AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sweeney, Charlotte N.	U.S. District Court, Colorado	05/31/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Alfred A. Arraj U.S. Courthouse 901 19th Street Denver, CO 80294-3589		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Sweeney & Bechtold, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2021	Sweeney & Bechtold, LLC: payment for interests in law firm and interests in attorney's fees to be collected in the future for work completed before 7/1/22.
2.		
3.		

Name of Person Reporting	Date of Report
Sweeney, Charlotte N.	05/31/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Sweeney & Bechtold, LLC: income from legal services performed before 7/1/22.	$843,282.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Circuit Court	9/8/22 - 9/10/22	Colorado Springs, CO	Tenth Circuit Judicial Conference	Lodging, meals, mileage
2.	Federal Judicial Center	9/11/22 - 9/16/22	San Diego, CA	Phase I Orientation Seminar	Airfare, lodging, meals
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sweeney, Charlotte N.	05/31/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Real Property, Summit County, CO (25% interest) ($430,000 2022)		None	M	S					
2. Real Property, Custer County, CO (purchased 6/20/22: $70,000)		None	L	R	Buy	06/20/22	L		
3. Wells Fargo Cash Account		None	K	T					
4. US Bank Cash Account		None	O	T					
5. Capital One Cash Account (Y)									
6. CollegeInvest Aggressive Age-Based Conservative Portfolio		None	M	T	Buy (add'l)	02/25/22	K		
7. CollegeInvest Aggressive Age-Based Option: 37.5% Stock/ 62.5% Bond		None	M	T	Buy (add'l)	02/25/22	K		
8. Schwab Cash Account		None	L	T					
9. ACAN		None	J	T					
10. APDIX		None			Sold	10/19/22	K	A	
11. BRK B		None	M	T					
12. BILPX	B	Dividend	K	T	Buy (add'l)	03/31/22	J		
13. BSIIX	C	Dividend	K	T	Buy (add'l)	03/31/22	K		
14. BSTAX	A	Dividend	J	T					
15. BSTIX	C	Dividend	K	T	Buy (add'l)	10/28/22	J		
16. BCSIX	A	Dividend	J	T					
17. BCSSX	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sweeney, Charlotte N.	05/31/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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18. BTC		None	J	T	Buy	05/18/22	J		
19. DODIX	C	Dividend	M	T	Buy (add'l)	09/29/22	K		
20. ECOIX	B	Dividend	K	T					
21. FEUPX	A	Dividend	L	T	Buy	10/19/22	K		
22. FISMX	A	Dividend	L	T	Buy (add'l)	12/13/22	K		
23. GTEYX	A	Dividend			Sold	10/28/22	K	C	
24. GHYIX	A	Dividend	K	T	Buy (add'l)	03/31/22	J		
25.					Sold	10/28/22	K	A	
26.	A	Dividend			Buy	11/30/22	K		
27. HSNIX	B	Dividend	K	T					
28. HYD	A	Dividend			Buy	10/26/22	K		
29.					Sold	11/30/22	K	B	
30. ODVIX		None			Sold	10/14/22	K	A	
31. EMYIX		None			Sold	10/14/22	J	A	
32. JOHIX		None			Sold (part)	06/23/22	K	A	
33.		None			Sold	10/19/22	K	A	
34. LSBDX	B	Dividend			Sold (part)	10/12/22	K	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35.	B	Dividend			Sold	11/01/22	K	A	
36. OANIX		None			Sold (part)	06/23/22	K	C	
37.		None			Sold	12/13/22	L	D	
38. IBTE	A	Dividend	K	T	Buy	10/12/22	K		
39. IBMM	A	Dividend	K	T	Buy	11/01/22	K		
40. IXUS	A	Dividend	K	T	Buy	10/19/22	J		
41.	A	Dividend			Buy (add'l)	12/13/22	K		
42. MASFX	A	Dividend			Buy	03/31/22	K		
43.					Sold	09/29/22	K	A	
44. MNCSX	B	Dividend	L	T	Buy	06/23/22	L		
45.	B	Dividend			Buy (add'l)	10/19/22	J		
46. POAGX		None			Sold (part)	11/18/22	K	A	
47.		None			Sold	12/09/22	J	A	
48. PDRDX	C	Dividend	K	T					
49. RAYC		None	J	T	Buy	10/14/22	J		
50. SXPPX	C	Dividend	M	T					
51. SWLGX	A	Dividend	L	T					

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52. SHRIX	A	Dividend	K	T	Buy (add'l)	10/28/22	K		
53. TSEGX		None	K	T	Buy (add'l)	10/14/22	K		
54. MVTIX	C	Dividend	L	T	Buy	11/18/22	K		
55.	C	Dividend			Buy (add'l)	12/13/22	K		
56. TBGVX	A	Dividend	J	T					
57. VYM	A	Dividend	K	T	Buy (add'l)	10/28/22	K		
58. VWIUX	C	Dividend	M	T					
59. VOE	A	Dividend	J	T	Buy	12/04/22	J		
60. VBR	A	Dividend	K	T					
61.									

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Sweeney, Charlotte N.	05/31/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Sweeney, Charlotte N.	05/31/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charlotte N. Sweeney

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544