AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sweeney, Charlotte N.	U.S. District Court, Colorado	05/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Alfred A. Arraj U.S. Courthouse 901 19th Street Denver, CO 80294-3589		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Sweeney & Bechtold, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2021	Sweeney & Bechtold, LLC: payment for interest law firm and attorney's fees to be collected for work complete before July 1, 2022; closure of law firm.
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Administrative Office	9/6/23 - 9/8/23	Sante Fe, NM	Tenth Circuit Judicial Conference	Lodging, meals, mileage
2.	Federal Judicial Center	12/3/23 - 12/8/23	Washington, D.C.	Phase II Orientation Seminar	Airfare, lodging, meals
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sweeney, Charlotte N.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Real Property, Summit County, CO (25% interest) ($747,400 2023)		None	M	S					
2.	Real Property, Custer County, CO ($71,313 2023)		None	L	S					
3.	Wells Fargo Cash Account		None	L	T					
4.	US Bank Cash Account		None	L	T					
5.	Capital One Cash Account	B	Int./Div.	L	T					
6.	Discover Cash Account (X)	A	Int./Div.	L	T					
7.	Discover Cash Account 2 (X)	A	Int./Div.	J	T					
8.	CollegeInvest Aggressive Age-Based Conservative Portfolio		None	M	T	Buy (add'l)	6/13/23	K		
9.	CollegeInvest Aggressive Age-Based Option: 37.5% Stock/ 62.5% Bond		None	M	T	Buy (add'l)	6/13/23	K		
10.	Schwab Cash Account	A	Int./Div.	L	T					
11.	ACAN		None	J	T					
12.	BAGIX	A	Int./Div.	K	T	Buy	08/09/23	K		
13.	BRK B		None	M	T	Sold (part)	08/09/23	J	A	
14.	BILPX		None			Sold	02/14/23	L	A	
15.	BSIIX	B	Dividend	L	T					
16.	BSTAX	A	Dividend			Sold	05/02/23	J	A	
17.	BSTIX	A	Dividend			Buy (add'l)	05/02/23	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Sold	06/14/23	L	A	
19. BCSIX	A	Dividend	J	T					
20. BCSSX	B	Dividend	K	T					
21. BTC		None	J	T					
22. DODIX	D	Dividend	M	T	Buy (add'l)	02/14/23	K		
23.					Buy (add'l)	05/02/23	J		
24. ECOIX	B	Dividend	K	T	Buy (add'l)	05/02/23	J		
25.					Buy (add'l)	08/22/23	K		
26.					Sold (part)	07/17/23	K	A	
27. FEUPX	B	Dividend	L	T					
28. FISMX		None			Sold	06/08/23	L	D	
29. FIQIX	B	Dividend	L	T	Buy	06/08/23	L		
30. GHYIX	A	Dividend	K	T					
31. HSNIX	B	Dividend	K	T					
32. IBTE	A	Dividend			Sold	08/09/23	K		
33. IBMM	A	Dividend			Buy (add'l)	02/14/23	J		
34.					Sold	08/09/23	K	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. IBMN	A	Int./Div.	K	T	Buy	06/14/23	K		
36. IBTF	A	Int./Div.	K	T	Buy	06/14/23	K		
37. IGF		None			Buy	07/17/23	K		
38.					Sold	08/22/23	K	A	
39. IXUS	B	Dividend	K	T					
40. MNCSX	B	Dividend	L	T					
41. MUB	A	Dividend	M	T	Buy	11/15/23	M		
42. MVTIX	C	Dividend	L	T					
43. NLSIX	A	Dividend	K	T	Buy	05/08/23	K		
44. PDRDX	A	Dividend	K	T	Buy (add'l)	02/14/23	J		
45. RAYC	A	Dividend			Sold	12/23/23	J		
46. SPEM		None	J	T	Buy	12/23/23	J		
47. SWPPX	C	Dividend	N	T					
48. SWLGX	A	Dividend	M	T					
49. SHRIX	D	Dividend	L	T	Buy (add'l)	05/02/23	J		
50. SOL (X)	A	Dividend	J	T					
51. TSEGX		None	L	T					

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52. TBGVX	A	Dividend	J	T					
53. VYM	A	Dividend			Sold	05/08/23	K		
54. VWIUX	C	Dividend			Buy (add'l)	05/02/23	J		
55.					Buy (add'l)	08/09/23	K		
56.					Sold	11/15/23	M		
57. VOE	A	Dividend	J	T					
58. VBR	A	Dividend	K	T					
59.									

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Sweeney, Charlotte N.	05/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Sweeney, Charlotte N.	05/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charlotte N. Sweeney

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544