AO 10*
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thompson, Anne E.	U.S. District Court	05/05/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
400 E. State Street Room 4000 Trenton, NJ 08608		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member - Criminal Law Drafting Committee	Nartional Conference of Bar Examiners
2.	Co-Trustee	Trust #1 - U/W Wm. Thompson Art. Fifth
3.	Co-Trustee	Trust #2 - U/W Wm. Thompson Art. Sixth
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	National Examiners of Bar Examiners	$8,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thompson, Anne E.	05/05/2022

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. OceanFirst Bank	A	Interest	J	T					
2. Glenmede Tax-Exempt Fund	A	Dividend	J	T					
3. Metropolitan Life Insurance Company (Term Life Policy)		None	J	T					
4. Chase Bank	A	Interest	K	T					
5. TRUST #1 - U/W Wm. Thompson Art. Fifth (H)									
6. -- Fidelity Diversified Int'l Fund	D	Dividend	L	T					
7. -- Fidelity Money Market Cash Reserves	A	Dividend	M	T					
8. -- Fidelity Advisor New Insights Fund	A	Dividend			Sold	09/07/21	J	D	
9. -- Fidelity Blue Chip Growth Fund	D	Dividend	L	T					
10. -- Diamond Hill Small Cap Fund CL A	A	Dividend			Sold	09/07/21	J	D	
11. -- Harbor Capital Appreciation Fund	C	Dividend	K	T					
12. -- Hotchkins & Wiley Mid Cap Value Fund CL A		None			Sold	09/07/21	J	D	
13. -- T Rowe Price Value Fund Adv Class	B	Dividend	K	T					
14. -- S&P 500 Depository Receipt	A	Dividend	K	T					
15. -- MFS International Diversification Fund		None			Sold	09/07/21	K	D	
16. -- Primecap Odyssey Growth Fund	C	Dividend	K	T					
17. -- Ishares TR Russell 1000 Value Index	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

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18. -- Ishares TR Russell 100 Growth Index	A	Dividend	K	T					
19. -- Ishares TR Russell 1000 Index Fund	A	Dividend	K	T					
20. -- Vanguard Growth Vipers	A	Dividend	K	T					
21. -- Vanguard Value Vipers	A	Dividend	K	T					
22. -- Allspring (formerly Wells Fargo) Special Mid Cap Value Adm	C	Dividend	K	T					
23. -- Ishares S&P Growth	A	Dividend	K	T					
24. -- Ishares S&P Value	A	Dividend	J	T					
25. -- Vanguard Large Cap ETF	A	Dividend	K	T					
26. -- Vanguard Sector Index	A	Dividend	K	T					
27. -- Vanguard Small-Cap ETF	A	Dividend	L	T					
28. -- Janus Henderson Enterprise	D	Dividend	K	T					
29. -- Credit Suisse Commodity Return Strategy Fund	B	Dividend	J	T					
30. -- Intermediate Crossover Fixed Income Common TR Fd	C	Dividend	M	T					
31. -- Allspring (formerly Wells Fargo) Special Small Cap Value Fund	B	Dividend	K	T					
32. -- Vanguard FTSE Developed Markets	B	Dividend	K	T					
33. -- Vanguard FTSE Extended Markets	A	Dividend	K	T					
34. -- Vanguard S&P 500	A	Dividend	L	T					

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35. -- Vanguard Mid Cap ETF	A	Dividend	L	T					
36. TRUST #2 - U/W Wm. Thompson Art. Sixth (H)									
37. -- Fidelity Diversified Int'l Fund	B	Dividend	K	T					
38. -- Fidelity Money Market Cash Reserves	A	Dividend	L	T					
39. -- Fidelity Advisor Mid Cap Fund II C1 I	C	Dividend	K	T					
40. -- Fidelity Advisor Int'l Discovery Fund CL I	B	Dividend	J	T					
41. -- Fidelity Blue Chip Growth Fund	D	Dividend	L	T					
42. -- Baron Growth Fund	B	Dividend	K	T					
43. -- Harbor Capital Appreciation Fund		None			Sold	02/26/21	K	E	
44. -- Ishares TR Russell 100 Growth Index	A	Dividend	M	T					
45. -- T Rowe Price Mid Cap Value Fund Adv Class	B	Dividend	J	T					
46. -- T Rowe Price Value Fund Adv Class	D	Dividend	L	T					
47. -- S&P 500 Depository Receipt	B	Dividend	L	T					
48. -- Invesco Int'l Growth Fund	A	Dividend	J	T					
49. -- Artisan Int'l Value Fund	C	Dividend	K	T					
50. -- Dodge & Cox Stock Fund	A	Dividend	J	T					
51. -- Ishares TR 1000 Value	A	Dividend	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. -- Ishares TR 1000 Value Index	A	Dividend	K	T					
53. -- Oakmark International	A	Dividend	K	T					
54. -- Ishares TR Russell Midcap Value Index	A	Dividend	J	T					
55. -- T Rowe Price Emerging Markets Stock	B	Dividend	K	T					
56. -- Ishares S&P 500 Value	A	Dividend	K	T					
57. -- Ishares US Financials	A	Dividend	K	T					
58. -- Vanguard Large Cap ETF	A	Dividend	K	T					
59. -- Credit Suisse Commodity Return Strategy Fund	B	Dividend	J	T					
60. -- Janus Enterprise Fund	C	Dividend	K	T					
61. -- Allspring (formerly Wells Fargo) Special Small Cap Value Fund	B	Dividend	K	T					
62. -- Intermediate Crossover Fixed Income Common TR Fd	D	Dividend	N	T	Buy (add'l)	03/02/21	K		
63. -- Vanguard FTSE Developed Markets	B	Dividend	K	T					
64. -- Vanguard FTSE Emerging Markets	A	Dividend	K	T					
65. -- Vanguard S&P 500	A	Dividend	L	T					
66. -- Vanguard Mid Cap ETF	A	Dividend	L	T					
67. -- Vanguard Small Cap ETF	A	Dividend	L	T					
68. GLENMEDE IRA (H)									

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69. -- Glenmede Core Fixed Income Port	C	Dividend	M	T	Buy (add'l)	07/21/21	K		
70. -- Exxon Mobil Corp	A	Dividend			Sold	07/20/21	J	B	
71. -- Johnson & Johnson	A	Dividend	K	T					
72. -- Glenmede Govt Cash Fund	A	Interest	K	T					
73. -- Qualcomm Corp	A	Dividend			Sold	07/20/21	J	D	
74. -- Glenmede Fund Inc Advisor Small Cap	B	Dividend	L	T					
75. -- Matthews Pacific Tiger Fund		None			Sold	07/27/21	K	D	
76. -- Apple Inc	A	Dividend	K	T	Sold (part)	12/03/21	J	D	
77. -- Seyworks Solutions	A	Dividend	K	T					
78. -- Cisco Systems	A	Dividend	K	T					
79. -- Union Pacific Corp	A	Dividend	K	T					
80. -- Pepsico Inc	A	Dividend	K	T					
81. -- Chevron Corp	A	Dividend	J	T					
82. -- Mastercard Inc	A	Dividend	K	T					
83. -- Paypal Holding Inc		None	J	T	Sold (part)	02/12/21	J	D	
84. -- Home Depot Inc	A	Dividend	K	T					
85. -- JP Morgan & Chase Co	A	Dividend	K	T					

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86. -- Dollar Tree Inc		None			Sold	09/03/21	J	B	
87. -- Honeywell International Inc	A	Dividend	K	T					
88. -- Alphabet Inc Cap Stock		None	K	T					
89. -- Sherwin Williams Co	A	Dividend	K	T	Sold (part)	07/20/21	J	D	
90. -- TJX Cos Inc	A	Dividend	K	T					
91. -- Walt Disney Co	A	Dividend	K	T					
92. -- Intel Corp		None			Sold	02/03/21	K	D	
93. -- Edwards Lifesciences		None	K	T					
94. -- PNC Financial Services Group	A	Dividend	K	T					
95. -- Snap On Tools Corp	A	Dividend			Sold	03/05/21	K	C	
96. -- Labortatory Corp of America		None	K	T					
97. -- Chubb Limited	A	Dividend	J	T					
98. -- Applied Materials Inc	A	Dividend	K	T	Sold (part)	02/12/21	J	C	
99. -- Applied Materials Inc	A	Dividend	K	T	Sold (part)	07/20/21	J	D	
100. -- Applied Materials Inc	A	Dividend	K	T	Sold (part)	12/03/21	J	D	
101. -- Charles Schwab Corp	A	Dividend	K	T					
102. -- Cummins Inc	A	Dividend	K	T					

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103. -- Bristol Myers	A	Dividend	K	T					
104. -- CVS Corp	A	Dividend	K	T					
105. -- Biogen Idec Inc		None	J	T					
106. -- Microsoft Corp	A	Dividend	K	T					
107. -- Elanco Animal Health Inc		None	J	T					
108. -- WCM Focused Intl Growth Fund	C	Dividend	L	T					
109. -- Amazon.Com		None	K	T					
110. -- Procter & Gamble	A	Dividend	K	T	Buy (add'l)	02/22/21	J		
111. -- Procter & Gamble	A	Dividend	K	T	Sold (part)	12/03/21	J	B	
112. -- SVB Financial Group		None	K	T	Sold (part)	12/03/21	K	D	
113. -- Raytheon Technologies	A	Dividend	K	T					
114. -- Carter's Inc	A	Dividend	J	T					
115. -- Aptiv PLC		None	J	T					
116. -- Oracle Corp	A	Dividend	J	T					
117. -- Comcast Corp	A	Dividend	J	T					
118. -- Arista Networks Inc		None	K	T					
119. -- Accenture PLC	A	Dividend	K	T	Buy	02/11/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Thompson, Anne E.	05/05/2022

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. -- Costco Wholesale Corp	A	Dividend			Buy	02/23/21	K		
121. -- Costco Wholesale Corp		None			Sold	11/01/21	K	D	
122. -- McCormick & Co Inc	A	Dividend	J	T	Buy	08/18/21	J		
123. -- Pioneer Natural Resources	A	Dividend	J	T	Buy	09/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Thompson, Anne E.	05/05/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Thompson, Anne E.	05/05/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anne E. Thompson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544