AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Swain, Laura T.	US District Court - Southern District of New York	08/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Daniel Patrick Moynihan U.S. Courthouse 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Havens Relief Fund Society
2.	Trustee	Trust #1 (no reportable assets)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	[redacted] (salary and benefits)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Just the Beginning - A Pipeline Organization	October 20-23, 2022	Chicago, IL	Legal Conference	Lodging, meals
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Swain, Laura T.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Citizens (was HSBC Bank) (cash)	A	Interest	K	T					
3. Citibank (cash)	A	Interest	J	T					
4. Synchrony Bank (cash)	A	Interest	J	T					
5. [redacted] Employees Stock Ownership Plan (no control)		None	K	U					
6. Account #1 (H)									
7. Schwab Government Money (SWGXX) (cash eq.) (X)		None			Buy (add'l)	01/03/22	J		
8.					Sold (part)	01/05/22	J		
9.					Buy (add'l)	04/04/22	J		
10.					Sold (part)	04/18/22	J		
11.					Buy (add'l)	05/02/22	J		
12.					Buy (add'l)	06/07/22	J		
13.					Sold (part)	07/01/22	J		
14.					Sold (part)	09/27/22	J		
15.					Sold (part)	10/17/22	J		
16.					Buy (add'l)	12/23/22	J		
17.					Sold	12/29/22	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Swain, Laura T.	08/12/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Fidelity MSCI Comm SRV Index (FCOM)	A	Dividend			Sold	03/30/22	J		
19. Fidelity MSCI Cons Discr (FDIS) (Y)									
20. Fidelity MSCI Cons Stpls (FSTA) (Y)									
21. Fidelity MSCI Energy Index Etf (FENY) (Y)									
22. Fidelity MSCI Financials Indx ETF (FNCL)	A	Dividend	J	T					
23. Fidelity MSCI Health (FHLC)	A	Dividend	J	T	Sold (part)	02/16/22	J	A	
24.					Sold (part)	04/12/22	J	A	
25.					Sold (part)	07/20/22	J	A	
26.					Sold (part)	10/12/22	J	A	
27. Fidelity MSCI Infor Tech (FTEC)	A	Dividend	J	T	Sold (part)	02/16/22	J	B	
28.					Sold (part)	03/30/22	J	A	
29.					Sold (part)	07/20/22	J	A	
30.					Sold (part)	10/12/22	J	A	
31. Invesco FSTE RAFI Emerging Markets (PXH)	A	Dividend			Sold	10/25/22	J		
32. Invesco Optimum Yield (PDBC)	A	Dividend	J	T	Buy (add'l)	01/03/22	J		
33.					Sold (part)	01/27/22	J		
34.					Sold (part)	02/16/22	J	A	

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Sold (part)	04/12/22	J	A	
36.					Sold (part)	04/27/22	J	A	
37.					Sold (part)	07/20/22	J		
38.					Sold (part)	10/12/22	J		
39. Ishares Core MSCI Emerging ETF (IEMG)	A	Dividend	J	T	Buy	10/25/22	J		
40. Ishares Tips Bond ETF (TIP)	A	Dividend	J	T	Buy	06/29/22	J		
41. Ishares Broad Usd Hg Yld (USHY)	A	Dividend			Sold	03/30/22	J		
42.					Sold (part)	03/02/22	J		
43. Ishares Tr IS 1 5 INV GR CR BD YR (IGSB)	A	Dividend	J	T	Sold (part)	02/16/22	J		
44.					Sold (part)	04/12/22	J		
45.					Sold (part)	07/20/22	J		
46.					Sold (part)	10/12/22	J		
47. Ishares TRT IS 5 10 INV GR CR BD (IGIB)	A	Dividend	J	T	Buy (add'l)	01/03/22	J		
48.					Sold (part)	02/16/22	J		
49.					Sold (part)	04/12/22	J		
50.					Buy (add'l)	06/02/22	J		
51.					Sold (part)	07/20/22	J		

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52.					Sold (part)	10/12/22	J		
53.					Sold (part)	11/21/22	J		
54.					Sold (part)	12/13/22	J		
55.					Sold (part)	12/20/22	J		
56. Ishares 0-5 Year Tips Bond ETF (STIP)	A	Dividend	J	T	Buy	11/21/22	J		
57. Ishares 3-7 Year Trery Bond (IEI)	A	Dividend	J	T	Buy (add'l)	02/02/22	J		
58.					Buy (add'l)	03/02/22	J		
59.					Sold (part)	12/20/22	J		
60. Ishares 7-10 Year Trsury Bond ETF (IEF)	A	Dividend	J	T	Buy	06/29/22	J		
61. SPDR Blmbrg Brcly Intl Trsry (BWX) (Y)									
62. SPDR Dow Jones REIT (RWR)	A	Dividend	J	T	Sold (part)	02/16/22	J		
63.					Sold (part)	04/12/22	J	A	
64. SPDR Intrmdt Trm Crprate Bnd ETF (SPIB) (Y)									
65. SPDR S&P 600 Small Cap ETF (SPSM)	A	Dividend	J	T	Sold (part)	02/02/22	J	A	
66.					Sold (part)	02/16/22	J	A	
67.					Sold (part)	04/12/22	J	A	
68.					Sold (part)	07/20/22	J	A	

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69.						Sold (part)	10/12/22	J	A	
70.	SPDR S&P Global Natural Resources ETF (GNR)	A	Dividend	J	T	Sold (part)	02/16/22	J	A	
71.						Sold (part)	04/12/22	J	A	
72.	Vanguard FTSE ETF Dev Mkts (VEA)	A	Dividend	J	T	Buy (add'l)	01/27/22	J		
73.						Sold (part)	02/16/22	J		
74.						Sold (part)	04/12/22	J	A	
75.						Sold (part)	06/02/22	J	A	
76.						Sold (part)	07/20/22	J	A	
77.						Sold (part)	10/12/22	J		
78.						Sold (part)	12/13/22	J		
79.	Vanguard FTSE Emerging Mkts (VWO)	A	Dividend	J	T	Buy (add'l)	06/29/22	J		
80.	Vanguard FTSE Pacific ETF (VPL)	A	Dividend	J	T	Buy	08/02/22	J		
81.	Vanguard High Dividend Yield (VYM)	A	Dividend	J	T					
82.	Vanguard Large Cap ETF (VV)	A	Dividend	J	T	Sold (part)	04/27/22	J	A	
83.	Vanguard Long Term Cor Bd ETF (VCLT)	A	Dividend			Sold	03/30/22	J		
84.	Vanguard Mrtg-Backd ETF Mortg-Backed Secs (VMBS)	A	Dividend	J	T	Sold (part)	02/16/22	J		
85.						Sold (part)	04/12/22	J		

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86.					Sold (part)	06/29/22	J		
87. Vanguard Short Term Treasury ETF IV (VGSH)	A	Dividend	J	T	Buy	12/20/22	J		
88. Account #2 (H)									
89. Western Asset Instl Govt (INGXX) (cash eq.)	A	Dividend	J	T	Sold (part)	02/09/22	J		
90.					Buy (add'l)	07/25/22	J		
91. ETF Managers TR ETFMG Prime Mobile Payments ETF (IPAY)	A	Dividend			Buy	02/07/22	J		
92.					Sold	07/21/22	J		
93. First TR Exchange-Traded FD II NASDAQ Cybgersecurity ETF (CIBR)	A	Dividend	J	T	Buy	02/07/22	J		
94. Global X FDS Fintech Thematic ETF (FINX)	A	Dividend			Buy	02/07/22	J		
95.					Sold	07/21/22	J		
96. Ishares Gold TR New Unsolicited (IAU)	A	Dividend	J	T	Buy	03/11/22	J		
97. Ishares Tr Core US Aggregate Bd ETF (AGG)	A	Dividend	K	T	Buy (add'l)	05/05/22	J		
98.					Buy (add'l)	07/21/22	J		
99.					Buy (add'l)	08/24/22	J		
100. Ishares Tr Global Healthcare ETF (IXJ)	A	Dividend	J	T	Sold (part)	02/07/22	J		
101. Ishares Tr JPMorgan USD Emerging Mkts BD ETF (EMB)	A	Dividend	J	T					
102. Ishares TR MSCI China ETF Unsolicited (MCHI)	A	Dividend	J	T	Buy	02/07/22	J		

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103.					Buy (add'l)	07/21/22	J		
104.					Buy (add'l)	11/02/22	J		
105. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	K	T	Sold (part)	02/07/22	J		
106.					Buy (add'l)	03/11/22	J		
107.					Buy (add'l)	11/02/22	J		
108. Ishares Tr Core MSCI Intl Developed Mkts ETF (IDEV)	A	Dividend	K	T	Buy (add'l)	02/07/22	J		
109.					Sold (part)	03/01/22	J		
110.					Sold (part)	03/11/22	K		
111. Ishares Tr MSCI UTD Kingdom ETF New (EWU)		None			Sold	02/07/22	J	A	
112. IShares Tr Mtg Real Estate ETF (REM)		None			Sold	02/07/22	J		
113. Ishares Tr Broad USD High Yield Corporate Bd ETF (USHY)	A	Dividend			Sold (part)	03/01/22	J		
114.					Sold	07/21/22	J		
115. Pimco ETF TR Enhanced Short Mat (MINT)	A	Dividend	J	T					
116. SPDR Index SHS FDS S&P Globfal Nat Res ETF Unsolicited (GNR)	D	Dividend			Buy	03/11/22	J		
117.					Buy (add'l)	07/21/22	J		
118.					Sold	08/24/22	J	D	
119. Select Sector SPDR Tr Health Care (XLV)		None			Sold	02/07/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. Vanguard Spec Fds Dividend Appreciation (VIG)	A	Dividend	K	T	Sold (part)	02/07/22	J		
121. Vanguard Whithall FDS Intl Divid Appreciation ETF SHS (VIGI)	A	Dividend	J	T	Buy (add'l)	02/07/22	J		
122.					Buy (add'l)	02/07/22	J		
123.					Sold (part)	03/11/22	J		
124. Vanguard Scottsdale FDS Short-term Corporate BD Index FD (VCSH)	A	Dividend	J	T	Buy	08/24/22	J		
125. Vanguard Scottsdale FDS Inter-term Treas Indexed FD Unsolicited (VGIT)	A	Dividend	J	T	Buy	03/01/22	J		
126. Vanguard Scottsdale FDS Long-term Treas Index FD ETF SHS (VGLT)	A	Dividend	J	T	Buy	05/05/22	J		
127. Vanguard Scottsdale Intermediate-term Corporate Bond Index ETF (VCIT)	A	Dividend	J	T	Buy	03/01/22	J		
128.					Sold (part)	05/05/22	J		
129.					Buy (add'l)	07/21/22	J		
130.					Buy (add'l)	07/21/22	J		
131. Vanguard Index FDS S&P 500 ETF (VOO)	B	Dividend	L	T	Sold (part)	02/07/22	J	A	
132.					Sold (part)	08/24/22	J		
133. Vanguard Index FDS Vanguard Extended MKT ETF (VXF)	A	Dividend	J	T	Sold (part)	02/07/22	J		
134.					Sold (part)	03/01/22	J		
135.					Sold (part)	03/11/22	J		
136. Vanguard FDS Vanguard Growth RTF (VUG)	A	Dividend	J	T	Sold (part)	02/07/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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Name of Person Reporting	Date of Report
Swain, Laura T.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	J	T	Sold (part)	02/07/22	J	A	
138. Vaneck ETF TR Oil SVCS ETF New Unsolicted (OIH)		None			Buy	03/11/22	J		
139.					Sold	07/21/22	J		
140. Account #3 (H)									
141. Western Asset Instl Govt (INGXX) (cash eq.)	A	Dividend	J	T	Buy (add'l)	01/05/22	J		
142.					Sold (part)	01/19/22	J		
143.					Buy (add'l)	01/24/22	J		
144.					Buy (add'l)	07/25/22	J		
145. ETF Managers TR ETFMG Prime Mobile Payments ETF Unsolicited (IPAY)		None			Buy	02/07/22	J		
146.					Sold	07/21/22	J		
147. First TR Exchange-Traded FD II NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	J	T	Buy	02/07/22	J		
148. Global X FDS Fintech Thematic ETF Unsolicited (FINX)	A	Dividend			Buy	02/07/22	J		
149.					Sold	07/21/22	J		
150. Ishares Gold TR New Unsolicited Order (IAU)		None	J	T	Buy	03/11/22	J		
151. Ishares Tr Core US Aggregate Bd ETF (AGG)	A	Dividend	K	T	Sold (part)	01/19/22	J		
152.					Buy (add'l)	05/05/22	J		
153.					Buy (add'l)	07/21/22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154.					Buy (add'l)	08/24/22	J		
155. Ishares Tr Globel Healthcare ETF (IXJ)	A	Dividend	J	T	Sold (part)	01/19/22	J	A	
156.					Sold (part)	02/07/22	J	A	
157. IShares Tr JPMorgan Emerging Mkts (EMB)	A	Dividend	J	T					
158. Ishares TR MSCI China ETF Unsolicited (MCHI)	A	Dividend	J	T	Buy	02/07/22	J		
159.					Buy (add'l)	07/21/22	J		
160. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	K	T	Sold (part)	01/19/22	J		
161.					Sold (part)	02/07/22	J		
162.					Buy (add'l)	03/11/22	J		
163.					Buy (add'l)	11/02/22	J		
164. Ishares Tr Core MSCI Intl Developed Mkts ETF (IDEV)	B	Dividend	K	T	Sold (part)	01/19/22	J		
165.					Buy (add'l)	02/07/22	J		
166.					Sold (part)	03/01/22	J		
167.					Sold (part)	03/11/22	K		
168. Ishares Tr MSCI UTD Kingdom ETF New (EWU)	A	Dividend			Sold	02/07/22	J	A	
169. IShares Tr Mtg Real Estate ETF (REM)	B	Dividend			Sold	02/07/22	J	B	
170. Ishares Tr Broad USD High Tield Corporate Bd ETF (USHY)	A	Dividend			Sold (part)	01/19/22	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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171.					Sold (part)	03/03/22	J		
172.					Sold	07/21/22	J		
173. Pimco ETF Tr Enhanced Short Mat (MINT)	A	Dividend	J	T					
174. SPDR Index SHS FDS S&P Global Nat Res ETF Unsolicited (GNR)	A	Dividend			Buy	03/11/22	J		
175.					Sold	08/24/22	J	A	
176. Vaneck ETF TR Oil SVCS ETF New Unsolicted (OIH)		None			Buy	03/11/22	J		
177.					Sold	07/21/22	J		
178. Select Sector SPDR Tr Health Care (XLV)	A	Dividend			Sold	02/07/22	J	A	
179. Vanguard Spec Fds Dividend Appreciation (VIG)	A	Dividend	K	T	Sold (part)	01/19/22	J	A	
180. Vanguard Whitehall FDS Intl Divid Appreciation ETF SHS (VIGI)	B	Dividend	J	T	Buy	02/07/22	J		
181.					Sold (part)	03/11/22	J		
182. Vanguard Scottsdale FDS Short-term Corporate BD Index FD (VCSH)	A	Dividend	J	T	Buy	08/24/22	J		
183. Vanguard Scottsdale FDS Inter-term Treas Index FD (VGIT)	A	Dividend	J	T	Buy	03/01/22	J		
184. Vanguard Scottsdale FDS Long-term Treas Index FD ETF SHS (VGLT)	A	Dividend	J	T	Buy	05/05/22	J		
185. Vanguard Scottsdale FDS Intermediate-term Corporate Bond Index (VCIT)	A	Dividend	J	T	Buy	03/01/22	J		
186.					Sold (part)	05/05/22	J		
187.					Buy (add'l)	07/21/22	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
188. Vanguard Index FDS S&P 500 ETF (VOO)	B	Dividend	L	T	Sold (part)	01/19/22	J		
189.					Sold (part)	08/24/22	J		
190. Vanguard Index FDS Vanguard Extended MKT ETF (VXF)	A	Dividend	J	T	Sold (part)	02/07/22	J		
191.					Sold (part)	03/01/22	J		
192.					Sold (part)	03/11/22	J		
193. Vanguard FDS Vanguard Growth RTF (VUG)	A	Dividend	J	T	Sold (part)	02/07/22	J	A	
194. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	J	T	Sold (part)	01/19/22	J	A	
195.					Sold (part)	02/07/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, [redacted] Employees Stock Ownership Plan: The investments of this ESOP, which was established in 2009, are not participant-directed.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Swain, Laura T.	08/12/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Laura T. Swain

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544