AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sullivan, Emmet G.	U.S. District Court for the District of Columbia	08/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
333 Constitution Ave., NW Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1994	D.C. Government (defined benefit pension plan)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Pension (D.C. Government)	$71,928.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo	Equity Line / Rental Property, Washington, DC	None
2.			
3.			
4.			
5.			

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Sullivan, Emmet G.	08/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash accounts)	A	Interest	M	T					
3. Rental Property I Income (Washington, DC)	D	Rent	O	W					
4. Rental Property II Income (Washington, DC)	E	Rent	P1	W					
5. Account #1 (H)									
6. Janney Sweep Account (cash)	A	Interest	K	T					
7. Adobe Inc (ADBE)		None			Sold	03/04/21	J	C	
8. Alphabet Inc Cl A (GOOGL)	A	Dividend	L	T	Sold (part)	01/08/21	J	A	
9.					Buy (add'l)	05/18/21	K		
10. Amazon.com Inc (AMZN)		None	K	T	Buy (add'l)	05/18/21	K		
11. American Tower Corp (AMT)	A	Dividend			Sold	01/08/21	J		
12. Apple Inc (AAPL)	A	Dividend	L	T	Sold (part)	01/08/21	J	B	
13.					Buy (add'l)	05/18/21	K		
14. Costco Wholesale Corp (COST)	A	Dividend			Sold	03/01/21	J	B	
15. D R Horton Inc (DHI)		None			Sold	01/08/21	J	A	
16. Danaher Corp (DHR)		None	K	T	Buy	10/07/21	K		
17. Deere & Co (DE)	A	Dividend	K	T	Buy	02/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18.						Buy (add'l)	05/18/21	K		
19.	Diamondback Energy Inc (FANG)	A	Dividend	L	T	Buy	03/04/21	J		
20.						Buy (add'l)	05/18/21	K		
21.	Freeport Mcmoran Inc (FCX)	A	Dividend	K	T	Buy	03/04/21	J		
22.						Buy (add'l)	05/18/21	K		
23.						Sold (part)	07/14/21	J		
24.	Goldman Sachs Group Inc (GS)	A	Dividend	K	T	Buy	03/01/21	J		
25.						Buy (add'l)	05/18/21	K		
26.	Home Depot Inc (HR)	A	Dividend	L	T	Buy	10/07/21	K		
27.	Keysight Technologies Inc (KEY)		None	K	T	Buy	07/29/21	K		
28.						Buy (add'l)	07/30/21	J		
29.	Lam Research Corp (LRCX)	A	Dividend	L	T	Sold (part)	01/08/21	J	A	
30.						Buy (add'l)	05/18/21	K		
31.	Microsoft Corp (MSFT)	A	Dividend	L	T	Buy (add'l)	05/18/21	K		
32.	NVIDIA Corp (NVDA)	A	Dividend	L	T	Buy (add'l)	01/08/21	J		
33.						Buy (add'l)	05/18/21	K		
34.						Sold (part)	11/09/21	J	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Quanta Services Inc (PWR)	A	Dividend	K	T	Buy	02/03/21	J		
36.					Buy (add'l)	05/18/21	K		
37. Southwest Airlines (LUV)		None			Sold (part)	02/24/21	J	A	
38.					Buy (add'l)	05/18/21	K		
39.					Sold	06/30/21	K		
40. Steel Dynamics Inc (STLD)	A	Dividend	K	T	Buy	07/29/21	K		
41.					Buy (add'l)	07/30/21	J		
42. Union Pacific Corp (UNP)	A	Dividend			Sold	02/03/21	J		
43. United Parcel Service (UPS)	A	Dividend			Buy (add'l)	01/08/21	J		
44.					Sold	03/01/21	J		
45. Unitedhealth Group Inc (UNH)	A	Dividend	L	T	Buy (add'l)	05/18/21	K		
46. Visa Inc Cl A (V)	A	Dividend	K	T	Buy (add'l)	05/18/21	K		
47. Walt Disney Co (DIS)		None			Buy	03/01/21	J		
48.					Buy (add'l)	05/18/21	K		
49.					Sold	06/30/21	K		
50. Zoetis Inc Cl A (ZTS)	A	Dividend			Sold (part)	01/08/21	J	A	
51.					Sold	03/04/21	J	C	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. First Trust Large Cap Core Alphadex ETF (FEX)	C	Dividend	N	T	Sold (part)	01/08/21	J	A	
53.					Sold (part)	02/04/21	J	A	
54.					Buy (add'l)	05/18/21	M		
55. First Trust Small Cap Core Alphadex ETF (FYX)	A	Dividend	L	T	Buy (add'l)	02/24/21	J		
56.					Buy (add'l)	05/18/21	K		
57. Vanguard S&P 500 Index ETF (VOO)	C	Dividend	N	T	Sold (part)	01/08/21	J	B	
58.					Sold (part)	02/04/21	J	B	
59.					Buy (add'l)	05/18/21	M		
60. PGIM Total Return Bond Cl Z (PDBZX)	C	Dividend	M	T	Buy (add'l)	01/08/21	J		
61.					Buy (add'l)	05/18/21	M		
62. Western Asset Core Bond Cl I (WATFX)	B	Dividend	M	T	Buy (add'l)	01/08/21	J		
63.					Buy (add'l)	05/18/21	L		
64. Wisdomtree Emerging MKTS (XSOE)	A	Dividend			Buy	01/08/21	K		
65.					Buy (add'l)	02/24/21	J		
66.					Buy (add'l)	05/18/21	K		
67.					Sold	07/29/21	L		
68. Account #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. First Trust Nasdaq 100 Technology Index ETF (QTEC)	A	Dividend	J	T	Buy	01/14/21	J		
70. First Trust Indxx Nextg ETF (NXTG)	A	Dividend	J	T	Buy	10/15/21	J		
71. First Trust INTL Equity ETF (FPXI)	A	Dividend			Buy	01/14/21	J		
72.					Sold	10/15/21	J		
73. First Trust NASDAQ Bank ETF (FXTO)	A	Dividend	J	T	Buy	01/14/21	J		
74.					Buy (add'l)	10/15/21	J		
75. First Trust Nasdaq 100 Equal Weighted Index ETF (QQEW)	A	Dividend			Sold	01/14/21	J	B	
76. First Trust Cap Strength ETF (FTCS)	A	Dividend	J	T	Sold (part)	01/14/21	J	A	
77.					Sold (part)	04/08/21	J	A	
78.					Sold (part)	07/02/21	J	A	
79.					Buy (add'l)	10/15/21	J		
80. First Trust Consumer Discretionary Alphasex ETF (FXD)	A	Dividend	J	T	Buy	04/08/21	J		
81.					Buy (add'l)	10/15/21	J		
82. First Trust Devel Mkts Ex US Alphadex ETF (FDT)	A	Dividend			Sold (part)	01/14/21	J	A	
83.					Buy (add'l)	07/02/21	J		
84.					Sold	10/15/21	J	A	
85. First Trust Dow Jones Internet Index ETF (FDN)		None	J	T	Sold (part)	01/14/21	J	A	

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86.						Sold (part)	04/08/21	J	B	
87.	First Trust Energy Alphadex ETF (FXN)	A	Dividend	J	T	Buy	10/15/21	J		
88.	First Trust Emerging Markets Alphadex (FEM)	A	Dividend			Buy (add'l)	01/14/21	J		
89.						Sold (part)	07/02/21	J	A	
90.						Sold	10/15/21	J	A	
91.	First Trust Europe Alphadex ETF (FEP)	A	Dividend			Buy (add'l)	07/02/21	J		
92.						Sold	10/15/21	J	B	
93.	First Trust Exchange Traded Fund VI Rising DIV Achievers ETF (RDVY)	A	Dividend	J	T	Buy	04/08/21	J		
94.						Buy (add'l)	10/15/21	J		
95.	First Trust Industrial Producer Durable Alphadex ETF (FXR)	A	Dividend	J	T	Buy	01/14/21	J		
96.						Buy (add'l)	10/15/21	J		
97.	First Trust Large Cap Value Opportunity ETF (FTA)	A	Dividend	J	T	Buy (add'l)	01/14/21	J		
98.						Buy (add'l)	10/15/21	J		
99.	First Trust Large Cap Growth Opportunity (FTC)		None	K	T	Sold (part)	01/14/21	J	C	
100.						Buy (add'l)	10/15/21	J		
101.	First Trust Mid Cap Growth Alphadex ETF (FNY)		None			Sold	04/08/21	J	B	
102.	First Trust Mid Cap Core Alphadex ETF (FNX)	A	Dividend	J	T	Buy	04/08/21	J		

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103.					Buy (add'l)	10/15/21	J		
104. First Trust NYSE Arca Bio Tech Index (FBT)		None			Sold (part)	04/08/21	J	A	
105.					Sold	10/15/21	J	A	
106. First Trust Technology Alphadex (FXL)		None			Sold	01/14/21	J	A	
107. First Trust Value Line Dividend Index ETF (FVD)	A	Dividend	J	T	Sold (part)	04/08/21	J	A	
108.					Buy (add'l)	10/15/21	J		
109. PGIM Total Return Bond Cl Z (PDBZX)	A	Dividend	K	T	Buy (add'l)	01/14/21	J		
110.					Sold (part)	10/15/21	J	A	
111. Account #3 (H)									
112. Dreyfuls Govt Cash Mgmt FDS Instl SHS (cash) (X)	A	Dividend	J	T					
113. Abbott Laboratories (ABT)	A	Dividend	K	T	Buy (add'l)	06/24/21	J		
114. Adidas AG Spons ADR (ADDYY)	A	Dividend	J	T					
115. Adobe Inc (ADOBE)		None			Sold	03/04/21	J	C	
116. Alibaba Group Holding Ltd Spons Ads Repstg 8 Ord Shs (BABA)		None			Sold	01/04/21	J	B	
117. Alphabet Inc. C1 A (GOOGL)		None	K	T					
118. Amazon.com Inc (AMZN)		None	K	T	Sold (part)	10/20/21	J	D	
119. Apple Inc. (AAPL)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Cheniere Energy Inc (LNG)	A	Dividend	K	T	Buy	08/18/21	K		
121. Chipotle Mexican Grill Inc Cl A (GMG)		None			Buy (add'l)	01/11/21	J		
122.					Sold	05/17/21	K		
123. Costco Wholesale Corp (COST)	A	Dividend	K	T	Buy (add'l)	06/24/21	J		
124. Cowen Inc (COWN)	A	Dividend			Buy	05/17/21	K		
125.					Buy (add'l)	06/14/21	J		
126.					Sold	10/07/21	K		
127. DR Horton Inc (DHI)	A	Dividend	K	T					
128. Danaher Corp (DHR)		None	K	T	Buy	10/07/21	K		
129. Deere and Co (DE)	A	Dividend	K	T	Buy	02/04/21	J		
130.					Buy (add'l)	06/14/21	J		
131.					Buy (add'l)	06/24/21	J		
132. Diamondback Energy Inc (Fang)	A	Dividend	K	T	Buy	03/01/21	J		
133. Expedia Group Inc (EXPE)		None			Buy (add'l)	01/11/21	J		
134.					Sold	06/30/21	K	C	
135. Ferrari NV New (RACE)		None			Sold	02/04/21	K	C	
136. Freeport Mcmoran Inc (FCX)	A	Dividend	J	T	Buy	02/17/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Sullivan, Emmet G.	08/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	07/14/21	J	A	
138. Goldman Sachs Group Inc (GS)	A	Dividend	K	T	Buy	03/04/21	K		
139.					Buy (add'l)	06/24/21	J		
140. Johnson & Johnson (JNJ)	A	Dividend	J	T	Buy	02/18/21	J		
141. JPMorgan Chase & Company (JPM)	A	Dividend	K	T	Buy (add'l)	06/14/21	J		
142.					Buy (add'l)	06/24/21	J		
143. Keysight Technologies Inc (KEYS)		None	K	T	Buy	08/18/21	K		
144. LAM Research Corp (LRCX)	A	Dividend	K	T	Sold (part)	02/17/21	J	C	
145. Magna International Inc (MGA)	A	Dividend			Buy	05/17/21	K		
146.					Buy (add'l)	06/14/21	J		
147.					Sold	07/15/21	K		
148. Microsoft Corp (MSFT)	A	Dividend	K	T	Buy (add'l)	01/11/21	J		
149. Nike Inc (NKE)	A	Dividend			Buy (add'l)	06/14/21	J		
150.					Sold	10/07/21	K	C	
151. NVIDIA Corp (NVDA)	A	Dividend	K	T	Buy (add'l)	01/11/21	J		
152.					Sold (part)	11/09/21	J	C	
153. Paypal Holdings Inc (PYPL)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Sullivan, Emmet G.	08/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Pool Corp (POOL)	A	Dividend	K	T	Buy	10/07/21	K		
155. Quanta Services Inc (PWR)	A	Dividend	K	T	Buy (add'l)	01/11/21	J		
156. Raymond James Financial Inc (RJF)		None	K	T	Buy	10/07/21	K		
157. Schlumberger LTD (SLB)	A	Dividend			Buy	05/17/21	K		
158.					Buy (add'l)	06/14/21	J		
159.					Sold	08/18/21	J		
160. ServiceNow Inc (NOW)		None			Sold	05/17/21	J	D	
161. Shopify Inc (SHOP)		None	K	T	Buy	08/27/21	J		
162.					Buy (add'l)	10/07/21	J		
163. Southwest Airlines (LUV)		None			Sold	06/30/21	K	C	
164. Steel Dynamics Inc (STLD)	A	Dividend	K	T	Buy	03/04/21	K		
165. SVB Financial Group (SIVB)		None	K	T	Buy	02/04/21	J		
166.					Buy (add'l)	06/14/21	J		
167.					Buy (add'l)	06/24/21	J		
168. Thermo Fisher Scientific Inc (TMO)	A	Dividend	K	T	Buy (add'l)	01/11/21	J		
169. United Parcel Service (UPS)	A	Dividend			Sold	03/01/21	J		
170. United Rentals Inc (URI)		None	K	T	Sold (part)	02/17/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Sullivan, Emmet G.	08/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. United Healthcare Group Inc (UNH)	A	Dividend	K	T					
172. Visa Inc Class A (V)	A	Dividend	K	T					
173. Walt Disney Co (DIS)		None	K	T					
174. Zebra Technologies Corp Cl A (ZBRA)		None	K	T	Sold (part)	02/17/21	J	B	
175. Zoetis Inc Cl A (ZTS)	A	Dividend			Buy (add'l)	01/11/21	J		
176.					Sold	03/04/21	K	C	
177. Zoom Video Communications (ZM)		None	J	T					
178. First Trust Small Cap Core Alphadex ETF (FYX)	A	Dividend	K	T	Sold (part)	02/17/21	J	B	
179. Wisdomtree Emerging MKTS Ex State Owned Enterprises ETF (XSOE)	A	Dividend			Buy	01/11/21	K		
180.					Sold	05/17/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: One-third interest Rental Property #1 (Washington, DC).

Part VII, line 4: One-half interest in Rental Property #2 (Washington, DC).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Sullivan, Emmet G.	08/11/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Emmet G. Sullivan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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