS INC	A	Dividend			Sold	07/10/23	J		
12. - COMCAST CORP	A	Dividend			Sold	07/10/23	J		
13. - CORTEVA	A	Dividend			Buy	06/06/23	J		
14.					Sold	07/10/23	J		
15. - DIGITAL REALTY TRUST INC	A	Dividend			Sold	07/10/23	J		
16. - DOVER CORP	A	Dividend			Sold	07/10/23	J		
17. - EOG	A	Dividend			Buy	02/28/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
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☐ NONE *(No reportable income, assets, or transactions.)*

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18.					Sold	07/10/23	J		
19. - GENL DYNAMICS CORP	A	Dividend			Sold	07/10/23	J		
20. - GENUINE PARTS CO	A	Dividend			Sold	07/10/23	J		
21. - GILEAD SCIENCES INC	A	Dividend			Sold	07/10/23	J		
22. - HOME DEPOT INC	A	Dividend			Sold	07/10/23	J		
23. - ILLINOIS TOOL WORKS INC	A	Dividend			Sold	07/10/23	J		
24. - INTL BUSINESS MACHINE CORP	A	Dividend			Sold	07/10/23	J		
25. - INTUIT INC	A	Dividend			Sold	07/10/23	J		
26. - JOHNSON & JOHNSON	A	Dividend			Sold	07/10/23	J		
27. - JP MORGAN CHASE & CO	A	Dividend			Sold	07/10/23	J		
28. - KIMBERLY CLARK CORP	A	Dividend			Sold	07/10/23	J		
29. - LOCKHEED MARTIN CORP	A	Dividend			Sold	07/10/23	J		
30. - M&T BANK CORP	A	Dividend			Sold (part)	02/03/23	J		
31.					Sold	07/10/23	J		
32. - MARSH & MC LENNAN COS INC	A	Dividend			Sold	07/10/23	J		
33. - MCDONALDS CORP	A	Dividend			Sold	07/10/23	J		
34. - MERCK & CO INC	A	Dividend			Sold	07/10/23	J		

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35. - MICROSOFT CORP	A	Dividend			Sold	07/10/23	K		
36. - NEXTERA ENERGY INC	A	Dividend			Sold	07/10/23	J		
37. - PEPSICO INC	A	Dividend			Sold	07/10/23	J		
38. - PHILLIPS 66	A	Dividend			Sold	07/10/23	J		
39. - PROCTER & GAMBLE CO	A	Dividend			Sold	07/10/23	J		
40. - QUEST	A	Dividend			Buy	03/02/23	J		
41.					Sold	07/10/23	J		
42. - STARBUCKS CORP	A	Dividend			Sold	07/10/23	J		
43. - TARGET	A	Dividend			Sold	07/10/23	J		
44. - UNITED PARCEL SERVICE	A	Dividend			Sold	07/10/23	J		
45. - UNITED HEALTH GROUP	A	Dividend			Sold	07/10/23	J		
46. - US BANCORP	A	Dividend			Sold	07/10/23	J		
47. - WALGREENS BOOTS ALLIANCE INC	A	Dividend			Sold	07/10/23	J		
48. - WASTE MGMT INC	A	Dividend			Sold	07/10/23	J		
49. - XCEL ENERGY INC	A	Dividend			Sold	07/10/23	J		
50. - BARON SMALL CAP FUND	A	Dividend	J	T					
51. - DODGE & COX STOCK FUND	A	Dividend	J	T					

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52. - AMERICAN FUNDS BOND FUND	A	Dividend	K	T					
53. - GOLDMAN SACHS TR FUND	A	Dividend	J	T					
54. - AMERICAN FUNDS GROWTH FUND	A	Dividend	K	T					
55. - AMERICAN FUNDS NEW WORLD FUND	A	Dividend	K	T					
56. - AMERICAN FUNDS WASHINGTON MUTUAL FUND	A	Dividend	K	T					
57. - INVESCO DEVELOPING MARKETS FUND	A	Dividend	K	T					
58. - JENSEN PORTFOLIO	A	Dividend	J	T					
59. - JOHN HANCOCK FUNDS VALUE MIDCAP FUND	A	Dividend	K	T					
60. - ALLSPRING SMALL CAP VALUE FUND	A	Dividend	K	T					
61. - AMERICAN FUNDS EUROPACIFIC GROWTH FUND	A	Dividend	J	T					
62. - PIMCO COMMODITIES PLUS	A	Dividend	J	T					
63. - PIMCO COMMODITY REAL RETURN	A	Dividend	J	T					
64. - PGIM HIGH YIELD	A	Dividend	J	T					
65. - T ROWE PRICE INT'L FUND	A	Dividend	K	T	Buy (add'l)	08/01/23	J		
66. - T ROWE PRICE MIDCAP GROWTH FUND	A	Dividend	K	T					
67. - PIMCO FDS PAC INVT MGMT EMERGING MARKETS FUND	A	Dividend			Sold	08/01/23	J		
68. WELLS FARGO IRA #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)
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69. - Cash Account Wells Fargo - Standard Bank Deposit	A	Dividend	J	T					
70. - AMERICAN BALANCED FUND CL A	A	Dividend	J	T					
71. - CAPITAL INCOME BLDR CL A	A	Dividend	K	T					
72. - CAPITAL WORLD GROWTH & INCOME FUND INC CL A	A	Dividend	K	T					
73. - FUNDAMENTAL INVS INC CL A	C	Dividend	L	T					
74. - GROWTH FUND OF AMERICA CL A	B	Dividend	K	T					
75. - NEW PERSPECTIVE FUND CL A	C	Dividend	L	T					
76. - NEW WORLD FUND CL A	A	Dividend	J	T					
77. - SMALLCAP WORLD FUND CL A	A	Dividend	K	T					
78. - WASH MUTL INVS FUND INC CL A	B	Dividend	K	T					
79. NW MUTUAL LIFE (Whole Life Policy)	B	Dividend	L	T					
80. APN HEALTH LLC Partnership Interest		None	M	T					
81. APN HEALTH LLC Self Directed IRA (H)									
82. - APN HEALTH LLC PARTNERSHIP		None	N	T					
83. Rental Property-Naples, FL	A	Rent	O	W					
84. VOYA - Retirement (H)									
85. - VOYA GOV'T MONEY MARKET	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
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86. ROYAL ALLIANCE PERSHING, LLC - IRA (H)									
87. - FDIC INSURED BANK DEPOSIT	A	Int./Div.	J	T					
88. - HUNTINGTON BANK SHARES	A	Dividend	J	T					
89. - BLACKROCK SHORT OBLIGATIONS	A	Dividend	K	T					
90. - ISHARES TR CORE US AGGREG BOND ETF	A	Dividend	K	T	Buy (add'l)	08/10/23	J		
91. - ISHARES TR INTER GOVT CR BD ETF	A	Dividend	K	T					
92. - ISHARES TR 20 YEAR	A	Dividend	J	T	Buy	11/20/23	J		
93. - PIMCO ETF TR 0-5 HIGH YIELD	B	Dividend	K	T					
94. - SPDR SER TR BLOOMBERG BARCLAYS SHORT TERM CORP	B	Dividend	L	T	Sold (part)	10/10/23	L		
95. - JANUS HENDERSON AAA CLO ETF	A	Dividend	K	T					
96. - PACER TRENDPILOT US LARGE CAP ETF	A	Dividend	L	T					
97. - PACER US CASH COWS 100 ETF	A	Dividend	L	T	Buy (add'l)	11/20/23	J		
98. - MFS GROWTH FUND	A	Dividend	J	T	Sold (part)	08/10/23	K		
99.					Buy (add'l)	11/20/23	J		
100. - MFS VALUE FUND	A	Dividend	K	T	Buy	08/10/23	K		
101. - GOLDMAN SACHS (CD)	A	Interest	L	T	Buy	10/12/23	K		
102. ROYAL ALLIANCE PERSHING, LLC - Roth IRA (H)									

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103. - WASHINGTON MUTUAL INVESTORS FUND CL A	A	Dividend	J	T					
104. Discover Bank	A	Interest	J	T					
105. Key Bank (savings)	A	Interest	J	T					
106.									
107.									
108.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Suddaby, Glenn T.	07/17/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Suddaby, Glenn T.	07/17/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Glenn T. Suddaby

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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