AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Tigar, Jon S.	U.S. District Court for the Northern District of California	05/31/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
1301 Clay Street Oakland, CA 94612		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	[redacted] Living Trust dtd. 05/13/1997
2.	Director	[redacted] Trust dtd. 08/27/1999
3.	Director	[redacted] 99 Trust dtd. 08/27/1999
4.	Director	[redacted] 2022 Irrev Trust dtd. 01/17/2022
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Durfee Foundation (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Administrative Office of the Courts	1/29/23-1/30/23	Tucson, AZ	Judicial conference	Airfare, travel, food, and lodging
2.	American Law Institute	03/21/23-03/23/23	Philadelphia, PA	Advisers meeting regarding new publication	Airfare, travel, food, and lodging
3.	American Bar Association Antitrust Section	3/27/23-3/30/23	Washington, D.C.	Antitrust Section Spring Annual Meeting	Airfare, travel, food, and lodging
4.	Administrative Office of the Courts	4/21/23-4/23/23	Napa, CA	Northern District Judicial Conference	Travel, food, and lodging

5.	American Bar Association Antitrust Section	5/7/23-5/10/23	Chicago, IL	ABA/FJC Judicial Law and Economics Institute	Airfare, travel, food, and lodging
6.	American Bar Association Antitrust Section	6/10/23-6/12/23	Copenhagen, Denmark	ABA Antitrust Section Global Private Litigation Conference	Airfare, travel, food, and lodging
7.	Administrative Office of the Courts	7/30/23-8/3/23	Portland, OR	Ninth Circuit Judicial Conference	Airfare, travel, food, and lodging
8.	American Bar Association Antitrust Section	8/6/23-8/9/23	Laguna Niguel, CA	ABA Antitrust Section Leadership Meeting	Airfare, travel, food, and lodging
9.	Administrative Office of the Courts	9/27/23-9/29/23	Napa, CA	Northern District of California Judicial Retreat	Travel, food, and lodging

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Tigar, Jon S.	05/31/2024

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Account (H)									
2. - Vanguard FTSE All-World ex-US Index Admiral	A	Dividend	K	T					
3.									
4. Rollover IRA (H)									
5. - Thompson Bond Fund	D	Dividend	L	T					
6. - DFA Commodity Strategy Portfolio	C	Dividend	M	T					
7. - DFA Emerging Markets Portfolio	B	Dividend	K	T					
8. - DFA Global Real Estate Security Port Institutional	D	Dividend	M	T					
9. - DFA International Small Company Portfolio	B	Dividend	K	T					
10. - PIMCO Stocksplus Intl Fd Usd Hedged A	D	Dividend	M	T					
11. - PRIMECAP Odyssey Aggressive Growth Fund	D	Distribution	M	T					
12. - Vanguard Total International Stock Fund	A	Dividend	K	T					
13. - Altshares Merger Arbitrage ETF		None	K	T	Buy	01/12/23	K		
14. - IndexIQ Merger Arbitrage ETF	B	Dividend	M	T					
15. - iShares Short Term Corporate Bond ETF	D	Dividend	N	T					
16.									
17. Living Trust #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Tigar, Jon S.	05/31/2024

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - PIMCO California Flexible Municipal Income Instl	C	Dividend	M	T	Buy	07/03/23	M		
19. - Vanguard CA Inter Term Tax-Exempt Fund Admiral Shares	C	Dividend	M	T					
20. - Vanguard Short-Term Investment-Grade Fund	D	Dividend	N	T	Sold (part)	07/03/23	K	A	
21. - Artisan Global Opportunities Fund	D	Distribution	M	T					
22. - DFA Commodity Strategy Portfolio	B	Dividend	M	T	Sold (part)	07/03/23	L	A	
23. - DFA Commodity Strategy Portfolio					Sold (part)	09/20/23	K	A	
24. - DFA Emerging Markets Portfolio	D	Dividend	M	T					
25. - DFA Global Real Estate Security Port Institutional	D	Dividend	M	T					
26. - DFA International Small Company Portfolio	D	Dividend	M	T					
27. - Oakmark Global Fund	C	Distribution	L	T					
28. - PRIMECAP Odyssey Aggressive Growth Fund	E	Distribution	M	T					
29. - Vanguard FTSE All-World ex-US Index Fund	E	Dividend	N	T	Buy (add'l)	07/03/23	K		
30. - Vanguard FTSE All-World ex-US Index Fund					Sold (part)	09/20/23	L	A	
31. - Vanguard Mid-Cap Index Fund	C	Dividend	M	T					
32. - Vanguard Small-Cap Growth Index Fund	B	Dividend	M	T					
33. - IndexIQ Merger Arbitrage ETF	B	Dividend	N	T					
34. - Fidelity CA Ltd Term Tax Free Bond Fund	B	Dividend		T	Sold (part)	07/03/23	K	A	

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35. - Fidelity CA Ltd Term Tax Free Bond Fund					Sold	09/20/23	M	A	
36. - PIMCO Flexible Municipal Income Fund	C	Dividend		T	Sold	08/09/23	M	A	
37. - Vanguard Total International Stock Fund	B	Dividend		T	Sold	07/03/23	L	A	
38.									
39. Living Trust #2 (H)									
40. - Avery Dennison Corp Common Stock	E	Dividend	P1	T					
41. - DFA Commodity Strategy Portfolio	B	Dividend	L	T					
42. - DFA Emerging Markets Portfolio	B	Dividend	K	T					
43. - DFA Global Real Estate Securities Portfolio	D	Dividend	M	T					
44. - DFA International Small Company Portfolio	B	Dividend	K	T					
45. - Schwab 1000 Index Fund	E	Dividend	P1	T	Sold (part)	07/03/23	M	F	
46. - Vanguard FTSE All-World ex-US Index Fund	D	Dividend	M	T					
47. - IndexIQ Merger Arbitrage ETF	A	Dividend	L	T					
48. - Vanguard Total International Stock Fund	C	Dividend		T	Sold	07/03/23	M	A	
49.									
50. Irrevocable Trust #1 (H)									
51. - Vanguard Short Term Investment Grade Fund	B	Dividend	L	T					

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52. - Arbitrage Fund Class I	A	Dividend	K	T					
53. - DFA Global Real Estate Securities I	B	Dividend	K	T					
54. - PIMCO Commodity Real Return Strategy Fund	A	Dividend	J	T					
55. - Vanguard Extended Market Index Fund	B	Dividend	M	T					
56. - Vanguard FTSE All-World Ex-US Index Fund	D	Dividend	M	T					
57. - Vanguard Small Cap Index Fund	B	Dividend	M	T					
58. - Vanguard 500 Index Fund	C	Dividend	N	T					
59.									
60. Irrevocable Trust #2 (H)									
61. - Eaton Vance Core Plus BD	D	Dividend	M	T					
62. - Artisan Global Oppty Inv Cl	B	Distribution	L	T					
63. - Driehaus Emerging Markets Growth Fund	B	Dividend	L	T	Buy (add'l)	01/09/23	L		
64. - Fidelity Mid-Cap Stock	C	Distribution	M	T	Buy	01/09/23	K		
65. - Fidelity Mid-Cap Stock					Buy (add'l)	01/10/23	M		
66. - Fuller Thaler Behavioral Small-Cap Equity	A	Distribution	K	T	Buy	01/09/23	K		
67. - Schwab Fdmtl US Sm Co Idx	A	Dividend	K	T					
68. - Vanguard Dividend Appreciation Index Fund	B	Dividend	M	T	Buy (add'l)	01/09/23	K		

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69. - iShares Core S&P 500	B	Dividend	M	T					
70. - Vanguard Small Cap ETF	B	Dividend	L	T					
71. - PRIMECAP Odyssey Aggressive Growth Fund		None		T	Sold	01/09/23	M	F	
72.									
73. Irrevocable Trust #3 (H)									
74. - AMG Pantheon Fund Class 2	B	Distribution	M	T					
75. - Pomona Investment Fund Class A	D	Distribution	M	T					
76. - Blackstone Real Estate Income Trust Class D	D	Distribution	M	T					
77.									
78. Vanguard Account (H)									
79. - Vanguard FTSE All-World Ex-US Index Fund	D	Distribution	N	T					
80. - Vanguard Global Ex-US Real Estate Index Fund Admiral	A	Distribution	K	T					
81. - Vanguard Real Estate Index Admiral	B	Distribution	L	T					
82. - Vanguard Short Term Investment Grade Fund	C	Distribution	M	T					
83.									
84.									
85.									

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Tigar, Jon S.	05/31/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Tigar, Jon S.	05/31/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon S. Tigar**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544