AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Sullivan, Emmet G. | U.S. District Court for the District of Columbia | 01/19/2025 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| District Judge - Senior | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2023<br>**to**<br>12/31/2023 |
| **7. Chambers or Office Address** | | |
| 333 Constitution Ave., NW<br>Washington, DC 20001 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 1994 | D.C. Government (defined benefit pension plan) |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2023 | Pension (D.C. Government) | $82,799.28 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Individual Assets (H) | | | | | | | | | |
| 2. | Wells Fargo (cash) | A | Interest | L | T | | | | | |
| 3. | Rental Property I (Washington, DC) | C | Rent | | | Sold | 07/05/23 | N | | |
| 4. | Rental Property II (Washington, DC) | E | Rent | | | Sold | 04/19/23 | O | G | |
| 5. | JLLX West Phoenix Distr Ctr (46662A109) | D | Distribution | O | T | Buy | 05/01/23 | O | | |
| 6. | Account #1 (H) | | | | | | | | | |
| 7. | Janney Sweep Account (cash) | B | Interest | J | T | | | | | |
| 8. | Accenture PLC (ACN) | A | Dividend | | | Sold | 05/10/23 | K | | |
| 9. | Alphabet Inc Cl A (GOOGL) | | None | K | T | Sold (part) | 11/13/23 | J | A | |
| 10. | Amazon.com Inc (AMZN) | | None | K | T | Buy (add'l) | 10/05/23 | J | | |
| 11. | | | | | | Sold (part) | 11/13/23 | J | | |
| 12. | Apple Inc (AAPL) | A | Dividend | K | T | Sold (part) | 06/09/23 | J | C | |
| 13. | | | | | | Sold (part) | 11/13/23 | J | A | |
| 14. | The Boeing Company (BA) | | None | K | T | Buy | 02/14/23 | K | | |
| 15. | | | | | | Buy (add'l) | 03/02/23 | K | | |
| 16. | | | | | | Sold (part) | 11/13/23 | J | | |
| 17. | Cheniere Energy Inc (LNG) | A | Dividend | K | T | Sold (part) | 11/13/23 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 18. | Diamondback Energy Inc (FANG) | B | Dividend | K | T | | | | | |
| 19. | Lam Research Corp (LRCX) | A | Dividend | K | T | | | | | |
| 20. | Microsoft Corp (MSFT) | A | Dividend | L | T | Sold (part) | 11/13/23 | J | B | |
| 21. | Monster Beverage Corp (MNST) | | None | K | T | Sold (part) | 11/13/23 | J | A | |
| 22. | NVIDIA Corp (NVDA) | A | Dividend | L | T | Sold (part) | 11/13/23 | J | B | |
| 23. | O Reilly Automotive Inc (ORLY) | | None | K | T | Buy | 10/05/23 | K | | |
| 24. | Palo Alto Networks Inc (PANW) | | None | K | T | Buy | 10/25/23 | K | | |
| 25. | | | | | | Sold (part) | 11/13/23 | J | A | |
| 26. | Quanta Services Inc (PWR) | A | Dividend | L | T | Sold (part) | 05/10/23 | J | C | |
| 27. | | | | | | Sold (part) | 11/13/23 | J | A | |
| 28. | Thermo Fisher Scientific (TMO) | A | Dividend | K | T | | | | | |
| 29. | Unitedhealth Group Inc (UNH) | A | Dividend | K | T | Sold (part) | 11/13/23 | J | A | |
| 30. | Visa Inc Cl A (V) | A | Dividend | L | T | Sold (part) | 11/13/23 | J | A | |
| 31. | First Trust Large Cap Core Alphadex ETF (FEX) | C | Dividend | N | T | Sold (part) | 06/09/23 | K | C | |
| 32. | | | | | | Sold (part) | 11/13/23 | J | C | |
| 33. | First Trust Small Cap Core Alphadex ETF (FYX) | A | Dividend | K | T | Sold (part) | 06/09/23 | J | C | |
| 34. | | | | | | Sold (part) | 11/13/23 | J | A | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 35. First Trust NYSE Arca Biotechnology Index ETF (FBT) | | None | | | Sold | 10/25/23 | K | | |
| 36. First Trust Value Line Div Index ETF (FVD) | A | Dividend | K | T | Sold (part) | 11/13/23 | J | | |
| 37. JPMorgan International Research Enhanced Equity ETF (JIRE) | B | Dividend | L | T | Buy | 02/07/23 | K | | |
| 38. | | | | | Buy (add'l) | 02/14/23 | K | | |
| 39. | | | | | Buy (add'l) | 03/02/23 | J | | |
| 40. | | | | | Sold (part) | 11/13/23 | J | A | |
| 41. Vanguard S&P 500 Index ETF (VOO) | C | Dividend | M | T | Sold (part) | 02/14/23 | K | B | |
| 42. | | | | | Sold (part) | 06/09/23 | K | B | |
| 43. | | | | | Sold (part) | 11/13/23 | J | A | |
| 44. JPMorgan Core Plus Bond Cl I (HLIPX) | C | Dividend | L | T | Buy (add'l) | 05/31/23 | J | | |
| 45. | | | | | Sold (part) | 11/13/23 | J | | |
| 46. PGIM Total Return Bond Cl Z (PDBZX) | D | Dividend | M | T | Buy (add'l) | 05/31/23 | L | | |
| 47. | | | | | Sold (part) | 11/13/23 | J | | |
| 48. Putnam Ultra Short Duration Income Y (PSDYX) | B | Dividend | K | T | Buy | 06/09/23 | L | | |
| 49. | | | | | Sold (part) | 10/05/23 | K | A | |
| 50. | | | | | Sold (part) | 11/13/23 | J | A | |
| 51. Western Asset Core Bond I (WATFX) | A | Dividend | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 52. Account #2 (H) | | | | | | | | | |
| 53. Janney Sweep Account (cash) (Y) | | | | | | | | | |
| 54. Accenture PLC (ACN) | A | Dividend | | | Sold | 05/10/23 | J | | |
| 55. Alphabet Inc Cl A (GOOGL) | | None | J | T | | | | | |
| 56. Amazon.com Inc (AMZN) (X) | | None | J | T | | | | | |
| 57. Apple Inc (AAPL) | A | Dividend | J | T | | | | | |
| 58. The Boeing Company (BA) | A | Dividend | J | T | Buy | 02/14/23 | J | | |
| 59. | | | | | Buy (add'l) | 03/02/23 | J | | |
| 60. Cheniere Energy Inc (LNG) | A | Dividend | J | T | | | | | |
| 61. Diamondback Energy Inc (FANG) | A | Dividend | J | T | | | | | |
| 62. Lam Research Corp (LRCX) | A | Dividend | J | T | | | | | |
| 63. Microsoft Corp (MSFT) | A | Dividend | J | T | | | | | |
| 64. Monster Beverage Corp (MNST) | | None | J | T | | | | | |
| 65. NVIDIA Corp (NVDA) | A | Dividend | J | T | | | | | |
| 66. O Reilly Automotive Inc (ORLY) | | None | J | T | Buy | 10/05/23 | J | | |
| 67. Palo Alto Networks Inc (PANW) | | None | J | T | Buy | 10/25/23 | J | | |
| 68. Quanta Services Inc (PWR) | A | Dividend | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|---|
| 69. | Thermo Fisher Scientific Inc (TMO) | A | Dividend | J | T | | | | | |
| 70. | Unitedhealth Group Inc (UNH) | A | Dividend | J | T | | | | | |
| 71. | Visa Inc (V) | A | Dividend | J | T | | | | | |
| 72. | First Trust Large Cap Core Alphadex ETF (FEX) | A | Dividend | K | T | | | | | |
| 73. | First Trust NYSE Arca Biotechnology ETF (FBT) | A | Dividend | | | Sold | 10/25/23 | J | | |
| 74. | First Trust Small Cap Alphadex ETF (FYX) | A | Dividend | J | T | | | | | |
| 75. | First Trust Value Line Dividend Index ETF (FVD) | A | Dividend | J | T | | | | | |
| 76. | JPMorgan International Research Enhanced Equity ETF (JIRE) (X) | A | Dividend | J | T | | | | | |
| 77. | Vanguard S&P 500 ETF (VOO) | A | Dividend | J | T | Sold (part) | 02/14/23 | J | | |
| 78. | | | | | | Sold (part) | 06/09/23 | J | | |
| 79. | JPMorgan Core Plus Bond Cl I (HLIPX) | A | Dividend | J | T | | | | | |
| 80. | PGIM Total Return Bond Cl Z (PDBZX) | A | Dividend | J | T | Buy (add'l) | 05/31/23 | J | | |
| 81. | Putnam Ultra Short Duration Income Y (PSDYX) | A | Dividend | J | T | Buy | 05/31/23 | J | | |
| 82. | | | | | | Buy (add'l) | 06/09/23 | J | | |
| 83. | | | | | | Sold (part) | 10/05/23 | J | A | |
| 84. | Account #3 (H) | | | | | | | | | |
| 85. | Dreyfus Govt Cash Mgmt (DGQXX) (cash eq.) | B | Dividend | L | T | Sold (part) | 01/04/23 | J | | |

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|---|---|---|---|---|---|---|---|---|---|
| 86. | | | | | Sold (part) | 01/27/23 | J | | |
| 87. | | | | | Sold (part) | 02/01/23 | J | | |
| 88. | | | | | Sold (part) | 02/02/23 | J | | |
| 89. | | | | | Sold (part) | 02/08/23 | J | | |
| 90. | | | | | Sold (part) | 02/09/23 | K | | |
| 91. | | | | | Sold (part) | 02/16/23 | J | | |
| 92. | | | | | Sold (part) | 03/06/23 | J | | |
| 93. | | | | | Sold (part) | 04/04/23 | J | | |
| 94. | | | | | Buy (add'l) | 05/12/23 | K | | |
| 95. | | | | | Sold (part) | 06/01/23 | L | | |
| 96. | | | | | Sold (part) | 07/05/23 | J | | |
| 97. | | | | | Buy (add'l) | 07/13/23 | J | | |
| 98. | | | | | Buy (add'l) | 07/25/23 | K | | |
| 99. | | | | | Buy (add'l) | 09/20/23 | J | | |
| 100. | | | | | Sold (part) | 10/03/23 | J | | |
| 101. | | | | | Sold (part) | 10/10/23 | K | | |
| 102. | | | | | Buy (add'l) | 11/01/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. | | | | | Sold (part) | 11/14/23 | J | | |
| 104. | | | | | Buy (add'l) | 11/16/23 | K | | |
| 105. Abbott Laboratories (ABT) | A | Dividend | | | Sold | 03/24/23 | K | B | |
| 106. Alphabet Inc. C1 A (GOOGL) | | None | K | T | | | | | |
| 107. Amazon.com Inc (AMZN) | | None | K | T | Buy (add'l) | 10/05/23 | J | | |
| 108. Apple Inc. (AAPL) | A | Dividend | L | T | | | | | |
| 109. Axon Enterprise Inc (AXON) | | None | | | Buy | 02/06/23 | J | | |
| 110. | | | | | Buy (add'l) | 02/07/23 | J | | |
| 111. | | | | | Buy (add'l) | 07/11/23 | J | | |
| 112. | | | | | Sold | 07/21/23 | K | | |
| 113. Berkley WR Corp (WRB) | A | Dividend | | | Sold | 03/24/23 | J | | |
| 114. Boston Scientific Corp (BSX) | | None | K | T | Buy | 03/24/23 | K | | |
| 115. Cadence Design Systems Inc (CDNS) | | None | J | T | Buy | 11/10/23 | J | | |
| 116. Cheniere Energy Inc (LNG) | A | Dividend | K | T | | | | | |
| 117. Costco Wholesale Corp (COST) | A | Dividend | K | T | | | | | |
| 118. Deere and Co (DE) | A | Dividend | K | T | Buy (add'l) | 07/11/23 | J | | |
| 119. | | | | | Buy (add'l) | 10/05/23 | J | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. Dexcom Inc (DXCM) | | None | | | Buy | 03/24/23 | J | | |
| 121. | | | | | Buy (add'l) | 07/11/23 | J | | |
| 122. | | | | | Sold | 09/18/23 | J | | |
| 123. Diamondback Energy Inc (Fang) | B | Dividend | K | T | | | | | |
| 124. Eli Lilly & Co (LLY) | A | Dividend | K | T | | | | | |
| 125. Flex Ltd (FLEX) | | None | K | T | Buy | 01/25/23 | J | | |
| 126. | | | | | Buy (add'l) | 01/30/23 | J | | |
| 127. | | | | | Buy (add'l) | 03/02/23 | J | | |
| 128. Ferrari NV (RACE) | A | Dividend | K | T | Buy | 03/24/23 | J | | |
| 129. Graphic Packaging Holding Co (GPK) | A | Dividend | K | T | | | | | |
| 130. Hexcel Corp (HXL) | A | Dividend | J | T | | | | | |
| 131. Johnson & Johnson (JNJ) | A | Dividend | | | Sold | 07/11/23 | J | | |
| 132. JPMorgan Chase & Company (JPM) | A | Dividend | K | T | Buy (add'l) | 01/31/23 | J | | |
| 133. | | | | | Buy (add'l) | 03/24/23 | J | | |
| 134. | | | | | Sold (part) | 05/10/23 | J | | |
| 135. LAM Research Corp (LRCX) | A | Dividend | K | T | | | | | |
| 136. Microsoft Corp (MSFT) | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 137. Monster Beverage Corp (MNST) | | None | K | T | Buy (add'l) | 02/06/23 | J | | |
| 138. NVIDIA Corp (NVDA) | A | Dividend | K | T | Sold (part) | 05/10/23 | J | C | |
| 139. O'Reilly Automotive Inc (ORLY) | | None | K | T | | | | | |
| 140. On Semiconductor Corp (ON) | | None | | | Buy (add'l) | 07/11/23 | J | | |
| 141. | | | | | Buy (add'l) | 10/05/23 | J | | |
| 142. | | | | | Sold | 11/01/23 | J | | |
| 143. Palo Alto Networks Inc (PANW) | | None | K | T | Buy (add'l) | 10/05/23 | J | | |
| 144. Quanta Services Inc (PWR) | A | Dividend | K | T | Sold (part) | 05/10/23 | J | C | |
| 145. Raymond James Financial Inc (RJF) | A | Dividend | | | Sold | 03/24/23 | K | | |
| 146. Thermo Fisher Scientific Inc (TMO) | A | Dividend | K | T | | | | | |
| 147. United Rentals Inc (URI) | A | Dividend | K | T | | | | | |
| 148. United Healthcare Group Inc (UNH) | A | Dividend | K | T | | | | | |
| 149. Vertex Pharmaceuticals (VRTX) | | None | K | T | Buy (add'l) | 10/05/23 | J | | |
| 150. Visa Inc Class A (V) | A | Dividend | K | T | | | | | |
| 151. Walt Disney Co (DIS) | | None | | | Sold | 07/11/23 | J | | |
| 152. Zoom Video Communications (ZM) | | None | | | Sold | 07/11/23 | J | | |
| 153. First Trust Small Cap Core Alphadex ETF (FYX) | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 154. JPMorgan International Research Enhanced Equity ETF (JIRE) | A | Dividend | K | T | Buy | 02/07/23 | J | | |
| 155. | | | | | Buy (add'l) | 02/14/23 | J | | |
| 156. | | | | | Buy (add'l) | 03/02/23 | J | | |
| 157. Putnam Ultra Short Duration Income Y (PSDYX) | B | Dividend | L | T | Buy | 05/31/23 | L | | |
| 158. Account #4 (H) | | | | | | | | | |
| 159. Janney Sweep Account (cash) | A | Interest | K | T | Open | 07/12/23 | M | | |
| 160. Alphabet Inc Cl A (GOOGL) | | None | J | T | Buy | 07/13/23 | J | | |
| 161. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 162. Amazon.com Inc (AMZN) | | None | J | T | Buy | 07/13/23 | J | | |
| 163. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 164. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 165. | | | | | Buy (add'l) | 10/05/23 | J | | |
| 166. Apple Inc (AAPL) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 167. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 168. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 169. Axon Enterprise Inc (AXON) | | None | | | Buy | 7/13/23 | J | | |
| 170. | | | | | Sold | 07/21/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 171. Boston Scientific Corp (BSX) | | None | J | T | Buy | 07/13/23 | J | | |
| 172. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 173. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 174. Cadence Design Systems Inc (CDNS) | | None | J | T | Buy | 11/10/23 | J | | |
| 175. Cheniere Energy Inc (LNG) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 176. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 177. Costco Wholesale Corp (COST) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 178. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 179. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 180. Deere & Co (DE) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 181. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 182. | | | | | Buy (add'l) | 10/05/23 | J | | |
| 183. Dexcom Inc (DXCM) | | None | | | Buy | 07/13/23 | J | | |
| 184. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 185. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 186. | | | | | Sold | 09/18/23 | J | | |
| 187. Diamondback Energy Inc (FANG) | A | Dividend | J | T | Buy | 07/13/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 188. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 189. Eli Lilly & Co (LLY) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 190. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 191. Ferrari NV (RACE) | | None | J | T | Buy | 07/13/23 | J | | |
| 192. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 193. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 194. Flex Ltd (FLEX) | | None | J | T | Buy | 07/13/23 | J | | |
| 195. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 196. | | | | | Buy (add'l) | 10/16/23 | J | | |
| 197. Graphic Packaging Holding Co (GPK) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 198. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 199. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 200. Hexcel Corp (HXL) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 201. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 202. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 203. JPMorgan Chase & Company (JPM) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 204. | | | | | Buy (add'l) | 08/03/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 205. LAM Research Corp (LRCX) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 206. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 207. | | | | | Buy (add'l) | 10/16/23 | J | | |
| 208. Microsoft Corp (MSFT) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 209. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 210. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 211. Monster Beverage Corp (MNST) | | None | J | T | Buy | 07/13/23 | J | | |
| 212. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 213. Nvidia Corp (NVDA) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 214. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 215. | | | | | Buy (add'l) | 10/16/23 | J | | |
| 216. O'Reilly Automotive Inc (ORLY) | | None | J | T | Buy | 07/13/23 | J | | |
| 217. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 218. On Semiconductor Corp (ON) | | None | | | Buy | 07/13/23 | J | | |
| 219. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 220. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 221. | | | | | Buy (add'l) | 10/16/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 222. | | | | | Sold | 11/01/23 | J | | |
| 223. Palo Alto Networks Inc (PANW) | | None | J | T | Buy | 07/13/23 | J | | |
| 224. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 225. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 226. | | | | | Buy (add'l) | 10/05/23 | J | | |
| 227. | | | | | Buy (add'l) | 10/16/23 | J | | |
| 228. Quanta Services Inc (PWR) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 229. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 230. Thermo Fisher Scientific (TMO) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 231. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 232. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 233. United Rentals Inc (URI) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 234. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 235. | | | | | Buy (add'l) | 10/16/23 | J | | |
| 236. UnitedHealth Group Inc (UNH) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 237. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 238. Vertex Pharmaceuticals (VRTX) | | None | J | T | Buy | 07/13/23 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 239. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 240. Visa Inc Class A (V) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 241. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 242. First Trust Small Cap Core Alphadex ETF (FYX) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 243. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 244. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 245. JPMorgan International Research Enhanced Equity ETF (JIRE) | A | Dividend | J | T | Buy | 07/13/23 | J | | |
| 246. | | | | | Buy (add'l) | 08/03/23 | J | | |
| 247. | | | | | Buy (add'l) | 08/09/23 | J | | |
| 248. Account #5 (H) | | | | | | | | | |
| 249. American Funds Growth Fund of America Cl 529 A (CGFAX) (X) | | None | J | T | | | | | |
| 250. Account #6 (H) | | | | | | | | | |
| 251. American Funds Growth Fund of America Cl 529 A (CGFAX) (X) | | None | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: One-third interest Rental Property #1 (Washington, DC).

Part VII, line 4: One-half interest in Rental Property #2 (Washington, DC).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Parenthetical numbers in Part VII are CUSIP numbers which, like ticker symbols, are standard asset identifiers. They contain no security-based information.

Part VII, lines 249 and 251 were inadvertently omitted from prior reports.

Part VII, transactions reported on lines 165, 169, 170, 174, 182, 186, and 222 were reported in periodc transaction reports as mirrored, however they are merged on their corresponding transaction reports.

| Name of Person Reporting | Date of Report |
|---|---|
| **Sullivan, Emmet G.** | 01/19/2025 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Emmet G. Sullivan**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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