AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Tharp, John J.	District Court, Northern District of Illinois	07/03/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

United States District Court
Everett McKinley Dirksen United States Courthouse
219 S. Dearborn Street
Chicago, IL 60604

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust #1 [redacted] trust; no reportable assets because I did not create the trust and have no beneficial interest in the trust)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	[redacted] salary
2.	2021	Trustee and tax preparation fees relating to Trust 9
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	United Pacific Life (n/k/a Genworth Life Ins. Co.)	Loan #1	K
2.	United Pacific Life (n/k/a Genworth Life Ins. Co.)	Loan #2	K
3.	JP Morgan Chase	Credit Card	J
4.			
5.			

Name of Person Reporting	Date of Report
Tharp, John J.	07/03/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	General Electric (common stock)	A	Dividend			Sold	12/31/21	J		
2.	IBM (common stock)	C	Dividend	L	T					
3.	JP Morgan Chase Bank Accounts	A	Interest	M	T					
4.	Northwestern Mutual Insurance Policies (Whole Life)	D	Dividend	M	T					
5.	Pfizer (common stock)	D	Dividend	M	T					
6.	United Pacific Life Ins. (Universal Life; no control) (nka Genworth)	D	Dividend	M	T					
7.	U.S. Savings Bonds		None	K	T					
8.	Vanguard Dividend Growth Fund	D	Dividend	M	T					
9.	Vanguard Energy Fund	A	Dividend	K	T					
10.	Vanguard Federal Money Market Fund	A	Int./Div.	K	T					
11.	Vanguard Information Tech ETF	B	Dividend	N	T					
12.	Vanguard Mid-Cap ETF	A	Dividend	K	T					
13.	Vanguard Long-Term Tax Exempt Bond Fund	A	Dividend	L	T					
14.	Vanguard S&P 500 Index Fund	B	Dividend	M	T					
15.	Fidelity CORE Cash Sweep Fund	A	Int./Div.	J	T					
16.	Capital One Checking	A	Interest	J	T					
17.	Corteva	A	Dividend			Donated				

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. Dow	A	Dividend			Donated				
19. Dupont De Nemours	A	Dividend	K	T					
20. Wabtec	A	Dividend	J	T					
21. Vanguard Growth ETF	A	Dividend	K	T					
22. PTC Inc.		None	J	T					
23. Viatris (common)	A	Dividend	J	T					
24. Kyndryl Holdings Inc.		None	J	T	Spinoff (from line 2)	11/03/21	J		
25. IRA #1 (H)									
26. --Vanguard Total International Stock Index Fund	C	Dividend	M	T					
27. --Vanguard Total Stock Market Index Fund	C	Dividend	N	T					
28. --Vanguard Total Bond Market Index Fund	A	Dividend	K	T					
29. IRA #2 (H)									
30. --Vanguard Total International Stock Index Fund	A	Dividend	J	T					
31. --Vanguard Total Stock Market Index Fund	B	Dividend	L	T					
32. --Vanguard Total Bond Market Index Fund	A	Dividend	J	T					
33. IRA #3 (H)									
34. --Fidelity Magellan Fund	E	Dividend	O	T					

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35. IRA #4 (H)									
36. --Vanguard 500 Index Fund	B	Dividend	L	T					
37. IRA #5 (H)									
38. --CIVIX - MFO Causeway Cap Mgmt Tr Intl Value FD Instl Cl	C	Dividend	M	T					
39. --DFCEX - MFO DFA Invt. Dimensions Group Inc Emerging Mkts	C	Dividend	M	T					
40. --DFITX - MFO DFA Invt Dimensions Group Inc Intl Real Estate	C	Dividend	L	T					
41. --DFREX - MFO DFA Invt Dimensions Group Inc. Real Estate	B	Dividend	L	T					
42. --GUNR - MFC Flexshares TR Morningstar Global Upstream NRIF	C	Dividend	L	T					
43. --NMFIX MFB Northern Multimanager	D	Dividend	L	T					
44. --NOMIX - MFB Northern Mid Cap Index Fund	E	Dividend	M	T					
45. --NOSIX - MFB Northern Funds Stock Index Fund	E	Dividend	P1	T					
46. --NSIDX - MFB Northern Funds Small Cap Index Fund	E	Dividend	M	T					
47. --QDF MFC Flexshares Trust Quality	D	Dividend	N	T					
48. --NHFIX MFB Northern High Yield Fixed Income	B	Dividend	K	T					
49. --BHYIX Black Rock Federal High Yield Bond	D	Int./Div.	M	T					
50. --MIEIX MFS Instl Intl Equity Fund	C	Dividend	L	T					
51. --VEA VAnguard FTSE Developed Mkts ETF	D	Dividend	N	T					

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52. --VWO MFC Vanguard Intl Equity Index Fd FTSE Emerging Mkts	C	Dividend	M	T					
53. NOGXX Northern US Govt Money Market	A	Int./Div.	L	T					
54. IRA #6 (H)									
55. --Fidelity Blue Chip Growth	E	Dividend	M	T					
56. --Fidelity Magellan (formerly Fidelity Independence)	F	Dividend	O	T					
57. IRA #7 (H)									
58. --Fidelity Magellan Fund (formerly Fidelity Independence)	D	Dividend	L	T					
59. Trust #1 (H)									
60. Trust #2 (H)									
61. --Allstate (common)	B	Dividend	L	T					
62. --American Electric Power (common)	B	Dividend	K	T					
63. --AT&T (common)	C	Dividend	K	T					
64. --Blackrock Global Allocation C	B	Dividend	K	T					
65. --BP Amoco (ADR)	A	Dividend	J	T					
66. --Chemours	A	Dividend	J	T					
67. --Conoco Phillips (common)	A	Dividend	K	T					
68. --Duke Energy (common)	A	Dividend	J	T					

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69. --Exxon (common)	C	Dividend	K	T					
70. --Fortune Brands Home & Security (common)	A	Dividend	K	T					
71. --Hershey (common)	B	Dividend	M	T					
72. --ISHARES Russell Mid Cap ETF	A	Dividend	K	T					
73. --ISHARES S&P Growth ETF (IVW)	A	Dividend	L	T					
74. --Ivy Asset Strategy	B	Dividend	K	T					
75. --JP Morgan Chase Cash Accounts	A	Dividend	K	T					
76. --JP Morgan Tr I US Small Co. Cl C	C	Dividend	J	T					
77. --JP Morgan Tr II Large Cap Growth (formerly JP Morgan Dynamic Growth)	E	Dividend	M	T					
78. --Lincoln National Group (common)	A	Dividend	K	T					
79. --Merck (common)	B	Dividend	K	T					
80. --Pfizer (common)	A	Dividend	K	T					
81. --Phillips 66 (common)	A	Dividend	J	T					
82. --Sterling Cap FDS Mid Value FD (OVECX)	C	Dividend	L	T					
83. --Walgreen's Boots Alliance (common)	C	Dividend	M	T					
84. --Enbridge Inc. (common) (formerly Spectra Energy)	A	Dividend	J	T					
85. --Ishares Core S&P Small Cap ETF	A	Dividend	L	T					

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86. --Corteva Inc.	A	Dividend	J	T					
87. --Dow Inc.	A	Dividend	J	T					
88. --Dupont De Nemours Inc.	A	Dividend	K	T					
89. --Invesco Exchange Traded Fd Tr Dynamic Lg Cap	A	Dividend	K	T					
90. --Occidental Petroleum (formerly Anadarko Petroleum)	A	Dividend	K	T					
91. --Organon & Co. (common)	A	Dividend	J	T	Spinoff (from line 79)	06/02/21			
92. --Ivy Asset Strategy CL A	C	Dividend	K	T					
93. --Viatris	A	Dividend	J	T					
94. Trust #3 (H)									
95. --3-M (common)	B	Dividend	L	T					
96. --Allstate (common)	A	Dividend	K	T					
97. --Bank of America (common)	A	Dividend	J	T					
98. --Exelon (common)	A	Dividend	J	T					
99. --Exxon (common)	B	Dividend	K	T					
100. --IBM (common)	A	Dividend	J	T					
101. --JP Morgan Chase (common)	A	Dividend	K	T					
102. --Lincoln National Corp. (common)	A	Dividend	J	T					

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103. --Pfizer (common)	B	Dividend	L	T					
104. --Sherwin Williams (common)	B	Dividend	N	T					
105. --Corteva Inc.	A	Dividend	J	T					
106. --Dow Inc.	A	Dividend	J	T					
107. --Dupont De Nemours Inc.	A	Dividend	J	T					
108. --JP Morgan Deposit account	A	Int./Div.	J	T					
109. --Viatris	A	Dividend	J	T					
110. --Kyndryl Holdings Inc.			J	T	Spinoff (from line 100)	11/03/21	J		
111. Trust #4 (H)									
112. --3-M (common)	C	Dividend	M	T					
113. --Exxon Mobil (common)	D	Dividend	L	T					
114. --McDonald's (common)	E	Dividend	P1	T					
115. --JP Morgan Chase Cash Account	A	Interest	J	T					
116. Trust #5 (H)									
117. --JP Morgan Chase Cash Account	A	Interest			Closed	12/10/21	J		
118. --25% of Moles Family Partnership (H)									
119. ---NSIDX-Northern Funds Sall Cap Index Fund					Sold	10/12/21	K	D	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
120. ---NOSIX-Northern Funds Stock Index Fund	A	Dividend			Sold	10/12/21	L	F	
121. ---NOMIX-Northern Mid Cap Index Fund					Sold	10/12/21	K	D	
122. ---DVY-IShares Tr Dow Jones Select Divid Index Fd	A	Dividend			Sold	10/12/21	J	D	
123. ---GUNR-Flexshares TR Morningstar Global Upstream Nat ResIdx	A	Dividend			Sold	10/12/21	J	C	
124. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Prt	A	Dividend			Sold	10/12/21	K	C	
125. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf	A	Dividend			Sold	10/12/21	J		
126. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Prt	A	Dividend			Sold	10/12/21	K	D	
127. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd	A	Dividend			Sold	10/12/21	K	D	
128. ---DFREX-Invt Dimensions Group Real Estate Secs Prt	A	Dividend			Sold	10/12/21	J	B	
129. ---DFEVX-Emerging Markets Value Fd	A	Dividend			Sold	10/12/21	J	B	
130. ---BHYIX Blackrock Fed High Yield Bond Portfolio	A	Dividend			Sold	10/12/21	J	A	
131. ---QDF MFC Flexshares Trust Quality Div	A	Dividend			Sold	10/12/21	J	C	
132. ---MFB Northern US Govt Money Mkt Fund (Cash Account)	A	Interest			Closed	10/27/21	J		
133. ---NFRA MFC Flexshares TR STOXX Global Broad	A	Dividend			Sold	10/12/21	J	A	
134. Trust #6 (H)									
135. --Farm land, Christian County, Illinois	E	Distribution			Distributed	12/31/21	O		
136. --JP Morgan Chase Cash Account	A	Distribution			Distributed	12/31/21	K		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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137. Trust #7 (H)									
138. --IShares MSCI EAFE Index Fund (EFA)	A	Dividend			Distributed	12/24/21	K		
139. --Condominium Unit (real estate),TK Sarasota County, Florida	B	Rent			Distributed	12/31/21	O		
140. --Spdr S&P 500 ETF Trust (SPY)	C	Dividend			Distributed	12/24/21	N		
141. --MFS Intl Value Fd-I (MINIX) NKA MFS Intl Value -R6 (MINJX)	A	Dividend			Distributed	12/24/21	J		
142. --American Fund Intl Vntg (AIVG) (formerly Cap Prv Client (CNUSX))					Sold (part)	02/04/21	J	A	
143.					Sold (part)	03/11/21	J	A	
144.					Sold (part)	06/10/21	J	A	
145.					Sold (part)	07/30/21	J	B	
146.					Sold	09/24/21	J	B	
147. --JP Morgan Tax Free Instl Sweep Fund (#840) (Y)									
148. --Ishares Inc MSCl Japan New (EWI)	A	Dividend			Sold (part)	03/22/21	J	A	
149.					Sold	10/21/21	J	A	
150. --JP Morgan Cash Account	A	Interest			Distributed	12/31/21	J		
151. --Ishares S&P 500/Barra Growth (IVW)	A	Dividend			Sold (part)	04/21/21	J	B	
152.					Distributed	12/24/21	K		
153. --Ishares S&P 500/Barra Value (IVE)	A	Dividend			Sold (part)	04/21/21	J	B	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
154.					Distributed	12/24/21	K		
155. --Six Circles U.S. Unconstrained (CUSUX)	E	Dividend			Buy (add'l)	02/12/21	J		
156.					Sold (part)	03/22/21	J	A	
157.					Sold (part)	04/21/21	J	A	
158.					Distributed (part)	12/28/21	M		
159.					Distributed	12/31/21	K		
160. --JPMorgan BetaBuilders Canada ETF (BBCA)	A	Dividend			Sold (part)	03/22/21	J	A	
161.					Distributed	12/24/21	K		
162. --Six Circles Int'l Unconstrained (CIUEX)	B	Dividend			Buy (add'l)	04/21/21	J		
163.					Buy (add'l)	02/11/21	J		
164.					Distributed	12/24/21	L		
165. --JPMorgan BetaBuilders Europe (BBEU)	A	Dividend			Buy (add'l)	04/21/21	J		
166.					Buy (add'l)	05/20/21	J		
167.					Distributed	12/24/21	K		
168. --JPMorgan BetaBuilders Japan (BBJP)	A	Dividend			Buy (add'l)	01/25/21	J		
169.					Sold (part)	10/21/21	J	A	
170.					Distributed	12/24/21	K		

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
171. --JPMorgan BetaBuilders Developed Asia (BBAX)	A	Dividend			Buy (add'l)	01/25/21	J		
172.					Distributed	12/24/21	K		
173. --Fidelity 500 Index Fund	A	Dividend			Sold (part)	01/25/21	J	C	
174.					Sold (part)	02/12/21	K	C	
175.					Sold (part)	03/22/21	J	A	
176.					Buy (add'l)	10/26/21	J		
177.					Distributed	12/24/21	J		
178. --Fidelity Sch Str Tr (FIQZ) (formerly Fidelity Intermed. Muni)	B	Dividend			Sold (part)	01/25/21	J	A	
179.					Buy (add'l)	06/11/21	J		
180.					Buy (add'l)	12/02/21	J		
181.					Distributed	12/28/21	L		
182. --Ishares 3-7 Year Treasury (IEI)	A	Dividend			Buy (add'l)	02/26/21	J		
183.					Sold	04/21/21	K	A	
184. --Fidelity Itl Index (FSPS)	B	Dividend			Buy (add'l)	01/25/21	J		
185.					Buy (add'l)	04/21/21	J		
186.					Buy (add'l)	07/30/21	J		
187.					Buy (add'l)	09/24/21	J		

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188.					Distributed	12/24/21	K		
189. --Ishares Core MSCI Emerging (IEMG)	A	Dividend			Sold (part)	03/22/21	J	A	
190.					Sold	10/26/21	K	B	
191. --PIMCO High Yield Fund (PHIYX)	A	Dividend			Sold	02/11/21	K	B	
192. --PIMCO High Yield Q (PHYQX)	A	Dividend			Buy (add'l)	02/10/21	J		
193.					Sold (part)	04/21/21	J	B	
194.					Sold	05/19/21	K	B	
195. --Six Circles Tax Aware Bond (CBTAX)	A	Dividend			Buy (add'l)	02/26/21	J		
196.					Buy (add'l)	06/11/21	J		
197.					Buy (add'l)	10/26/21	J		
198.					Distributed	12/28/21	L		
199. --Schwab Short-Term US Treasury	A	Int./Div.			Buy	04/21/21	K		
200.					Buy (add'l)	05/19/21	J		
201.					Distributed	12/24/21	K		
202. --Six Circles Credit Opportunnity	A	Dividend			Buy	02/10/21	K		
203.					Distributed (part)	12/28/21	K		
204.					Distributed	12/31/21	J		

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205. Trust #8 (H)									
206. --JPMORGAN CASH ACCOUNT	A	Interest			Distributed	12/24/21	K		
207.					Distributed	12/29/21	K		
208. --SPDR 500 ETF TR (SPY)	D	Dividend			Distributed	12/24/21	O		
209. --JPM TX FREE (FUND 1374) NKA JPM Interim T/F R6 (JITZX)	C	Dividend			Sold (part)	01/29/21	K	A	
210.					Buy (add'l)	07/14/21	J		
211.					Buy (add'l)	10/28/21	J		
212.					Distributed	12/28/21	M		
213. --JP MORGAN TR I TAX AWARE EQUITY FD INSTL (JPDEX) (fka SELECT JPESX)	E	Dividend			Distributed	12/24/21	L		
214. --PRIMECAP ODYSSEY FUNDS ODYSSEY STK FD (POSKX)					Sold (part)	01/29/21	J	C	
215.					Sold	02/18/21	J	D	
216. --ISHARES MSCI EAFE INDX FD (EFA)	C	Dividend			Distributed	12/24/21	M		
217. ---iShares Inc MSCI Japan New (EWJ)	A	Dividend			Sold	10/28/21	J	C	
218. ---Ishares S&P 500/Barra Growth Index (IVW)	A	Dividend			Sold (part)	04/21/21	J	C	
219.					Distributed	12/24/21	K		
220. ---Ishares S&P 500/Barra Value Index (IVE)	A	Dividend			Sold (part)	04/21/21	J	C	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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221.					Distributed	12/24/21	J		
222. ---Six Circles U.S. Unconstrained (CUSUX)	E	Dividend			Buy (add'l)	02/18/21	K		
223.					Sold (part)	04/21/21	J	A	
224.					Sold (part)	07/14/21	J	A	
225.					Distributed	12/28/21	M		
226. ---JPMorgan BetaBuilders Canada ETF (BBCA)	B	Dividend			Distributed	12/24/21	L		
227. ---Six Circles Int'l (CIUEX)	C	Dividend			Buy (add'l)	04/21/21	K		
228.					Buy (add'l)	10/28/21	K		
229.					Distributed	12/28/21	M		
230. ---JPMorgan BetaBuilders Europe ETF (BBEU)	B	Dividend			Buy (add'l)	04/21/21	K		
231.					Buy (add'l)	05/18/21	K		
232.					Distributed	12/24/21	L		
233. ---JPMorgan BetaBuilders Japan ETF (BBJP)	A	Dividend			Buy (add'l)	01/29/21	K		
234.					Distributed	12/24/21	L		
235. ---JPMorgan BetaBuilders Developed Asia (BBAX)	B	Dividend			Buy (add'l)	01/29/21	K		
236.					Distributed	12/24/21	K		
237. ---Ishares 3-7 Year Treasury (IEI)	A	Dividend			Sold	04/21/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
238. ---Fidelity 500 Index (FXAIXX)	B	Dividend			Sold (part)	07/14/21	J	B	
239.					Distributed	12/28/21	L		
240. ---Ishares Core MSCI Emerging Market (IEMG)	A	Dividend			Sold (part)	10/28/21	K	C	
241.					Sold	10/28/21	J	B	
242. ---PIMCO High Yield Fund (PHIYX)	A	Dividend			Sold	02/10/21	K	C	
243. ---PGIM High Yield-Q (PHYQY)	B	Dividend			Buy (add'l)	02/10/21	K		
244.					Sold (part)	04/21/21	K	C	
245.					Sold	05/18/21	K	C	
246. ---Six Circles Tax Aware Bond (CBTAX)	A	Dividend			Buy (add'l)	07/14/21	K		
247.					Buy (add'l)	10/28/21	J		
248.					Distributed	12/28/21	M		
249. ---Fidelity Int'l Index	A	Dividend			Buy	01/29/21	J		
250.					Sold	12/28/21	J	A	
251. --Schwab Short Term US Treasury	A	Dividend			Buy	04/21/21	L		
252.					Buy (add'l)	05/18/21	K		
253.					Distributed	12/24/21	L		
254. --Six Circles Credit Ipportunity	A	Dividend			Buy	02/10/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
255.					Distributed	12/28/21	K		
256. Trust #9 (H)									
257. --Allstate (common)	A	Dividend			Sold	02/16/21	K	A	
258. --Bristol-Myers Squibb (common)	A	Dividend			Sold	02/16/21	L		
259. --Chemours (common)					Sold	02/16/21	J	A	
260. --Chevron (common)	A	Dividend			Sold	02/16/21	L	C	
261. --Corteva (common)					Sold	02/16/21	L		
262. --Discover Financial (common)					Sold	02/16/21	J	A	
263. --Dow Inc. (common)					Sold	02/16/21	J	B	
264. --DuPont de Nemours (common)					Sold	02/16/21	J	A	
265. --Eastman Chemical (common)	A	Dividend			Sold	02/16/21	J	A	
266. --Exelon (common)					Sold	02/16/21	J	B	
267. --Exxon Mobil (common)	A	Dividend			Sold	02/16/21	K	D	
268. --General Electric (common)	A	Dividend			Sold	02/16/21	L	D	
269. --IBM (common)	A	Dividend			Sold	02/16/21	K		
270. --Mattel (common)					Sold	02/16/21	J	A	
271. --McDonald's (common)					Sold	02/16/21	N		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
272. --Merck (common)	C	Dividend			Sold	02/16/21	O		
273. --Morgan Stanley (common)	A	Dividend			Sold	02/16/21	J	A	
274. --Pepsico (common)	A	Dividend			Sold	02/16/21	K		
275. --Pfizer (common)	C	Dividend			Sold	02/16/21	N		
276. --Proctor & Gamble (common)	A	Dividend			Sold	02/16/21	L		
277. --Public Service Enterprise Group (common)					Sold	02/16/21	J		
278. --3M (common)	A	Dividend			Sold	02/16/21	K	A	
279. --Viatris (common)		Dividend			Sold	02/16/21	K	B	
280. --Wabtec (common)	A	Dividend			Sold	02/16/21	J	A	
281. --Walmart (common)	A	Dividend			Sold	02/16/21	K		
282. --Fidelity Magellan Fund	C	Dividend			Sold	02/16/21	L	B	
283. --Fidelity Intermediate Muni Income Fund	A	Dividend			Sold	02/16/21	L	A	
284. --JPMorgan Short Duration Bond Fund	B	Dividend			Buy	02/12/21	M		
285.					Sold (part)	02/17/21	J		
286.					Buy (add'l)	05/18/21	M		
287.					Buy (add'l)	06/14/21	M		
288.					Buy (add'l)	07/16/21	M		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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289.					Sold	08/20/21	O		
290. --Fidelity 500 Index-Inst Prm	E	Dividend	P1	T	Buy	02/16/21	P1		
291.					Sold (part)	06/14/21	M	D	
292.					Sold (part)	07/15/21	M	D	
293.					Sold (part)	08/20/21	J	B	
294.					Sold (part)	12/29/21	N	F	
295. JPMorgan cash accounts	A	Interest	N	T					
296. Trust #10 (H)									
297. --Fidelity 500 Index (FXAIX)			L	T	Buy	12/28/21	L		
298.					Buy (add'l)	12/28/21	J		
299. --Ishares S&P Growth ETF (IVW)			K	T	Buy	12/24/21	J		
300.					Buy (add'l)	12/24/21	K		
301. --Ishares S&P Value (VE)			K	T	Buy	12/24/21	J		
302.					Buy (add'l)	12/24/21	K		
303. --JPM Tax Aware Equity Fd USD R6 (JPELX)			L	T	Buy	12/28/21	L		
304. --Six Circles US Unconstained Eequity (CUSUX)			N	T	Buy	12/28/21	M		
305.					Buy (add'l)	12/28/21	M		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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306. --SPDR S&P 500 ETF Trust (SPV)			P1	T	Buy	12/24/21	O		
307.					Buy (add'l)	12/24/21	N		
308. --Fideliy Int'l Indx - Inst Prm (FSPSX)			K	T	Buy	12/28/21	J		
309.					Buy (add'l)	12/28/21	K		
310. --Ishares MSCI EAFE ETF (EFA)	A	Dividend	M	T	Buy	12/24/21	M		
311.					Buy (add'l)	12/24/21	K		
312. --JPMorgan BetaBuilders Canada (BBA)			L	T	Buy	12/24/21	L		
313.					Buy (add'l)	12/24/21	K		
314. --MFS Int'l Intrinsic Value - R6 (MINIX)			J	T	Buy	12/28/21	J		
315. --Six Circles Int'l Unconstained equ (CIUEX)			N	T	Buy	12/28/21	M		
316.					Buy (add'l)	12/28/21	L		
317.					Buy (add'l)	12/31/21	K		
318. --JPMorgan BetaBuilders Europe ETF (BBEU)			L	T	Buy	12/24/21	L		
319.					Buy (add'l)	12/24/21	K		
320. --JPMorgan Beetabuilders Japan ETF (BBJP)			L	T	Buy	12/24/21	L		
321.					Buy (add'l)	12/24/21	K		
322. --JPMorgan Betabuilders Dev Asia X- JPN (BBAX)			L	T	Buy	12/24/21	K		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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323.					Buy (add'l)	12/24/21	K		
324. --JPM Interim T/F Bond Fd - R6 (JTZX)	A	Dividend	M	T	Buy	12/28/21	M		
325. --Schwab Shro-Term US Treasury (CHO)			M	T	Buy	12/24/21	L		
326.					Buy (add'l)	12/24/21	K		
327. --Fidelity Sch Str Tr (FIQZX)	A	Dividend	L	T	Buy	12/28/21	L		
328. --Six Circles Tax Aware Bond Fd (CBAX)	A	Dividend	M	T	Buy	12/28/21	M		
329.					Buy (add'l)	12/28/21	L		
330. --Six Circles Credit Opportunity Fd	A	Dividend	L	T	Buy	12/28/21	K		
331.					Buy (add'l)	12/28/21	K		
332.					Buy (add'l)	12/31/21	J		
333. --25% interest in Farm LLC			O	W	Buy	12/31/21	O		
334. --JPMorgan cash accounts			N	T	Buy	12/06/21	N		
335.					Buy (add'l)	12/06/21	J		
336.					Buy (add'l)	12/06/21	J		
337.					Buy (add'l)	12/06/21	J		
338.					Buy (add'l)	12/10/21	J		
339.					Buy (add'l)	12/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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340.					Buy (add'l)	12/24/21	J		
341.					Buy (add'l)	12/24/21	K		
342.					Buy (add'l)	12/29/21	K		
343.					Buy (add'l)	12/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information Concerning Part VII, Investments and Trusts:

Line 56: Fidelity Independence Fund shares were merged into Fidelity Magellan Fund.

Line 58: Fidelity Independence Fund shares were merged into Fidelity Magellan Fund.

Line 59: Trust #1 has no reportable assets. I did not create the Trust and am not a beneficiary

Line 91: This asset was inadvertently omitted from my initial report. Adding a new line 91 requires each of the line numbers below to have increased by one (e.g., comment re Line 115 is now re Line 116.

Line 116: Trust #5 liquidated all assets and terminated effective 12/31/21.

Line 134: Farm held in this trust was transferred from /trust #6 to an LLC; Trust #10 holds [redacted] 25% intereest in the LLC effective 12/31/2021 and Trust #6 is terminated as of that date.

Line 137: Trust #7 distributed its assests and terminated effective 12/31/21.

Line 139: Condominium was distributed to another family member/beneficiary as part of liquidation of the trust.

Line 205: Trust #8 distributed its assests and terminated effective 12/31/21

Line 256: Reporting liquidation of assets in a previously revocable trust established by a [redacted] member upon [redacted] death. [redacted] is executor of estate and 21% beneficiary.

Line 296: New trust established; assets distributed to this trust in-kind from Trusts #7 and, #8. I have entered the type of transaction as a "Buy" because there is no option to reflect an in-kind transfer of assets from one trust to another.

Line 333: Reporting 25% inerest in LLC transferred from Trust #7 (see note re Line 133).

Name of Person Reporting	Date of Report
Tharp, John J.	07/03/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John J. Tharp

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544