Periodic Transaction Report, Oct 14, 2026

Financial Disclosure Report (AO Form 10T)

Filer's Information
Torres, Analisa
U.S. District Court - New York Southern
District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

/s/ Torres, Analisa [electronically signed on Oct 14, 2026 12:58pm by Torres, Analisa in JEFS]

Date Filed: Oct 14, 2026
Date of First Transaction on this Report: Jan 13, 2026
Date of Last Transaction on this Report: Sep 30, 2026

Transactions

DESCRIPTION

1. **(H) Account #1**

1-A. SB 3 Yr MLS Autocall Corp Note Lnkd LWST Perf NFLX GOOGL AVGO

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Apr 16, 2026	May 5, 2026	$1,000 - $15,000

1-B. Barclays 3Yr MLS Autocall 14% Corp Note LWT Perf AMZN GOOGL NVDA

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Apr 16, 2026	May 5, 2026	$1,000 - $15,000

1-C. BMO 3 Yr MLS Autocall Corp Note 21.57% LWST Perf UNH NVDA MSFT

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Apr 17, 2026	May 5, 2026	$1,000 - $15,000

1-D. SB 3 Yr MLS Autocall 19.45% Corp Note LP AMZN GOOGL AVGO NVDA

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jul 30, 2026	Aug 5, 2026	$1,000 - $15,000

2. **(H) Account #2**

2-A. Starbucks Corp (SBUX)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Jan 28, 2026	Feb 5, 2026	$1,000 - $15,000

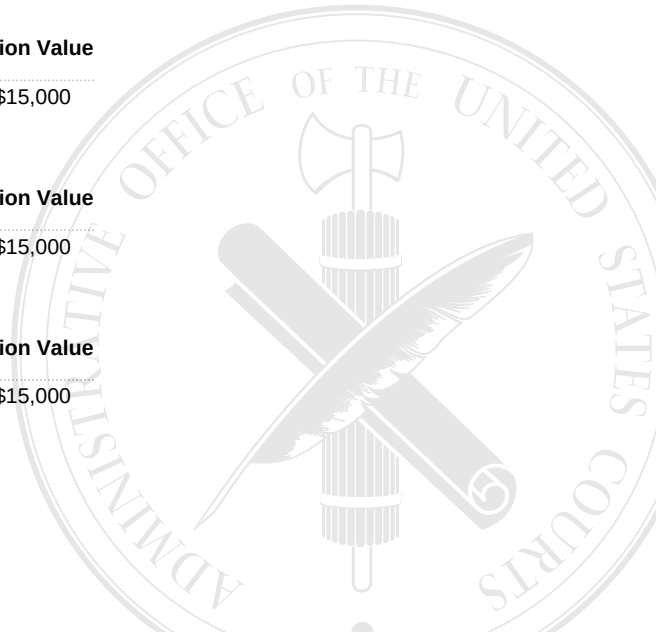
2-B. Broadcom Inc (AVGO)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Feb 9, 2026	Mar 5, 2026	$1,000 - $15,000

2-C. Genuine Parts Co (GPC)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Feb 24, 2026	Mar 5, 2026	$1,000 - $15,000

2-D. Illinois Tool Works Inc (ITW)



DESCRIPTION

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Feb 25, 2026	Mar 5, 2026	$1,000 - $15,000

2-E. PNC Financial Services Group (PNC)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Mar 12, 2026	Apr 5, 2026	$1,000 - $15,000

2-F. Mastercard Inc (MA)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Mar 26, 2026	Apr 5, 2026	$1,000 - $15,000

2-G. EOG Resources Inc (EOG)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Apr 22, 2026	May 5, 2026	$1,000 - $15,000

2-H. Amgen Inc (AMGN)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Apr 23, 2026	May 5, 2026	$1,000 - $15,000

2-I. Intuit (INTU)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	May 26, 2026	Jun 5, 2026	$1,000 - $15,000

2-J. Kimberly Clark Corp (KMB)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	May 27, 2026	Jun 5, 2026	$1,000 - $15,000

2-K. Lam Research Corp (LRCX)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	May 29, 2026	Jun 5, 2026	$1,000 - $15,000

2-L. Corteva Inc (CTVA)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Jun 10, 2026	Jul 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Jun 11, 2026	Jul 5, 2026	$1,000 - $15,000
(3).	Sold (part)	Jun 12, 2026	Jul 5, 2026	$1,000 - $15,000
(4).	Sold	Jun 15, 2026	Jul 5, 2026	$1,000 - $15,000

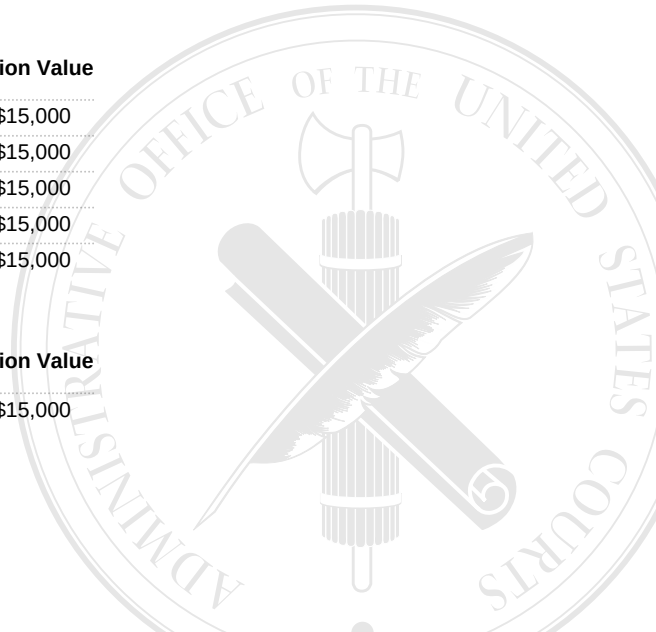
2-M. Apple Inc (AAPL)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jun 11, 2026	Jul 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Jun 12, 2026	Jul 5, 2026	$1,000 - $15,000
(3).	Buy (add'l)	Jun 15, 2026	Jul 5, 2026	$1,000 - $15,000
(4).	Buy (add'l)	Jun 16, 2026	Jul 5, 2026	$1,000 - $15,000
(5).	Buy (add'l)	Sep 2, 2026	Oct 5, 2026	$1,000 - $15,000

2-N. Dover Corp (DOV)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Sep 3, 2026	Oct 5, 2026	$1,000 - $15,000

2-O. Marsh (MRSH)



DESCRIPTION

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Sep 1, 2026	Oct 5, 2026	$1,000 - $15,000

2-P. TJX Cos Inc (TJX)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Sep 3, 2026	Oct 5, 2026	$1,000 - $15,000

2-Q. Bank of New York Mellon Corp (BNY)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Sep 29, 2026	Oct 5, 2026	$1,000 - $15,000

2-R. JPMorgan Chase & Co (JPM)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Sep 28, 2026	Oct 5, 2026	$1,000 - $15,000

3. **(H) Account #3**

3-A. Calix Inc (CALX)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Jan 13, 2026	Feb 5, 2026	$1,000 - $15,000

3-B. Ionq Inc (IONQ)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Jan 13, 2026	Feb 5, 2026	$1,000 - $15,000

3-C. Uber Technologies Inc UBER)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Jan 13, 2026	Feb 5, 2026	$1,000 - $15,000

3-D. Amphenol Corp (APH)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jan 28, 2026	Feb 5, 2026	$1,000 - $15,000
(2).	Sold (part)	May 12, 2026	Jun 5, 2026	$1,000 - $15,000

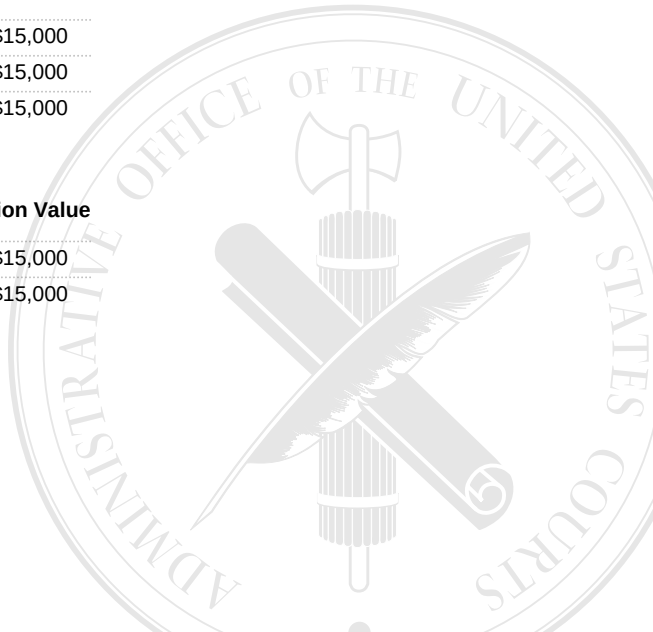
3-E. GE Aerospace (GE)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jan 28, 2026	Feb 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Mar 3, 2026	Apr 5, 2026	$1,000 - $15,000
(3).	Sold (part)	May 12, 2026	Jun 5, 2026	$1,000 - $15,000

3-F. Apple Inc (AAPL)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Feb 9, 2026	Mar 5, 2026	$1,000 - $15,000
(2).	Sold (part)	May 12, 2026	Jun 5, 2026	$1,000 - $15,000

3-G. Nvidia Corp (NVDA)



DESCRIPTION

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Feb 9, 2026	Mar 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Mar 3, 2026	Apr 5, 2026	$1,000 - $15,000
(3).	Sold (part)	May 12, 2026	Jun 5, 2026	$1,000 - $15,000

3-H. Eli Lilly & Co (LLY)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Mar 3, 2026	Apr 5, 2026	$50,001 - $100,000
(2).	Sold	Apr 13, 2026	May 5, 2026	$1,000 - $15,000

3-I. Amazon.com Inc (AMZN)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Mar 11, 2026	Apr 5, 2026	$1,000 - $15,000

3-J. Blue Owl Capital Corp (OWL)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Mar 12, 2026	Apr 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Mar 23, 2026	Apr 5, 2026	$1,000 - $15,000
(3).	Buy (add'l)	Apr 6, 2026	May 5, 2026	$1,000 - $15,000
(4).	Buy (add'l)	Apr 15, 2026	May 5, 2026	$1,000 - $15,000
(5).	Buy (add'l)	Apr 23, 2026	May 5, 2026	$1,000 - $15,000
(6).	Buy (add'l)	Jun 11, 2026	Jul 5, 2026	$1,000 - $15,000
(7).	Buy (add'l)	Jun 29, 2026	Jul 5, 2026	$1,000 - $15,000
(8).	Buy (add'l)	Aug 11, 2026	Sep 5, 2026	$1,000 - $15,000

3-K. Alphabet Inc Cl A (GOOGL)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	May 12, 2026	Jun 5, 2026	$1,000 - $15,000

3-L. Microsoft Corp (MSFT)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	May 12, 2026	Jun 5, 2026	$1,000 - $15,000

4. **(H) Account #4**

4-A. Starbucks Corp (SBUX)

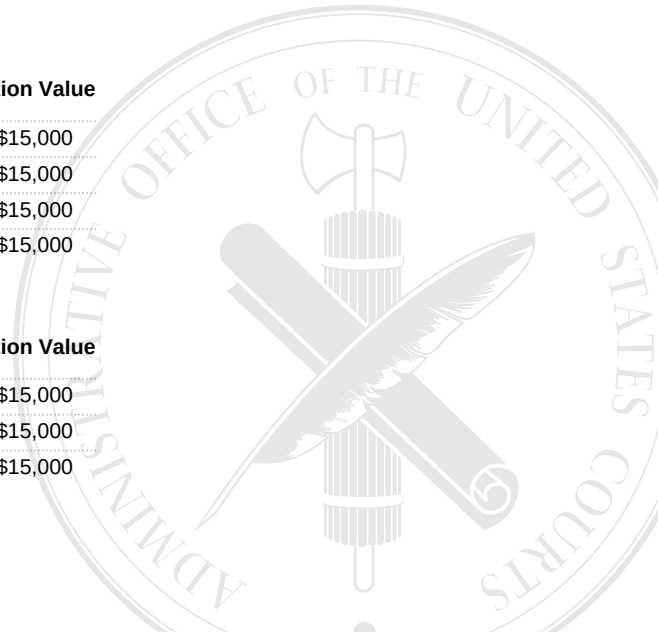
Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Jan 30, 2026	Feb 5, 2026	$1,000 - $15,000

4-B. Broadcom Inc (AVGO)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jan 30, 2026	Feb 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Feb 9, 2026	Mar 5, 2026	$1,000 - $15,000
(3).	Sold (part)	Mar 6, 2026	Apr 5, 2026	$1,000 - $15,000
(4).	Sold (part)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000

4-C. Alphabet Inc Cl A (GOOGL)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jan 30, 2026	Feb 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Feb 9, 2026	Mar 5, 2026	$1,000 - $15,000
(3).	Buy (add'l)	May 11, 2026	Jun 5, 2026	$1,000 - $15,000



DESCRIPTION

4-D. Apple Inc (AAPL)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jan 30, 2026	Feb 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Mar 6, 2026	Apr 5, 2026	$1,000 - $15,000
(3).	Sold (part)	Jul 16, 2026	Aug 5, 2026	$1,000 - $15,000
(4).	Sold (part)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000

4-E. Paychex Inc (PAYX)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Feb 20, 2026	Mar 5, 2026	$1,000 - $15,000

4-F. American Express Co (AXP)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Mar 11, 2026	Apr 5, 2026	$1,000 - $15,000

4-G. Factset Research Systems Inc (FDS)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Mar 31, 2026	Apr 5, 2026	$1,000 - $15,000

4-H. Zoetis Inc (ZTS)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	May 8, 2026	Jun 5, 2026	$1,000 - $15,000

4-I. Lam Research Corp (LRCX)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	May 26, 2026	Jun 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	May 27, 2026	Jun 5, 2026	$1,000 - $15,000
(3).	Buy (add'l)	May 28, 2026	Jun 5, 2026	$1,000 - $15,000
(4).	Buy (add'l)	May 29, 2026	Jun 5, 2026	$1,000 - $15,000

4-J. Chubb Ltd (CB)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	May 28, 2026	Jun 5, 2026	$1,000 - $15,000

4-K. Accenture Plc (ACN)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Jun 23, 2026	Jul 5, 2026	$1,000 - $15,000

4-L. Blackrock Inc (BLK)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jun 23, 2026	Jul 5, 2026	$1,000 - $15,000

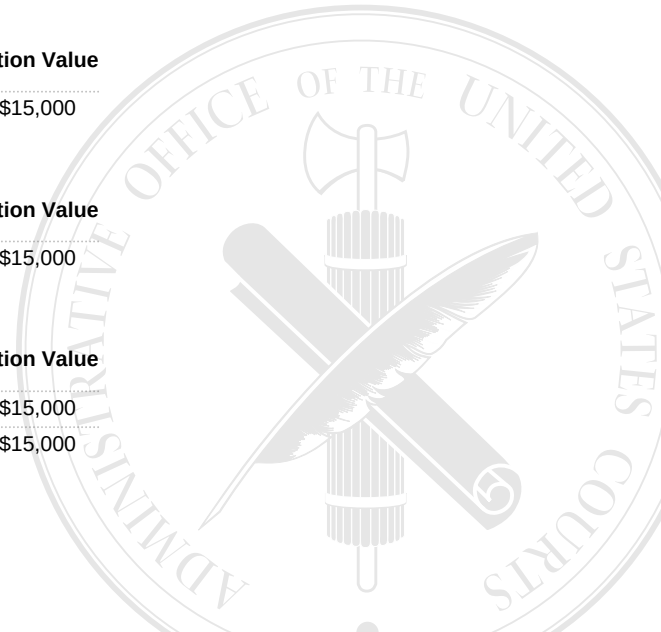
4-M. Constellation Energy Corp (CEG)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jun 23, 2026	Jul 5, 2026	$1,000 - $15,000

4-N. Mastercard Inc (MA)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Mar 27, 2026	Apr 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Jun 23, 2026	Jul 5, 2026	$1,000 - $15,000

4-O. PNC Financial Group (PNC)



DESCRIPTION

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Mar 13, 2026	Apr 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Jun 23, 2026	Jul 5, 2026	$1,000 - $15,000

4-P. Abbott Laboratories (ABT)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Jul 16, 2026	Aug 5, 2026	$1,000 - $15,000

4-Q. Nvidia Corp (NVDA)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Jul 16, 2026	Aug 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000
(3).	Buy (add'l)	Sep 28, 2026	Oct 5, 2026	$1,000 - $15,000

4-R. Analog Devices Inc (ADI)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Jul 17, 2026	Aug 5, 2026	$1,000 - $15,000

4-S. Aflac Inc (AFL)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Aug 28, 2026	Sep 5, 2026	$1,000 - $15,000
(2).	Sold	Sep 1, 2026	Oct 5, 2026	$1,000 - $15,000

4-T. Amphenol Corp (APH)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Aug 28, 2026	Sep 5, 2026	$1,000 - $15,000
(2).	Buy (add'l)	Sep 1, 2026	Oct 5, 2026	$1,000 - $15,000

4-U. Microsoft Corp (MSFT)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy (add'l)	Jan 30, 2026	Feb 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000

4-V. JPMorgan Chase & Co (JPM)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Sep 28, 2026	Oct 5, 2026	$1,000 - $15,000

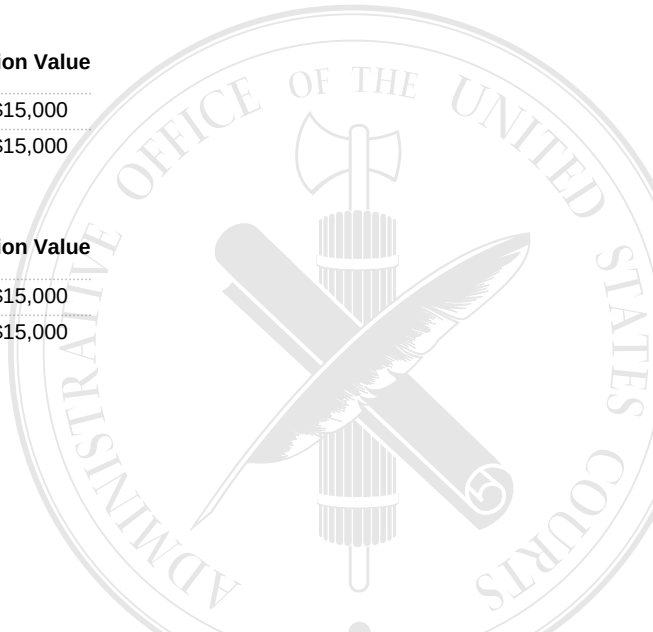
4-W. WW Grainger Inc (GWW)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Sep 28, 2026	Oct 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Sep 29, 2026	Oct 5, 2026	$1,000 - $15,000

4-X. Phillips 66 (PSX)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Sep 25, 2026	Oct 5, 2026	$1,000 - $15,000
(2).	Sold (part)	Sep 28, 2026	Oct 5, 2026	$1,000 - $15,000

4-Y. General Dynamics Corp (GD)



DESCRIPTION

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold (part)	Sep 29, 2026	Oct 5, 2026	$1,000 - $15,000

4-Z. Trane Technologies Plc (TT)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Buy	Sep 30, 2026	Oct 5, 2026	$1,000 - $15,000

5. **(H) Account #8**

5-A. Bank of America Corp 4.75% Corp Note Pfd Ser SS Non Cum Perp Mty

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	May 13, 2026	Jun 5, 2026	$15,001 - $50,000

5-B. JPMorgan Ser DD Pfd 5.75% Corporate Note Noncum Perp

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Jun 26, 2026	Jul 5, 2026	$15,001 - $50,000

5-C. Morgan Stanley 6.5% Corporate Note Pfd Ser P Non Cum Perp Mty

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Jul 15, 2026	Aug 5, 2026	$15,001 - $50,000

6. **(H) Account #9**

6-A. Meta Platforms Inc (META)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	Aug 4, 2026	Sep 5, 2026	$1,000 - $15,000

7. **(H) Account #11**

7-A. TotalEnergies Se (TEE)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	May 15, 2026	Jun 5, 2026	$1,000 - $15,000

7-B. Williams Companies Inc (WMB)

Transactions	Transaction Type	Transaction Date	Date Notified of Transaction	Transaction Value
(1).	Sold	May 15, 2026	Jun 5, 2026	$1,000 - $15,000