AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sweeney II, James R.	U.S. District Court, Southern District of Indiana	05/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 46 E. Ohio Street Indianapolis, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executive Committee Member	The Reserve Organization of America
2.	Member	Atir Semaj LLC
3.	Member	Brebeuf Preparatory School Alumni Board
4.	Member	Homeless Veterans and Families
5.	Member	Indiana Bar Association Foundation
6.	U.S. Vice President and Head of Delegation	CIOR
7.	Vice Chair, Board of Trustees	Brebeuf Preparatory School
8.	Selection Committee	Indiana Military Veterans Hall of Fame

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2018	Barnes & Thornburg LLP-Partnership Agreement
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Reserve Organization of America	January 30 - February 4, 2023	Brussels, Belgium	Cior Mid-Winter Meeting	Transportation, meals, lodging
2.	George Mason Center for Law & Economics	May 5-6, 2023	Arlington, VA	Annual Scalia Forum	Transportation, meals, lodging
3.	Reserve Organization of America	June 23 - July 2, 2023	Helsinki, Finland	Cior Summer Congress	Transportation, meals, lodging
4.	Brebeuf Preparatory School	October 17-20, 2023	Chicago, IL	JSN Board Development Meetings	Transportation, meals, lodging

5.	Intellectual Property Law Association (IPLAC)	October 26-27, 2023	Chicago, IL	CLE Panelist	Transportation, meals, lodging

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Sweeney II, James R.	05/15/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	National Bank of Indianapolis	Rental Property Mortgage	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Alliant FCU (cash)	B	Interest	M	T					
3.	National Bank of Indianapolis (cash)		None	J	T					
4.	Rental Property, Marion County, IN	D	Rent	N	W					
5.	NFCU (cash)	D	Interest	N	T					
6.	Navy Mutual Aid Association (whole life insurance policy)	A	Dividend	N	T					
7.	Gard Group LLC		None	J	V	Distributed (part)	05/11/23	J		
8.	Account #1 (H)									
9.	Vanguard Growth Index Admiral CL (VIGAX)	B	Dividend	N	T	Buy (add'l)	01/13/23	J		
10.	Account #2 (H)									
11.	Fidelity 500 Index Institution (FXAIX)		None			Sold	10/13/23	L		
12.	State Street S&P 500 Index SL CL 2 (SSCMG2)		None	L	T	Buy	10/13/23	L		
13.	Fidelity Low-Priced Stock (FLPSX)		None	K	T					
14.	Harbor Capital Appr Ret (HNACX)		None	K	T					
15.	Keybank EB Magic 20 Fund (EBMGICX)		None	N	T					
16.	Pimco Total Return Instl (PTTRX)		None	N	T					
17.	Schwab Treas Infl-Prot Sec Idx (SWRSX)		None			Sold	10/13/23	N		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. State Street U.S. Inflation Protected (CM9N2)		None	N	T	Buy	10/13/23	N		
19. Account #3 (H)									
20. Charles Schwab Bank (cash)	A	Interest	K	T					
21. Schwab Value Advantage M (SWVXX) (cash eq.)	E	Dividend	N	T	Buy	03/22/23	N		
22. Lilly Eli & Co (LLY)	A	Dividend	K	T					
23. Ishares MSCI Europe Financials ETF (EUFN)	A	Dividend	J	T					
24. Ishares MSCI Global Metals & Mining (PICK)	A	Dividend	J	T					
25. SPDR S&P Oil & Gas ETF (XOP)	A	Dividend	J	T					
26. US Global Jets ETF (JETS)		None	J	T					
27. Account #4 (H)									
28. Stifel Insured Bank Account (cash) (Y)									
29. Adobe Inc (ADBE)		None			Sold	12/14/23	K	D	
30. Alphabet Inc Cl A (GOOGL)		None	L	T					
31. Apple Inc (AAPL)	A	Dividend	L	T					
32. Churchill Downs Inc (CHDN)	A	Dividend	J	T					
33. LVMH Moet Hennessy Louis Vuitton ADR (LVMUY)	A	Dividend	K	T					
34. Liberty Media Corp Formula One (FWONK)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Eli Lilly & Co (LLY)	A	Dividend	K	T					
36. Martin Marietta Materials Inc (MLM)	A	Dividend	K	T					
37. Mastercard Inc Cl A (MA)	A	Dividend	K	T					
38. Merck & Company Inc New (MRK)	A	Dividend	K	T					
39. Microsoft Corp (MSFT)	A	Dividend	L	T					
40. Paypal Holdings Inc (PYPL)		None			Sold	05/10/23	J		
41. Texas Instruments Inc (TXN)	A	Dividend	J	T					
42. Union Pacific Corp (UNP)	A	Dividend	K	T					
43. UnitedHealth Group Inc (UNH)	A	Dividend	K	T					
44. Ferrari NV (RACE)	A	Dividend	K	T					
45. iShares US Real Estate ETF (IYR)	A	Dividend	K	T	Buy	12/14/23	K		
46. Dodge & Cox Stock (DODGX)	D	Dividend	M	T					
47. Vanguard Dividend Growth Investory CL (VDIGX)	D	Dividend	N	T					
48. Account #5 (H)									
49. Stifel Insured Bank Account (cash)	A	Interest	L	T					
50. Lebanon IN Cmnty Sch Corp B/E B/Q 2.750% Due 01/15/23 (522508BX7)	A	Interest			Redeemed	01/17/23	K		
51. Washington St Various Purp Ser D B/E 5.000% Due 02/01/29 (93974DDM7)	B	Interest	K	T					

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52. Franklin TWP IN Mult Sch Bldg Corp RFDG B/E 1st Mtg Ser A (355137BU3)	A	Interest	J	T					
53. Crown Point IN Mlti Sch Bldg Corp RFDG 1st Mtg B/E (228485KN7)	B	Interest	K	T					
54. Greenfield IN Sew Wke REV B/E PTC Cpn 3.000% Due 01/01/26 (395028DP5)	A	Interest	K	T					
55. Hamilton Sthestrn In Cons Sch Bldg Corp (40785EG42)	B	Interest	K	T					
56. Plainfield IN Cmnty High Sch Bldg Corp RFDG 1st (726286EM5)	A	Interest	K	T					
57. Decatur TWP Marion Cnty IN Mult Sch Bldg Corp 1st (243360EZ3)	A	Interest	K	T					
58. Indianapolis In Gas Util Rev Rfdg 2nd Lien Ser A B/E (45539BNK9)	B	Interest	K	T					
59. Carmel IN Loc Pub Impt Bd Bk Rev (143267AZ3)	B	Interest	K	T					
60. Plainfield IN Cmnty High Sch Bldg 1st Mtg Ser (762286FS1)	A	Interest	K	T					
61. South Adams IN Sch Bldg Corp 1st Mtg RFDG & Imp (836193DB5)	A	Interest	K	T					
62. Mt Vernon IN Sch Bldg Corp RFDG (623495EQ7)	A	Interest	K	T					
63. CitiBank NA Sioux Falls SD CD (17312QX87)	A	Interest			Redeemed	12/22/23	K		
64. Account #6 (H)									
65. Charles Schwab Bank (cash)	A	Interest	J	T					
66. Schwab Value Advantage Money Ultra (SNAXX) (cash eq.)	F	Dividend	P1	T	Buy	03/23/23	P1		
67.					Buy (add'l)	08/24/23	L		
68. Cohen Steers Qual Income (RQI)	A	Dividend	J	T					

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69. Cummins Inc (CMI)	A	Dividend	J	T					
70. Grayscale Ethereum Tr (ETHE) (X)		None	J	T					
71. Invesco Electric Vehicle (EVMT)	A	Dividend	J	T					
72. Invesco Solar ETF (TAN) (Y)									
73. Invsc Actvly Mng Optm YD (PDBC)	A	Dividend	J	T					
74. Ishares MSCI Brazil ETF (EWZ)	A	Dividend	J	T					
75. Proshares Short Dow 30 ETF (DOG)	A	Dividend	J	T					
76. Proshares Short QQQ ETF (PSQ)	A	Dividend	J	T					
77. Proshares Ultra QQQ ETF (QLD)	A	Dividend	J	T					
78. Select Sector UTI Select (XLU) (Y)									
79. SPDR Dow Jones (DIA)	A	Dividend	J	T					
80. SPDR S&P Metals & Mining (XME)	A	Dividend	J	T					
81. Vaneck Gold Miners ETF (GDX)	A	Dividend	J	T					
82. Vanguard Extended Duration ETF (EDV)	A	Dividend	J	T					
83. Call Ishares MSCI Japan (EWJ) Exp 01/20/23		None			Sold	01/11/23	J		
84. Put Vngrd Europe EXP 01/20/2023 (VGK)		None			Expired	01/20/23	J		
85. Account #7 (H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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86. Fidelity HSA		None	L	T					
87. Account #8 (H)									
88. Materra LLC (02657429)		None	M	U					
89. KCP Bridge 33 III LP (03892313)		None	J	U	Buy	08/18/23	J		
90.					Buy (add'l)	12/19/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Value method "V" represents cash contributed to date.

Account #3 is controlled and managed by the filer's former employer. The filer is permitted to adjust percentages of investment for each position, but the timing of any transactions is within the exclusive purview of the manager. Despite request, specific transaction dates are not available to the filer. In the absence of more precise information, transactions are reported as of the second business day of the quarter in which they occurred.

Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual puts and calls can be used to either open or close contracts; they do not generate income. Options devalue based upon market conditions and expiration dates, therefore they routinely do not have value at the end of a reporting period; this occurs without any type of reportable transaction. Those options which have value at the end of the reporting period are reflected accordingly in Column C. Purchases and sales of any optioned holdings are reported separately from these contracts.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James R. Sweeney II

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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