AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Tharp, John J.	District Court, Northern District of Illinois	07/21/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States District Court Everett McKinley Dirksen United States Courthouse 219 S. Dearborn Street Chicago, IL 60604		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust #1 (No reportable assets because I did not create the trust and have no beneficial interest in the trust)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Salary from [redacted] (CPA firm)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	United Pacific Life (n/k/a Genworth Life Ins. Co.)	Loan #1	K
2.	United Pacific Life (n/k/a Genworth Life Ins. Co.)	Loan #2	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	IBM (common stock)	C	Dividend	M	T					
2.	JP Morgan Chase Bank Accounts	B	Interest	M	T					
3.	Northwestern Mutual Insurance Policies (Whole Life)	D	Dividend	M	T					
4.	Pfizer (common stock)	D	Dividend	L	T					
5.	United Pacific Life Ins. (Universal Life; no control) (nka Genworth)	D	Dividend	N	T					
6.	U.S. Savings Bonds		None	K	T					
7.	Vanguard Dividend Growth Fund	C	Dividend	M	T					
8.	Vanguard Energy Fund	B	Dividend	K	T					
9.	Vanguard Federal Money Market Fund	C	Int./Div.	L	T					
10.	Vanguard Information Tech ETF	B	Dividend	N	T					
11.	Vanguard Mid-Cap ETF	A	Dividend	K	T					
12.	Vanguard Long-Term Tax Exempt Bond Fund	B	Dividend	K	T					
13.	Vanguard S&P 500 Index Fund	C	Dividend	M	T					
14.	Fidelity CORE Cash Sweep Fund	A	Int./Div.	K	T					
15.	Capital One Checking	A	Interest	J	T					
16.	Dupont De Nemours	A	Dividend	K	T					
17.	Wabtec	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Vanguard Growth ETF	A	Dividend	L	T					
19. PTC Inc.		None	J	T					
20. Viatris (common)	A	Dividend	J	T					
21. Kyndryl Holdings Inc.		None	J	T					
22. Vanguard ESG Stock ETF	A	Dividend	K	T					
23. Vanguard Large Cap ETF (VV)	A	Dividend	L	T	Buy	06/13/23	K		
24. IRA #1 (H)									
25. --Vanguard Total International Stock Index Fund	C	Dividend	M	T					
26. --Vanguard Total Stock Market Index Fund	C	Dividend	M	T					
27. --Vanguard Total Bond Market Index Fund	A	Dividend	K	T					
28. IRA #2 (H)									
29. --Vanguard Total International Stock Index Fund	B	Dividend	J	T					
30. --Vanguard Total Stock Market Index Fund	A	Dividend	L	T					
31. --Vanguard Total Bond Market Index Fund	A	Dividend	J	T					
32. IRA #3 (H)									
33. --Fidelity Magellan Fund	F	Dividend	O	T					
34. IRA #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	 (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	 (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	 (2) Date mm/dd/yy	 (3) Value Code 2 (J-P)	 (4) Gain Code 1 (A-H)	
35. --Vanguard 500 Index Fund	B	Dividend	M	T					
36. IRA #5 (H)									
37. --CIVIX - MFO Causeway Cap Mgmt Tr Intl Value FD Instl Cl	D	Dividend	M	T	Sold (part)	12/11/23	K	D	
38. --DFCEX - MFO DFA Invt. Dimensions Group Inc Emerging Mkts	D	Dividend	M	T					
39. --DFITX - MFO DFA Invt Dimensions Group Inc Intl Real Estate	B	Dividend	K	T					
40. --DFREX - MFO DFA Invt Dimensions Group Inc. Real Estate	B	Dividend	L	T					
41. --GUNR - MFC Flexshares TR Morningstar Global Upstream NRIF	D	Dividend	M	T	Buy (add'l)	03/14/23	L		
42.					Buy (add'l)	12/12/23	K		
43. --NMFIX MFB Northern Multimanager	B	Dividend	L	T					
44. --NOMIX - MFB Northern Mid Cap Index Fund	D	Dividend	M	T					
45. --NOSIX - MFB Northern Funds Stock Index Fund	E	Dividend	O	T	Sold (part)	03/13/23	L	F	
46. --NSIDX - MFB Northern Funds Small Cap Index Fund	C	Dividend	M	T					
47. --QDF MFC Flexshares Trust Quality	D	Dividend	N	T					
48. --NHFIX MFB Northern High Yield Fixed Income	B	Dividend			Sold	12/11/23	K	A	
49. --BHYIX Black Rock Federal High Yield Bond	E	Int./Div.	M	T	Buy (add'l)	03/14/23	L		
50.					Sold (part)	12/11/23	L	A	
51. --MIEIX MFS Instl Intl Equity Fund	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52. --VEA VAnguard FTSE Developed Mkts ETF	G	Dividend	N	T	Buy (add'l)	03/14/23	M		
53. --VWO MFC Vanguard Intl Equity Index Fd FTSE Emerging Mkts	C	Dividend	M	T					
54. HYGV MFC Fllexshares High Yield Value Scored Bond Indx Fund	B	Dividend	M	T	Buy	12/12/23	M		
55.					Buy (add'l)	12/31/23	K		
56. NOGXX Northern US Govt Money Market	C	Int./Div.	L	T					
57. IRA #6 (H)									
58. --Fidelity Blue Chip Growth	B	Dividend	M	T					
59. --Fidelity Magellan (formerly Fidelity Independence)	F	Dividend	O	T					
60. IRA #7 (H)									
61. --Fidelity Magellan Fund (formerly Fidelity Independence)	D	Dividend	L	T					
62. Trust #1 (H)									
63. Trust #2 (Was Trust #8 in 2022) (H)									
64. --25% interest in Farm LLC	E	Distribution	O	W					
65. --JJP Morgan Checking		None	K	T					
66. --Account G (H)									
67. ---Fidelity 500 Index (FXAIX)	D	Dividend	N	T	Sold (part)	01/26/23	K	A	
68.					Buy (add'l)	04/05/23	M		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69.					Buy (add'l)	05/02/23	J		
70.					Sold (part)	05/24/23	K	A	
71.					Sold (part)	06/15/23	K	A	
72. ---Ishares S&P Growth ETF (IVW)		Dividend			Sold	02/27/23	K	D	
73. ---Ishares S&P Value (VE)		Dividend			Sold	02/28/23	K	D	
74. ---JPM Tax Aware Equity Fd USD R6 (JPELX)		Dividend			Sold (part)	02/28/23	K	D	
75.					Sold	03/07/23	K	D	
76. ---Six Circles US Unconstained Eequity (CUSUX)	C	Dividend	N	T					
77. ---SPDR S&P 500 ETF Trust (SPV)	D	Dividend	P1	T					
78. ---Fideliy Int'l Indx - Inst Prm (FSPSX)	B	Dividend	K	T	Buy (add'l)	04/05/23	K		
79.					Sold (part)	05/24/23	K	A	
80. ---Ishares MSCI EAFE ETF (EFA)	D	Dividend	M	T	Buy (add'l)	04/05/23	L		
81.					Sold (part)	05/02/23	J	A	
82. ---JPMorgan BetaBuilders Canada (BBA)	D	Dividend	M	T	Buy (add'l)	04/05/23	L		
83.					Buy (add'l)	05/02/23	K		
84. ---MFS Int'l Intrinsic Value - R6 (MINIX)		Dividend			Sold	03/07/23	J	A	
85. ---Six Circles Int'l Unconstained equ (CIUEX)	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
86. ---JPMorgan BetaBuilders Europe ETF (BBEU)	D	Dividend	N	T	Buy	01/27/23	L		
87.					Buy (add'l)	03/01/23	K		
88.					Buy (add'l)	04/05/23	N		
89.					Sold (part)	05/02/23	L	B	
90.					Sold (part)	08/22/23	L	A	
91. ---JPMorgan Beetabuilders Japan ETF (BBJP)	D	Dividend	N	T	Buy (add'l)	04/05/23	M		
92.					Buy (add'l)	05/02/23	K		
93. ---JPMorgan Betabuilders Dev Asia X- JPN (BBAX)	C	Dividend	M	T	Buy (add'l)	04/05/23	L		
94. ---JPM Interim T/F Bond Fd - R6 (JTZX)	B	Dividend	M	T					
95. ---Schwab Shro-Term US Treasury (CHO)	A	Dividend			Buy (add'l)	03/01/23	K		
96.					Sold	04/11/23	L	A	
97. ---Fidelity Sch Str Tr (FIQZX)	A	Dividend	K	T	Buy (add'l)	03/06/23	K		
98.					Sold	04/11/23	M	A	
99.					Buy	12/15/23	K		
100. ---Six Circles Tax Aware Bond Fd (CBAX)	A	Dividend	M	T	Buy (add'l)	02/28/23	J		
101.					Sold (part)	05/31/23	L	A	
102.					Buy (add'l)	07/13/23	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. ---Six Circles Credit Opportunity Fd	B	Dividend			Sold (part)	03/06/23	K		
104.					Sold	04/11/23	L	A	
105. ---JPMorgan cash accounts	D	Interest	L	T					
106. ---Ishares 7-10 Yr Treasury (IEF)	A	Dividend			Sold	03/01/23	K		
107. ---Ishares 3-7 Yr Treasury (IEI)	A	Dividend			Sold	04/11/23	L	A	
108. ---Vanguard Intermediate Term Treasury (VGIT)	A	Dividend			Sold (part)	03/01/23	K		
109.					Sold	04/11/23	K	A	
110. ---Vanguard Tax Exempt Bond Fund ETF (VTEB)	C	Dividend	M	T	Buy (add'l)	03/01/23	K		
111.					Buy (add'l)	04/11/23	L		
112.					Buy (add'l)	07/13/23	J		
113.					Sold (part)	10/05/23	M	A	
114.					Buy (add'l)	11/08/23	M		
115. ---IShares Short T-Bond (SHV)	A	Dividend			Buy	03/01/23	K		
116.					Sold	04/11/23	K	A	
117. ---Ishares Natll Muni Bond ETF (MUB)	C	Dividend	M	T	Buy	04/11/23	M		
118.					Sold (part)	07/13/23	L	A	
119.					Buy (add'l)	10/05/23	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.					Sold (part)	11/08/23	M	A	
121. ---Nuveen Interim Dur Muni BD (NUVBX)	A	Dividend	K	T	Buy	04/11/23	K		
122.					Buy (add'l)	05/31/23	L		
123.					Sold (part)	10/05/23	K	A	
124.					Buy (add'l)	11/08/23	K		
125. ---Nuveen Short Dur H/Y Muni (NVHIX)	B	Dividend	K	T	Buy	04/11/23	L		
126.					Sold (part)	09/28/23	J	A	
127. ---T Rowe Price Summ Muni Inc (PRIMX)	B	Dividend	L	T	Buy	04/11/23	L		
128.					Sold	10/05/23	L	A	
129.					Buy	11/08/23	L		
130. ---Six Circles Tax AW U/S Dur (CUTAX)	A	Dividend	K	T	Buy	04/11/23	K		
131.					Sold (part)	10/05/23	J	A	
132.					Buy (add'l)	11/08/23	K		
133.					Sold (part)	12/15/23	K	A	
134. ---Vanguard LTD tRM T/E ADM (VMLUX)	B	Dividend	M	T	Buy	04/11/23	L		
135.					Buy (add'l)	09/28/23	J		
136.					Sold (part)	10/05/23	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137.					Buy (add'l)	11/08/23	L		
138. ---JPM Shrt-Int Muni BND Fd (OSTSX)	A	Dividend			Buy	10/05/23	M		
139.					Sold	11/08/23	M	A	
140. ---PIMCO Short-term Fund (PTSHX)	A	Dividend			Buy	10/05/23	J		
141.					Sold	11/08/23	J	A	
142. ---Six Circle Mgd Eq Uncon (CMEUX)		None	K	T	Buy	12/26/23	K		
143. ---Ishares Core S&P Midcap (IJH)	B	Dividend	M	T	Buy	08/22/23	M		
144.					Sold (part)	12/26/23	K	C	
145. ---Six Circles Mgd Eq Intl Uncon (CMIUX)	A	Dividend	K	T	Buy	05/24/23	K		
146.					Sold (part)	06/15/23	K	B	
147.					Buy (add'l)	12/26/23	K		
148. ---Ishares Core MSCI Emerging (IEMG)	B	Dividend	L	T	Buy	04/05/23	L		
149. --Account E (H)									
150. ---Allstate (common)	C	Dividend	M	T					
151. ---American Electric Power (common)	B	Dividend	K	T					
152. ---AT&T (common)	B	Dividend	K	T					
153. ---Bank of America (common)	A	Dividend			Sold	11/07/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. ---BP (common)	A	Dividend	J	T					
155. ---Chemours Co. (common)	A	Dividend	J	T					
156. ---Conoco Phillips (common)	B	Dividend	K	T					
157. ---Constellation Energy (common)	A	Dividend	J	T					
158. ---Corteva Inc. (common)	A	Dividend	K	T					
159. ---Dow Inc. (common)	A	Dividend	K	T					
160. ---Duke Energy (common)	A	Dividend	J	T					
161. ---DuPont De Nemours Inc. (common)	A	Dividend	K	T					
162. ---Enbridge Inc. (common)	A	Dividend	J	T					
163. ---Exelon (common)	A	Dividend			Sold	11/07/23	J	A	
164. ---Exxon (common)	D	Dividend	N	T					
165. ---Fidelity 500 Index Fund	E	Dividend	P1	T	Sold (part)	02/28/23	O	D	
166. ---Fortune brands Innovations (formerly Fortune Brands) (common)	A	Dividend	K	T					
167. ---Hershey (common)	C	Dividend	M	T					
168. ---IBM (common)	A	Dividend	J	T					
169. ---Invesco ETF Tr Dynamic Lg Cap	A	Dividend	J	T					
170. ---Ishares Core S&P Small Cap ETF	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
171. ---Ishares Russell MidCap ETF	A	Dividend	K	T					
172. ---Ishares S&P 500 Growth ETF (IVW)	A	Dividend	K	T					
173. ---JP Morgan Chase (common)	A	Dividend	K	T					
174. ---Kyndryl Holdings (common)		None	J	T					
175. ---Lincoln National (common)	A	Dividend			Sold	02/28/23	K	A	
176. ---Masterbrand Inc. (common)		None	J	T					
177. ---McDonalds (common)	E	Dividend	P1	T	Sold (part)	11/07/23	L	F	
178. ---Merck (common)	A	Dividend	L	T					
179. ---Occidental Petroleum (common)	A	Dividend	J	T					
180. ---Orgonon & Co. (common)	A	Dividend			Sold	11/07/23	J	A	
181. ---Pfizer (common)	C	Dividend	K	T					
182. ---Phillips 66 (common)	A	Dividend	K	T					
183. ---Sherwin Williams (common)	B	Dividend	N	T					
184. ---Viatris (common)	A	Dividend	J	T					
185. ---Walgreens Boots Alliance (common)	C	Dividend	L	T					
186. ---Warner Bros. Discovery Inc. (common)		None	J	T					
187. ---3M (common)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
188. ---Fidelity Intl Index (FSPX)	C	Dividend	M	T					
189. ---JPMorgan Cash account	D	Interest	M	T					
190.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information Concerning Part VII, Investments and Trusts:

Line 62: Trust #1 has no reportable assets. I did not create the Trust and am not a beneficiary.
Line 63: Trust #2 was reported as Trust #8 in 2022; as explained in last year's report Trusts #2 through #7 terminated in 2021 and 2022 and their assets were transferred to what is now Trust #2.

Name of Person Reporting	Date of Report
Tharp, John J.	07/21/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John J. Tharp

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544