AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Swain, Laura T.	U.S. District Court - Southern District of New York	08/05/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Daniel Patrick Moynihan U.S. Courthouse 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Havens Relief Fund Society
2.	Trustee	Trust #1 (no reportable assets)
3.	Co-Trustee	Trust #2 (no reportable assets)
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	[redacted] (salary and benefits)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Swain, Laura T.	08/05/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Citizens (cash)	A	Interest	L	T					
3. Citibank (cash)	A	Interest	K	T					
4. Synchrony Bank (cash)	A	Interest	M	T					
5. Chase Bank (cash) (X)	A	Interest	L	T					
6. [redacted] Employees Stock Ownership Plan (no control)		None			Distributed	12/26/23	K		
7. Account #1 (H)									
8. Schwab Government Money (SWGXX) (cash eq.) (X)	A	Dividend	J	T	Redeemed (part)	02/02/23	J		
9.					Redeemed (part)	03/02/23	J		
10.					Redeemed (part)	04/05/23	J		
11. Fidelity MSCI Communication Services Index ETF (FCOM)	A	Dividend	J	T	Buy	05/02/23	J		
12. Fidelity MSCI Consumer Staples Index ETF (FSTA) (X)	A	Dividend	J	T					
13. Fidelity MSCI Energy Index ETF (FENY) (X) (Y)									
14. Fidelity MSCI Financials Index ETF (FNCL)	A	Dividend	J	T	Redeemed (part)	02/02/23	J		
15. Fidelity MSCI Health (FHLC)	A	Dividend	J	T	Sold (part)	04/12/23	J		
16. Fidelity MSCI Information Tech (FTEC)	A	Dividend	J	T	Sold (part)	04/12/23	J		
17.					Buy (add'l)	05/02/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.	Invesco Optimum Yield (PDBC)		None			Sold (part)	03/02/23	J		
19.						Sold	04/03/23	J		
20.	Invesco Senior Loan ETF (BKLN)	A	Dividend	J	T	Buy	02/02/23	J		
21.	iShares Core MSCI Emerging ETF (IEMG) (Y)									
22.	iShares Tips Bond ETF (TIP)	A	Dividend	J	T					
23.	iShares Trust IS 1 5 INV GR CR BD YR (IGSB)	A	Dividend	J	T	Sold (part)	04/12/23	J		
24.	iShares TRT IS 5 10 INV GR CR BD (IGIB)	A	Dividend	J	T	Sold (part)	04/12/23	J		
25.						Buy (add'l)	09/01/23	J		
26.	iShares 0-5 Year Tips Bond ETF (STIP)	A	Dividend			Sold	09/01/23	J	A	
27.	iShares 3-7 Year Treasury Bond (IEI) (Y)									
28.	SPDR Bloomberg International Treasury Bond ETF (BWX) (X)	A	Dividend	J	T					
29.	Ishares 7-10 Year Treasury Bond ETF (IEF) (Y)									
30.	SPDR Dow Jones REIT (RWR)	A	Dividend	J	T					
31.	SPDR Gold MiniShares Trust (GDLM) (X)		None	J	T	Buy (add'l)	12/08/23	J		
32.	SPDR Portfolio S&P 600 Small Cap ETF (SPSM)	A	Dividend	J	T	Buy (add'l)	03/02/23	J		
33.						Sold (part)	04/12/23	J		
34.	SPDR S&P Global Natural Resources ETF (GNR)	A	Dividend	J	T					

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35. Vanguard FTSE ETF Dev Mkts (VEA)	A	Dividend	J	T	Sold (part)	04/12/23	J		
36. Vanguard FTSE Emerging Mkts (VWO) (Y)									
37. Vanguard European Stock Index Fund ETF (VGK)	A	Dividend	J	T	Buy	08/04/23	J		
38. Vanguard FTSE Pacific ETF (VPL)	A	Dividend	J	T	Buy (add'l)	04/03/23	J		
39.					Buy (add'l)	08/04/23	J		
40. Vanguard High Dividend Yield (VYM)	A	Dividend			Sold	05/02/23	J		
41. Vanguard Large Cap ETF (VV)	A	Dividend	J	T	Buy (add'l)	04/03/23	J		
42.					Sold (part)	04/12/23	J		
43.					Sold (part)	06/30/23	J		
44.					Buy (add'l)	12/08/23	J		
45. Vanguard Mrtg-Backd ETF Mortg-Backed Secs (VMBS)	A	Dividend			Sold	05/02/23	J		
46. Vanguard Short Term Treasury ETF IV (VGSH)	A	Dividend	J	T	Sold (part)	04/12/23	J		
47.					Sold (part)	08/04/23	J		
48. Account #2 (H)									
49. Western Asset Instl Govt (INGXX) (cash eq.)	A	Dividend	J	T	Buy (add'l)	06/14/23	J		
50.					Redeemed (part)	08/24/23	J		
51.					Redeemed (part)	07/12/23	J		

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52.					Buy (add'l)	08/09/23	J		
53.					Buy (add'l)	12/28/23	J		
54. First TR Exchange-Traded FD II NASDAQ Cybgersecurity ETF (CIBR)	A	Dividend	J	T					
55. iShares MSCI Brazil ETF (EWZ)	A	Dividend			Buy	05/09/23	J		
56.					Sold	09/07/23	J	A	
57. Invesco S&P 500 Equal Weight ETF (RSP)	A	Dividend	J	T	Buy	09/07/23	J		
58. iShares Gold TR New Unsolicited (IAU)		None			Sold	02/03/23	J		
59. iShares Tr Core US Aggregate Bd ETF (AGG)	A	Dividend	K	T	Buy (add'l)	02/03/23	J		
60.					Buy (add'l)	07/10/23	J		
61.					Sold (part)	08/24/23	J		
62.					Sold (part)	11/01/23	J		
63. iShares Tr Global Healthcare ETF (IXJ)		None			Sold	05/09/23	J	A	
64. iShares Tr JPMorgan USD Emerging Mkts BD ETF (EMB)	A	Dividend			Buy (add'l)	07/10/23	J		
65.					Sold	11/01/23	J		
66. iShares TR MSCI China ETF Unsolicited (MCHI)	A	Dividend			Sold (part)	02/03/23	J		
67.					Sold (part)	05/09/23	J		
68.					Sold (part)	07/10/23	J		

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69.						Sold	09/07/23	J	A	
70.	iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	K	T	Sold (part)	02/03/23	J		
71.						Buy (add'l)	05/09/23	J		
72.						Sold (part)	08/24/23	J		
73.	iShares Tr Core MSCI Intl Developed Mkts ETF (IDEV)	B	Dividend	K	T	Buy (add'l)	05/09/23	J		
74.						Buy (add'l)	07/10/23	J		
75.						Sold (part)	08/24/23	J		
76.						Sold (part)	09/07/23	J		
77.						Sold (part)	11/01/23	J		
78.	iShares Broad USD High Yield Corporate Bond ETF (USHY)	A	Dividend	J	T	Buy	02/03/23	J		
79.	Pimco ETF TR Enhanced Short Mat (MINT)	A	Dividend	J	T					
80.	SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T	Buy	11/01/23	J		
81.	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	J	T	Buy	11/01/23	J		
82.	Vanguard Spec Fds Dividend Appreciation (VIG)	A	Dividend			Sold (part)	05/09/23	J	A	
83.						Sold	07/10/23	K	C	
84.	Vanguard Whitehall FDS Intl Dividend Appreciation ETF SHS (VIGI)	A	Dividend	J	T	Buy (add'l)	05/09/23	J		
85.	Vanguard Short-Term Treasury Index Fund ETF (VGSH)	A	Dividend			Buy	02/03/23	J		

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86.					Sold	07/10/23	J		
87. Vanguard Scottsdale FDS Short-term Corporate BD Index FD (VCSH)	A	Dividend	J	T					
88. Vanguard Scottsdale FDS Inter-term Treas Indexed FD Unsolicited (VGIT)	A	Dividend			Sold (part)	02/03/23	J		
89.					Sold	07/10/23	J		
90. Vanguard Scottsdale FDS Long-term Treas Index FD ETF SHS (VGLT)	A	Dividend	J	T	Sold (part)	07/10/23	J		
91. Vanguard Scottsdale Intermediate-term Corporate Bond Index ETF (VCIT)	A	Dividend	J	T					
92. Vanguard Index FDS S&P 500 ETF (VOO)	B	Dividend	L	T	Buy (add'l)	02/03/23	J		
93.					Sold (part)	07/10/23	J	A	
94.					Sold (part)	08/24/23	J	A	
95. Vanguard Index FDS Vanguard Extended MKT ETF (VXF)	A	Dividend	K	T	Buy (add'l)	05/09/23	J		
96.					Buy (add'l)	07/10/23	J		
97.					Sold (part)	08/24/23	J		
98.					Sold (part)	09/07/23	J		
99. Vanguard FDS Vanguard Growth RTF (VUG)	A	Dividend	K	T	Sold (part)	02/03/23	J		
100.					Sold (part)	05/09/23	J		
101.					Buy (add'l)	07/10/23	J		
102.					Sold (part)	08/24/23	J	A	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	K	T	Sold (part)	02/03/23	J	A	
104.					Sold (part)	05/09/23	J		
105.					Buy (add'l)	07/10/23	J		
106.					Sold (part)	08/24/23	J	A	
107. Account #3 (H)									
108. Western Asset Instl Govt (INGXX) (cash eq.)	A	Dividend	J	T	Redeemed (part)	01/12/23	J		
109.					Buy (add'l)	01/18/23	J		
110.					Redeemed (part)	02/08/23	J		
111.					Buy (add'l)	02/13/23	J		
112.					Redeemed (part)	06/12/23	J		
113.					Buy (add'l)	06/15/23	J		
114.					Redeemed (part)	07/12/23	J		
115.					Redeemed	09/27/23	J		
116.					Buy	10/02/23	J		
117. First TR Exchange-Traded FD II NASDAQ Cybersecurity ETF (CIBR)	A	Dividend	J	T					
118. iShares MSCI Brazil ETF (EWZ)	A	Dividend			Buy	05/09/23	J		
119.					Sold	09/07/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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120. Invesco S&P 500 Eqlua Weight ETF (RSP)	A	Dividend	J	T	Buy	09/07/23	J		
121. iShares Gold TR New Unsolicited Order (IAU)		None			Sold	02/03/23	J		
122. iShares Tr Core US Aggregate Bd ETF (AGG)	A	Dividend	K	T	Buy (add'l)	02/03/23	J		
123.					Sold (part)	02/08/23	J		
124.					Sold (part)	06/12/23	J		
125.					Sold (part)	09/27/23	J		
126.					Sold (part)	11/01/23	J		
127. iShares Tr Global Healthcare ETF (IXJ)		None			Sold	05/09/23	J	A	
128. iShares Tr JPMorgan Emerging Mkts (EMB)	A	Dividend			Buy (add'l)	07/10/23	J		
129.					Sold	11/01/23	J		
130. iShares TR MSCI China ETF Unsolicited (MCHI)	A	Dividend			Sold (part)	01/12/23	J		
131.					Sold (part)	05/09/23	J		
132.					Sold	09/07/23	J		
133. iShares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	K	T	Sold (part)	01/12/23	J		
134.					Buy (add'l)	05/09/23	J		
135.					Sold (part)	06/12/23	J		
136.					Sold (part)	09/27/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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137. iShares TR Core MSCI Intl Developed Mkts ETF (IDEV)	A	Dividend	K	T	Sold (part)	01/12/23	J		
138.					Buy (add'l)	02/03/23	J		
139.					Sold (part)	02/08/23	J		
140.					Buy (add'l)	05/09/23	J		
141.					Sold (part)	06/12/23	J		
142.					Sold (part)	09/07/23	J		
143.					Sold (part)	09/27/23	J		
144. iShares Broad USD High Yield Corporate Bond ETF (USHY)	A	Dividend	J	T	Buy	02/03/23	J		
145. Pimco ETF Tr Enhanced Short Mat (MINT) (Y)									
146. SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T	Buy	11/01/23	J		
147. SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	J	T	Buy	11/01/23	J		
148. Vanguard Spec Fds Dividend Appreciation (VIG)	A	Dividend			Sold (part)	02/08/23	J	A	
149.					Sold (part)	05/09/23	J		
150.					Sold (part)	06/12/23	J	A	
151.					Sold	07/10/23	K	B	
152. Vanguard Whitehall FDS Intl Dividend Appreciation ETF SHS (VIGI)	A	Dividend	J	T	Buy (add'l)	05/09/23	J		
153. Vanguard Short-Term Treasury Index Fund ETF (VGSH)	A	Dividend			Buy	02/03/23	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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154.					Sold	07/10/23	J		
155. Vanguard Scottsdale FDS Short-term Corporate BD Index FD (VCSH)	A	Dividend	J	T					
156. Vanguard Scottsdale FDS Inter-term Treas Index FD (VGIT)	A	Dividend			Sold (part)	02/03/23	J		
157.					Sold	07/10/23	J		
158. Vanguard Scottsdale FDS Long-term Treas Index FD ETF SHS (VGLT)	A	Dividend	J	T					
159. Vanguard Scottsdale FDS Intermediate-term Corporate Bond Index (VCIT)	A	Dividend	J	T					
160. Vanguard Index FDS S&P 500 ETF (VOO)	B	Dividend	L	T	Sold (part)	01/12/23	J		
161.					Sold (part)	02/03/23	J		
162.					Sold (part)	02/08/23	J		
163.					Sold (part)	06/12/23	J	A	
164.					Sold (part)	09/27/23	J	A	
165. Vanguard Index FDS Vanguard Extended MKT ETF (VXF)	A	Dividend	K	T	Sold (part)	02/03/23	J		
166.					Buy (add'l)	05/09/23	J		
167.					Sold (part)	06/12/23	J		
168.					Buy (add'l)	07/10/23	J		
169.					Sold (part)	09/07/23	J		
170.					Sold (part)	09/27/23	J		

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171. Vanguard FDS Vanguard Growth RTF (VUG)	A	Dividend	J	T	Sold (part)	02/03/23	J		
172.					Sold (part)	05/09/23	J	A	
173.					Buy (add'l)	07/10/23	J		
174.					Sold (part)	09/27/23	J	A	
175. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	J	T	Sold (part)	02/03/23	J	A	
176.					Sold (part)	05/09/23	J		
177.					Buy (add'l)	07/10/23	J		
178.					Sold (part)	09/27/23	J		
179. Account #4 (H)									
180. United States Treasury Note (X)	B	Interest	O	T					
181. Account #5 (H)									
182. JPMorgan Tr II U.S. Govt Money Market Fund (OGVXX) (cash eq.)	A	Dividend	J	T	Buy	09/19/23	N		
183.					Redeemed (part)	09/20/23	M		
184.					Redeemed (part)	09/21/23	N		
185.					Buy (add'l)	10/10/23	J		
186.					Redeemed (part)	10/11/23	J		
187.					Redeemed (part)	12/06/23	J		

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188.					Buy (add'l)	12/07/23	J		
189.					Redeemed (part)	12/18/23	J		
190. Calvert Ultra Short Duration Income Fund (CULRX)	A	Dividend	K	T	Buy	09/19/23	K		
191. Fidelity Adv Conservative Income Bond Class Z (FCNYX)		None	L	T	Buy	12/11/23	L		
192. Fidelity Salem St Tr Conservative Income Bond Fund (FCNVX)	A	Dividend			Buy	09/19/23	L		
193.					Sold	12/11/23	L		
194. JPMorgan ETF Ultra Short Income (JPST)	A	Dividend	L	T	Buy	09/19/23	L		
195.					Buy (add'l)	12/04/23	K		
196.					Buy (add'l)	12/14/23	K		
197. JPMorgan Short Duration (JSDUX)	A	Dividend	L	T	Buy	09/19/23	L		
198.					Sold (part)	10/06/23	J		
199. Lord Abbett Inv Tr Ultra Short Bond Fund (LUBOX)	A	Dividend	K	T	Buy	09/19/23	K		
200. PGIM ETF Ultra Short Bond (PULS)	A	Dividend	K	T	Buy	09/19/23	K		
201. Pimco Enhanced Short Maturity ETF (MINT)	A	Dividend	L	T	Buy	09/19/23	K		
202.					Buy (add'l)	10/06/23	K		
203.					Buy (add'l)	12/14/23	J		
204. SPDR Series Tr Short Term Corp Bond ETF (SPSB)	A	Dividend	J	T	Buy	10/04/23	J		

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205. Vanguard Bond Index Ultra Short Bond ETF (VUSB)	A	Dividend	L	T	Buy	09/19/23	L		
206.					Buy (add'l)	10/06/23	J		
207.					Sold (part)	12/05/23	K	A	
208. Vanguard Fixed Income Ultra Short Bond Fund (VUSFX)	A	Dividend	K	T	Buy	12/05/23	K		
209. Vanguard Scottsdale Short Term Treasury ETF (VGSH)	A	Dividend	K	T	Buy	09/19/23	K		
210.					Sold (part)	12/04/23	K	A	
211. Vanguard Short Term Bond ETF (BSV)	A	Dividend			Buy	09/19/23	J		
212.					Sold	10/04/23	J		
213. Wisdomtree Floating Rate Treasury Fund (USFR)	A	Dividend	K	T	Buy	09/19/23	L		
214.					Sold (part)	10/06/23	J		
215.					Sold (part)	12/14/23	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, [redacted] Employees Stock Ownership Plan: The investments of this ESOP, which was established in 2009, are not participant-directed.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Laura T. Swain

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544