AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thompson, Alvin W.	U.S. District Court (Conn.)	06/30/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
United States District Court 450 Main Street Hartford, CT 06103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Directors	Connecticut Health Foundation
2.	Board of Trustees	Goodwin University
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	Connecticut Teachers' Retirement Board
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Goodwin University	3/5/24 to 3/7/24	Westbrook, CT	Board of Trustees Retreat	Lodging and meals
2.	Connecticut Health Foundation	3/22/24 to 3/23/24	West Hartford, CT	Board of Directors Retreat	Meals
3.	Connecticut Health Foundation	3/28/24	New Haven, CT	Board of Directors Meeting	Meal
4.	American Bar Association/ Business Law Section	4/3/24 to 4/6/24	Orlando, FL	Spring Meeting	Transportation, lodging, meals, and registration
5.	Connecticut Health Foundation	6/3/24 to 6/6/24	Portland, OR	Conference on Health Philanthropy	Transportation, lodging, meals, and registration

6.	Connecticut Health Foundation	6/12/24 to 6/13/24	Hartford, CT	25th Anniversary	Meals
7.	American Bar Association/ Business Law Section	9/11/24 to 9/14/24	San Diego, CA	Fall Meeting	Transportation, lodging, meals, and registration
8.	Connecticut Health Foundation	11/11/24 to 11/12/24	Washington, DC	Grantmakers in Health Fall Forum	Transportation, meals, and registration
9.	Connecticut Health Foundation	12/11/24	New Haven, CT	Board of Directors Meeting and End of Year Reception	Meal

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thompson, Alvin W.	06/30/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. T. Rowe Price Small Cap Fund		None			Sold	08/28/24	O		
2.					Sold	08/30/24	O		
3.					Sold	09/05/24	O		
4.					Sold	09/06/24	N		
5. T. Rowe Value Fund	F	Dividend	O	T	Donated (part)	12/02/24	J		
6. T. Rowe Price Spectrum, Inc. Fund	A	Dividend			Sold	08/27/24	M		
7. Vanguard 500 Index Fund	A	Dividend	L	T					
8. Webster Bank Accounts	A	Interest	L	T					
9. Nationwide Whole Life Policy	A	Dividend	J	T					
10. T. Rowe Price Balanced Fund	D	Dividend	M	T	Buy	08/27/24	M		
11. All-Cap Opportunties Fund	F	Dividend	O	T	Buy	08/28/24	O		
12.					Buy	09/06/24	N		
13. Mid-Cap Value Fund	F	Dividend	O	T	Buy	08/30/24	O		
14. Integrated Global Equity Fund	E	Dividend	O	T	Buy	09/05/24	O		
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Thompson, Alvin W.	06/30/2025

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All of the funds in two of the T. Rowe Price Funds (the Small Cap Fund and the Spectrum, Inc. Fund) were moved to the four Funds listed on lines 10-14, over the course of five transactions. There was no gain on the transactions.

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Thompson, Alvin W.	06/30/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alvin W. Thompson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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