AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sullivan, Emmet G.	U.S. District Court for the District of Columbia	08/11/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
333 Constitution Ave., NW Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1994	D.C. Government (defined benefit pension plan)
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Pension (D.C. Government)	$82,799.28
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Sullivan, Emmet G.	08/11/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Sullivan, Emmet G.	08/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Wells Fargo (cash)	A	Interest	L	T					
3.	Rental Property I (Washington, DC)	C	Rent			Sold	07/05/23	N		
4.	Rental Property II (Washington, DC)	E	Rent			Sold	04/19/23	O	G	
5.	JLLX West Phoenix Dist (46662A109)	D	Distribution	O	T	Buy	05/01/23	O		
6.	Account #1 (H)									
7.	Janney Sweep Account (cash)	B	Interest	J	T					
8.	Accenture PLC (ACN)	A	Dividend			Sold	05/10/23	K		
9.	Alphabet Inc Cl A (GOOGL)		None	K	T	Sold (part)	11/13/23	J	A	
10.	Amazon.com Inc (AMZN)		None	K	T	Buy (add'l)	10/05/23	J		
11.						Sold (part)	11/13/23	J		
12.	Apple Inc (AAPL)	A	Dividend	K	T	Sold (part)	06/09/23	J	C	
13.						Sold (part)	11/13/23	J	A	
14.	The Boeing Company (BA)		None	K	T	Buy	02/14/23	K		
15.						Buy (add'l)	03/02/23	K		
16.						Sold (part)	11/13/23	J		
17.	Cheniere Energy Inc (LNG)	A	Dividend	K	T	Sold (part)	11/13/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.	Diamondback Energy Inc (FANG)	B	Dividend	K	T					
19.	Lam Research Corp (LRCX)	A	Dividend	K	T					
20.	Microsoft Corp (MSFT)	A	Dividend	L	T	Sold (part)	11/13/23	J	B	
21.	Monster Beverage Corp (MNST)		None	K	T	Sold (part)	11/13/23	J	A	
22.	NVIDIA Corp (NVDA)	A	Dividend	L	T	Sold (part)	11/13/23	J	B	
23.	O Reilly Automotive Inc (ORLY)		None	K	T	Buy	10/05/23	K		
24.	Palo Alto Networks Inc (PANW)		None	K	T	Buy	10/25/23	K		
25.						Sold (part)	11/13/23	J	A	
26.	Quanta Services Inc (PWR)	A	Dividend	L	T	Sold (part)	05/10/23	J	C	
27.						Sold (part)	11/13/23	J	A	
28.	Thermo Fisher Scientific (TMO)	A	Dividend	K	T					
29.	Unitedhealth Group Inc (UNH)	A	Dividend	K	T	Sold (part)	11/13/23	J	A	
30.	Visa Inc Cl A (V)	A	Dividend	L	T	Sold (part)	11/13/23	J	A	
31.	First Trust Large Cap Core Alphadex ETF (FEX)	C	Dividend	N	T	Sold (part)	06/09/23	K	C	
32.						Sold (part)	11/13/23	J	C	
33.	First Trust Small Cap Core Alphadex ETF (FYX)	A	Dividend	K	T	Sold (part)	06/09/23	J	C	
34.						Sold (part)	11/13/23	J	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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35. First Trust NYSE Arca Biotechnology Index ETF (FBT)		None			Sold	10/25/23	K		
36. First Trust Value Line Div Index ETF (FVD)	A	Dividend	K	T	Sold (part)	11/13/23	J		
37. JPMorgan International Research Enhanced Equity ETF (JIRE)	B	Dividend	L	T	Buy	02/07/23	K		
38.					Buy (add'l)	02/14/23	K		
39.					Buy (add'l)	03/02/23	J		
40.					Sold (part)	11/13/23	J	A	
41. Vanguard S&P 500 Index ETF (VOO)	C	Dividend	M	T	Sold (part)	02/14/23	K	B	
42.					Sold (part)	06/09/23	K	B	
43.					Sold (part)	11/13/23	J	A	
44. JPMorgan Core Plus Bond Cl I (HLIPX)	C	Dividend	L	T	Buy (add'l)	05/31/23	J		
45.					Sold (part)	11/13/23	J		
46. PGIM Total Return Bond Cl Z (PDBZX)	D	Dividend	M	T	Buy (add'l)	05/31/23	L		
47.					Sold (part)	11/13/23	J		
48. Putnam Ultra Short Duration Income Y (PSDYX)	B	Dividend	K	T	Buy	06/09/23	L		
49.					Sold (part)	10/05/23	K	A	
50.					Sold (part)	11/13/23	J	A	
51. Western Asset Core Bond I (WATFX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
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2. Value Codes (See Columns C1 and D3)
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N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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52. Account #2 (H)									
53. Janney Sweep Account (cash) (Y)									
54. Accenture PLC (ACN)	A	Dividend			Sold	05/10/23	J		
55. Alphabet Inc Cl A (GOOGL)		None	J	T					
56. Amazon.com Inc (AMZN) (X)		None	J	T					
57. Apple Inc (AAPL)	A	Dividend	J	T					
58. The Boeing Company (BA)	A	Dividend	J	T	Buy	02/14/23	J		
59.					Buy (add'l)	03/02/23	J		
60. Cheniere Energy Inc (LNG)	A	Dividend	J	T					
61. Diamondback Energy Inc (FANG)	A	Dividend	J	T					
62. Lam Research Corp (LRCX)	A	Dividend	J	T					
63. Microsoft Corp (MSFT)	A	Dividend	J	T					
64. Monster Beverage Corp (MNST)		None	J	T					
65. NVIDIA Corp (NVDA)	A	Dividend	J	T					
66. O Reilly Automotive Inc (ORLY)		None	J	T	Buy	10/05/23	J		
67. Palo Alto Networks Inc (PANW)		None	J	T	Buy	10/25/23	J		
68. Quanta Services Inc (PWR)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69.	Thermo Fisher Scientific Inc (TMO)	A	Dividend	J	T					
70.	Unitedhealth Group Inc (UNH)	A	Dividend	J	T					
71.	Visa Inc (V)	A	Dividend	J	T					
72.	First Trust Large Cap Core Alphadex ETF (FEX)	A	Dividend	K	T					
73.	First Trust NYSE Arca Biotechnology ETF (FBT)	A	Dividend			Sold	10/25/23	J		
74.	First Trust Small Cap Alphadex ETF (FYX)	A	Dividend	J	T					
75.	First Trust Value Line Dividend Index ETF (FVD)	A	Dividend	J	T					
76.	JPMorgan International Research Enhanced Equity ETF (JIRE) (X)	A	Dividend	J	T					
77.	Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T	Sold (part)	02/14/23	J		
78.						Sold (part)	06/09/23	J		
79.	JPMorgan Core Plus Bond Cl I (HLIPX)	A	Dividend	J	T					
80.	PGIM Total Return Bond Cl Z (PDBZX)	A	Dividend	J	T	Buy (add'l)	05/31/23	J		
81.	Putnam Ultra Short Duration Income Y (PSDYX)	A	Dividend	J	T	Buy	05/31/23	J		
82.						Buy (add'l)	06/09/23	J		
83.						Sold (part)	10/05/23	J	A	
84.	Account #3 (H)									
85.	Dreyfus Govt Cash Mgmt (DGQXX) (cash eq.)	B	Dividend	L	T	Sold (part)	01/04/23	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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86.					Sold (part)	01/27/23	J		
87.					Sold (part)	02/01/23	J		
88.					Sold (part)	02/02/23	J		
89.					Sold (part)	02/08/23	J		
90.					Sold (part)	02/09/23	K		
91.					Sold (part)	02/16/23	J		
92.					Sold (part)	03/06/23	J		
93.					Sold (part)	04/04/23	J		
94.					Buy (add'l)	05/12/23	K		
95.					Sold (part)	06/01/23	L		
96.					Sold (part)	07/05/23	J		
97.					Buy (add'l)	07/13/23	J		
98.					Buy (add'l)	07/25/23	K		
99.					Buy (add'l)	09/20/23	J		
100.					Sold (part)	10/03/23	J		
101.					Sold (part)	10/10/23	K		
102.					Buy (add'l)	11/01/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.					Sold (part)	11/14/23	J		
104.					Buy (add'l)	11/16/23	K		
105. Abbott Laboratories (ABT)	A	Dividend			Sold	03/24/23	K	B	
106. Alphabet Inc. C1 A (GOOGL)		None	K	T					
107. Amazon.com Inc (AMZN)		None	K	T	Buy (add'l)	10/05/23	J		
108. Apple Inc. (AAPL)	A	Dividend	L	T					
109. Axon Enterprise Inc (AXON)		None			Buy	02/06/23	J		
110.					Buy (add'l)	02/07/23	J		
111.					Buy (add'l)	07/11/23	J		
112.					Sold	07/21/23	K		
113. Berkley WR Corp (WRB)	A	Dividend			Sold	03/24/23	J		
114. Boston Scientific Corp (BSX)		None	K	T	Buy	03/24/23	K		
115. Cadence Design Systems Inc (CDNS)		None	J	T	Buy	11/10/23	J		
116. Cheniere Energy Inc (LNG)	A	Dividend	K	T					
117. Costco Wholesale Corp (COST)	A	Dividend	K	T					
118. Deere and Co (DE)	A	Dividend	K	T	Buy (add'l)	07/11/23	J		
119.					Buy (add'l)	10/05/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. Dexcom Inc (DXCM)		None			Buy	03/24/23	J		
121.					Buy (add'l)	07/11/23	J		
122.					Sold	09/18/23	J		
123. Diamondback Energy Inc (Fang)	B	Dividend	K	T					
124. Eli Lilly & Co (LLY)	A	Dividend	K	T					
125. Flex Ltd (FLEX)		None	K	T	Buy	01/25/23	J		
126.					Buy (add'l)	01/30/23	J		
127.					Buy (add'l)	03/02/23	J		
128. Ferrari NV (RACE)	A	Dividend	K	T	Buy	03/24/23	J		
129. Graphic Packaging Holding Co (GPK)	A	Dividend	K	T					
130. Hexcel Corp (HXL)	A	Dividend	J	T					
131. Johnson & Johnson (JNJ)	A	Dividend			Sold	07/11/23	J		
132. JPMorgan Chase & Company (JPM)	A	Dividend	K	T	Buy (add'l)	01/31/23	J		
133.					Buy (add'l)	03/24/23	J		
134.					Sold (part)	05/10/23	J		
135. LAM Research Corp (LRCX)	A	Dividend	K	T					
136. Microsoft Corp (MSFT)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. Monster Beverage Corp (MNST)		None	K	T	Buy (add'l)	02/06/23	J		
138. NVIDIA Corp (NVDA)	A	Dividend	K	T	Sold (part)	05/10/23	J	C	
139. O'Reilly Automotive Inc (ORLY)		None	K	T					
140. On Semiconductor Corp (ON)		None			Buy (add'l)	07/11/23	J		
141.					Buy (add'l)	10/05/23	J		
142.					Sold	11/01/23	J		
143. Palo Alto Networks Inc (PANW)		None	K	T	Buy (add'l)	10/05/23	J		
144. Quanta Services Inc (PWR)	A	Dividend	K	T	Sold (part)	05/10/23	J	C	
145. Raymond James Financial Inc (RJF)	A	Dividend			Sold	03/24/23	K		
146. Thermo Fisher Scientific Inc (TMO)	A	Dividend	K	T					
147. United Rentals Inc (URI)	A	Dividend	K	T					
148. United Healthcare Group Inc (UNH)	A	Dividend	K	T					
149. Vertex Pharmaceuticals (VRTX)		None	K	T	Buy (add'l)	10/05/23	J		
150. Visa Inc Class A (V)	A	Dividend	K	T					
151. Walt Disney Co (DIS)		None			Sold	07/11/23	J		
152. Zoom Video Communications (ZM)		None			Sold	07/11/23	J		
153. First Trust Small Cap Core Alphadex ETF (FYX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. JPMorgan International Research Enhanced Equity ETF (JIRE)	A	Dividend	K	T	Buy	02/07/23	J		
155.					Buy (add'l)	02/14/23	J		
156.					Buy (add'l)	03/02/23	J		
157. Putnam Ultra Short Duration Income Y (PSDYX)	B	Dividend	L	T	Buy	05/31/23	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: One-third interest Rental Property #1 (Washington, DC).

Part VII, line 4: One-half interest in Rental Property #2 (Washington, DC).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Parenthetical numbers in Part VII are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Emmet G. Sullivan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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