AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sullivan, Emmet G.	U.S. District Court for the District of Columbia	05/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
333 Constitution Ave., NW Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1994	D.C. Government (defined benefit pension plan - no reportable assets)
2.		
3.		

Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Pension (D.C. Government)	$76,172.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash accounts)	A	Interest	M	T					
3. Rental Property I (Washington, DC)	D	Rent	O	W					
4. Rental Property II (Washington, DC)	E	Rent	P1	W					
5. Account #1 (H)									
6. Janney Sweep Account (cash)	A	Interest	M	T					
7. Accenture PLC (ACN)	A	Dividend	K	T	Buy	09/02/22	K		
8.					Buy (add'l)	09/27/22	K		
9. Alphabet Inc Cl A (GOOGL)		None	K	T					
10. Amazon.com Inc (AMZN)		None	J	T	Buy (add'l)	01/06/22	J		
11.					Sold (part)	12/13/22	J		
12. Apple Inc (AAPL)	A	Dividend	K	T	Sold (part)	01/06/22	J	D	
13. Cheniere Energy Inc (LNG)	A	Dividend	K	T	Buy	05/04/22	K		
14. Danaher Corp (DHR)	A	Dividend			Buy (add'l)	04/08/22	J		
15.					Sold	05/18/22	K		
16. Deere & Co (DE)	A	Dividend			Sold	07/01/22	K		
17. Diamondback Energy Inc (FANG)	B	Dividend	K	T	Sold (part)	01/06/22	J	D	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Sullivan, Emmet G.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.						Sold (part)	06/02/22	J	D	
19.						Sold (part)	11/11/22	J	D	
20.						Sold (part)	12/13/22	J	D	
21.	Freeport Mcmoran Inc (FCX)	A	Dividend			Buy (add'l)	01/06/22	J		
22.						Buy (add'l)	04/08/22	J		
23.						Sold	05/04/22	K		
24.	Goldman Sachs Group Inc (GS)		None			Sold	01/31/22	K		
25.	Home Depot Inc (HR)	A	Dividend			Sold (part)	01/06/22	J	B	
26.						Sold	04/06/22	K		
27.	Keysight Technologies Inc (KEY)		None			Sold	05/18/22	K		
28.	Lam Research Corp (LRCX)	A	Dividend	K	T	Sold (part)	07/06/22	J	B	
29.	Linde PLC (LIN)		None			Buy	06/09/22	K		
30.						Buy (add'l)	06/13/22	K		
31.						Sold	07/06/22	K		
32.	Microsoft Corp (MSFT)	A	Dividend	K	T					
33.	Monster Beverage Corp (MNST)		None	K	T	Buy	07/06/22	K		
34.	Morgan Stanley (MS)		None			Buy	01/31/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35.						Sold	04/08/22	K		
36.	Mosaic Company (MOS)	A	Dividend			Buy	01/31/22	K		
37.						Sold (part)	03/04/22	J	C	
38.						Sold	06/09/22	K	D	
39.	NVIDIA Corp (NVDA)	A	Dividend	K	T	Sold (part)	01/06/22	J	D	
40.						Sold (part)	05/25/22	J	B	
41.	Quanta Services Inc (PWR)	A	Dividend	K	T	Sold (part)	11/11/22	K	D	
42.	Steel Dynamics Inc (STLD)	A	Dividend			Buy (add'l)	01/06/22	J		
43.						Sold	01/31/22	K		
44.	Thermo Fisher Scientific (TMO)	A	Dividend	K	T	Buy	08/08/22	K		
45.	Unitedhealth Group Inc (UNH)	A	Dividend	K	T	Sold (part)	11/11/22	J	C	
46.	Visa Inc Cl A (V)	A	Dividend	K	T	Buy (add'l)	01/06/22	J		
47.	First Trust Large Cap Core Alphadex ETF (FEX)	D	Dividend	N	T					
48.	First Trust NYSE Arca Biotechnology ETF (FBT)		None	K	T	Buy	07/06/22	K		
49.	First Trust Small Cap Core Alphadex ETF (FYX)	A	Dividend	L	T					
50.	First Trust Value Line Div Index ETF (FVD)	A	Dividend	K	T	Buy	04/08/22	K		
51.	Vanguard S&P 500 Index ETF (VOO)	C	Dividend	M	T	Sold (part)	01/06/22	K	D	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.						Buy (add'l)	02/23/22	K		
53.						Buy (add'l)	02/24/22	L		
54.						Sold (part)	11/11/22	K	D	
55.						Sold (part)	11/30/22	K		
56.						Sold (part)	12/07/22	K		
57.	JPMorgan Core Plus Bond Cl I (HLIPX)		None	L	T	Buy	12/29/22	L		
58.	PGIM Total Return Bond Cl Z (PDBZX)	D	Dividend	M	T	Buy (add'l)	01/06/22	K		
59.						Sold (part)	02/23/22	K		
60.						Sold (part)	02/24/22	L		
61.						Buy (add'l)	12/07/22	K		
62.	Western Asset Core Bond Cl I (WATFX)	B	Dividend			Buy (add'l)	01/06/22	J		
63.						Sold (part)	02/24/22	K		
64.						Sold	12/29/22	L		
65.	Account #2 (H)									
66.	Janney Sweep Account (cash)	A	Interest	J	T					
67.	Accenture PLC (ACN)	A	Dividend	J	T	Buy	09/02/22	J		
68.						Buy (add'l)	09/27/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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69. Alphabet Inc Cl A (GOOGL)		None	J	T	Buy	01/12/22	J		
70. Apple Inc (AAPL)	A	Dividend	J	T	Buy	01/12/22	J		
71. Cheniere Energy Inc (LNG)	A	Dividend	J	T	Buy	05/04/22	J		
72. Danaher Corp (DHR)	A	Dividend			Buy	01/12/22	J		
73.					Sold	05/18/22	J		
74. Deere & Co (DE)	A	Dividend			Buy	01/12/22	J		
75.					Sold	07/01/22	J		
76. Diamondback Energy Inc (FANG)	A	Dividend	J	T	Buy	01/12/22	J		
77.					Sold (part)	12/13/22	K	A	
78. Freeport McMoran Inc (FCX)	A	Dividend			Buy	01/12/22	J		
79.					Sold	05/04/22	J		
80. Goldman Sachs Group (GS)		None			Buy	01/12/22	J		
81.					Sold	01/31/22	J		
82. Home Depot Inc (HD)	A	Dividend			Buy	01/12/22	J		
83.					Sold	04/06/22	J		
84. Keysight Technologies Inc (KEYS)		None			Buy	01/12/22	J		
85.					Sold	05/18/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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86. Lam Research Corp (LRCX)	A	Dividend	J	T	Buy	01/12/22	J		
87. Linde PLC (LIN)		None			Buy	06/09/22	J		
88.					Buy (add'l)	06/13/22	J		
89.					Sold	07/06/22	J		
90. Microsoft Corp (MSFT)	A	Dividend	J	T	Buy	01/12/22	J		
91. Monster Beverage Corp (MNST)		None	J	T	Buy	07/06/22	J		
92. Morgan Stanley (MS)		None			Buy	01/31/22	J		
93.					Sold	04/08/22	J		
94. Mosaic Company (MOS)	A	Dividend			Buy	01/31/22	J		
95.					Sold	06/09/22	J	A	
96. NVIDIA Corp (NVDA)	A	Dividend	J	T	Buy	01/12/22	J		
97. Quanta Services Inc (PWR)	A	Dividend	J	T	Buy	01/12/22	J		
98.					Sold (part)	11/11/22	J	A	
99. Steel Dynamics Inc (STLD)		None			Buy	01/12/22	J		
100.					Sold	01/31/22	J		
101. Thermo Fisher Scientific Inc (TMO)	A	Dividend	J	T	Buy	08/08/22	J		
102. Unitedhealth Group Inc (UNH)	A	Dividend	J	T	Buy	01/12/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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103. Visa Inc (V)	A	Dividend	J	T	Buy	01/12/22	J		
104. First Trust Large Cap Core Alphadex ETF (FEX)	A	Dividend	K	T	Buy	01/12/22	K		
105. First Trust NYSE Arca Biotechnology ETF (FBT)		None	J	T	Buy	07/06/22	J		
106. First Trust Small Cap Alphadex ETF (FYX)	A	Dividend	J	T	Buy	01/12/22	J		
107. First Trust Value Line Dividend Index ETF (FVD)	A	Dividend	J	T	Sold	01/12/22	J	A	
108.					Buy	04/08/22	J		
109. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T	Buy	01/12/22	K		
110.					Buy (add'l)	02/23/22	J		
111.					Buy (add'l)	02/24/22	J		
112.					Sold (part)	11/11/22	J		
113.					Sold (part)	11/30/22	J		
114.					Sold (part)	12/07/22	J		
115. First Trust Nasdaq 100 Technology Index ETF (QTEC)		None			Sold	01/12/22	J	A	
116. First Trust Indxx Nextg ETF (NXTG)		None			Sold	01/12/22	J	A	
117. First Trust NASDAQ Bank ETF (FTXO)		None			Sold	01/12/22	J	A	
118. First Trust Cap Strength ETF (FTCS)		None			Sold	01/12/22	J	B	
119. First Trust Consumer Discretionary Alphasex ETF (FXD)		None			Sold	01/12/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. First Trust Dow Jones Internet Index ETF (FDN)		None			Sold	01/12/22	J	B	
121. First Trust Energy Alphadex ETF (FXN)		None			Sold	01/12/22	J	A	
122. First Trust Exchange Traded Fund VI Rising DIV Achievers ETF (RDVY)		None			Sold	01/12/22	J	A	
123. First Trust Industrial Producer Durable Alphadex ETF (FXR)		None			Sold	01/12/22	J	A	
124. First Trust Large Cap Value Opportunity ETF (FTA)		None			Sold	01/12/22	J	C	
125. First Trust Large Cap Growth Opportunity (FTC)		None			Sold	01/12/22	K	C	
126. First Trust Mid Cap Core Alphadex ETF (FNX)		None			Sold	01/12/22	J	A	
127. JPMorgan Core Plus Bond Cl I (HLIPX)		None	J	T	Buy	12/29/22	J		
128. PGIM Total Return Bond Cl Z (PDBZX)	A	Dividend	J	T	Sold (part)	01/12/22	J		
129.					Sold (part)	02/23/22	J		
130.					Sold (part)	02/24/22	J		
131.					Buy (add'l)	12/07/22	J		
132. Western Asset Core Bond Cl I (WATFX)	A	Dividend			Buy	01/12/22	J		
133.					Sold (part)	02/24/22	J		
134.					Sold	12/29/22	J		
135. Account #3 (H)									
136. Dreyfus Govt Cash Mgmt FDS Instl SHS (cash)	B	Dividend	M	T	Sold (part)	01/04/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	01/07/22	J		
138.					Buy (add'l)	01/21/22	J		
139.					Sold (part)	03/01/22	K		
140.					Buy (add'l)	03/08/22	J		
141.					Sold (part)	04/04/22	J		
142.					Sold (part)	04/11/22	J		
143.					Buy (add'l)	05/19/22	J		
144.					Buy (add'l)	05/20/22	L		
145.					Buy (add'l)	05/27/22	J		
146.					Sold (part)	06/01/22	J		
147.					Buy (add'l)	06/06/22	J		
148.					Buy (add'l)	06/13/22	J		
149.					Sold (part)	06/15/22	J		
150.					Buy (add'l)	06/16/22	J		
151.					Buy (add'l)	06/24/22	J		
152.					Sold (part)	07/05/22	J		
153.					Buy (add'l)	07/06/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	07/08/22	K		
155.					Buy (add'l)	07/26/22	K		
156.					Sold (part)	08/15/22	J		
157.					Sold (part)	08/17/22	J		
158.					Sold (part)	08/19/22	J		
159.					Sold (part)	08/22/22	J		
160.					Sold (part)	08/25/22	J		
161.					Sold (part)	09/30/22	J		
162.					Sold (part)	10/04/22	J		
163.					Buy (add'l)	10/07/22	J		
164.					Buy (add'l)	11/17/22	K		
165.					Buy (add'l)	12/02/22	J		
166.					Buy (add'l)	12/15/22	K		
167. Abbott Laboratories (ABT)	A	Dividend	K	T					
168. Adidas AG Spons ADR (ADDYY)	A	Dividend			Sold	06/14/22	J	A	
169. Albemarle Corp (ALB)	A	Dividend			Buy	05/27/22	J		
170.					Sold	07/06/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. Alphabet Inc. C1 A (GOOGL)		None	K	T					
172. Amazon.com Inc (AMZN)		None	K	T	Buy (add'l)	01/12/22	J		
173. Apple Inc. (AAPL)	A	Dividend	L	T					
174. Berkley WR Corp (WRB)	A	Dividend	K	T	Buy	07/06/22	K		
175. Cheniere Energy Inc (LNG)	A	Dividend	K	T	Sold (part)	02/24/22	J	B	
176. Costco Wholesale Corp (COST)	A	Dividend	K	T					
177. DR Horton Inc (DHI)	A	Dividend			Sold	04/06/22	K	C	
178. Danaher Corp (DHR)	A	Dividend			Sold	05/18/22	K		
179. Deere and Co (DE)	A	Dividend	J	T	Sold (part)	07/01/22	J		
180. Devon Energy Corp (DVN)	A	Dividend			Buy	05/04/22	J		
181.					Sold (part)	07/22/22	J		
182.					Sold	12/13/22	J		
183. Diamondback Energy Inc (Fang)	B	Dividend	K	T	Sold (part)	06/02/22	J	B	
184. Eli Lilly & Co (LLY)	A	Dividend	K	T	Buy	07/06/22	K		
185. Enphase Energy Inc (ENPH)		None			Buy	09/28/22	J		
186.					Sold	10/05/22	J		
187. Freeport Mcmoran Inc (FCX)	A	Dividend			Sold	05/04/22	J	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. Goldman Sachs Group Inc (GS)		None			Sold	01/31/22	K	A	
189. Graphic Packaging Holding Co (GPK)	A	Dividend	K	T	Buy	08/15/22	J		
190.					Buy (add'l)	08/22/22	J		
191.					Buy (add'l)	08/25/22	J		
192. Hexcel Corp (HXL)	A	Dividend	J	T	Buy	08/17/22	J		
193.					Buy (add'l)	08/19/22	J		
194.					Buy (add'l)	08/22/22	J		
195. Johnson & Johnson (JNJ)	A	Dividend	J	T					
196. JPMorgan Chase & Company (JPM)	A	Dividend	K	T					
197. Keysight Technologies Inc (KEYS)		None			Sold	05/18/22	K		
198. LAM Research Corp (LRCX)	A	Dividend	J	T	Sold (part)	07/22/22	J	B	
199. Linde PLC (LIN)		None			Buy	06/09/22	J		
200.					Buy (add'l)	06/13/22	J		
201.					Sold	07/06/22	J		
202. Marriott Intl Inc Cl A (MAR)	A	Dividend			Buy	02/16/22	K		
203.					Sold	07/06/22	J		
204. Microsoft Corp (MSFT)	A	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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205. Monster Beverage Corp (MNST)		None	K	T	Buy	07/06/22	K		
206. Morgan Stanley (MS)		None			Buy	01/31/22	K		
207.					Sold	04/08/22	K		
208. Mosaic Company (MOS)	A	Dividend			Buy	01/31/22	K		
209.					Sold (part)	03/04/22	J	B	
210.					Sold	06/09/22	K	D	
211. NVIDIA Corp (NVDA)	A	Dividend	K	T	Sold (part)	05/25/22	J	A	
212. O'Reilly Automotive Inc (ORLY)		None	K	T	Buy	02/25/22	J		
213. On Semiconductor Corp (ON)		None	J	T	Buy	08/17/22	J		
214.					Buy (add'l)	08/22/22	J		
215. Palo Alto Networks Inc (PANW)		None	J	T	Buy	02/14/22	K		
216.					Buy (add'l)	08/19/22	J		
217.					Sold (part)	12/13/22	J		
218. Paypal Holdings Inc (PYPL)		None			Sold	01/19/22	J	C	
219. Pool Corp (POOL)		None			Sold	02/16/22	K	A	
220. Quanta Services Inc (PWR)	A	Dividend	K	T					
221. Raymond James Financial Inc (RJF)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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222. S&P Global Inc (SPGI)		None			Buy	04/08/22	K		
223.					Sold	05/04/22	J		
224. Servicenow Inc (NOW)		None			Buy	02/25/22	J		
225.					Sold	05/17/22	J		
226.					Buy	07/06/22	K		
227.					Sold	11/30/22	J		
228. Shopify Inc (SHOP)		None			Sold	01/05/22	J		
229. Steel Dynamics Inc (STLD)	A	Dividend			Sold	01/31/22	K	C	
230.					Buy	05/04/22	J		
231.					Sold	06/22/22	J		
232. SVB Financial Group (SIVB)		None			Sold	05/18/22	J		
233. Thermo Fisher Scientific Inc (TMO)	A	Dividend	K	T					
234. Tractor Supply Co (TSCO)		None			Buy	04/07/22	K		
235.					Sold	05/18/22	K		
236. Transdigm Group (TDG)		None			Buy	02/25/22	J		
237.					Sold	07/06/22	J		
238. United Rentals Inc (URI)		None	K	T	Sold (part)	07/22/22	J	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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239. United Healthcare Group Inc (UNH)	A	Dividend	K	T					
240. Vertex Pharmaceuticals (VRTX)		None	K	T	Buy	07/06/22	K		
241. Visa Inc Class A (V)	A	Dividend	K	T					
242. Walt Disney Co (DIS)		None	J	T					
243. Zebra Technologies Corp Cl A (ZBRA)		None			Sold	02/14/22	K	D	
244. Zoom Video Communications (ZM)		None	J	T					
245. First Trust Small Cap Core Alphadex ETF (FYX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: One-third interest Rental Property #1 (Washington, DC).

Part VII, line 4: One-half interest in Rental Property #2 (Washington, DC).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Sullivan, Emmet G.	05/12/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Emmet G. Sullivan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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