AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sutton, Jeffrey S.	Sixth Circuit Court of Appeals	04/19/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Chief Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address		
85 Marconi Boulevard Columbus, OH 43215		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Faculty	The Ohio State University College of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1995	Ohio Public Employee's Retirement System; vested retirement account.
2.	1997	Ohio State Teacher's Retirement System; vested retirement account.
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	The Ohio State University College of Law - Teaching	$29,595.00
2.	2021	Oxford University Press - Royalties	$1,407.00
3.	2021	West - Royalties	$627.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	The Columbus Academy - teacher
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Big Sky Labor and Employment Institute	08/03/21 to 08/06/21	Big Sky, Montana	Present lecture	Transportation, Meals, Room
2.	The Federalist Society	08/11/21 to 08/12/21	Park City, Utah	Present lecture	Transportation, Meals, Room
3.	The Federalist Society	09/02/21 to 09/03/21	Stanford, California	Present lecture	Transportation, Room
4.	The Federalist Society	09/06/21 to 09/07/21	Sacramento, California	Present lecture	Transportation, Meals, Room
5.	The Law & Economics Center, George Mason University Antonin Scalia Law School	09/27/21 to 10/02/21	Pray, Montana	Present lectures	Transportation, Meals, Room

6.	The Law & Economics Center, George Mason University Antonin Scalia Law School	10/02/21 to 10/03/21	Bozeman, Montana	Present lecture	Meals, Room
7.	The Federalist Society	10/14/21 to 10/15/21	Washington, DC	Present lecture	Transportation, Meals, Room
8.	The Federalist Society	10/18/21	Frankfort, Kentucky	Present lecture	Transportation, Meals
9.	Notre Dame Law School	10/27/21 to 10/29/21	Notre Dame, Indiana	Speak to law school class and moderate a conference panel	Transportation, Meals, Room
10.	The Federalist Society	11/10/21 to 11/12/21	Washington, DC	Moderate a conference panel	Transportation, Meals, Room
11.	Leo Bearman, Sr. American Inn of Court (Memphis)	11/16/21 to 11/17/21	Memphis, Tennessee	Present lecture	Transportation, Meals, Room
12.					
13.					
14.					
15.					
16.					
17.					
18.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sutton, Jeffrey S.	04/19/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Chase checking account	A	Interest	J	T					
2. Fidelity Investment Grade Bond Fund (IRA)	A	Interest	J	T					
3. Fidelity Cash Reserves Mutual Fund (IRA)	A	Interest	L	T	Buy (add'l)	11/24/21	L		
4. Fidelity Select Health Care Mutual Fund (IRA)	A	Dividend	L	T					
5. Fidelity Spartan 500 Index Mutual Fund (IRA)	A	Dividend			Sold	11/24/21	L		Liquidate fund.
6. Fidelity Strategic Bond Fund (IRA)	A	Interest	J	T					
7. Fidelity Magellan Mutual Fund (IRA)	A	Dividend	K	T					
8. Fidelity Overseas Mutual Fund (IRA)	A	Dividend	K	T					
9. Vanguard Money Market Fund	A	Interest	L	T					
10. Vanguard S&P 500 Index Fund (IRA)	B	Dividend	M	T					
11. TIAA Traditional	A	Dividend	K	T					
12. CREF Stock R1	A	Dividend	L	T					
13. TIAA Real Estate	A	Dividend	J	T					
14. CREF Bond R1	A	Dividend	J	T					
15. T Rowe Price 2025 Fund Class R	A	Dividend	M	T					
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Sutton, Jeffrey S.	04/19/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey S. Sutton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544