AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sweeney II, James R.	U.S. District Court, Southern District of Indiana	08/14/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Courthouse 46 E. Ohio Street Indianapolis, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executive Committee Member	The Reserve Officers Association of the United States
2.	Member	Atir Semaj LLC
3.	Member	Brebeuf Preparatory School Alumni Board
4.	Member	Homeless Veterans and Families
5.	Member	Indiana Bar Association Foundation
6.	U.S. Vice President and Head of Delegation	CIOR
7.	Vice Chair, Board of Trustees	Brebeuf Preparatory School
8.	Selection Committee	Indiana Military Veterans Hall of Fame

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2018	Barnes & Thornburg LLP-Partnership Agreement
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason Center for Law & Economics	May 12-13, 2022	Arlington, VA	Fourth Annual Scalia Lecture	Transporation, meals, lodging
2.	The Reserve Officers Association of the United States	July 31 - August 9, 2022	Athens, Greece	CIOR Summer Congress, Head of U.S. Delegation	Transporation, meals, lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	National Bank of Indianapolis	Rental Property Mortgage	M
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Alliant FCU (cash)	B	Interest	M	T					
3. National Bank of Indianapolis (cash)		None	J	T					
4. Rental Property, Marion County, IN	D	Rent	N	W					
5. NFCU (cash)	D	Interest	P1	T					
6. Navy Mutual Aid Association (whole life insurance policy)	A	Dividend	N	T					
7. Gard Group LLC		None	K	V					
8. Account #1 (H)									
9. Stifel Insured Bank Account (cash) (Y)									
10. Abbvie Inc (ABBV)	A	Dividend			Sold	08/03/22	J	A	
11. Alphabet Inc Cl A (GOOGL)		None			Buy (add'l)	06/16/22	J		
12.					Buy (add'l)	07/07/22	J		
13.					Sold	08/03/22	J		
14. Anta Sports Products (ANPDY)	A	Dividend			Sold	03/14/22	J		
15. Apple Inc (AAPL)	A	Dividend			Sold	08/03/22	K	A	
16. Broadcom Inc (AVGO)	A	Dividend			Sold (part)	04/14/22	J	A	
17.					Sold	08/03/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Cme Group Inc Cl A (CME)	A	Dividend			Sold	08/03/22	J		
19. Chevron Corp (CVX)	A	Dividend			Buy (add'l)	02/08/22	J		
20.					Sold (part)	06/27/22	J	C	
21.					Sold (part)	07/06/22	J	A	
22.					Sold	08/03/22	J	B	
23. Citizens Financial (CFG)	A	Dividend			Sold	08/03/22	J		
24. Comcast Corp CL A New (CMCSA)	A	Dividend			Sold	02/08/22	J		
25. Costco Wholesale Corp (COST)	A	Dividend			Buy (add'l)	04/14/22	J		
26.					Sold	08/03/22	J	A	
27. Crown Castle Intl Co (CCI)	A	Dividend			Buy	04/14/22	J		
28.					Sold (part)	06/16/22	J		
29.					Sold	06/17/22	J		
30. Dollar General Corp (DG)		None			Buy	07/07/22	J		
31.					Sold	08/03/22	J		
32. Duke Energy Corp (DUK)	A	Dividend			Buy	04/26/22	J		
33.					Sold	08/03/22	J		
34. Walt Disney Co (DIS)		None			Sold	04/14/22	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. EOG Resources Inc (EOG)	A	Dividend			Buy	02/08/22	J		
36.					Sold (part)	06/27/22	J	A	
37.					Sold (part)	07/06/22	J		
38.					Sold	08/03/22	J		
39. Fastenal Co (FAST)	A	Dividend			Sold	08/03/22	J		
40. General Motors Co (GM)		None			Sold	02/08/22	J		
41. Home Depot (HD)	A	Dividend			Sold	08/03/22	J		
42. Honeywell Intl Inc (HON)		None			Sold	02/08/22	J		
43. Intercontinental Exchange Inc (ICE)	A	Dividend			Buy (add'l)	02/08/22	J		
44.					Sold	08/03/22	J		
45. JP Morgan Chase & Co (JPM)	A	Dividend			Sold	08/03/22	J		
46. L'Oreal Company ADR (LRLCY)	A	Dividend			Buy	02/09/22	J		
47.					Buy (add'l)	02/11/22	J		
48.					Sold	08/03/22	J		
49. Lvmh Moet Hennessy Louis Vuitton Adr (LVMUY)	A	Dividend			Sold	04/26/22	J		
50. Estee Lauder Co Inc (EL)	A	Dividend			Sold	08/03/22	J		
51. Lockheed Martin Corp (LMT)	A	Dividend			Buy (add'l)	02/25/22	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52.					Sold	08/03/22	J	B	
53. Mastercard Inc Cl A (MA)	A	Dividend			Sold	08/03/22	J		
54. Mcdonalds (MCD)		None			Sold	02/08/22	J	A	
55. Microsoft Corp (MSFT)	A	Dividend			Sold	08/03/22	K		
56. Morgan Stanley (MS)	A	Dividend			Sold	04/26/22	J		
57. Newmont Corp (NEM)	A	Dividend			Sold	08/03/22	J		
58. Nike Inc (NKE)	A	Dividend			Sold	08/03/22	J		
59. Novo Nordisk As Adr (NVO)	A	Dividend			Sold	08/03/22	J		
60. Pfizer Inc (PFE)	A	Dividend			Buy	04/14/22	J		
61.					Sold	08/03/22	J		
62. Qualcomm Inc (QCOM)	A	Dividend			Buy	02/08/22	J		
63.					Buy (add'l)	07/07/22	J		
64.					Sold	08/03/22	J		
65. Raytheon Technologies (RTX)	A	Dividend			Buy	02/08/22	J		
66.					Sold	08/03/22	J		
67. Simon Property Group Inc New (SPG)	A	Dividend			Sold	08/03/22	J		
68. Skyworks Solutions Inc (SWKS)	A	Dividend			Sold	04/14/22	J		

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69. Starbucks Corp (SBUX)		None			Sold	02/08/22	J		
70. Texas Instruments Inc (TXN)	A	Dividend			Sold (part)	04/26/22	J		
71.					Sold	08/03/22	J		
72. Union Pacific Corp (UNP)	A	Dividend			Sold	08/03/22	J		
73. Unitedhealth Group Inc (UNH)	A	Dividend			Sold	08/03/22	K	C	
74. Walmart Inc (WMT)	A	Dividend			Sold	02/08/22	J		
75.					Buy	04/14/22	J		
76.					Sold	08/03/22	J		
77. Waste Management (WM)	A	Dividend			Sold	08/03/22	J	A	
78. Whirlpool Corp (WHR)		None			Sold	02/09/22	J		
79. Accenture PLC Ireland (CAN)	A	Dividend			Sold (part)	02/25/22	J		
80.					Sold	08/03/22	J		
81. Vanguard Growth Index Admiral CL (VIGAX)	A	Dividend	M	T	Buy	08/04/22	N		
82. Account #2 (H)									
83. Fidelity 500 Index Institution (FXAIX)		None	L	T					
84. Fidelity Low-Priced Stock (FLPSX)		None	K	T					
85. Harbor Capital Appr Ret (HNACX)		None	K	T					

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86. Keybank EB Magic 20 Fund (EBMGICX)		None	N	T					
87. Pimco Total Return Instl (PTTRX)		None	N	T					
88. Schwab Treas Infl-Prot Sec Idx (SWRSX)		None	N	T					
89. Account #3 (H)									
90. Charles Schwab Bank (cash)	A	Interest	N	T					
91. Adobe Systems Inc (ADBE)		None			Sold	07/19/22	J		
92. Alibaba Group Holding LTD (BABA)		None			Sold	01/20/22	J		
93. Alphabet Inc/CA-CL A (GOOGL)		None			Sold	07/28/22	K		
94. Amazon Com Inc (AMZN)		None			Sold	07/05/22	J		
95. Apple Inc (AAPL)	A	Dividend			Sold	07/19/22	K		
96. Churchill Downs Inc (CHDN)	A	Dividend			Sold	07/15/22	J		
97. Comcast Corp (CMCSA)	A	Dividend			Sold	07/15/22	J		
98. Costar Group Inc (CSGP)		None			Sold	07/15/22	J		
99. Draftkings Inc Cl A (DKNG)		None			Sold	07/15/22	J		
100. Endeavor Group Holdings (EDR)		None			Sold	01/20/22	J	B	
101. Ferrarri NV (RACE)		None			Sold	01/20/22	J		
102. First Horizion Corporation (FHN)	A	Dividend			Sold	07/15/22	J	A	

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103. General Motors Co (GM)		None			Sold	07/15/22	J		
104. Intercontinental Exchange Inc (ICE)	A	Dividend			Sold	07/15/22	J		
105. Intuitive Surgical Inc (ISRG)		None			Sold	07/15/22	J		
106. Lilly Eli & Co (LLY)	A	Dividend	K	T					
107. Live Nation Entertainment Inc (LYV)		None			Sold	07/15/22	J		
108. LVMH Moet Hennessy Louis (LVMUY)	A	Dividend			Sold	07/15/22	J		
109. Madison Square Garden Sports Corp (MSGS)		None			Sold	01/20/22	J		
110. Martin Marietta Materials Inc (MLM)	A	Dividend			Sold	07/22/22	J		
111. Mastercard Inc Cl A (MA)	A	Dividend			Sold	07/18/22	J		
112. Match Group Inc (MTCH)		None			Sold	07/18/22	J		
113. Meta-Platforms (FB)		None			Sold	07/15/22	J		
114. Microsoft Corp (MSFT)	A	Dividend			Sold	07/15/22	K		
115. Morgan Stanley (MS)	A	Dividend			Sold	07/15/22	J		
116. National Energy Services Reu (NESR)		None			Sold	08/11/22	J		
117. NCR Corp (NCR)		None			Sold	07/15/22	J		
118. Paypal Holdings Inc-W/I (PYPL)		None			Sold	04/26/22	J		
119. Pernod Ricard (PDRDF)	A	Dividend			Sold	07/15/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
120. Pfizer Inc (PFE)	A	Dividend			Sold	08/23/22	J		
121. Pinduo Inc-Adr (PDD)		None			Sold	01/20/22	J		
122. Qorvo Inc (QRVO)		None			Sold	04/26/22	J		
123. Shenzhou Internati-Unsp Adr (SHZHY)		None			Sold	01/20/22	J		
124. Skyworks Solutions Inc (SWKS)	A	Dividend			Sold	04/26/22	J		
125. Southwest Airlines Co (LUV)		None			Sold	01/20/22	J		
126. Take Two Interactive Software (TTWO)		None			Sold	01/20/22	J		
127. Tencent Holdings Ltd (TCEHY)		None			Sold	01/20/22	J		
128. Tesla Inc (TSLA)		None			Sold	07/18/22	J		
129. Texas Instruments Inc (TXN)	A	Dividend			Sold	07/15/22	J		
130. Twitter Inc (TWTR)		None			Sold	01/20/22	J		
131. Union Pacific Corp (UNP)	A	Dividend			Sold	07/19/22	J		
132. Unitedhealth Group Inc (UNH)	A	Dividend			Sold	07/15/22	K	A	
133. Walt Disney Co (DIS)		None			Sold	04/26/22	J		
134. Western Alliance Bancorp (WAL)	A	Dividend			Sold	07/15/22	J		
135. World Wrestling Ent. Inc (WWE)		None			Sold	01/20/22	J	A	
136. Zebra Technologies Corp Class A (ZBRA)		None			Sold	07/15/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. Zions Bancorporation N.A. (ZION)	A	Dividend			Sold	07/15/22	J		
138. Ishares MSCI Europe Financials ETF (EUFN)	A	Dividend	J	T	Buy	02/09/22	J		
139. Ishares MSCI Global Metals & Mining (PICK)	A	Dividend	J	T	Buy	02/09/22	J		
140. SPDR S&P Oil & Gas ETF (XOP)	A	Dividend	J	T	Buy	03/30/22	J		
141. US Global Jets ETF (JETS)	A	Dividend	J	T	Buy	02/09/22	J		
142. Account #4 (H)									
143. Stifel Insured Bank Account (cash)	A	Interest	J	T					
144. Adobe Inc (ADBE)		None	J	T					
145. Alphabet Inc Cl A (GOOGL)		None	L	T	Buy (add'l)	06/16/22	J		
146. Amazon.com Inc (AMZN)		None			Sold	08/03/22	K	D	
147. Apple Inc (AAPL)	A	Dividend	K	T					
148. Autodesk Inc (ADSK)		None			Sold	04/26/22	J		
149. Booking Holdings Inc (BKNG)		None			Buy	05/23/22	J		
150.					Sold	08/03/22	J		
151. Bumble Inc Cl A (BMBL)		None			Buy	07/29/22	J		
152.					Sold	08/03/22	J		
153. Church & Dwight Company Inc (CHD)	A	Dividend			Buy	04/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
154.					Sold	08/03/22	J		
155. Churchill Downs Inc (CHDN)	A	Dividend	J	T					
156. Costar Group Inc (CSGP)		None			Sold	04/26/22	J	D	
157. Costco Wholesale Corp (COST)	A	Dividend			Buy	04/26/22	K		
158.					Sold	08/03/22	K		
159. Crowdstrike Holdings Inc (CRWD)		None			Sold	08/03/22	J		
160. Crown Castle Intl Co (CCI)	A	Dividend			Buy	04/26/22	K		
161.					Sold	06/17/22	J		
162. Delta Airelines Inc (DAL)		None			Sold	06/17/22	J		
163. Duke Energy Corp (DUK)	A	Dividend			Buy	04/26/22	K		
164.					Sold	08/03/22	K		
165. Walt Disney Co (DIS)		None			Sold	04/20/22	K	A	
166. EOG Resources Inc (EOG)	A	Dividend			Buy	01/14/22	J		
167.					Sold	08/03/22	J		
168. Evri Holdings Inc (EVRI)		None			Sold (part)	02/25/22	J		
169.					Sold	04/25/22	K		
170. Expedia Group Inc (EXPE)		None			Buy (add'l)	02/25/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
171.					Sold	05/19/22	J		
172. Meta Platforms (FB)		None			Sold (part)	04/20/22	J	C	
173.					Sold	08/03/22	J	A	
174. Fastenal Co (Fast)	A	Dividend			Sold	08/03/22	J		
175. First Horizon Corp (FHN)	A	Dividend			Sold	03/10/22	K	D	
176. General Motors Co (GM)		None			Sold	04/26/22	J		
177. Intercontinental Exchange (ICE)	A	Dividend			Sold	08/03/22	K	D	
178. Intuitive Surgical Inc (ISRG)		None			Sold	08/03/22	J	C	
179. Keycorp (KEY)	A	Dividend			Buy	01/12/22	K		
180.					Sold	08/03/22	J		
181. LVMH Moet Hennessy Louis Vuitton ADR (LVMUY)	A	Dividend	J	T	Sold (part)	04/26/22	J	C	
182. Liberty Formula One (FWONK)		None	K	T					
183. Eli Lilly & Co (LLY)	A	Dividend	K	T	Sold (part)	04/12/22	J	C	
184. Live Nation Entertainment Inc (LYV)		None			Sold	06/17/22	J	A	
185. Martin Marietta Materials Inc (MLM)	A	Dividend	K	T	Sold (part)	01/12/22	J	C	
186. Mastercard Inc Cl A (MA)	A	Dividend	K	T					
187. Merck & Company Inc New (MRK)	A	Dividend	K	T	Buy	08/11/22	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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188. Match Group Inc (MTCH)		None			Sold	07/29/22	J	C	
189. Microsoft Corp (MSFT)	A	Dividend	L	T					
190. Morgan Stanley (MS)	A	Dividend			Sold	01/12/22	K	D	
191. National Energy Services (NESR)		None			Sold (part)	01/12/22	J		
192.					Sold (part)	01/13/22	J		
193.					Sold (part)	01/19/22	J		
194.					Sold	01/20/22	J		
195. NCR Corp New (NCR)		None			Sold	05/20/22	J		
196. Owens Corning Inc New (OC)	A	Dividend			Sold	01/12/22	K		
197. Paypal Holdings Inc (PYPL)		None	J	T	Buy (add'l)	02/25/22	J		
198.					Sold (part)	04/20/22	J	C	
199.					Sold	04/21/22	J	B	
200.					Buy	08/11/22	K		
201. Pfizer Inc (PFE)	A	Dividend			Buy (add'l)	04/26/22	J		
202.					Sold	08/11/22	K	D	
203. Pioneer Natural Resources (PXD)	A	Dividend			Buy (add'l)	01/12/22	J		
204.					Sold	08/03/22	K	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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205. Qorvo Inc (QRVO)		None			Sold (part)	04/13/22	J		
206.					Sold	04/14/22	J		
207. Qualcomm Inc (QCOM)	A	Dividend			Buy	01/12/22	K		
208.					Buy (add'l)	02/25/22	J		
209.					Sold	08/03/22	J		
210. Shenzhou Intl Group Holdings ADR (SHZHY)		None			Sold	01/12/22	K	C	
211. Tesla Inc (TSLA)		None			Sold	08/03/22	J	B	
212. Texas Instruments Inc (TXN)	A	Dividend	J	T	Sold (part)	04/26/22	J	B	
213. Thermo Fisher Scientific (TMO)	A	Dividend			Buy	04/26/22	K		
214.					Sold	08/03/22	K	C	
215. Union Pacific Corp (UNP)	A	Dividend	K	T					
216. UnitedHealth Group Inc (UNH)	A	Dividend	K	T	Buy (add'l)	02/25/22	J		
217.					Buy (add'l)	03/23/22	J		
218. Veritex Holdings Inc (VBTX)	A	Dividend			Buy	03/10/22	J		
219.					Buy (add'l)	03/11/22	J		
220.					Buy (add'l)	03/14/22	J		
221.					Buy (add'l)	03/15/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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222.					Buy (add'l)	03/18/22	J		
223.					Sold	08/03/22	J		
224. Walmart Inc (WMT)	A	Dividend			Buy	04/26/22	K		
225.					Sold	08/03/22	K		
226. Western Alliance Bancorp (WAL)	A	Dividend			Sold (part)	02/25/22	J	B	
227.					Sold	08/03/22	J	B	
228. Zebra Technologies Corp Cl A (ZBRA)		None			Sold	04/26/22	J	D	
229. Zions Bancorporation N A (ZION)	A	Dividend			Sold (part)	03/29/22	J	C	
230.					Sold	08/03/22	J	C	
231. Pernod Ricard (PDRDF)	A	Dividend			Sold	08/03/22	J	B	
232. Linde PLC (LIN)	A	Dividend			Buy	01/12/22	K		
233.					Sold	08/03/22	J		
234. ASML Holdings (ASML)	A	Dividend			Sold	07/06/22	J		
235. Ferrari NV (RACE)	A	Dividend	J	T					
236. Dodge & Cox Stock (DODGX)	D	Dividend	M	T	Buy	08/04/22	M		
237. Vanguard Dividend Growth Investory CL (VDIGX)	D	Dividend	N	T	Buy	08/04/22	N		
238. Account #5 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
239. Stifel Insured Bank Account (cash)	A	Interest	J	T					
240. Lebanon IN Cmnty Sch Corp B/E B/Q 2.750% Due 01/15/23 (522508BX7)	A	Interest	K	T	Buy	01/20/22	K		
241. Ivy Tech Cmnty Cllg IN RFDG Stdnt Fee Ser O B/E (46603ACS8)	A	Interest			Redeemed	01/03/22	K		
242. Carlisle Sullivan IN Sch Bldg Corp RFDG 1st Mtg (142523BX6)	A	Interest			Redeemed	01/18/22	K		
243. Franklin TWP IN Mult Sch Bldg Corp RFDG B/E 1st Mtg Ser A (355137BU3)	A	Interest	J	T					
244. Crown Point IN Mlti Sch Bldg Corp RFDG 1st Mtg B/E (228485KN7)	B	Interest	K	T					
245. Greenfield IN Sew Wke REV B/E PTC Cpn 3.000% Due 01/01/26 (395028DP5)	A	Interest	K	T					
246. Hamilton Sthestrn In Cons Sch Bldg Corp Rfdg 1st mtg Ser B Rev B/E 5.0	A	Interest	K	T	Buy	01/20/22	K		
247. Plainfield IN Cmnty High Sch Bldg Corp RFDG 1st (726286EM5)	A	Interest	K	T					
248. Decatur TWP Marion Cnty IN Mult Sch Bldg Corp 1st (243360EZ3)	A	Interest	K	T					
249. Washington St Various Purp Ser D B/E 5.000% Due 02/01/29 (93974DDM7)	B	Interest	K	T	Buy	01/04/22	K		
250. Indianapolis In Gas Util Rev Rfdg 2nd Lien Ser A B/E 5.250% Due 08/15/	B	Interest	K	T	Buy	01/13/22	K		
251. Carmel IN Loc Pub Impt Bd Bk Rev Multpurp B/E PTC 5.000% Due 07/15/30	A	Interest	K	T	Buy	03/24/22	K		
252. Plainfield IN Cmnty High Sch Bldg 1st Mtg Ser A Rev B/E St Intrcpt PTC	A	Interest	K	T	Buy	04/25/22	K		
253. South Adams IN Sch Bldg Corp 1st Mtg RFDG & Imp (836193DB5)	A	Interest	K	T					
254. Mt Vernon IN Sch Bldg Corp RFDG (623495EQ7)	A	Interest	K	T					
255. CitiBank NA Sioux Falls SD CD (cash)	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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256. Account #6 (H)									
257. Schwab Cash & Money Market Account (cash)	A	Interest	M	T					
258. Acadia Phamaceutical (ACAD) (Y)									
259. Accolade Inc (ACCD) (Y)									
260. Acutus Med Inc (AFIB) (Y)									
261. Adaptive Biotechnologies (ADPT) (Y)									
262. Adyen NV Unsposored ADR (ADYEY)		None			Sold	07/18/22	J	A	
263. Aeva Technologies Inc (AEVA) (Y)									
264. Agrify Corp (AGFY) (Y)									
265. Akoustis Technologies Inc (AKTS) (Y)									
266. Altria Group Inc (MO)	A	Dividend			Sold	07/19/22	J	B	
267. Amkor Technology Inc (AMKR)	A	Dividend			Buy	02/23/22	J		
268.					Sold	08/11/22	J	B	
269. Amryt Pharma PLC (AMYT)		None			Sold	08/11/22	J		
270. Amyris Inc (AMRS} (Y)									
271. Anterix Inc (ATEX)		None			Sold	08/11/22	J	A	
272. Aon Plc (AON)	A	Dividend			Sold	07/05/22	J	A	

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273. Aquabounty Technologies (AQB) (Y)									
274. Astra Space Inc (ASTR) (Y)									
275. Atomera Inc (ATOM)		None			Sold	08/11/22	J		
276. Aurora Innovation (AUR) (Y)									
277. Aveo Pharmaceuticals (AVEO) (Y)									
278. Azure Pwr Global LTD F (AZRE) (Y)									
279. Bath & Body Wks Inc (BBWI)	A	Dividend			Sold	08/11/22	J		
280. Beam Therapeutics inc (BEAM)		None			Sold	08/11/22	J		
281. Berkley Lights Inc (BLI) (Y)									
282. Biocryst Pharmaceuticals (BCRX)		None			Sold	07/18/22	J	A	
283. Biodesix Inc (BDSX) (Y)									
284. Bitfarms LTD (BFARF) (Y)									
285. Blade Air Mobility Inc CL A (BLDE)		None			Sold	08/11/22	J		
286. Block H & R Incorp (HRB) (Y)									
287. Blue Bird Corp (BLBD) (Y)									
288. Byrna Technologies Inc (BYRN) (Y)									
289. Calibre Mining Corp (CXBMF) (Y)									

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290. Canadian Solar Inc (CSIQ)		None			Sold	07/18/22	J	A	
291. Change Healthcare Inc (CHNG)		None			Sold	07/05/22	J	B	
292. Cipher Mining Inc (CIFR) (Y)									
293. Cohen Steers Qual Income (RQI)	A	Dividend	J	T					
294. Coinbase Gloal Inc (COIN)		None			Sold	08/11/22	J		
295. Compania De Minas Buen F Sponsored ADR (BVN) (Y)									
296. Concored Aquisition Corp (CND)		None			Sold	08/11/22	J		
297. Contextlogic Inc (WISH) (Y)									
298. Core Scientific Inc CL A (CORZ)		None			Sold	08/11/22	J		
299. Cummins Inc (CMI)	A	Dividend	J	T					
300. C3 Ai Inc (AI) (Y)									
301. DXC Technology Co (DXC)		None			Sold	07/05/22	J	B	
302. Danimer Scientific Inc (DNMR) (Y)									
303. Datto Hldg Corp (MSP)		None			Sold	04/11/22	J		
304. Digitalocean Hldgs Inc (DOCN)		None			Buy	01/25/22	J		
305.					Sold	08/11/22	J		
306. Diffusion Pharmaceutical (DFFN (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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307. Domo Inc (DOMO)		None			Sold	08/11/22	J		
308. Dun Bradst Hldg Inc (DNB)		None			Sold	08/11/22	J		
309. Energy Fuels Inc (UUUU)		None			Sold	08/11/22	J		
310. Entegris Inc (ENTG)	A	Dividend			Sold	07/05/22	J	B	
311. Evolus Inc (EOLS) (Y)									
312. Exact Sciences Corporati (EXAS) (Y)									
313. Federal Home LN MTG Corp (FMCC) (Y)									
314. Federal Natl Mtg Assn Gt (FNMA)		None			Sold	08/11/22	J		
315. Fiverr Interntnl LTD (FVRR) (Y)									
316. Fluidgm Corp Del (FLDM) (Y)									
317. Formfactor Inc (FORM)		None			Sold	07/19/22	J	B	
318. Franco Nevada Corp (FNV)		None			Buy	03/25/22	J		
319.					Sold	08/11/22	J		
320. General Motors (GM)		None			Sold	08/23/22	J		
321. Gilead Sciences Inc (GILD)	A	Dividend			Sold	08/11/22	J		
322. Gopro Inc (GPRO)		None			Sold	08/11/22	J		
323. Grayscale Ethereum T (ETHE) (Y)									

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324. Greenbox POS (GBOX)		None			Sold	08/11/22	J		
325. Halozyme Therapuetic (HALO)		None			Sold	07/19/22	J	A	
326. Heritage Global Inc (HGBL)		None			Sold	08/11/22	J		
327. Hut Eight Mining Corp F (HUT)		None			Buy	01/26/22	J		
328.					Sold	08/11/22	J		
329. Hydrofarm Hlds Group In (HYFM) (Y)									
330. Hyliion Hldgs Corp (HYLN) (Y)									
331. IAC/Interactivecorp New (IAC) (Y)									
332. Immatics NV (IMTX)		None			Sold	08/11/22	J		
333. Impala Platinum (IMPUY)	A	Dividend			Sold	08/11/22	J		
334. Inseego Corp (INSG) (Y)									
335. Intellia Therapeutics In (NTLA) (Y)									
336. Ipower Inc (IPW) (Y)									
337. IRobot Corp (IRBT)		None			Buy (add'l)	01/14/22	J		
338.					Sold	08/11/22	J		
339. Ironsource Ltd (IS) (Y)									
340. Joby Aviation Inc (JOBY)		None			Sold	08/11/22	J		

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341. Knowbe4 Inc (KNBE)		None			Sold	08/11/22	J		
342. Lendingclub Corp (LC)		None			Sold	07/20/22	J	A	
343. Ligand Pharma (LGND)		None			Sold	08/11/22	J		
344. Lilium N V (LILM) (Y)									
345. Livent Corp (LTHM)		None			Sold	07/18/22	J	A	
346. Lumen Technologies Inc (LUMN)	A	Dividend			Sold	08/11/22	J		
347. Magnachip Semiconduc (MX)		None			Sold	08/11/22	J		
348. Marqeta Inc (MQ) (Y)									
349. Matson Inc (MATX)	A	Dividend			Sold	08/12/22	J		
350. Momentus Inc (MNTS) (Y)									
351. Motorsport Games Inc (MSGM) (Y)									
352. Natera Inc (NTRA)		None			Sold	07/20/22	J	A	
353. Nautilus Biotechnology F (NAUT) (Y)									
354. Ncino Inc New (NCNO) (Y)									
355. Nintendo LTD Sponsored ADR (NTDOY)	A	Dividend			Sold	08/11/22	J		
356. Opera LTD (OPRA) (Y)									
357. Otis Worldwide Corp (OTIS)	A	Dividend			Sold	07/12/22	J	B	

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
358. Owl Rock Capital Corp (ORCC)	A	Dividend			Sold	08/11/22	J	A	
359. Palantir Technologies In (PLTR)		None			Sold	08/11/22	J		
360. PaySafe LTD (PSFE) (Y)									
361. Phreesia Inc (PHR) (Y)									
362. Piedmont Lithium LTD		None			Sold	08/11/22	J	A	
363. Planet Fitness Inc (PLNT)		None			Sold	08/11/22	J		
364. Poshmark Inc (POSH) (Y)									
365. Power & Digital Inftastr (XPDI)		None			Merged (with line 298)	01/20/22	J		
366. Proto Labs Inc (PRLB)		None			Sold	08/11/22	J		
367. Pure Storage Inc (PSTG)		None			Sold	08/11/22	J	A	
368. Rapid Seven Inc (RPD)		None			Sold	08/11/22	J		
369. Rekor Systems Inc (REKR) (Y)									
370. Relay Therapeutics Inc (RLAY) (Y)									
371. Repare Therapeutics In F (RPTX) (Y)									
372. Revolve Group Inc (RVLV)		None			Sold	08/11/22	J		
373. Robinhood Mkts Inc (HOOD) (Y)									
374. Roblox Corp (RBLX)		None			Sold	08/11/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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375. Royal Caribbean Group (RCL) (Y)									
376. Second Sight Medcial Pro (EYES) (Y)									
377. Seven & I Hldg Co (SVNDY)	A	Dividend			Sold	08/12/22	J		
378. Sentinelone Inc (S) (Y)									
379. Shift Technologies Inc Cl A (SFT) (Y)									
380. Signify Health Inc (SGFY)		None			Sold	08/12/22	J		
381. Silvercorp Metals (SVM)	A	Dividend			Sold	08/12/22	J		
382. Solaredge Tech (SEDG)		None			Sold	07/19/22	J	A	
383. Solid Pwr Inc (SLPD)		None			Sold	08/12/22	J		
384. Sony Group Corp (SONY)	A	Dividend			Sold	08/12/22	J		
385. Standard Biotools Inc (LAB) (Y)									
386. Stem Inc (STEM) (Y)									
387. Stoneco LTD (SNOW)		None			Sold	08/12/22	J		
388. Sunnova Energy Intl Inc (NOVA)		None			Sold	08/12/22	J		
389. Syros Pharmeceuticals In (SYRS) (Y)									
390. Thermo Fisher Scientific (TMO)	A	Dividend			Sold	07/05/22	J	B	
391. Tower Semiconductor F (TSEM)		None			Sold	02/15/22	J	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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392. Tusimple Hldgs Inc (TSP) (Y)									
393. Uipath Inc (PATH)		None			Sold	08/12/22	J		
394. Urban-Gro Inc (UGRO) (Y)									
395. Veeco Instruments (VECO)		None			Sold	07/05/22	J	A	
396. Velodyne Lidar Inc (VLDR) (Y)									
397. Veracyte Inc (VCYT)		None			Sold	08/12/22	J		
398. Viemed Healthcare Inc (VMD)		None			Sold	08/12/22	J		
399. Vimeo Inc (VMEO) (Y)									
400. Vital Farms Inc (VITL) (Y)									
401. WM Technology Inc (MAPS) (Y)									
402. Workhorse Group Inc (WKHS) (Y)									
403. Zuora Inc Cl A (ZUO)		None			Sold	08/12/22	J		
404. Zymeworks Inc (ZYME) (Y)									
405. 1Life Healthcare Inc (ONEM)		None			Sold	08/10/22	J		
406. 3D Systems Corp (DDD)		None			Sold	01/21/22	J	B	
407. Invesco Electric Vehicle (EVMT)	A	Dividend	J	T	Buy	05/09/22	J		
408. Invesco Solar ETF (TAN)		None	J	T	Buy	09/21/22	J		

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409. Invsc Actvly Mng Optm YD (PDBC)	A	Dividend	J	T	Buy	08/22/22	J		
410. Ishares MSCI Brazil ETF (EWZ)	A	Dividend	J	T	Buy	05/03/22	J		
411. Proshares Short Dow 30 ETF (DOG)	A	Dividend	J	T	Buy	06/30/22	J		
412. Proshares Short QQQ ETF (PSQ)	A	Dividend	J	T	Buy	06/30/22	J		
413. Proshares Ultra QQQ ETF (QLD)	A	Dividend	J	T					
414. Proshares Ultrashort Basic (SMN) (Y)									
415. Select Sector UTI Select (XLU)		None	J	T	Buy	09/21/22	J		
416. SPDR Dow Jones (DIA)	A	Dividend	J	T					
417. SPDR S&P Metals & Mining (XME)	A	Dividend	J	T	Buy	04/05/22	J		
418. SPDR S&P 500 ETF (SPY)	A	Dividend			Sold	07/13/22	J		
419. Vaneck Gold Miners ETF (GDX)	A	Dividend	J	T	Buy	04/12/22	J		
420. Vanguard Extended Duration ETF (EDV)	A	Dividend	J	T					
421. Blueknight Energy Par LP (BKEP)	A	Dividend			Sold	07/05/22	J	D	
422. Western Midstream Par LP (WES)	A	Dividend			Sold	07/05/22	J	C	
423. Call Accenture PLC Exp (CAN) 03/18/22 (X) (Y)									
424. Call Activision Blizzard (ATVI) Exp 05/20/22		None			Buy	04/05/22	J		
425.					Sold	04/12/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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426. Call Activision Blizzard (ATVI) Exp 01/20/23		None			Buy	05/09/22	J		
427.					Sold	08/11/22	J		
428. Call Amazon.com Inc (AMZN) Exp 05/06/22		None			Buy	04/05/22	J		
429.					Expired	05/06/22	J		
430. Call Block Inc (SQ) Exp 01/20/23 (X) (Y)									
431. Call Coinbase Global Inc (COIN) Exp 01/20/23 (X) (Y)									
432. Call CVS Helath Corp (CVS) Exp 01/21/22		None			Sold	01/07/22	J		
433. Call Invesco QQQ TR (QQQ) Exp 01/07/22		None			Buy	01/07/22	J		
434.					Sold	01/07/22	J		
435. Call Invesco QQQ TR (QQQ) Exp 03/04/22		None			Buy	02/18/22	J		
436.					Sold	02/25/22	J		
437. Call Invesco QQQ TR (QQQ) Exp 06/17/22 (X) (Y)									
438. Call ISHR Canada (EWC) Exp 09/16/22		None			Buy	03/22/22	J		
439.					Expired	09/16/22	J		
440. Call Ishares MSCI Japan (EWJ) Exp 01/20/23		None	J	T	Buy	04/05/22	J		
441. Call ISHR Russell 2000 (IWM) Exp 01/14/22		None			Buy	01/03/22	J		
442.					Expired	01/14/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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443. Call ISHR Russell 2000 (IWM) Exp 04/14/22 (X) (Y)									
444. Call ISHR Russell 2000 (IWM) Exp 06/01/22		None			Buy	05/26/22	J		
445.					Sold (part)	05/31/22	J		
446.					Sold	06/01/22	J		
447. Call ISHR Russell 2000 (IWM) Exp 06/10/22		None			Buy	05/31/22	J		
448.					Sold	06/03/22	J		
449. Call ISHR Russell 2000 (IWM) Exp 07/29/22		None			Buy	07/20/22	J		
450.					Sold	07/22/22	J		
451. Call ISHR S&P Core MD CP (IJH) Exp 02/18/22		None			Buy	01/20/22	J		
452.					Buy (add'l)	02/07/22	J		
453.					Sold	02/14/22	J		
454. Call ISHR S&P Core MD CP (IJH) Exp 03/18/22		None			Buy	02/28/22	J		
455.					Sold	03/01/22	J		
456. Call ISHR S&P Core MD CP (IJH) Exp 06/17/22		None			Buy	05/25/22	J		
457.					Sold	05/26/22	J		
458. Call ISHR Silver TR (SLV) Exp 10/21/22		None			Buy	04/12/22	J		
459.					Expired	10/21/22	J		

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460. Call Jetblue Airways Cor (JBLU) Exp 07/29/22 (X) (Y)									
461. Call Las Vegas Sands Cor (LVS) Exp 04/14/22 (X) (Y)									
462. Call Lithium Amers Corp (LAC) Exp 08/19/22		None			Buy	02/17/22	J		
463.					Sold	03/24/22	J		
464. Call Meta Platforms Inc (FB) Exp 03/04/22		None			Buy	02/18/22	J		
465.					Sold	02/28/22	J		
466. Call Paypal Holdings Inc (PYPL) EXP 03/18/22		None			Expired	03/18/22	J		
467. Call Phillips 66 (PSX) Exp 08/19/22		None			Buy	02/04/22	J		
468.					Sold	05/18/22	J		
469. Call Plug Power Inc. (PLUG) Exp 01/20/23		None			Buy	04/08/22	J		
470.					Sold	08/10/22	J		
471. Call Pure Storage Inc (PSTG) Exp 01/20/23 (X) (Y)									
472. Call Riot Blockchain I N (RIOT) Exp 06/17/22		None			Expired	06/17/22	J		
473. Call Six Flags Entertain (SIX) Exp 08/19/22		None			Buy	07/14/22	J		
474.					Sold (part)	07/19/22	J		
475.					Sold	07/22/22	J		
476. Call Southwest Airlines (LUV) Exp 02/18/22		None			Sold	01/18/22	J		

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477. Call SPDR DJIA (DIA) Exp 02/25/22		None			Buy	02/14/22	J		
478.					Sold	02/15/22	J		
479. Call SPDR DJIA (DIA) Exp 05/27/22 (X) (Y)									
480. Call SPDR Energy (XLE) Exp 03/18/22		None			Sold (part)	01/12/22	J		
481.					Sold	01/18/22	J		
482. Call SPDR Materials (XLB) Exp		None			Sold	01/05/22	J		
483. Call SPDR Real Estate (XLRE) Exp 08/19/22		None			Buy	04/27/22	J		
484.					Expired	08/19/22	J		
485. Call SPDR S&P 500 (SPY) Exp 01/18/22		None			Buy	01/12/22	J		
486.					Sold	01/13/22	J		
487. Call SPDR S&P 500 (SPY) Exp 01/28/22 (X) (Y)									
488. Call SPDR S&P 500 (SPY) Exp 02/11/22		None			Buy	02/08/22	J		
489.					Sold	02/09/22	J		
490. Call SPDR S&P 500 (SPY) Exp 02/14/22 (X) (Y)									
491. Call SPDR S&P 500 (SPY) Exp 02/18/22 (X) (Y)									
492. Call SPDR S&P 500 (SPY) Exp 02/25/22		None			Buy	02/23/22	J		
493.					Sold	02/25/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
494. Call SPDR S&P 500 (SPY) Exp 03/02/22 (X) (Y)									
495. Call SPDR S&P 500 (SPY) Exp 04/08/22		None			Buy	03/29/22	J		
496.					Expired	04/08/22	J		
497. Call SPDR S&P 500 (SPY) Exp 04/29/22		None			Buy	04/27/22	J		
498.					Sold	04/27/22	J		
499. Call SPDR S&P 500 (SPY) Exp 05/13/22		None			Buy	05/04/22	J		
500.					Sold	05/06/22	J		
501. Call SPDR S&P 500 (SPY) Exp 05/20/22 (X) (Y)									
502. Call SPDR S&P 500 (SPY) Exp 05/27/22		None			Buy	05/25/22	J		
503.					Sold	05/26/22	J		
504. Call SPDR S&P 500 (SPY) Exp 06/03/22 (X) (Y)									
505. Call SPDR S&P 500 (SPY) Exp 06/10/22 (X) (Y)									
506. Call SPDR S&P 500 (SPY) Exp 06/15/22		None			Buy	06/13/22	J		
507.					Sold	06/14/22	J		
508. Call SPDR S&P 500 (SPY) Exp 07/01/22		None			Buy	06/21/22	J		
509.					Sold	06/22/22	J		
510. Call SPDR S&P 500 (SPY) Exp 07/13/22		None			Buy	07/11/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Sweeney II, James R.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
511.					Expired	07/13/22	J		
512. Call SPDR S&P 500 (SPY) Exp 07/22/22		None			Buy	07/20/22	J		
513.					Sold	07/22/22	J		
514. Call Tilray Inc (TLRY) Exp 03/18/22		None			Expired	03/18/22	J		
515. Call U S Bancorp (USB) Exp 03/18/22		None			Buy	02/08/22	J		
516.					Sold	02/22/22	J		
517. Put Fastenal Co (FAST) Exp 04/14/22		None			Buy	03/28/22	J		
518.					Sold	03/29/22	J		
519. Put Fastly Inc (FSLY) Exp 06/17/22		None			Buy	01/14/22	J		
520.					Sold	01/24/22	J		
521. Put Invesco QQQ TR (QQQ) Exp 01/07/22		None			Buy	01/07/22	J		
522.					Sold	01/07/22	J		
523. Put Invesco QQQ TR (QQQ) Exp 02/11/22		None			Buy	02/01/22	J		
524.					Sold	02/04/22	J		
525. Put Invesco QQQ TR (QQQ) Exp 04/01/22 (X) (Y)									
526. Put Invesco QQQ TR (QQQ) Exp 04/08/22		None			Buy	03/29/22	J		
527.					Sold	04/01/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
528. Put Invesco QQQ TR (QQQ) Exp 05/06/22 (X) (Y)									
529. Put ISHR Russell 2000 (IWM) Exp 05/20/22 (X) (Y)									
530. Put ISHR Russell 2000 (IWM) Exp 02/25/22		None			Buy	02/15/22	J		
531.					Sold	02/18/22	J		
532. Put ISHR Russell 2000 (IWM) 07/15/22		None			Buy	04/05/22	J		
533.					Sold (part)	04/26/22	J		
534.					Sold (part)	05/09/22	J		
535.					Sold	05/26/22	J		
536. Put ISHR Russell 2000 (IWM) 08/05/22 (X) (Y)									
537. Put ISHR Spain Capped (EWP) Exp 10/21/22		None			Buy	06/01/22	J		
538.					Sold (part)	06/13/22	J		
539.					Sold (part)	07/12/22	J		
540.					Sold	07/28/22	J		
541. Put ISHR S&P Core MD CP (IJH) Exp 07/15/22 (X) (Y)									
542. Put ISHR 20+ TSY (TLT) Exp 01/20/23		None			Buy	03/28/22	J		
543.					Sold	07/05/22	J		
544. Put Nvidia Corp (NVDA) Exp 04/01/22		None			Buy	03/28/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Sweeney II, James R.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
545.					Sold	04/01/22	J		
546. Put SPDR Cnsmr Discrtn (XLP) Exp 12/16/22		None			Buy	06/10/22	J		
547.					Sold	11/30/22	J		
548. Put SPDR DJIA (DIA) Exp 02/04/22		None			Buy	01/27/22	J		
549.					Sold	01/28/22	J		
550. Put SPDR S&P 500 (SPY) Exp 03/25/22 (X) (Y)									
551. Put SPDR S&P 500 (SPY) Exp 03/30/22 (X) (Y)									
552. Put SPDR S&P 500 (SPY) Exp 06/24/22		None			Buy	06/13/22	J		
553.					Sold	06/15/22	J		
554. Put SPDR S&P 500 (SPY) Exp 06/30/22		None			Buy	06/27/22	J		
555.					Sold (part)	06/28/22	J		
556.					Sold	06/28/22	J		
557. Put SPDR S&P 500 (SPY) Exp 07/01/22		None			Buy	06/27/22	J		
558.					Sold	06/28/22	J		
559. Put Western Digital Corp (WDC) EXP 04/14/22 (X) (Y)									
560. Put Vngrd Europe EXP 01/20/2023 (VGK)		None	J	T	Buy	08/24/22	J		
561. Account #7 (H)									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
562. Fidelity HSA (cash eq.) (Y)									
563. Account #8 (H)									
564. Materra LLC (02657429)		None	M	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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Sweeney II, James R.	08/14/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Value method "V" represents cash contributed to date.

Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual puts and calls can be used to either open or close contracts; they do not generate income. Options devalue based upon market conditions and expiration dates, therefore they routinely do not have value at the end of a reporting period; this occurs without any type of reportable transaction. Those options which have value at the end of the reporting period are reflected accordingly in Column C. Purchases and sales of any optioned holdings are reported separately from these contracts.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Parenthetical numbers in Part VII are CUSIP numbers.

Name of Person Reporting	Date of Report
Sweeney II, James R.	08/14/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James R. Sweeney II

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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