AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sweeney II, James R.	U.S. District Court, Southern District of Indiana	08/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
46 E. Ohio Street Indianapolis, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executive Committee Member	The Reserve Officers Association of the United States
2.	Member	Atir Semaj LLC
3.	Member	Brebeuf Preparatory School Alumni Board
4.	Member	Homeless Veterans Assistance Foundation
5.	Member	Indiana Bar Association Foundation
6.	U.S. Vice President and Head of Delegation	CIOR

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2018	Barnes & Thornburg LLP-Partnership Agreement
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason Center for Law and Economics	March 13-20, 2021	Naples, FL	Naples Colloquium	Transportation, meals, lodging
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	National Bank of Indianapolis	Rental Property Mortgage	M
2.			
3.			
4.			
5.			

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Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Alliant FCU (cash)	B	Interest	M	T					
3. First Financial Bank (cash)	A	Interest			Closed	02/10/21	J		
4. National Bank of Indianapolis (cash)		None	J	T	Open	07/09/21	L		
5. Rental Property, Marion County, IN	D	Rent	N	W	Buy	07/30/21	N		
6. NFCU (cash)	D	Interest	P1	T					
7. Navy Mutual Aid Association (whole life insurance policy)	A	Dividend	N	T					
8. Gard Group LLC		None	K	V					
9. Account #1 (H)									
10. Stifel Insured Bank Account (cash)	A	Interest	J	T					
11. IVA Worldwide Cl A (IVWAX)	A	Dividend			Sold	04/30/21	M	D	
12. Abbvie Inc (ABBV)		None	J	T	Buy	07/22/21	J		
13.					Buy (add'l)	08/17/21	J		
14.					Buy (add'l)	09/16/21	J		
15.					Buy (add'l)	10/15/21	J		
16.					Buy (add'l)	12/16/21	J		
17. Adidas Ag Spons Adr (ADDYY)		None			Buy	07/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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18.					Sold	08/19/21	J		
19. Alphabet Inc Cl A (GOOGL)		None	J	T	Buy	08/19/21	J		
20. Anta Sports Products (ANPDY)	A	Dividend	J	T	Buy	07/22/21	J		
21.					Buy (add'l)	08/17/21	J		
22.					Buy (add'l)	09/16/21	J		
23.					Buy (add'l)	10/15/21	J		
24.					Buy (add'l)	12/16/21	J		
25. Apple Inc (AAPL)		None	J	T	Buy	07/22/21	J		
26.					Buy (add'l)	08/17/21	J		
27.					Buy (add'l)	09/16/21	J		
28.					Buy (add'l)	10/15/21	J		
29. Broadcom Inc (AVGO)	A	Dividend	J	T	Buy	07/22/21	J		
30.					Buy (add'l)	08/17/21	J		
31.					Buy (add'l)	09/16/21	J		
32.					Buy (add'l)	10/15/21	J		
33.					Buy (add'l)	12/16/21	J		
34. Cme Group Inc Cl A (CME)	A	Dividend	J	T	Buy	07/22/21	J		

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35.					Buy (add'l)	08/17/21	J		
36. Chevron Corp (CVX)	A	Dividend	J	T	Buy	07/22/21	J		
37.					Buy (add'l)	08/17/21	J		
38.					Buy (add'l)	09/16/21	J		
39.					Buy (add'l)	10/15/21	J		
40.					Buy (add'l)	12/16/21	J		
41. Citizens Financial (CFG)	A	Dividend	J	T	Buy	07/22/21	J		
42.					Buy (add'l)	08/17/21	J		
43.					Buy (add'l)	09/16/21	J		
44.					Buy (add'l)	10/15/21	J		
45. Comcast Corp CL A New (CMCSA)	A	Dividend	J	T	Buy	07/22/21	J		
46.					Buy (add'l)	08/17/21	J		
47.					Sold (part)	08/19/21	J	A	
48. Costco Wholesale Corp (COST)	A	Dividend	J	T	Buy	07/22/21	J		
49.					Buy (add'l)	09/16/21	J		
50. Delta Airlines Inc NEW (DAL)		None			Sold	08/19/21	J		
51. Walt Disney Co (DIS)		None	J	T	Buy	07/22/21	J		

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52.					Buy (add'l)	08/17/21	J		
53.					Buy (add'l)	09/16/21	J		
54.					Buy (add'l)	10/15/21	J		
55. Fastenal Co (FAST)	A	Dividend	J	T	Buy	07/22/21	J		
56.					Buy (add'l)	08/17/21	J		
57.					Buy (add'l)	09/16/21	J		
58.					Buy (add'l)	10/15/21	J		
59.					Buy (add'l)	12/16/21	J		
60. General Motors Co (GM)	A	Dividend	J	T					
61. Home Depot (HD)	A	Dividend	J	T	Buy	07/22/21	J		
62.					Buy (add'l)	08/17/21	J		
63.					Buy (add'l)	09/16/21	J		
64.					Buy (add'l)	10/15/21	J		
65.					Buy (add'l)	12/16/21	J		
66. Honeywell Intl Inc (HON)	A	Dividend	J	T					
67. Intercontinental Exchange Inc (ICE)	A	Dividend	J	T	Buy	07/22/21	J		
68.					Buy (add'l)	08/17/21	J		

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69.						Buy (add'l)	09/16/21	J		
70.						Buy (add'l)	10/15/21	J		
71.	JP Morgan Chase & Co (JPM)	A	Dividend	J	T	Buy	07/22/21	J		
72.						Buy (add'l)	08/17/21	J		
73.						Buy (add'l)	09/16/21	J		
74.						Buy (add'l)	10/15/21	J		
75.	Lvmh Moet Hennessy Louis Vuitton Adr (LVMUY)	A	Dividend	J	T	Buy	08/31/21	J		
76.						Buy (add'l)	09/17/21	J		
77.						Buy (add'l)	10/15/21	J		
78.	Estee Lauder Co Inc (EL)	A	Dividend	J	T	Buy	07/22/21	J		
79.						Buy (add'l)	08/17/21	J		
80.						Buy (add'l)	10/15/21	J		
81.	Lockheed Martin Corp (LMT)	A	Dividend	J	T	Buy	07/22/21	J		
82.						Buy (add'l)	08/17/21	J		
83.						Buy (add'l)	09/16/21	J		
84.						Buy (add'l)	10/15/21	J		
85.	Mastercard Inc Cl A (MA)		None	J	T	Buy	07/22/21	J		

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86.					Buy (add'l)	08/17/21	J		
87.					Buy (add'l)	10/15/21	J		
88. Mcdonalds (MCD)	A	Dividend	J	T					
89. Microsoft Corp (MSFT)	A	Dividend	K	T	Buy	07/22/21	J		
90.					Buy (add'l)	08/17/21	J		
91.					Buy (add'l)	09/16/21	J		
92.					Buy (add'l)	10/15/21	J		
93.					Buy (add'l)	12/16/21	J		
94. Morgan Stanley (MS)	A	Dividend	J	T	Buy	07/22/21	J		
95.					Buy (add'l)	08/17/21	J		
96.					Buy (add'l)	09/16/21	J		
97.					Buy (add'l)	10/15/21	J		
98. Newmont Corp (NEM)	A	Dividend	J	T					
99. Nike Inc (NKE)	A	Dividend	J	T	Buy	07/22/21	J		
100.					Buy (add'l)	08/17/21	J		
101.					Buy (add'l)	09/16/21	J		
102.					Buy (add'l)	10/15/21	J		

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103. Novo Nordisk As Adr (NVO)	A	Dividend	J	T	Buy	07/22/21	J		
104.					Buy (add'l)	08/17/21	J		
105.					Buy (add'l)	09/16/21	J		
106.					Buy (add'l)	10/15/21	J		
107.					Buy (add'l)	12/16/21	J		
108. Pfizer Inc (PFE)	A	Dividend	J	T	Buy	08/19/21	J		
109. Simon Property Group Inc New (SPG)	A	Dividend	J	T	Buy	07/22/21	J		
110.					Buy (add'l)	08/17/21	J		
111.					Buy (add'l)	09/16/21	J		
112.					Buy (add'l)	10/15/21	J		
113. Skyworks Solutions Inc (SWKS)	A	Dividend	J	T	Buy	08/17/21	J		
114. Starbucks Corp (SBUX)	A	Dividend	J	T					
115. Texas Instruments Inc (TXN)		None	J	T	Buy	07/22/21	J		
116.					Buy (add'l)	08/17/21	J		
117.					Buy (add'l)	09/16/21	J		
118.					Buy (add'l)	10/15/21	J		
119.					Buy (add'l)	12/16/21	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Union Pacific Corp (UNP)	A	Dividend	J	T	Buy	07/22/21	J		
121.					Buy (add'l)	08/17/21	J		
122.					Buy (add'l)	10/15/21	J		
123. Unitedhealth Group Inc (UNH)	A	Dividend	K	T	Buy	07/22/21	J		
124.					Buy (add'l)	08/17/21	J		
125.					Buy (add'l)	09/16/21	J		
126.					Buy (add'l)	10/15/21	J		
127.					Buy (add'l)	12/16/21	J		
128. Walmart Inc (WMT)	A	Dividend	J	T	Buy	08/17/21	J		
129.					Buy (add'l)	09/16/21	J		
130. Waste Management (WM)	A	Dividend	J	T					
131. Whirlpool Corp (WHR)	A	Dividend	J	T	Buy	08/19/21	J		
132.					Buy (add'l)	09/16/21	J		
133. Accenture PLC Ireland (CAN)		None	K	T	Buy	07/22/21	J		
134.					Buy (add'l)	08/17/21	J		
135.					Buy (add'l)	09/16/21	J		
136.					Buy (add'l)	10/15/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/16/21	J		
138. SPDR S&P 500 Etf (SPY)		None			Buy	07/22/21	J		
139.					Buy (add'l)	08/17/21	J		
140.					Sold	08/30/21	J	A	
141. Account #2 (H)									
142. BMW Bank of North Amer Salt Lake City UT CD FDIC #35141 (05580ADU5)	A	Interest			Redeemed	02/26/21	J		
143. Account #3 (H)									
144. Fidelity 500 Index Institution (FXAIX)		None	L	T					
145. Fidelity Low-Priced Stock (FLPSX)		None	K	T					
146. Harbor Capital Appr Ret (HNACX)		None	K	T					
147. Keybank EB Magic 20 Fund (EBMGICX)		None	N	T					
148. Pimco Total Return Instl (PTTRX)		None	N	T					
149. Schwab Treas Infl-Prot Sec Idx (SWRSX)		None	N	T					
150. Account #4 (H)									
151. Charles Schwab Bank (cash)	A	Interest	K	T					
152. Adobe Systems Inc (ADBE)		None	J	T	Sold (part)	01/05/21	J	B	
153. Alibaba Group Holding LTD (BABA)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Alphabet Inc/CA-CL A (GOOGL)	A	Dividend	K	T					
155. Amazon Com Inc (AMZN)		None	K	T					
156. Apple Inc (AAPL)	A	Dividend	K	T	Sold (part)	01/05/21	J	D	
157. Churchill Downs Inc (CHDN)	A	Dividend	J	T	Sold (part)	01/05/21	J	B	
158. Comcast Corp (CMCSA)	A	Dividend	J	T	Sold (part)	01/05/21	J	A	
159. Costar Group Inc (CSGP)		None	J	T	Sold (part)	01/05/21	J	C	
160. Draftkings Inc Cl A (DKNG)		None	J	T	Sold (part)	01/05/21	J	B	
161. Electronic Art (EA)		None			Sold	01/05/21	J	B	
162. Endeavor Group Holdings (EDR)		None	J	T	Buy	08/04/21	J		
163. Ferrarri NV (RACE)	A	Dividend	J	T	Sold (part)	01/05/21	J	B	
164. First Horizion Corporation (FHN)	A	Dividend	J	T	Buy	01/05/21	J		
165. Five Below (FIVE)		None			Buy	01/05/21	J		
166.					Sold	08/04/21	J		
167. General Motors Co (GM)		None	J	T	Buy	08/04/21	J		
168. Intercontinental Exchange Inc (ICE)	A	Dividend	J	T	Sold (part)	01/05/21	J	A	
169. Intuitive Surgical Inc (ISRG)		None	J	T					
170. Lilly Eli & Co (LLY)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. Live Nation Entertainment Inc (LYV)		None	J	T	Buy	03/23/21	J		
172. LVMH Moet Hennessy Louis (LVMUY)	A	Dividend	K	T					
173. Madison Square Garden Entertainment Corp Cl A (MSGE)		None			Sold	01/05/21	J	A	
174. Madison Square Garden Sports Corp (MSGS)		None	J	T	Buy (add'l)	01/05/21	J		
175. Martin Marietta Materials Inc (MLM)	A	Dividend	J	T					
176. Mastercard Inc Cl A (MA)	A	Dividend	J	T	Sold (part)	01/05/21	J	C	
177. Match Group Inc (MTCH)		None	J	T					
178. Merck & Co Inc (MRK)	A	Dividend			Sold (part)	01/05/21	J	A	
179.					Sold	08/04/21	J		
180. Meta-Platforms (FB)		None	K	T					
181. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	01/05/21	J	C	
182. Morgan Stanley (MS)	A	Dividend	J	T	Buy	01/05/21	J		
183. National Energy Services Reu (NESR)		None	J	T	Buy	01/05/21	J		
184.					Buy (add'l)	03/11/21	J		
185. NCR Corp (NCR)		None	J	T	Buy	05/03/21	J		
186.					Buy (add'l)	05/04/21	J		
187. Northrop Grumman Corp (NOC)		None			Sold	01/05/21	J	B	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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188. Novo Nordisk AS (NVO)		None			Sold	01/05/21	J	A	
189. Paypal Holdings Inc-W/I (PYPL)		None	J	T					
190. Paysafe Ltd (PSFE)		None			Buy	01/05/21	J		
191.					Sold	04/06/21	J		
192. Pernod Ricard (PDRDF)	A	Dividend	J	T	Sold (part)	01/05/21	J	A	
193. Pfizer Inc (PFE)	A	Dividend	J	T					
194. Pinduo Inc-Adr (PDD)		None	J	T	Buy	01/05/21	J		
195.					Sold (part)	08/04/21	J		
196. Qorvo Inc (QRVO)		None	J	T					
197. Quidel Corp (QDEL)		None			Buy	01/05/21	J		
198.					Sold	02/22/21	J	A	
199. Shenzhou Internati-Unsp Adr (SHZHY)	A	Dividend	J	T	Buy (add'l)	01/05/21	J		
200. Skyworks Solutions Inc (SWKS)	A	Dividend	J	T					
201. Southwest Airlines Co (LUV)		None	J	T	Buy	01/05/21	J		
202.					Buy (add'l)	08/04/21	J		
203. Take Two Interactive Software (TTWO)		None	J	T	Buy	01/05/21	J		
204. Tencent Holdings Ltd (TCEHY)	A	Dividend	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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205. Tesla Inc (TSLA)		None	J	T	Buy	01/05/21	J		
206. Texas Instruments Inc (TXN)	A	Dividend	J	T					
207. Twitter Inc (TWTR)		None	J	T	Sold (part)	01/05/21	J	A	
208. Union Pacific Corp (UNP)	A	Dividend	J	T					
209. Unitedhealth Group Inc (UNH)	A	Dividend	K	T					
210. Unity Software Inc (U)		None			Buy	01/05/21	J		
211.					Sold	08/04/21	J		
212. Walmart Inc (WMT)	A	Dividend			Sold	08/04/21	J		
213. Waste Management Inc (IWM)		None			Sold	01/05/21	J	A	
214. Walt Disney Co (DIS)		None	J	T					
215. Western Alliance Bancorp (WAL)	A	Dividend	J	T	Buy	01/05/21	J		
216. World Wrestling Ent. Inc (WWE)	A	Dividend	J	T	Buy	01/28/21	J		
217. Zebra Technologies Corp Class A (ZBRA)		None	J	T	Sold (part)	03/11/21	J	C	
218.					Sold (part)	08/04/21	J		
219. Zions Bancorporation N.A. (ZION)	A	Dividend	J	T	Buy	01/05/21	J		
220. Account #5 (H)									
221. Stifel Insured Bank Account (cash)	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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222. Activision Blizzard Inc (ACT)		None			Buy	08/18/21	J		
223.					Sold	11/22/21	J		
224. Adobe Inc (ADBE)		None	K	T	Sold (part)	01/04/21	J	C	
225.					Sold (part)	08/19/21	J	D	
226. Alibaba Group Holding LTD Spons Ads (BABA)		None			Sold	08/19/21	J		
227. Alphabet Inc Cl A (GOOGL)		None	L	T					
228. Amazon.com Inc (AMZN)		None	L	T	Buy (add'l)	08/18/21	K		
229. Apple Inc (AAPL)	A	Dividend	L	T	Sold (part)	01/04/21	K	D	
230. Autodesk Inc (ADSK)	A	Dividend	J	T	Buy (add'l)	08/18/21	J		
231.					Buy	12/03/21	J		
232. Churchill Downs Inc (CHDN)	A	Dividend	J	T	Sold (part)	01/04/21	J	C	
233.					Sold (part)	06/16/21	J	C	
234. Comcast Corp Cl A (CMCSA)	A	Dividend			Sold (part)	01/04/21	J	B	
235.					Sold	08/18/21	K	D	
236. Costar Group Inc (CSGP)		None	J	T	Sold (part)	01/04/21	J	D	
237. Crowdstrike Holdings Inc (CRWD)		None	J	T	Buy	08/18/21	J		
238.					Buy (add'l)	12/07/21	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
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239. Delta Airelines Inc (DAL)		None	K	T	Buy	12/15/21	K		
240. Walt Disney Co (DIS)		None	K	T					
241. Draftkings Inc Cl A (DKNG)		None			Sold (part)	01/04/21	J	B	
242.					Sold (part)	06/16/21	J		
243.					Sold	10/22/21	J		
244. Electronic Arts Inc (EA)		None			Sold	01/27/21	K	D	
245. Evri Holdings Inc (EVRI)		None	K	T	Buy	10/22/21	J		
246.					Buy (add'l)	10/25/21	J		
247. Expedia Group Inc (EXPE)		None	J	T	Buy	12/07/21	J		
248. Meta Platforms (FB)		None	K	T	Buy (add'l)	08/19/21	J		
249. Fastenal Co (Fast)	A	Dividend	J	T	Buy	05/07/21	J		
250. First Horizon Corp (FHN)	A	Distribution	J	T	Buy	01/04/21	J		
251. Five Below Inc (FIVE)		None			Buy	01/04/21	K		
252.					Sold (part)	05/06/21	J	B	
253.					Sold	05/07/21	J	B	
254. General Motors Co (GM)		None	K	T	Buy	05/06/21	K		
255. Intercontinental Exchange (ICE)	A	Dividend	K	T	Sold (part)	01/04/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Intuitive Surgical Inc (ISRG)		None	K	T					
257. LVMH Moet Hennessy Louis Vuitton ADR (LVMUY)	A	Dividend	K	T	Sold (part)	06/16/21	J		
258. Liberty Formula One (FWONK)		None	K	T	Buy	06/16/21	J		
259.					Buy (add'l)	06/17/21	J		
260.					Buy (add'l)	06/18/21	J		
261. Eli Lilly & Co (LLY)	A	Dividend	K	T	Sold (part)	06/16/21	J		
262. Live Nation Entertainment Inc (LYV)		None	J	T	Buy	03/23/21	J		
263. Madison Square Garden Sports Corp (MSGS)		None			Buy (add'l)	01/04/21	J		
264.					Sold (part)	08/18/21	J		
265.					Sold	08/19/21	J		
266. Madison Square Garden Entertainment Corp Cl A (MSGE)		None			Sold	01/05/21	J	B	
267. Martin Marietta Materials Inc (MLM)	A	Dividend	K	T					
268. Mastercard Inc Cl A (MA)	A	Dividend	K	T	Sold (part)	01/04/21	J	D	
269. Match Group Inc (MTCH)		None	K	T					
270. Merck & Company Inc (MRK)	A	Dividend			Sold (part)	01/04/21	J	A	
271.					Sold	05/06/21	J	A	
272. Microsoft Corp (MSFT)	A	Dividend	L	T	Sold (part)	01/04/21	J	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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273.					Buy (add'l)	08/19/21	J		
274. Morgan Stanley (MS)	A	Dividend	K	T	Buy	01/04/21	K		
275. NCR Corp New (NCR)		None	K	T	Buy	05/03/21	J		
276.					Buy (add'l)	05/04/21	J		
277. Owens Corning Inc New (OC)	A	Dividend	K	T	Buy	05/10/21	K		
278. Northrop Gruman Corp (NOC)		None			Sold	01/04/21	J	C	
279. Novo Nordisk AS ADR (NVO)		None			Sold	01/04/21	J	B	
280. Paypal Holdings Inc (PYPL)		None	K	T	Buy (add'l)	11/09/21	J		
281. Paysafe Ltd (PSFE)		None			Buy	01/04/21	J		
282.					Sold	04/06/21	J		
283. Pfizer Inc (PFE)	A	Dividend	K	T					
284. Pinduoduo Inc Adr (PDD)		None			Buy	01/04/21	K		
285.					Sold (part)	05/07/21	J		
286.					Sold	07/01/21	J		
287. Pioneer Natural Resources (PXD)	A	Dividend	J	T	Buy	06/16/21	J		
288.					Buy (add'l)	08/19/21	J		
289. Qorvo Inc (QRVO)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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290. Quidel Corp (QDEL)		None			Buy (add'l)	01/04/21	J		
291.					Sold	02/22/21	J	C	
292. Shenzhou Intl Group Holdings ADR (SHZHY)	A	Dividend	K	T	Sold (part)	08/19/21	J	B	
293. Skyworks Solutions Inc (SWKS)	A	Dividend			Sold	10/22/21	J		
294. Southwest Airlines Co (LUV)		None			Buy	01/04/21	J		
295.					Buy (add'l)	05/07/21	J		
296.					Buy (add'l)	08/19/21	J		
297.					Sold	12/15/21	K		
298. Take-Two Interactive Inc (TTWO)		None			Buy	01/04/21	J		
299.					Sold	08/18/21	J		
300. Tenecent Holdings LTD Unspons ADR (TCEHY)	A	Dividend			Sold	08/18/21	J	B	
301. Tesla Inc (TSLA)		None	J	T	Buy	01/04/21	J		
302. Texas Instruments Inc (TXN)	A	Dividend	K	T					
303. Twitter Inc (TWTR)		None			Sold (part)	01/04/21	J	B	
304.					Sold	12/03/21	J	B	
305. Union Pacific Corp (UNP)	A	Dividend	K	T					
306. UnitedHealth Group Inc (UNH)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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307. Unity Software Inc (U)		None			Buy	01/04/21	J		
308.					Sold	05/06/21	J		
309. Walmart Inc (WMT)	A	Dividend			Sold	05/06/21	J	C	
310. Waste Management Inc DEL (94106L109)		None			Sold	01/04/21	J	A	
311. Western Alliance Bancorp (WAL)	A	Dividend	K	T	Buy	01/04/21	J		
312. World Wrestling Entertainment Inc (WWE)	A	Dividend			Buy	01/04/21	J		
313.					Sold (part)	08/18/21	J		
314.					Sold	08/19/21	J		
315. Zebra Technologies Corp Cl A (ZBRA)		None	K	T	Sold (part)	01/04/21	J	C	
316.					Sold (part)	05/07/21	J	C	
317. Zions Bancorporation N A (ZION)	A	Dividend	K	T	Buy	01/04/21	K		
318. Pernod Ricard (PDRDF)	A	Dividend	K	T	Sold (part)	01/04/21	J	A	
319. National Energy Services (NESR)		None	J	T	Buy	01/04/21	J		
320. ASML Holdings (ASML)	A	Dividend	K	T	Buy	10/22/21	K		
321. Ferrari NV (RACE)	A	Dividend	K	T	Sold (part)	01/04/21	J	C	
322. Account #6 (H)									
323. Stifel Insured Bank Account (cash)	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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324. Ivy Tech Cmnty Cllg IN RFDG Stdnt Fee Ser O B/E (46603ACS8)	A	Interest	K	T					
325. Indiana St Fin Auth Rev RFDG Facs Ser C B/E Cpn 5.000% (45506DBD6)	A	Interest			Redeemed	07/01/21	J		
326. Hamilton Sthestrn IN Cons Sch Bldg Corp Rev RFDG 1st Mtg (40785EJ49)	B	Interest			Redeemed	07/15/21	K		
327. Carlisle Sullivan IN Sch Bldg Corp RFDG 1st Mtg (142523BX6)	B	Interest	K	T					
328. Franklin TWP IN Mult Sch Bldg Corp RFDG B/E 1st Mtg Ser A (355137BU3)	A	Interest	J	T					
329. Crown Point IN Mlti Sch Bldg Corp RFDG 1st Mtg B/E (228485KN7)	B	Interest	K	T					
330. Greenfield IN Sew Wke REV B/E PTC Cpn 3.000% Due 01/01/26 (395028DP5)	A	Interest	K	T					
331. Hamilton Sthestrn IN B/E Cons Sch Bldg Corp RFDG 1st Mtg (40785EWZ5)	B	Interest			Redeemed	07/15/21	K		
332. Plainfield IN Cmnty High Sch Bldg Corp RFDG 1st (726286EM5)	A	Interest	K	T					
333. Decatur TWP Marion Cnty IN Mult Sch Bldg Corp 1st (243360EZ3)	A	Interest	K	T					
334. South Adams IN Sch Bldg Corp 1st Mtg RFDG & Imp (836193DB5)	A	Interest	K	T					
335. Mt Vernon IN Sch Bldg Corp RFDG (623495EQ7)	A	Interest	K	T					
336. CitiBank NA Sioux Falls SD CD (17312QX87)	A	Interest	K	T					
337. Account #7 (H)									
338. Schwab Cash & Money Market Account (cash)	A	Interest	K	T					
339. Acadia Phamaceutical (ACAD)		None	J	T					
340. Accolade Inc (ACCD)		None	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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341. Acuityads Holdings Inc (ATY)		None			Buy	06/11/21	J		
342.					Sold	08/17/21	J		
343. Acutus Med Inc (AFIB)		None	J	T	Buy	07/13/21	J		
344. Adaptive Biotechnologies (ADPT)		None	J	T	Buy	01/13/21	J		
345. Adyen NV Unsposored ADR (ADYEY)		None	J	T					
346. Aerovironment Inc (AVAV)		None			Buy (add'l)	03/04/21	J		
347.					Sold	12/07/21	J		
348. Aeva Technologies Inc: (AEVA)		None	J	T					
349. Affirm Hldgs Inc (AFRM)		None			Buy	01/20/21	J		
350.					Sold	08/30/21	J		
351. Altria Group Inc (MO)	A	Dividend	J	T					
352. Amryt Pharma PLC (AMYT)		None	J	T	Buy	07/06/21	J		
353. Amyris Inc (AMRS)		None	J	T	Buy	11/09/21	J		
354. Anterix Inc (ATEX)		None	J	T	Buy	03/16/21	J		
355. Aon Plc (AON)	A	Dividend	J	T	Buy	01/13/21	J		
356. Applovin Corp (APP)		None			Sold	10/15/21	J	B	
357. Aquabounty Technologies (AQB)		None	J	T	Buy	03/05/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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358. Arena Pharmaceutical (ARNA)		None			Buy	01/27/21	J		
359.					Sold	03/02/21	J	A	
360. Astra Space Inc (ASTR)		None	J	T	Buy	02/23/21	J		
361. Athenex (ATNX)		None			Buy	03/01/21	J		
362.		None			Sold	05/11/21	J		
363. Atomera Inc (ATOM)		None	J	T	Buy	08/17/21	J		
364. Aurora Innovation (AUR)		None	J	T					
365. Azek Co Inc (AZEK)		None			Sold	03/05/21	J	A	
366. Azure Pwr Global LTD F (AZRE)		None	J	T	Buy	02/23/21	J		
367. Bath & Body Wks Inc (BBWI)	A	Dividend	J	T	Buy	10/05/21	J		
368. Beam Therapeutics inc (BEAM)		None	J	T	Buy	08/30/21	J		
369. Berkley Lights Inc (BLI)		None	J	T	Buy	08/27/21	J		
370. Bill Com Holdings Inc (BILL)		None			Sold	02/05/21	J	B	
371. Biocryst Pharmaceuticals (BCRX)		None	J	T	Buy	02/02/21	J		
372. Biodesix Inc (BDSX)		None	J	T	Buy	03/29/21	J		
373. Bitfarms LTD (BFARF)		None	J	T	Buy	05/18/21	J		
374. Blade Urban Air Mobility (BLDE)		None	J	T	Buy	01/08/21	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Block H & R Incorp (HRB)	A	Dividend	J	T	Buy	01/11/21	J		
376. Bloom Energy Corp (BE)		None			Sold	02/24/21	J	B	
377. Blue Bird Corp (BLBD)		None	J	T	Sold (part)	02/26/21	J		
378. Blueprint Medicines Corp (BPMC)	A	Dividend			Sold	03/04/21	J	B	
379. Byrna Technologies Inc (BYRN)		None	J	T	Buy	08/30/21	J		
380. Cadence Design Sys (CDNS)		None			Sold	06/09/21	J	B	
381. Calibre Mining Corp (CXBMF)		None	J	T					
382. Canadian Solar Inc (CSIQ)		None	J	T					
383. Castlight Health Inc (CSLT)		None			Buy	07/01/21	J		
384.					Sold	11/05/21	J		
385. Cerence Inc (CRNC)		None			Buy	07/27/21	J		
386.					Sold	10/18/21	J		
387. Certara Inc (CERT)		None			Buy	03/25/21	J		
388.					Sold	11/08/21	J	A	
389. Change Healthcare Inc (CHNG)		None	J	T					
390. Ciena Corp (CIEN)		None			Sold	04/20/21	J	A	
391. Cipher Mining Inc (CIFR)		None	J	T	Buy	03/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Cohen Steers Qual Income (RQI)	A	Dividend	J	T					
393. Coinbase Gloal Inc (COIN)		None	J	T	Buy	04/23/21	J		
394. Compania De Minas Buen F Sponsored ADR (BVN)		None	J	T					
395. Compass Pathways ADR F (CMPS)		None			Buy	02/19/21	J		
396.					Sold	08/05/21	J		
397. Concored Aquisition Corp (CND)		None	J	T	Buy	07/16/21	J		
398. Contextlogic Inc (WISH)		None	J	T	Buy	06/22/21	J		
399. Cummins Inc (CMI)	A	Dividend	J	T					
400. C3 Ai Inc (AI)		None	J	T	Buy	03/04/21	J		
401. DXC Technology Co (DXC)		None	J	T					
402. Danimer Scientific Inc (DNMR)		None	J	T	Buy	05/18/21	J		
403. Datto Hldg Corp (MSP)		None	J	T	Sold	03/08/21	J		
404.					Buy	05/18/21	J		
405. Diffusion Pharmaceutical (DFFN)		None	J	T	Buy	01/11/21	J		
406. Digitalocean Hldgs Inc (DOCN)		None			Buy	08/09/21	J		
407.					Sold	09/07/21	J		
408. Domo Inc (DOMO)		None	J	T	Buy (add'l)	07/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Dun Bradst Hldg Inc (DNB)		None	J	T	Buy	01/08/21	J		
410. Dynatrace Holdings LLC (DT)		None			Buy (add'l)	01/20/21	J		
411.					Sold	02/03/21	J	A	
412. Electronic Arts Inc (EA)	A	Dividend			Sold	03/19/21	J	A	
413. Energy Fuels Inc (UUUU)		None	J	T	Buy	03/16/21	J		
414. Entegris Inc (ENTG)	A	Dividend	J	T					
415. Evo PMTS Inc (EVOP)		None			Buy	02/10/21	J		
416.					Sold	05/12/21	J	A	
417. Exact Sciences Corporati (EXAS)		None	J	T	Buy	01/11/21	J		
418. Exone Co (XONE)		None			Sold	08/12/21	J	B	
419. Federal Home LN MTG Corp (FMCC)		None	J	T	Buy	06/23/21	J		
420. Federal Natl Mtg Assn Gt (FNMA)		None	J	T	Buy	08/04/21	J		
421. Fiverr Interntnl LTD (FVRR)		None	J	T	Buy	08/10/21	J		
422. Fluidgm Corp Del (FLDM)		None	J	T	Buy	02/22/21	J		
423. Formfactor Inc (FORM)		None	J	T					
424. General Motors (GM)		None	J	T	Buy	01/13/21	J		
425.					Buy (add'l)	03/15/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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426. Genmark Diagnostics (GNMK)		None			Sold	03/15/21	J	B	
427. Gilead Sciences Inc (GILD)	A	Dividend	J	T					
428. Gopro Inc (GPRO)		None	J	T	Buy	01/11/21	J		
429. Grayscale Ethereum T (ETHE)		None	J	T					
430. Greenbox POS (GBOX)		None	J	T	Buy	04/15/21	J		
431. Halozyme Therapuetic (HALO)		None	J	T	Buy	01/13/21	J		
432. Heritage Global Inc (HGBL)		None	J	T					
433. Hut Eight Mining Corp (HUTMF)		None			Sold	10/13/21	J	D	
434. Hydrofarm Hlds Group In (HYFM)		None	J	T	Buy	03/08/21	J		
435. Hyliion Hldgs Corp (HYLN)		None	J	T					
436. IAC/Interactivecorp New (IAC)		None	J	T	Buy	02/04/21	J		
437. Immatics NV (IMTX)		None	J	T					
438. Impala Platinum (IMPUY)	A	Dividend	J	T	Buy	03/25/21	J		
439. Inseego Corp (INSG)		None	J	T					
440. Intercept Pharma Inc (ICPT)		None			Sold	01/12/21	J		
441. Intellia Therapeutics In (NTLA)		None	J	T	Buy	08/04/21	J		
442. Ipower Inc (IPW)		None	J	T	Buy	06/10/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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443. Isoenergy LTD (ISENF)		None			Buy	02/26/21	J		
444.		None			Sold	10/12/21	J	A	
445. IRobot Corp (IRBT)		None	J	T					
446. Ironsource Ltd (IS)		None	J	T	Buy	02/03/21	J		
447. ITron Inc (ITRI)		None			Sold	08/05/21	J		
448. Joby Aviation Inc (JOBY)		None	J	T					
449. Knowbe4 Inc (KNBE)		None	J	T	Buy	07/20/21	J		
450. Lantern Pharma Inc (LTRN)		None			Sold	03/18/21	J	A	
451. Legend Biotech Corp F Unsponsored ADR (LEGN)		None			Sold	02/05/21	J		
452. Lendingclub Corp (LC)		None	J	T	Buy	05/21/21	J		
453. Ligand Pharma (LGND)		None	J	T	Buy	03/12/21	J		
454. Lilium N V (LILM)		None	J	T	Buy	01/13/21	J		
455. Livent Corp (LTHM)		None	J	T	Buy	05/13/21	J		
456. Lumentum Holdings Inc (LITE)		None			Sold	02/02/21	J	A	
457. Lumen Technologies Inc (LUMN)	A	Dividend	J	T	Buy	07/16/21	J		
458. Magnachip Semiconduc (MX)		None	J	T	Buy	12/21/21	J		
459. Magna Intl Inc (MGA)	A	Dividend			Buy	02/03/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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460.					Sold	06/07/21	J	A	
461. Marqeta Inc (MQ)		None	J	T	Buy	10/14/21	J		
462. Matson Inc (MATX)		None	J	T	Buy	11/16/21	J		
463. Medallia Inc (MDLA)		None			Buy	02/12/21	J		
464.					Sold	07/26/21	J		
465. Microchip Technology (MCHP)		None			Sold	02/17/21	J	A	
466. Momentus Inc (MNTS)		None	J	T					
467. Motorsport Games Inc (MSGM)		None	J	T	Buy	01/26/21	J		
468. MP Materials Corp (MP)		None			Buy	02/10/21	J		
469.					Buy (add'l)	06/04/21	J		
470.					Sold	11/01/21	J	A	
471. Myt Neth Parent (MYTE)		None			Buy	03/05/21	J		
472.					Sold	08/04/21	J	A	
473. Natera Inc (NTRA)		None	J	T					
474. Nautilus Biotechnology F (NAUT)		None	J	T	Buy	02/19/21	J		
475. New Relic Inc (NEWR)		None			Buy	08/19/21	J		
476.					Sold	11/09/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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477. Ncino Inc (NCNO)		None	J	T					
478. Nintendo LTD Sponsored ADR (NTDOY)	A	Dividend	J	T					
479. On Semiconductor Co (ON)		None			Sold	03/16/21	J	B	
480. Opera LTD (OPRA)		None	J	T	Buy	03/05/21	J		
481. Otis Worldwide Corp (OTIS)	A	Dividend	J	T					
482. Owl Rock Capital Corp (ORCC)	A	Dividend	J	T					
483. Palantir Technologies In (PLTR)		None	J	T	Buy	03/04/21	J		
484.					Buy (add'l)	06/10/21	J		
485. Paycom Software Inc (PAYC)		None			Buy	07/02/21	J		
486.					Sold	09/29/21	J	A	
487. PaySafe LTD (PSFE)		None	J	T	Buy	02/04/21	J		
488. Phreesia Inc (PHR)		None	J	T	Buy	01/21/21	J		
489. Piedmont Lithium LTD		None	J	T	Buy	01/14/21	J		
490. Planet Fitness Inc (PLNT)		None	J	T	Buy	11/17/21	J		
491. Posco (PKX)		None			Buy	04/01/21	J		
492.					Sold	05/13/21	J	A	
493. Poshmark Inc (POSH)		None	J	T	Buy	01/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. Power & Digital Inftastr (XPDI)		None	J	T	Buy	10/28/21	J		
495. Proto Labs Inc (PRLB)		None	J	T	Buy	01/20/21	J		
496.					Buy (add'l)	11/17/21	J		
497. Pure Storage Inc (PSTG)		None	J	T	Buy	02/03/21	J		
498. Rapid Seven Inc (RPD)		None	J	T	Buy	03/02/21	J		
499. Rekor Systems Inc (REKR)		None	J	T	Buy	02/04/21	J		
500. Relay Therapeutics Inc (RLAY)		None	J	T	Buy	01/11/21	J		
501. Repare Therapeutics In F (RPTX)		None	J	T					
502. Revolve Group Inc (RVLV)		None	J	T	Buy	01/06/21	J		
503. Robinhood Mkts Inc (HOOD)		None	J	T	Buy	07/29/21	J		
504. Roblox Corp (RBLX)		None	J	T	Buy	06/10/21	J		
505. Royal Caribbean Group (RCL)		None	J	T	Buy	03/04/21	J		
506. Royalty Pharma PLC (RPRX)	A	Dividend			Sold	03/08/21	J	A	
507. Sarepta Therapeutics (SRPT)		None			Buy	06/16/21	J		
508.					Sold	08/30/21	J		
509. Schrodinger Inc (SDGR)		None			Buy (add'l)	01/08/21	J		
510.					Sold	03/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Scorpio Tankers Inc (STNG)	A	Dividend			Sold	07/22/21	J		
512. Seagen Inc (SGEN)		None			Sold	03/03/21	J		
513. Second Sight Medcial Pro (EYES)		None	J	T	Buy	06/07/21	J		
514. Seven & I Hldg Co (SVNDY)	A	Dividend	J	T	Buy	05/20/21	J		
515. Sentinelone Inc (S)		None	J	T	Buy	10/14/21	J		
516. Shift Technologies Inc Cl A (SFT)		None	J	T					
517. Signify Health Inc (SGFY)		None	J	T	Buy	02/12/21	J		
518. Silvercorp Metals (SVM)	A	Dividend	J	T					
519. Snowflake Inc (SNOW)		None			Buy	08/04/21	J		
520.					Sold	11/22/21	J	A	
521. Solaredge Tech (SEDG)		None	J	T	Buy	05/11/21	J		
522. Solid Pwr Inc (SLPD)		None	J	T	Buy	12/15/21	J		
523. Sony Corp (SNE)	A	Dividend	J	T	Buy	02/19/21	J		
524. Stem Inc (STEM)		None	J	T	Buy	06/11/21	J		
525. Stoneco LTD (SNOW)		None	J	T	Buy	11/17/21	J		
526. Sunnova Energy Intl Inc (NOVA)		None	J	T	Buy	07/14/21	J		
527. Switch Inc (SWCH)		None			Buy	01/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Buy (add'l)	02/02/21	J		
529.					Sold	03/02/21	J		
530. Syros Pharmeceuticals In (SYRS)		None	J	T					
531. Teekay Tankers LTD (TNK)		None			Sold	07/22/21	J		
532. Thermo Fisher Scientific (TMO)	A	Dividend	J	T					
533. Triterras Inc F Class A (TRIT)		None			Sold	09/27/21	J		
534. Tower Semiconductor F (TSEM)		None	J	T	Buy	01/08/21	J		
535. Tusimple Hldgs Inc (TSP)		None	J	T	Buy	08/05/21	J		
536. Uipath Inc (PATH)		None	J	T	Buy	06/09/21	J		
537.					Buy (add'l)	08/09/21	J		
538.					Buy (add'l)	11/05/21	J		
539.					Buy (add'l)	11/23/21	J		
540. Urban-Gro Inc (UGRO)		None	J	T	Buy	02/05/21	J		
541. Vanda Pharmaceutical (VNDA)		None			Buy	02/11/21	J		
542.					Sold	07/30/21	J		
543. Veeco Instruments (VECO)		None	J	T					
544. Velodyne Lidar Inc (VLDR)		None	J	T	Buy (add'l)	02/17/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. Verisign Inc (VRSN)		None			Buy	03/19/21	L		
546.					Sold	03/25/21	L		
547. Verra Mobility Corp (VRRM)		None			Sold	11/24/21	J	B	
548. Veracyte Inc (VCYT)		None	J	T	Buy	05/21/21	J		
549. Vertex Inc (VERX)		None			Sold	01/11/21	J		
550. Vicor Corp (VICR)		None			Sold	10/05/21	J	B	
551. Viemed Healthcare Inc (VMD)		None	J	T					
552. Vital Farms Inc (VITL)		None	J	T					
553. Vroom Inc (VRM)		None			Sold	08/10/21	J		
554. Wheaton Precious Metal (WPM)	A	Dividend			Sold (part)	05/18/21	J		
555.					Sold	09/03/21	J		
556. WM Technology Inc (MAPS)		None	J	U	Buy	01/21/21	J		
557. Workhorse Group Inc (WKHS)		None	J	T					
558. ZoomInfo Technologies (ZI)		None			Sold	09/07/21	J	B	
559. Zuora Inc Cl A (ZUO)		None	J	T					
560. Zymeworks Inc (ZYME)		None	J	T					
561. 1Life Healthcare Inc (ONEM)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. 3D Systems Corp (DDD)		None	J	T					
563. Proshares Ultra QQQ ETF (QLD)		None	J	T	Sold (part)	09/09/21	J	B	
564.					Sold	11/10/21	J	B	
565.					Buy	11/15/21	J		
566.					Buy (add'l)	11/26/21	J		
567. Proshares Ultrashort Basic Materials (SMN)		None	J	T					
568. SPDR Dow Jones		None	J	T	Buy	03/26/21	N		
569.		None			Sold	03/29/21	M	B	
570.					Buy	11/26/21	J		
571. Vanguard Extended Duration ETF (EDV)	A	Dividend	J	T					
572. Barclays Ipth Srs B S&P (VXX)		None			Buy	01/21/21	J		
573.					Sold (part)	02/01/21	J	A	
574.					Sold	09/10/21	J	A	
575. Crown Castle Intl Co (CCI)	A	Dividend	J	T	Sold (part)	07/27/21	J	A	
576. Call anaplan Inc (PLAN)		None	J	T	Buy	10/14/21	J		
577. Call Canopy Growth Corp (CGC)		None			Sold	02/10/21	J	D	
578. Call CF Industries Hldg (CF)		None			Buy	09/15/21	J		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Sold	09/27/21	J	B	
580. Call Chewy Inc (CHWY)		None	J	T	Buy	07/14/21	J		
581.					Buy (add'l)	08/04/21	J		
582.					Sold (part)	08/16/21	J	B	
583. Call Coinbase Global (COIN)		None			Buy	05/19/21	J		
584.					Sold	10/19/21	J	C	
585. Call Davita Inc (DVA)		None			Buy	03/02/21	J		
586.					Sold	03/17/21	J	B	
587. Call Deutsche Bk Aktieng (DB)		None			Buy	01/07/21	J		
588.					Sold	02/25/21	J	A	
589. Call D R Horton Co (DHI)		None			Buy	02/26/21	J		
590.					Sold	03/29/21	J	B	
591. Call Expeditors Intl Was (EXPD)		None			Buy	03/09/21	J		
592.					Sold	07/29/21	J	B	
593. Call F5 Networks Inc (FFIV)		None			Buy	10/27/21	J		
594.					Sold	11/18/21	J	B	
595. Call Fastly Inc (FSLY)		None			Sold	01/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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596. Call Freeport-McMoran In (FCX)		None			Sold	01/21/21	J		
597. Call Go Daddy Group Inc (GDDY)		None			Buy	03/24/21	J		
598.					Sold	05/04/21	J		
599. Call Hewlett Packard Ent (HPE)		None			Buy	01/19/21	J		
600.					Sold	02/17/21	J	A	
601. Call Hexo Corp (HEXO)		None			Buy	01/07/21	J		
602.					Sold	05/06/21	J	A	
603. Call HP Inc (HPQ)		None			Buy	02/26/21	J		
604.					Sold	05/11/21	J	B	
605. Call Hyliion Hldgs Corp (HYLN)		None			Buy	01/13/21	J		
606.					Sold	02/16/21	J	B	
607. Call Interntnl Paper (IP)		None			Sold	01/20/21	J		
608. Call Interpublic Grp Cos (IPG)		None			Buy	08/09/21	J		
609.					Sold	10/05/21	J	A	
610. Call Invesco DB Commodit (DBC)		None			Buy	02/26/21	J		
611.					Sold	06/22/21	J		
612. Call Invesco QQQ TR (QQQ)		None	J	T	Buy	07/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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613.					Sold	07/27/21	J	A	
614.					Buy	08/13/21	J		
615.					Buy (add'l)	09/29/21	J		
616.					Sold	10/05/21	J		
617.					Buy	10/08/21	J		
618.					Buy	10/21/21	J		
619.					Buy (add'l)	10/22/21	J		
620.					Sold	10/27/21	J	C	
621.					Buy	11/09/21	J		
622.					Sold	11/09/21	J		
623.					Buy	11/09/21	J		
624.					Sold	11/15/21	J		
625.					Buy	11/15/21	J		
626.					Buy (add'l)	11/19/21	J		
627.					Buy	12/02/21	J		
628.					Sold (part)	12/02/21	J	C	
629.					Sold	12/20/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy	12/20/21	J		
631.					Buy (add'l)	12/20/21	J		
632.					Sold (part)	12/27/21	J	B	
633. Call Iron Mtn Inc New (IRM)		None			Buy	02/11/21	J		
634.					Sold	03/17/21	J	B	
635. Call ISHR S&P Core MD CP (IJH)		None			Buy	10/29/21	J		
636.					Sold	11/01/21	J	B	
637. Call Knight Swift Transp (KNX)		None			Buy	01/08/21	J		
638.					Sold	03/30/21	J	A	
639. Call Lennar Corp (LEN)		None			Buy	02/18/21	J		
640.					Buy (add'l)	08/17/21	J		
641.					Sold	12/14/21	J	A	
642. Call Mohawk Industries (MHK)		None			Buy	02/25/21	J		
643.					Sold	04/23/21	J		
644. Call Micron Technology (MU)		None			Buy	02/02/21	J		
645.					Sold	02/25/21	J		
646. Call Newwll Brands Inc (NWL)		None			Buy	02/18/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Sold	03/17/21	J	B	
648. Call Nike Inc (NKE)		None			Buy	01/06/21	J		
649.					Sold	03/17/21	J		
650. Call Nvidia Corp		None	J	T	Buy	11/22/21	J		
651. Call Opendoor Technologi (OPEN)		None			Buy	02/03/21	J		
652.		None			Sold	02/11/21	J	B	
653. Call Paypal Holdings Inc (PYPL)		None	J	T	Buy	03/25/21	J		
654.					Sold	04/09/21	J	B	
655.					Buy	05/20/21	J		
656.					Sold	06/21/21	J	B	
657.					Buy	08/09/21	J		
658.					Buy (add'l)	10/19/21	J		
659. Call Pulte Group Inc (PHM)		None			Buy (add'l)	02/12/21	J		
660.					Sold (part)	04/12/21	J	B	
661.					Sold	04/27/21	J	B	
662. Call Pure Storage Inc (PSTG)		None			Sold	01/12/21	J		
663.					Buy	10/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Sold	11/15/21	J		
665. Call Riot Blockchain I N (RIOT)		None	J	T	Buy	09/09/21	J		
666.					Sold	11/15/21	J	B	
667.					Buy	12/17/21	J		
668. Call Sonos Inc		None	J	T					
669. Call Southwest Airlines (LUV)		None	J	T	Buy (add'l)	12/22/21	J		
670.					Sold (part)	10/29/21	J	A	
671.					Sold	12/15/21	J		
672. Call SPDR DJIA (DIA)		None			Sold	01/06/21	J		
673.					Buy	02/01/21	J		
674.					Sold	02/02/21	J	A	
675.					Buy	02/05/21	J		
676.					Sold	02/10/21	J	A	
677.					Buy	03/03/21	J		
678.					Sold	03/04/21	J		
679.					Buy	04/14/21	J		
680.					Sold	04/15/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Buy	04/21/21	J		
682.					Sold	04/23/21	J	B	
683.					Buy	05/07/21	J		
684.					Sold	05/05/21	J	B	
685.					Buy	05/11/21	J		
686.					Buy	05/27/21	J		
687.					Sold	05/28/21	J	B	
688.					Buy	06/22/21	J		
689.					Sold	06/25/21	J	C	
690.					Buy	06/29/21	J		
691.					Sold	07/01/21	J	B	
692.					Buy	07/07/21	J		
693.					Buy	07/13/21	J		
694.					Buy	08/09/21	J		
695.					Sold	08/10/21	J	A	
696.					Buy	09/27/21	J		
697.					Buy (add'l)	10/06/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold	10/08/21	J	B	
699.					Buy	11/16/21	J		
700.					Buy	11/29/21	J		
701.					Sold	12/08/21	J	B	
702. Call SPDR Dow Jones Industrial Average (DIA)		None			Sold	01/06/21	J		
703. Call SPDR Energy (XLE)		None	J	T	Buy	10/27/21	J		
704. Call SPDR Home Bldrs (XHB)		None			Sold	01/06/21	J		
705. Call SPDR Materials (XLB)		None	J	T	Buy	11/30/21	J		
706. Call SPDR S&P 500 (SPY)		None			Buy	07/28/21	J		
707.					Sold	07/30/21	J		
708.					Buy	08/03/21	J		
709.					Sold	08/05/21	J	A	
710.					Buy	08/18/21	J		
711.					Buy (add'l)	09/09/21	J		
712.					Buy (add'l)	09/29/21	J		
713.					Buy (add'l)	10/07/21	J		
714.					Buy (add'l)	10/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Sold	10/29/21	J	A	
716.					Buy	11/10/21	J		
717.					Buy (add'l)	12/01/21	J		
718.					Sold	12/01/21	J	A	
719.					Buy	12/03/21	J		
720.					Sold	12/09/21	J	B	
721.					Buy	12/15/21	J		
722.					Sold	12/16/21	J	C	
723.					Buy	12/23/21	J		
724.					Sold	12/29/21	J		
725. Call SPDR SER TR (XES)		None			Buy	01/13/21	J		
726.					Sold	03/10/21	J		
727. Call Square Inc (SQ)		None			Buy	01/04/21	J		
728.					Sold	02/09/21	J	B	
729. Call STMicroelectronics (STM)		None			Sold	01/08/21	J		
730. Call Teradata Corp (TDC)		None			Buy	02/05/21	J		
731.					Sold	02/09/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. Call Tilray Inc		None	J	T	Buy	10/21/21	J		
733. Call Turquoise Hill Resources (TRQ)		None			Sold	03/09/21	J		
734. Call Turtle Beach Corp (HEAR)		None			Sold	01/27/21	J		
735. Call Valero Energy Corp		None			Buy	02/04/21	J		
736.					Sold	02/24/21	K	D	
737. Call Walmart Inc.		None			Buy	12/15/21	J		
738.					Sold	12/16/21	J	A	
739. Call Wayfair Inc (W)		None			Sold	01/14/21	J		
740. Call Zoom Video Communic (ZM)		None			Buy	01/14/21	J		
741.					Sold	07/07/21	J		
742. Call Zoetis Inc (ZTS)		None			Buy	03/08/21	J		
743.					Sold	04/12/21	J	C	
744. Call Zynga (ZNGA)		None			Buy	01/20/21	J		
745.					Sold	02/09/21	J	A	
746. Call 3D Systems Corp (DDD)		None	J	T	Buy	05/18/21	J		
747.					Sold	06/10/21	J	A	
748.					Buy	07/09/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. Call 3M Co (MMM)		None			Buy	09/28/21	J		
750.					Sold	09/29/21	J		
751. Put Devon Energy Corp (DVN)		None			Buy	11/29/21	J		
752.					Sold	12/01/21	J	A	
753. Put Invesco QQQ TR (QQQ)		None	J	T	Sold	11/05/21	J		
754.					Sold	11/10/21	J	B	
755.					Buy	11/15/21	J		
756.					Sold (part)	11/15/21	J		
757.					Sold (part)	12/01/21	J		
758.					Sold	12/02/21	J	B	
759.					Buy	12/20/21	J		
760.					Sold	12/20/21	J		
761. Put Lincoln Ntnl Co (LNC)		None	J	T					
762. Put Nurcor Corp (NUE)		None			Sold	08/18/21	J		
763.					Sold	08/24/21	J		
764. Put SPDR S&P 500		None	J	T	Buy	08/24/21	J		
765.					Sold	08/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Buy	11/04/21	J		
767.					Buy (add'l)	11/05/21	J		
768.					Buy (add'l)	12/08/21	J		
769.					Sold	12/09/21	J		
770.					Buy	12/30/21	J		
771. Blueknight Energy Par LP (BKEP)	A	Dividend	J	T					
772. Western Midstream Par LP (WES)	A	Dividend	J	T					
773. Account #8 (H)									
774. Fidelity HSA		None	L	T					
775. Account #9 (H)									
776. Materra LLC (02657429)		None	M	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Value method "V" represents cash contributed to date.

Part VII, line 5: Purchased from Terrence [redacted].

Account #3 is controlled and managed by the filer's former employer. The filer is permitted to adjust percentages of investment for each position, but the timing of any transactions is within the exclusive purview of the manager. Despite request, specific transaction dates are not available to the filer. In the absence of more precise information, transactions are reported as of the second business day of the quarter in which they occurred.

Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual puts and calls can be used to either open or close contracts; they do not generate income. Options devalue based upon market conditions and expiration dates, therefore they routinely do not have value at the end of a reporting period; this occurs without any type of reportable transaction. Those options which have value at the end of the reporting period are reflected accordingly in Column C. Purchases and sales of any optioned holdings are reported separately from these contracts.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James R. Sweeney II

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544