AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Sykes, Sunshine S. | Central District of California | 10/03/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge, Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| George E. Brown, Jr., Federal Building<br>3470 Twelfth Street<br>Riverside, CA 92501 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Board Member | Federal Bar Association, Inland Empire Chapter |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Sykes, Sunshine S.** | 10/03/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | BioMarin Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 2. | Cognex Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 3. | Coinbase Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 4. | Catalyst Pharmaceuticals Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 5. | Dogecoin Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 6. | Ethereum Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 7. | GrafTech Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 8. | FuelCellEnergy Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 9. | Corning Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 10. | Ideanomics Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 11. | Infineon Technologies Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 12. | LexinFintech Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 13. | Litecoin Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 14. | Micron Technology Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 15. | NIO Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 16. | Nokia Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 17. | NVIDIA Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Sykes, Sunshine S.** | 10/03/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. ON Semiconductor Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 19. PLUG Power Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 20. Riot Blockchain Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 21. Electrameccanica Vehicles Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 22. Tesla Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 23. Tractor Supply Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 24. Taiwan Semiconductor Manufacturing Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 25. VICI Properties Stock | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 26. Nationwide Retirement 457(b) Mutual Funds (H) | | | | | | | | | |
| 27. - DFA Large Cap International Portfolio - Institutional Class | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 28. - Fidelity Contrafund | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 29. Nationwide Fixed Assets Short Term Investments (H) | | | | | | | | | |
| 30. - Invesco Diversified Dividend Fund Class R6 | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 31. - New Perspective Fund(R) - Class R6 | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 32. - T. Rowe Price Large-Cap Growth Fund - I Class | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 33. - Vanguard 500 Index Fund - Admiral Shares | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 34. - Vanguard Developed Markets Index Fund - Admiral Shares | A | Dividend | | | Sold | 08/03/22 | J | A | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 35. - Vanguard(R) Mid-Cap Index Fund - Admiral(TM) | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 36. - Vanguard(R) Small-Cap Index Fund - Admiral(TM) Shares | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 37. - Vanguard(R) Total Bond Market Index Fund - Admiral(TM) Shares | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 38. - Vanguard Value Index Fund - Admiral Shares | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 39. - Vanguard Growth and Income Fund - Admiral Shares | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 40. - Vanguard(R) High-Yield Corporate Fund - Admiral(TM) Shares | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 41. - Western Asset Core Plus Bond Fund - Class IS | A | Dividend | | | Sold | 08/03/22 | J | A | |
| 42. Union Bank Traditional IRA (H) | | | | | | | | | |
| 43. - Fidelity Government Money Market Fund | A | Dividend | J | T | | | | | |
| 44. - Vanguard Tax Managed Fund FTSE Developed Markets ETF | B | Dividend | L | T | | | | | |
| 45. - Vanguard Extended Market Index Fund ETF | A | Dividend | K | T | | | | | |
| 46. - Vanguard 500 Index Fund ETF | B | Dividend | M | T | | | | | |
| 47. - Vanguard Energy 1-8 Markets Stock Index Fund ETF | A | Dividend | K | T | | | | | |
| 48. Nationwide 457 (H) | | | | | | | | | |
| 49. - DFA Large Cap International Portfolio - Institutional Class | A | Dividend | J | T | | | | | |
| 50. - Nationwide Fixed Annuity Assets | A | Dividend | J | T | | | | | |
| 51. - Large Cap Value Fund Fee Class I1 | A | Dividend | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|
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|---|---|---|---|---|---|---|---|---|---|
| 52. - New Perspective Fund(R) - Class R6 | A | Dividend | J | T | | | | | |
| 53. - Parnassus Core Equity Fund - Institutional Shares | A | Dividend | J | T | | | | | |
| 54. - T. Rowe Price Large-Cap Growth Fund - I Class | A | Dividend | J | T | | | | | |
| 55. - Vanguard Developed Markets Index Fund - Admiral Shares | A | Dividend | J | T | | | | | |
| 56. - Vanguard Growth Index Fund Admiral Shares | A | Dividend | J | T | | | | | |
| 57. - Vanguard Value Index Fund -Admiral Shares | A | Dividend | J | T | | | | | |
| 58. - Vanguard(R) High-Yield Coporate Fund - Admiral(TM) Shares | A | Dividend | J | T | | | | | |
| 59. - Vanguard(R) Institutional Index Fund - Institutional Shares | A | Dividend | J | T | | | | | |
| 60. - Vanguard(R) Mid-Cap Index Fund - Admiral(TM) Shares | A | Dividend | J | T | | | | | |
| 61. - Vanguard(R) Small-Cap Index Fund - Admiral(TM) Shares | A | Dividend | J | T | | | | | |
| 62. - Vanguard(R) Total Bond Market Index Fund - Admiral(TM) Shares | A | Dividend | J | T | | | | | |
| 63. - Western Asset Core Plus Bond Fund - Class IS | A | Dividend | J | T | | | | | |
| 64. | | | | | | | | | |
| 65. | | | | | | | | | |
| 66. | | | | | | | | | |
| 67. | | | | | | | | | |
| 68. | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 69. | | | | | | | | | |
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| 83. | | | | | | | | | |
| 84. | | | | | | | | | |
| 85. | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
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|---|---|---|---|---|---|---|---|---|---|
| 86. | | | | | | | | | |
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1. Income Gain Codes: (See Columns B1 and D4)
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2. Value Codes (See Columns C1 and D3)
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3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Sykes, Sunshine S.** | 10/03/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please see amended attachments (two pages) regarding Part VII (Investments and Trusts)

| Name of Person Reporting | Date of Report |
|---|---|
| **Sykes, Sunshine S.** | 10/03/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Sunshine S. Sykes**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544