AO 10*
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Torres, Analisa N.	U.S. District Court for the Southern District of New York	08/01/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. District Court for the Southern District of New York 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2019	New York State Local & Retirement System/Defined Benefit Pension Plan
2.		
3.		

Name of Person Reporting	Date of Report
Torres, Analisa N.	08/01/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	New York State Local & Retirement System/Defined Benefit Pension Plan	$48,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Wells Fargo Advisors-salary, commissions and bonus
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Torres, Analisa N.	08/01/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo Visa	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account-TOD #1 (H)									
2. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
3. -Rochester Fund NY-RMUYX	A	Interest	K	T					
4. -American Funds Tax Exempt NY-TEAFX	A	Interest	K	T					
5. -Nuveen Flagship NY-NTNYX	A	Interest	K	T					
6. -PIMCO Income-PIMIX	A	Interest	K	T					
7.									
8. Brokerage Account #2 (H)									
9. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
10.									
11. Brokerage Account #3 (H)									
12. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
13.									
14. SW-IRA#1 (H)									
15. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
16. -Apple-AAPL	B	Dividend	L	T					
17. -AT&T-T	C	Dividend			Sold	08/11/21	L	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. -IShares Core Mid Cap-IJH	A	Dividend	J	T					
19. -IShares Core Small Cap-IJR	A	Dividend	J	T					
20. -Moderna-MRNA		None			Sold	11/11/21	J	A	
21. -Nuveen ST Muni-FSHYX	A	Interest	K	T	Sold (part)	08/10/21	K	A	
22. -Facebook-FB	A	Dividend	K	T	Sold (part)	10/28/21	J	C	
23. -JPM Chase-JPM	A	Dividend			Sold	08/10/21	L	E	
24. -Microsoft-MSFT	A	Dividend	K	T					
25. -Blackrock-BLK	A	Dividend			Buy	05/19/21	K		
26.					Sold	08/10/21	K	B	
27. -Corning-GLW	A	Dividend			Buy	02/16/21	J		
28.					Sold	07/20/21	J	A	
29. -Semiconductor ETF-SOXX		None			Buy	04/06/21	J		
30.					Sold	05/04/21	J		
31. -Retractable Tech-RVP		None			Buy (add'l)	02/02/21	J		
32.					Sold	05/28/21	J		
33. -Alibaba-BABA		None			Sold	05/12/21	J		
34. -Beyond Meat-BYND		None			Sold	04/23/21	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. -Tesla-TSLA		None			Buy	04/06/21	J		
36.					Sold	09/28/21	J	B	
37.		None	K	T	Buy	10/28/21	K		
38. -Invesco-QQQ		None	K	T	Buy	08/11/21	K		
39. -iShares S&P 500-IVV	A	Dividend	K	T	Buy	08/11/21	K		
40.									
41. SCW Roth IRA #2 (H)									
42. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
43. -Bank of America-BAC	A	Dividend	L	T					
44. -iShares S&P 500 ETF-IVV	A	Dividend			Sold	08/10/21	K	D	
45. -iShares QQQ-QQQ	A	Dividend			Sold	08/10/21	K	D	
46. -Blackrock-BLK	A	Dividend	K	T	Buy	05/12/21	K		
47. -JPM Equity Inc-JEPI	A	Dividend	K	T	Buy	12/01/21	K		
48. -JPMorgan-JPM	A	Dividend	K	T	Buy	08/31/21	K		
49.									
50. AT- Roth IRA #3 (H)									
51. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. -iShares Core S&P 500-IVV	A	Dividend			Sold	06/02/21	J		
53. XOM	A	Dividend			Buy	06/02/21	J		
54.					Sold	10/01/21	J		
55.									
56. AT-Eq Inc-IRA#5 (H)									
57. -AEP	A	Dividend	J	T					
58. -BLK	A	Dividend	J	T	Buy	08/20/21	J		
59. -T	A	Dividend			Sold	05/25/21	J		
60. -CVX	A	Dividend	J	T	Buy	12/08/21	J		
61. -CSCO	A	Dividend	J	T					
62. -DEO	A	Dividend			Sold	09/07/21	J	A	
63. -DOV	A	Dividend	J	T					
64. -DD	A	Dividend	J	T					
65. -GD	A	Dividend	J	T					
66. -GPC	A	Dividend	J	T					
67. -HCN	A	Dividend	J	T					
68. -HD	A	Dividend	J	T					

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69. -ITW	A	Dividend	J	T					
70. -INTC	A	Dividend			Sold	10/25/21	J	B	
71. -JNJ	A	Dividend	J	T					
72. -JPM	A	Dividend	J	T					
73. -KMB	A	Dividend	J	T					
74. -LMT	A	Dividend	J	T					
75. -MTB	A	Dividend	J	T					
76. -MAT	A	Dividend	J	T					
77. MMC	A	Dividend	J	T	Buy	08/23/21	J		
78. -MCD	A	Dividend	J	T					
79. -MRK	A	Dividend	J	T					
80. -MSFT	A	Dividend	J	T					
81. -NEE	A	Dividend	J	T					
82. -PBCT	A	Dividend	J	T					
83. -PEP	A	Dividend	J	T					
84. -PSX	A	Dividend	J	T					
85. -PG	A	Dividend	J	T					

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86. -UPS	A	Dividend	J	T					
87. -UNH	A	Dividend	J	T	Buy	12/09/21	J		
88. -VZ	A	Dividend	J	T					
89. -VOD	A	Dividend	J	T					
90. -WAG	A	Dividend	J	T					
91. -WM	A	Dividend	J	T					
92. QCOM	A	Dividend	J	T					
93. PFE	A	Dividend	J	T					
94. NUE	A	Dividend	J	T					
95. -IBM	A	Dividend	J	T					
96. -USB	A	Dividend	J	T					
97. TGT	A	Dividend	J	T					
98. - AMGN	A	Dividend	J	T					
99. -ADP	A	Dividend	J	T					
100. -CSCSA	A	Dividend	J	T					
101. -SBUX	A	Dividend	J	T					
102. -BK	A	Dividend	J	T					

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103. -GILD	A	Dividend	J	T					
104. -INTU	A	Dividend	J	T					
105. -XEL	A	Distribution	J	T					
106.									
107. SCW-IRA#6 DSIP (H)									
108. -AFL	A	Dividend	J	T					
109. -ACN	A	Dividend	J	T					
110. -APD	A	Dividend	J	T					
111. -ADI	A	Dividend	J	T					
112. -ADP	A	Dividend	J	T					
113. -AMGN	A	Dividend	J	T					
114. -AAPL	A	Dividend	J	T					
115. -BDX	A	Dividend	J	T					
116. -CVX	A	Dividend	J	T					
117. -CB	A	Dividend	J	T					
118. -CLX	A	Dividend	J	T					
119. -CL	A	Dividend			Sold	12/03/21	J	A	

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120. -CSCO	A	Dividend	J	T					
121. -EV	A	Dividend			Sold	02/17/21	J	B	
122. -EMR	A	Dividend	J	T					
123. -FDS	A	Dividend	J	T					
124. -GD	A	Dividend	J	T					
125. -HRS	A	Dividend	J	T					
126. -IBM	A	Dividend							
127. -JNJ	A	Dividend	J	T					
128. -JPM	A	Dividend	J	T					
129. -MCD	A	Dividend	J	T					
130. -MDT	A	Dividend	J	T					
131. -MSFT	A	Dividend	J	T					
132. -NEE	A	Dividend	J	T					
133. -NSC	A	Dividend	J	T					
134. -NVS	A	Dividend	J	T					
135. -ppg	A	Dividend	J	T	Buy	10/07/21	J		
136. -PAYX	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Torres, Analisa N.	08/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -PEP	A	Dividend	J	T					
138. -PSX	A	Dividend	J	T					
139. - PG	A	Dividend	J	T					
140. -SIAL	A	Dividend	J	T					
141. -TGT	A	Dividend	J	T					
142. -USB	A	Dividend	J	T					
143. -VFC	A	Dividend	J	T					
144. -WMT	A	Dividend	J	T					
145. -WEC	A	Dividend	J	T					
146. -MMM	A	Dividend	J	T					
147. -ES	A	Dividend	J	T					
148. -NKE	A	Dividend	J	T					
149. -GMCSA	A	Dividend	J	T					
150. -AMT	A	Dividend	J	T					
151. -UNH	A	Dividend	J	T					
152.									
153. TD Bank Savings Account	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Torres, Analisa N.	08/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155. Chase Checking Plus Account	A	Dividend	J	T					
156.									
157. 401K #1 (H)									
158. -Wells Fargo 401k Large Cap Growth Multi-Manager Fund	A	Dividend	K	T					
159. -Wells Fargo 401k LargeCap Value Multi-Manage Fund	A	Dividend	K	T					
160. -Wells Fargo 401k Russell SC Index	A	Dividend	J	T					
161. -Wells Fargo 401k Small Cap Multi Manager Fund	A	Dividend	J	T					
162. -State Street Emerging Mkts Fund	A	Dividend	K	T					
163. -Wells Fargo 401k Intl Equity Multi Manager Fund	A	Dividend	K	T					
164. -Wells Fargo 401k State Street International Index	A	Dividend	K	T					
165. WF/State Street Target 2020	A	Dividend	J	T					
166. WF/State Street Target 2025	A	Dividend	J	T					
167. WF/State Street Target 2030	A	Dividend	J	T					
168. WF/State Street Target 2035	A	Dividend	J	T					
169. WF/State Street Target 2040	A	Dividend	J	T					
170. WF/State Street Target 2045	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Torres, Analisa N.	08/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. WF/State Street Target 2050	A	Dividend	J	T					
172. WF/State Street Target 2050	A	Dividend	J	T					
173.									
174.									
175.									
176.									
177.									
178.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Torres, Analisa N.	08/01/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Wells Fargo 401(k) plan is a custom, multi-manager investment fund created exclusively for the employees of Wells Fargo & Company. A commingled fund is not a registered product but a collective trust fund. A collective fund is not a mutual fund and is exempt from SEC registration. Designed for and exclusively sold to qualified retirement plans and their participants, the funds are not available to individual retail investors.

The following stocks that were bought in 2020 were inadvertantly omitted from the 2020 report: BLK, SOXX, RVP, BABA, BYND and TSLA.

Name of Person Reporting	Date of Report
Torres, Analisa N.	08/01/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Analisa N. Torres

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544