AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Swain, Laura T.	U.S. District Court - Southern District of New York	08/13/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Daniel Patrick Moynihan U.S. Courthouse 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Havens Relief Fund Society
2.	Trustee	Trust #1 (no reportable assets)
3.	Co-Trustee	Trust#2 (no reportable assets)
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	[redacted] (salary and benefits)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Swain, Laura T.	08/13/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Citizens (cash)	A	Interest	L	T					
3.	Citibank (cash)	A	Interest	K	T					
4.	Synchrony Bank (cash)	A	Interest	K	T					
5.	Chase Bank (cash)	A	Interest	L	T					
6.	Account #1 (H)									
7.	Schwab Government Money (SWGXX) (cash eq.)	A	Dividend	J	T					
8.	Fidelity MSCI (FIDU)		None			Buy	05/06/24	J		
9.						Sold	09/05/24	J	A	
10.	Fidelity MSCI Communication Services Index ETF (FCOM)	A	Dividend	J	T					
11.	Fidelity MSCI CONS Discr (FDIS) (X)	A	Dividend	J	T					
12.	Fidelity MSCI CONS Stpls (FSTA)	A	Dividend	L	T					
13.	Fidelity MSCI Financials Indx ETF (FNCL)	A	Dividend	J	T					
14.	Fidelity MSCI Health (FHLC)	A	Dividend	J	T	Sold (part)	04/02/24	J	A	
15.						Sold (part)	09/05/24	J	A	
16.	Fidelity MSCI Infor Tech (FTEC)	A	Dividend	J	T	Sold (part)	05/06/24	J	A	
17.	Fidelity MSCI Energy Index ETF (FENY) (X)	A	Dividend			Sold	09/05/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Swain, Laura T.	08/13/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Invesco Senior Loan ETF (BKLN)	A	Dividend	J	T					
19. Invesco Actively MNG OPTM YD (PDBC)		None			Buy	05/06/24	J		
20.					Sold	12/06/24	J		
21. iShares Core MSCI (IEMG)	A	Dividend	J	T	Buy	05/06/24	J		
22.					Buy (add'l)	10/03/24	J		
23. iShares Core US Aggregate BD ETF (AGG)	A	Dividend			Buy (add'l)	02/09/24	J		
24.					Sold	04/02/24	J		
25. iShares MSCI Japan ETF (EWJ)	A	Dividend	J	T	Buy	04/02/24	J		
26. Ishares Tips Bond ETF (TIP)	A	Dividend	J	T					
27. Ishares Tr IS 1 5 INV GR CR BD YR (IGSB)	A	Dividend	J	T					
28. Ishares TRT IS 5 10 INV GR CR BD (IGIB)	A	Dividend	J	T	Buy (add'l)	12/06/24	J		
29. SPDR Bloombg INTL TRY BD (BWX)	A	Dividend	J	T					
30. SPDR Dow Jones REIT (RWR)	A	Dividend	J	T					
31. SPDR Portfolio S&P 600 Small Cap ETF (SPSM)	A	Dividend	J	T	Sold (part)	02/09/24	J	A	
32. SPDR S&P Global Natural Resources ETF (GNR)		None			Sold	04/02/24	J	A	
33. SPDR Gold Minishares ETF (GLDM)		None			Sold	02/09/24	J	A	
34. Vanguard FTSE ETF Dev Mkts (VEA)	A	Dividend	J	T	Sold (part)	05/06/24	J	A	

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2. Value Codes (See Columns C1 and D3)
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35.					Sold (part)	10/03/24	J	A	
36. Vanguard European Stock Index Fund ETF (VGK)	A	Dividend	J	T	Buy (add'l)	01/08/24	J		
37. Vanguard FTSE Pacific ETF (VPL)	A	Dividend	J	T	Sold (part)	06/26/24	J	A	
38. Vanguard Large Cap ETF (VV)	A	Dividend	K	T	Buy (add'l)	02/09/24	J		
39.					Buy (add'l)	04/02/24	J		
40.					Buy (add'l)	06/26/24	J		
41.					Buy (add'l)	09/05/24	J		
42. Vanguard Short Term Treasury ETF IV (VGSH)	A	Dividend			Sold (part)	01/08/24	J	A	
43.					Sold	06/26/24	J		
44. Wisdomtree Japan Hedged Equity ETF (DXJ)	A	Dividend	J	T	Buy	06/26/24	J		
45. Account #2 (H)									
46. Western Asset Instl Govt (INGXX) (cash eq.)	A	Dividend	J	T	Sold (part)	03/14/24	J		
47.					Buy (add'l)	06/18/24	J		
48.					Sold (part)	07/10/24	J		
49.					Buy (add'l)	12/23/24	J		
50. First TR Exchange-Traded FD II NASDAQ Cybgersecurity ETF (CIBR)		None			Sold	03/12/24	J	A	
51. Invesco S&P 500 Eql Wght ETF (RSP)	A	Dividend	J	T	Sold (part)	06/04/24	J		

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52.	Invesco Exchange-Traded FD TR II Sr. Ln ETF (BKLN)	A	Dividend	J	T	Buy	07/09/24	J		
53.	Ishares Tr Core US Aggregate Bd ETF (AGG)	B	Dividend	K	T	Buy (add'l)	03/12/24	J		
54.						Sold (part)	06/04/24	J		
55.						Sold (part)	08/15/24	J		
56.						Buy (add'l)	11/26/24	J		
57.	Ishares TR Dow Jones US Medical Devices Idx (IHI)	A	Dividend			Buy	03/12/24	J		
58.						Sold	11/26/24	J		
59.	Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	B	Dividend	K	T	Buy (add'l)	03/12/24	J		
60.						Buy (add'l)	11/26/24	J		
61.	Ishares Tr Core MSCI Intl Developed Mkts ETF (IDEV)	B	Dividend	L	T	Sold (part)	03/12/24	J		
62.						Buy (add'l)	06/04/24	J		
63.						Buy (add'l)	07/09/24	J		
64.						Buy (add'l)	11/26/24	J		
65.	iShares Broad USD High Yield Corporate Bond ETF (USHY)	A	Dividend			Sold	03/12/24	J		
66.	iShares TR MSCI EAFE Small Cap ETF (SCZ)	A	Dividend	J	T	Buy	11/26/24	J		
67.	Pimco ETF TR Enhanced Short Mat (MINT)	A	Dividend	J	T					
68.	SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T	Buy (add'l)	11/26/24	J		

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69. SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	J	T					
70. Vanguard Whitehall FDS Intl Divid Appreciation ETF SHS (VIGI)	A	Dividend	K	T	Buy (add'l)	06/04/24	J		
71.					Buy (add'l)	11/26/24	J		
72. Vanguard Charlotte FDS Total Intl BD ETF (BNDX)	A	Dividend	J	T	Buy	11/26/24	J		
73. Vanguard Scottsdale FDS Short-term Corporate BD Index FD (VCSH)	A	Dividend			Sold (part)	03/12/24	J	A	
74.					Sold	06/04/24	J	A	
75. Vanguard Scottsdale FDS Long-term Treas Index FD ETF SHS (VGLT)	A	Dividend			Sold	08/15/24	J	A	
76. Vanguard Scottsdale Intermediate-term Corporate Bond Index ETF (VCIT)	A	Dividend	J	T	Buy (add'l)	03/12/24	J		
77.					Sold (part)	07/09/24	J		
78.					Sold (part)	11/26/24	J	A	
79. Vanguard Index FDS S&P 500 ETF (VOO)	B	Dividend	M	T	Sold (part)	03/12/24	J	A	
80.					Sold (part)	06/04/24	J	A	
81.					Sold (part)	07/09/24	J	A	
82.					Buy (add'l)	08/15/24	J		
83.					Sold (part)	11/26/24	J	A	
84. Vanguard Index FDS Vanguard Extended MKT ETF (VXF)	A	Dividend	K	T	Sold (part)	03/12/24	J		
85.					Sold (part)	06/04/24	J		

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2. Value Codes (See Columns C1 and D3)
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N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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86.						Sold (part)	11/26/24	J	A	
87.	Vanguard FDS Vanguard Growth RTF (VUG)	A	Dividend	K	T	Sold (part)	07/09/24	J	A	
88.						Buy (add'l)	08/15/24	J		
89.						Sold (part)	11/26/24	J	B	
90.	Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	K	T	Buy (add'l)	03/12/24	J		
91.						Buy (add'l)	08/15/24	J		
92.						Sold (part)	11/26/24	J	A	
93.	Account #3 (H)									
94.	Western Asset Instl Govt (INGXX) (cash eq.)	A	Dividend	J	T	Sold (part)	03/14/24	J		
95.						Buy (add'l)	07/12/24	K		
96.						Sold (part)	07/15/24	K		
97.						Buy (add'l)	12/23/24	J		
98.	First TR Exchange-Traded FD II NASDAQ Cybersecurity ETF (CIBR)		None			Sold	03/12/24	J	A	
99.	Invesco S&P 500 Eql Wght ETF (RSP)	A	Dividend	J	T	Sold (part)	06/04/24	J	A	
100.	Invesco Exchange Traded FD TR II (BKLN)	A	Dividend	J	T	Buy	07/09/24	J		
101.	Ishares Tr Core US Aggregate Bd ETF (AGG)	A	Dividend	K	T	Buy (add'l)	03/12/24	J		
102.						Sold (part)	06/04/24	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103.					Buy (add'l)	07/12/24	J		
104.					Sold (part)	08/15/24	J		
105.					Buy (add'l)	11/26/24	J		
106. Ishares TR Dow Jones US Medical Devices (IHI)	A	Dividend			Buy	03/12/24	J		
107.					Buy (add'l)	07/12/24	J		
108.					Sold	11/26/24	J	A	
109. iShares TR MSCI EAFE Small Cap ETF (SCZ)	A	Dividend	J	T	Buy	11/26/24	J		
110. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	K	T	Buy (add'l)	03/12/24	J		
111.					Buy (add'l)	07/12/24	J		
112.					Buy (add'l)	11/26/24	J		
113. Ishares Tr Core MSCI Intl Developed Mkts ETF (IDEV)	B	Dividend	K	T	Sold (part)	03/12/24	J	A	
114.					Buy (add'l)	06/04/24	J		
115.					Buy (add'l)	07/09/24	J		
116.					Buy (add'l)	07/12/24	J		
117.					Buy (add'l)	11/26/24	J		
118. Pimco ETF TR Enhanced Short Mat (MINT)	A	Dividend	J	T					
119. iShares Broad USD High Yield Corporate Bond ETF (USHY)	A	Dividend			Sold	03/12/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	J	T	Buy (add'l)	11/26/24	J		
121. SPDR S&P 400 Mid Cap Growth ETF (MDYG)	A	Dividend	J	T					
122. Vanguard Whitehall FDS Intl Divid Appreciation ETF SHS (VIGI)	A	Dividend	J	T	Buy (add'l)	06/04/24	J		
123.					Buy (add'l)	07/12/24	J		
124.					Buy (add'l)	11/26/24	J		
125. Vanguard Charlotte FDS Total Intl BD ETF (BNDX)	A	Dividend	J	T	Buy	11/26/24	J		
126. Vanguard Scottsdale FDS Short-term Corporate BD Index FD (VCSH)	A	Dividend			Sold (part)	03/12/24	J	A	
127.					Sold	06/04/24	J	A	
128. Vanguard Scottsdale FDS Long-term Treas Index FD ETF SHS (VGLT)	A	Dividend			Sold	08/15/24	J	A	
129. Vanguard Scottsdale FDS Intermediate-term Corporate Bond Index (VCIT)	A	Dividend	J	T	Sold (part)	07/09/24	J		
130.					Sold (part)	11/26/24	J	A	
131. Vanguard Index FDS S&P 500 ETF (VOO)	A	Dividend	L	T	Sold (part)	03/12/24	J	A	
132.					Sold (part)	07/09/24	J		
133.					Sold (part)	07/12/24	J		
134.					Sold (part)	11/26/24	J	A	
135. Vanguard Index FDS Vanguard Extended MKT ETF (VXF)	A	Dividend	K	T	Buy (add'l)	07/12/24	J		
136.					Sold (part)	11/26/24	J	A	

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137. Vanguard FDS Vanguard Growth RTF (VUG)	A	Dividend	K	T	Sold (part)	07/09/24	J		
138.					Buy (add'l)	07/12/24	J		
139.					Buy (add'l)	08/15/24	J		
140.					Sold (part)	11/26/24	J	A	
141. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	K	T	Buy (add'l)	03/12/24	J		
142.					Buy (add'l)	07/12/24	J		
143.					Buy (add'l)	08/15/24	J		
144.					Sold (part)	11/26/24	J	A	
145. Account#4 (H)									
146. United States Treasury Note	B	Interest			Redeemed	01/02/24	O	D	
147. U.S. Life, Western National AG Foundation Fixed Annuity		None	O	T	Buy	01/12/24	N		
148. Account #5 (H)									
149. JPMorgan Tr II U.S. Govt Money Market Fund (OGVXX) (cash eq.)	A	Dividend			Sold (part)	01/19/24	J		
150.					Buy (add'l)	01/30/24	J		
151.					Sold (part)	02/05/24	J		
152.					Buy (add'l)	02/21/24	M		
153.					Sold (part)	02/22/24	M		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154.					Sold (part)	03/07/24	J		
155.					Buy (add'l)	03/08/24	J		
156.					Sold (part)	03/25/24	J		
157.					Buy (add'l)	04/04/24	K		
158.					Sold (part)	04/05/24	K		
159.					Sold	10/07/24	J		
160. Baird FDS inc Ultra Short Bd (BUBIX)	A	Dividend	J	T	Buy	12/04/24	J		
161. BBH Limited Duration FD (BBBIX)		None	J	T	Buy	12/17/24	J		
162. Calvert Ultra Short Duration Income Fund (CULRX)	A	Dividend			Sold (part)	01/29/24	J	A	
163.					Sold (part)	02/20/24	J	A	
164.					Sold	03/21/24	J	A	
165. Federated HRMS Ultra short BD (FULLX)	A	Dividend			Buy	03/21/24	J		
166.					Buy (add'l)	03/22/24	J		
167.					Sold (part)	04/03/24	J		
168.					Sold (part)	07/10/24	J	A	
169.					Sold	11/06/24	J	A	
170. Fidelity Adv Conservative Income Bond Cl Z (FCNYX)	A	Dividend	J	T	Sold (part)	01/18/24	J	A	

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171.					Sold (part)	02/02/24	J	A	
172.					Sold (part)	02/20/24	K	A	
173.					Sold (part)	04/03/24	J	A	
174.					Sold (part)	04/04/24	J	A	
175.					Sold (part)	07/10/24	J	A	
176.					Sold (part)	11/06/24	J	A	
177. iShares Short Treasury Bd (SHV)	A	Dividend			Buy	04/10/24	J		
178.					Buy (add'l)	04/11/24	J		
179.					Buy (add'l)	06/17/24	J		
180.					Sold (part)	07/10/24	J		
181.					Sold (part)	10/07/24	J		
182.					Sold	10/23/24	J	A	
183. JPMorgan ETF Ultra Short Income (JPST)	B	Dividend	J	T	Sold (part)	02/20/24	L	A	
184.					Sold (part)	04/03/24	J	A	
185.					Sold (part)	07/10/24	J	A	
186.					Sold (part)	11/06/24	J	A	
187.					Sold (part)	12/04/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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188. JPMorgan Short Duration (JSDUX)	A	Dividend	J	T	Buy (add'l)	01/18/24	J		
189.					Buy (add'l)	02/02/24	J		
190.					Buy (add'l)	02/20/24	K		
191.					Sold (part)	04/03/24	J	A	
192.					Sold (part)	07/10/24	J	A	
193.					Sold (part)	11/06/24	J	A	
194. Lord Abbett Inv Tr Ultra Short Bond Fund (LUBOX)	B	Dividend	J	T	Sold (part)	02/20/24	K	A	
195.					Sold (part)	04/03/24	J	A	
196.					Sold (part)	07/10/24	J	A	
197.					Buy (add'l)	09/20/24	J		
198.					Sold (part)	11/06/24	J	A	
199. PGIM ETF Ultra Short Bond (PULS)	A	Dividend	J	T	Sold (part)	02/20/24	K	A	
200.					Sold (part)	04/03/24	J	A	
201.					Sold (part)	07/10/24	J	A	
202.					Sold (part)	08/05/24	J	A	
203.					Sold (part)	11/06/24	J	A	
204. Pimco Enhanced Short Maturity ETF (MINT)	B	Dividend	J	T	Sold (part)	02/20/24	K	A	

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205.					Sold (part)	04/03/24	J	A	
206.					Buy (add'l)	04/10/24	J		
207.					Buy (add'l)	04/11/24	J		
208.					Sold (part)	07/10/24	J	A	
209.					Sold (part)	08/05/24	J	A	
210.					Sold (part)	11/06/24	J	A	
211. Pimco Low Duration FD (PTLDX)	A	Dividend	J	T	Buy	10/23/24	J		
212. SPDR Series Tr Short Term Corp Bond ETF (SPSB)	A	Dividend	J	T	Sold (part)	02/20/24	J	A	
213.					Sold (part)	04/03/24	J	A	
214.					Sold (part)	06/17/24	J	A	
215.					Buy (add'l)	10/04/24	J		
216.					Sold (part)	11/06/24	J		
217. Vanguard Bond Index Ultra Short Bond ETF (VUSB)	A	Dividend	J	T	Sold (part)	02/20/24	K	A	
218.					Sold (part)	03/06/24	J	A	
219.					Sold (part)	04/03/24	J	A	
220.					Sold (part)	06/25/24	J	A	
221.					Sold (part)	07/10/24	J	A	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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222.						Sold (part)	11/06/24	J	A	
223.	Vanguard Fixed Income Ultra Short Bond Fund (VUSFX)	A	Dividend	J	T	Sold (part)	02/20/24	J	A	
224.						Buy (add'l)	03/06/24	J		
225.						Sold (part)	04/03/24	J		
226.						Buy (add'l)	04/04/24	J		
227.						Buy (add'l)	06/25/24	J		
228.						Sold (part)	07/10/24	J		
229.						Sold (part)	11/06/24	J	A	
230.	Vanguard Scottsdale Short Term Treasury ETF (VGSH)	A	Dividend			Sold (part)	02/20/24	K	A	
231.						Sold (part)	04/03/24	J	A	
232.						Sold (part)	04/10/24	J	A	
233.						Sold	04/11/24	J	A	
234.	Vanguard Short Term Bd (BSV)	A	Dividend	J	T	Buy	04/10/24	J		
235.						Buy (add'l)	04/11/24	J		
236.						Sold (part)	07/10/24	J	A	
237.						Buy (add'l)	10/07/24	J		
238.						Sold (part)	11/06/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
239. Vanguard Short Term Inflation Protected (VTIP)	A	Dividend	J	T	Buy	08/05/24	J		
240.					Sold (part)	11/06/24	J	A	
241. Wisdomtree Floating Rate Treasury Fund (USFR)	A	Dividend	J	T	Sold (part)	02/20/24	J		
242.					Sold (part)	04/03/24	J		
243.					Sold (part)	07/10/24	J		
244.					Buy (add'l)	10/07/24	J		
245.					Sold (part)	11/06/24	J		
246.					Sold (part)	12/17/24	J		
247. Account #6 (H)									
248. JP Morgan Deposit (cash eq.) (QCPCM)	A	Interest	J	T	Open	02/22/24	N		
249. Brown Advisory Sustainable Growth (BAFWX)		None			Buy	02/23/24	J		
250.					Sold (part)	02/29/24	J	A	
251.					Sold (part)	05/15/24	J	A	
252.					Sold	06/13/24	J	A	
253. Cohen & Steers PFD Secs (CPXFX)	A	Dividend	J	T	Buy	02/23/24	J		
254.					Sold (part)	05/15/24	J	A	
255.					Sold (part)	06/07/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
256. Columbia Dividend Income FD (CDDYX)	A	Dividend	J	T	Buy	08/29/24	J		
257.					Buy (add'l)	11/06/24	J		
258.					Buy (add'l)	11/15/24	J		
259. iShares MSCI Europe (EUFN)	A	Dividend	J	T	Buy	06/07/24	J		
260. iShares Trust MSCI Intl Qlty (IQLT)	A	Dividend	J	T	Buy	02/23/24	J		
261.					Sold (part)	05/06/24	J		
262.					Buy (add'l)	08/26/24	J		
263.					Sold (part)	09/30/24	J	A	
264. JP Morgan Mut FD Invt TR (JGVVX)	A	Dividend	J	T	Buy	02/23/24	J		
265.					Buy (add'l)	03/14/24	J		
266.					Buy (add'l)	03/21/24	J		
267.					Buy (add'l)	05/15/24	J		
268.					Sold (part)	07/11/24	J	A	
269.					Sold (part)	08/05/24	J		
270.					Sold (part)	09/12/24	J	A	
271. JP Morgan TR IV PFD & Inc (JPDRX)	A	Dividend	J	T	Buy	02/23/24	J		
272.					Buy (add'l)	05/15/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
273. Nuveen Invt TR V Pfd Secs & Income (NPSFX)	A	Dividend	J	T	Buy	02/23/24	J		
274. Schwab Strategic TR US Div (SCHD)	A	Dividend			Buy	02/23/24	J		
275.					Sold (part)	04/11/24	J		
276.					Sold	05/06/24	J	A	
277. Select Sector SPDR Trust (XLU)	A	Dividend			Buy	02/23/24	J		
278.					Sold	03/21/24	J	A	
279. Vanguard Index Fds (VTI)	A	Dividend			Buy	02/23/24	J		
280.					Sold (part)	08/05/24	J	A	
281.					Sold	08/29/24	J	A	
282. Vanguard European Stock Index Fund ETF (VGK)	A	Dividend			Buy	05/06/24	J		
283.					Sold	11/06/24	J		
284. T Rowe Price Blue Chip Growth (TBCIX)	A	Dividend	J	T	Buy	06/13/24	J		
285. Vanguard Intl Equity Ind (VEU)	A	Dividend	J	T	Buy	09/30/24	J		
286. Vanguard Scottsdale Fnds (VGIT)		None			Buy	02/23/24	J		
287.					Sold	02/26/24	J		
288. Vanguard Scottsdale Short Term Treasury ETF (VGSH)	A	Dividend			Buy	02/23/24	J		
289.					Sold	08/05/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
290. Vanguard Short Term Bd (BSV)	A	Dividend	K	T	Buy	02/23/24	K		
291.					Buy (add'l)	07/11/24	J		
292.					Buy (add'l)	07/25/24	J		
293. Vanguard Short Term Corp BD (VCSH)		None			Buy	02/23/24	J		
294.					Sold	02/26/24	J		
295. Blackrock FDS V Floating Rate (BFRKX)	A	Dividend	J	T	Buy	02/23/24	J		
296.					Buy (add'l)	03/28/24	J		
297.					Buy (add'l)	04/11/24	J		
298.					Sold (part)	09/12/24	J		
299.					Buy (add'l)	11/06/24	J		
300.					Buy (add'l)	12/16/24	J		
301. Blackrock FDS V High Yield Portfolio (BRHYX)	A	Dividend	K	T	Buy	02/23/24	J		
302.					Buy (add'l)	03/28/24	J		
303.					Buy (add'l)	09/12/24	J		
304. Dodge & Cox Income FD (DODIX)	A	Dividend	K	T	Buy	02/23/24	K		
305.					Buy (add'l)	02/26/24	J		
306.					Sold (part)	04/11/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
307.					Sold (part)	05/16/24	J		
308.					Sold (part)	11/06/24	J	A	
309. iShares TR Core Intl Aggregate BD (IAGG)	A	Dividend	J	T	Buy	02/23/24	J		
310. iShares Trust 3 to7 Yr. Treasury (IEI)	A	Dividend	K	T	Buy	02/23/24	J		
311.					Buy (add'l)	02/26/24	J		
312. JP Morgan Exchange Traded FD TR (JPST)	A	Dividend	J	T	Buy	02/23/24	J		
313.					Buy (add'l)	04/11/24	J		
314.					Buy (add'l)	06/07/24	J		
315.					Buy (add'l)	09/12/24	J		
316.					Sold (part)	12/18/24	J		
317. JP Morgan TR I Income FD (JMSFX)	A	Dividend	J	T	Buy	02/23/24	J		
318.					Buy (add'l)	09/12/24	J		
319.					Buy (add'l)	11/06/24	J		
320. JP Morgan TR II Mtg. Backed Secs (JMBUX)	A	Dividend	K	T	Buy	02/23/24	K		
321.					Buy (add'l)	05/16/24	J		
322.					Sold (part)	09/16/24	J	A	
323.					Sold (part)	11/06/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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324.					Sold (part)	12/10/24	J	A	
325. NYLI Mackay High Yield (MHYSX)	A	Dividend	K	T	Buy	02/23/24	K		
326.					Sold (part)	03/28/24	J	A	
327.					Buy (add'l)	09/12/24	J		
328. Professionally Mngd Prtfls Muz Inch (MZLSX)	A	Dividend	K	T	Buy	02/23/24	K		
329.					Buy (add'l)	04/15/24	J		
330. SPDR Series Trust (SPSB)	A	Dividend	J	T	Buy	02/26/24	J		
331. Vanguard Short Term Bd (VTIP)	A	Dividend	J	T	Buy	08/05/24	J		
332. Fidelity Secs FD Advisory Real Estate (FIKMX)	A	Dividend	J	T	Buy	02/23/24	J		
333. JP Morgan Exchange Traded FD Tr (JEPI)	A	Dividend	J	T	Buy	02/23/24	J		
334.					Buy (add'l)	08/02/24	J		
335.					Buy (add'l)	08/05/24	J		
336.					Sold (part)	11/15/24	J	A	
337. JP Morgan Exchange Traded Fd TR Nasdaq (JEPQ)	A	Dividend	J	T	Buy	09/12/24	J		
338.					Buy (add'l)	11/15/24	J		
339. Managed Portfolio Ser Trtois Energy (TORIX)	A	Dividend	J	T	Buy	02/23/24	J		
340.					Buy (add'l)	12/18/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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341. Pimco Mortgage Opp (PMZIX)	A	Dividend	K	T	Buy	02/23/24	K		
342.					Buy (add'l)	07/11/24	J		
343.					Buy (add'l)	09/16/24	J		
344.					Buy (add'l)	12/10/24	J		
345. Wisdomtree Japan Hedged Equity (DXJ)	A	Dividend			Buy	02/23/24	J		
346.					Sold (part)	08/02/24	J		
347.					Sold	08/26/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, [redacted] Employees Stock Ownership Plan: The investments of this ESOP, which was established in 2009, are not participant-directed.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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Swain, Laura T.	08/13/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Laura T. Swain

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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