AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
TRAUGER, ALETA A.	U.S. DISTRICT COURT (MD TENN)	06/23/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Court Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
825 U.S. Courthouse 801 Broadway Nashville, Tennessee 37203		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Income from law firm partnership - [redacted] is attorney partner in Trauger & Tuke
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Pinnacle Bank	HELOC	O
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Charles Schwab & Co IRA									
2. - Blackrock Short Maturity ETF	A	Dividend	K	T	Buy (add'l)	10/29/21	J		
3. - Invesco S&P 500 Equal ETF	A	Dividend	K	T					
4. - Schwab Short Term US Treasury ETF	A	Dividend	K	T					
5. - SPDR S&P 500 ETF	B	Dividend	M	T	Sold (part)	01/05/21	J	A	
6. - Vanguard Growth ETF	A	Dividend	K	T					
7. - Vanguard Mid Cap ETF	A	Dividend	K	T					
8. - Vanguard Small Cap ETF	A	Dividend	K	T					
9. - Vanguard Value ETF	B	Dividend	K	T					
10. - Baird Aggregate Bond Fund	B	Dividend	M	T	Sold (part)	01/05/21	J		
11. - Blackrock Strategic Income Fund	A	Dividend	K	T					
12. - Brown Advisory Mortgage Securities	A	Dividend	K	T	Buy (add'l)	03/26/21	J		
13. - Vanguard High Yield Corporate	A	Dividend	K	T	Sold (part)	01/05/21	J	A	
14. - Vanguard Interm-Term Invt Grde	B	Dividend	K	T					
15. - American Funds Europacific	D	Dividend	L	T	Sold (part)	01/05/21	J	A	
16. - Baillie Gifford Emerging Markets	A	Dividend	K	T					
17. - First Eagle Global	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. - Jensen Quality Growth	B	Dividend	K	T					
19. - Matthews Asia Dividend	B	Dividend	K	T					
20. - Primecap Odyssey Aggressive Growth	B	Dividend	J	T					
21. Charles Schwab & Co Taxable Account									
22. - Parnassus Mid Cap Growth	D	Dividend	M	T					
23. - Victory RS Small Cap Growth	G	Dividend	O	T	Sold (part)	03/26/21	K	C	
24.					Sold (part)	07/27/21	J	A	
25.					Sold (part)	09/23/21	J	A	
26. Charles Schwab & Co TOD									
27. - CS Bank Cash	A	Int./Div.	N	T	Distributed (part)	08/31/21	L		
28. - Schwab Premier Cash	A	Int./Div.	M	T	Distributed (part)	09/13/21	K		
29. - Vanguard FTSE All World Ex US ETF	A	Dividend	K	T					
30. - Pimco High Yeild	A	Dividend	K	T					
31. - American Funds Am. Balanced	A	Dividend	M	T					
32. - American Funds Am. Mutual	D	Dividend	N	T					
33. - American Funds Cap Inc Bldr.	A	Dividend	J	T	Sold (part)	08/31/21	L	B	
34.					Sold (part)	09/13/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. - American Funds Cap World Gr & Inc	A	Dividend	M	T					
36. - American Funds Fundamental Inv.	D	Dividend	K	T	Sold (part)	03/22/21	J	A	
37. - American Funds Grwth Fund of Amer	D	Dividend	L	T					
38. - American Funds Inc Fund of Amer	B	Dividend	K	T	Sold (part)	09/13/21	K	D	
39. - American Funds Intl Growth & Income	C	Dividend	L	T	Sold (part)	03/22/21	J	A	
40. - American Funds New Perspective	E	Dividend	M	T					
41. - Artisan Intl Value	A	Dividend	K	T	Sold (part)	03/22/21	J	A	
42. - Delaware Small Cap Value	A	Dividend	K	T	Sold (part)	03/22/21	J	A	
43. - Delaware US Growth Instl.	A	Dividend	J	T	Sold (part)	03/22/21	J	A	
44. - Dodge & Cox Stock Fund	A	Dividend	K	T	Sold (part)	03/22/21	J	C	
45. - MFS Value R6	B	Dividend	L	T	Buy (add'l)	03/24/21	J		
46. - Pimco High Yield Instl	A	Dividend	K	T	Buy (add'l)	03/24/21	J		
47. - Primecap Odyssey	A	Dividend	K	T	Sold (part)	03/12/21	K	C	
48.					Buy (add'l)	03/24/21	J		
49. - PGIM High Yield Fund CL R6	A	Dividend			Sold	03/12/21	J	A	
50. - T Rowe Price Intl Discovery	B	Dividend	K	T	Sold (part)	03/22/21	J	A	
51. - T. Rowe Price Intl Stock	B	Dividend	K	T	Sold (part)	03/12/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
52.					Buy (add'l)	03/24/21	J		
53. - T. Rowe Price Small Cap Value	A	Dividend	K	T	Sold (part)	03/22/21	J	A	
54. Vanguard FTSE All World Ex US Small Cap ETF	A	Dividend	J	T	Buy	03/16/21	J		
55.					Buy (add'l)	03/24/21	J		
56. - Jackson Square Large Cap Grwth	D	Dividend			Spinoff (from line 43)	04/20/21	J		
57.					Sold	12/23/21	K		
58. Charles Schwab & Co Inh IRA									
59. - Franklin Total Return	B	Dividend	K	T					
60. - American Funds Am. Mutual	B	Dividend	L	T					
61. - American Funds Invmt Co of Am.	B	Dividend	M	T					
62. - Franklin Dynatech	A	Dividend	K	T					
63. - Franklin Income	A	Dividend	K	T	Sold (part)	04/15/21	J	A	
64. - Franklin Mutual Shares	A	Dividend	J	T	Sold (part)	04/15/21	J	A	
65. - Franklin Rising Dividend	B	Dividend	L	T					
66. Moore County, North Carolina timber land	B	Rent	N	W					
67. Guilford County, North Carolina farmland	C	Rent	O	W					
68. Fillmore Co., Nebr farmland	D	Rent	N	W					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69. Cash surr. value NW Life policy	B	Dividend	M	T					
70. Cash surr. value of 2 NW Life policies	D	Dividend	N	T					
71. Hirtle Callahan Inv [redacted] SD									
72. - Deutsche Govt Cash	A	Dividend	J	T					
73. - Exxon Mobil Corp	B	Dividend	K	T					
74. - Bank of America Corp	A	Dividend	K	T					
75. - Lincoln National Corp	A	Dividend	K	T					
76. - MGT Holdings Inv Inc		None	J	T					
77. Hirtle Callaghan Inv [redacted]									
78. - Duetsche Govt Cash	A	Int./Div.	K	T					
79. - HCCT Intermed.Muni Bond II	A	Dividend	K	T					
80. - HCCT Intermed. Muni Bond	A	Dividend	L	T					
81. - HCCT Commodities	A	Dividend			Sold	03/01/21	J	B	
82. - HCCT Emerging Mkts.	A	Dividend	J	T					
83. - HCCT Large Cap Growth	A	Dividend	L	T					
84. - HCCT International	C	Dividend	M	T					
85. - HCCT Small Cap	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
86. - HCCT Large Cap Value	A	Dividend	L	T					
87. - HCCT Value Equity	A	Dividend	L	T					
88. - iShares MSCI Emerging Mkts ETF	A	Dividend	K	T					
89. - iShares MSCI EAFE ETF	A	Dividend	J	T					
90. - SPDR S&P 500 TR Unit ETF	A	Dividend	K	T					
91. - Vanguard FTSE Dev. Mkt. ETF	A	Dividend	J	T					
92. - Vanguard FTSE Emerging Mkt. ETF	A	Dividend	K	T					
93. Hirtle Callahan Inv [redacted]									
94. - Deutsche Govt Cash	A	Dividend	J	T					
95. - Providence Service Corp.		None			Merged (with line 96)	01/07/21	O		
96. - Modivcare Inc (formerly Providence Service Corp.)		None	O	T	Donated (part)				
97. Hirtle Callahan Inv BA [redacted]									
98. - Cash			J	T	Buy	03/01/21	K		
99. - Deutsche Govt Cash	A	Dividend	L	T	Distributed (part)	04/13/21	J		
100. - HCCT Intermed.Muni Bond II	B	Dividend	L	T					
101. - HCCT Intermed. Muni Bond	C	Dividend	M	T					
102. - HCCT Commodities	A	Dividend			Sold	03/01/21	K	C	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
103. - HCCT Emerging Mkts.	A	Dividend	J	T					
104. - HCCT Large Cap Growth	C	Dividend	N	T					
105. - HCCT International	D	Dividend	N	T					
106. - HCCT Small Cap	A	Dividend	K	T					
107. - HCCT Large Cap Value	C	Dividend	N	T					
108. - iShares MSCI Emerging Mkts ETF	B	Dividend	M	T					
109. - iShares MSCI EAFE ETF	B	Dividend	L	T					
110. - SPDR S&P 500 TR Unit ETF	B	Dividend	M	T					
111. - Vanguard FTSE Dev. Mkt. ETF	B	Dividend	L	T					
112. - Vanguard FTSE Emerging Mkt. ETF	B	Dividend	L	T					
113. Hirtle Callahan [redacted] IRA									
114. - Deutsche Govt Cash	A	Dividend	J	T					
115. - HCCT Fixed Income Opportunity	A	Dividend	J	T	Sold (part)	04/07/21	J	A	
116.					Sold (part)	12/06/21	J	A	
117. - HCCT Core Fixed Income	A	Dividend	J	T	Sold (part)	12/06/21	J	A	
118. - iShares TR 1-5 yr Inv Grade Bond ETF	A	Dividend	J	T	Buy (add'l)	04/07/21	J		
119. - SPDR Series Trst Port Short ETF	A	Dividend	J	T	Buy (add'l)	04/07/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. - Vanguard Short Term Corp. Bond ETF	A	Dividend	J	T	Buy (add'l)	04/07/21	J		
121. - HCCT Emerging Mkts.	A	Dividend	J	T	Sold (part)	04/07/21	J	A	
122.					Sold (part)	12/06/21	J	A	
123. - HCCT International	A	Dividend	J	T	Sold (part)	04/07/21	J	A	
124.					Sold (part)	12/06/21	J	A	
125. - HCCT Institutional Grwth Equity	A	Dividend	K	T	Sold (part)	04/07/21	J	A	
126.					Sold (part)	12/06/21	J	A	
127. Diversified Trust - [redacted] IRA Rollover									
128. - Diversified Trust - Cash	A	Interest	K	T	Distributed (part)	12/29/21	K		
129. - AQR Managed Futures Strategy Fund	B	Dividend			Sold (part)	01/28/21	J	A	
130.					Sold	10/20/21	K	B	
131. - Causeway International Opps	B	Dividend	M	T					
132. - Eaton Vance Global Macro ABS	B	Dividend	K	T	Sold (part)	01/28/21	J	A	
133. - PIMCO Low Duration II Instl	A	Dividend	K	T					
134. - PIMCO Multi-Strategy Alt	A	Dividend			Sold (part)	01/28/21	J	A	
135.					Sold	03/23/21	K	C	
136. - PIMCO FDS PAC Inv Total Return	D	Dividend	N	T	Buy (add'l)	01/28/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. - SPDR S&P 500 ETF	C	Dividend	O	T					
138. - Touchstone Total Return	A	Dividend	N	T	Buy (add'l)	01/28/21	J		
139. - Vanguard Extended Mkt ETF	B	Dividend	M	T					
140. - Vanguard FTSE All World	B	Dividend	M	T					
141. - Vanguard Mkt Neutral Fd	A	Dividend			Sold (part)	01/28/21	J	A	
142.					Sold	10/22/21	K	C	
143. - Virtus Foreign Opportunities	A	Dividend	L	T					
144. - Western Asset Macro Opp	A	Dividend			Sold (part)	01/28/21	J	A	
145.					Sold	10/21/21	K	C	
146. Edward Jones [redacted] Indv TOD									
147. - BridgeBuilder-Core Bond	A	Dividend			Buy (add'l)	03/24/21	J		
148.					Sold	12/17/21	K	A	
149. - Bridge Builder Core Plus Bond	B	Dividend			Buy (add'l)	03/16/21	K		
150.					Buy (add'l)	03/24/21	J		
151.					Sold	12/17/21	L	B	
152. - BridgeBuilder-Internat. Equity	B	Dividend			Sold (part)	03/22/21	J	A	
153.					Sold	12/17/21	L	E	

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
154. - BridgeBuilder-Large Cap Growth	A	Dividend			Sold (part)	03/22/21	J	A	
155.					Sold	12/17/21	L	E	
156. - BridgeBuilder - Large Value	A	Dividend			Sold (part)	03/22/21	J	A	
157.					Sold	12/17/21	L	E	
158. - BridgeBuilder-Small/Mid-Cap Growth	A	Dividend			Sold (part)	03/22/21	J	C	
159.					Sold	12/17/21	K	E	
160. - BridgeBuilder-Small/Mid-Cap Value	A	Dividend			Sold (part)	03/22/21	J	C	
161.					Sold	12/17/21	K	E	
162. - JPM U.S. Govt' Money Mkt. Cap.	A	Dividend			Buy (add'l)	03/24/21	J		
163.					Sold	12/17/21	J	A	
164. - PGIM High Yield Fund Cl R6	A	Dividend			Sold	03/12/21	J	A	
165. Lincoln Fin. Group Annuity - American Legacy III View									
166. - Amer. Funds IS Asset Allocation 2		None	J	T					
167. - Amer. Funds IS Bond 2		None	J	T					
168. - Amer. Funds IS Gowth-Income 2		None	K	T					
169. - Amer. Funds IS Asset 2/Growth		None	K	T					
170. - Amer. Funds IS High Income Bond 2		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
171. - Amer. Funds IS Intl. 2		None	K	T					
172. Jumpstart Foundry 2017 Fund		None	L	W					
173. Jumpstart Foundry 2018 Fund		None	N	W					
174. Jumpstart Nova Fund I		None	K	W	Buy	03/19/21	J		
175. Silicon Ranch Corp.		None	P1	T					
176. Slice Wireless Solutions Inc.		None	K	T					
177. Accts. at SunTrust Bank, Nashville	A	Interest	J	T					
178. Pinnacle National Bank Accounts	A	Interest	L	T					
179. Clearloop Corporation		None	K	T					
180. Nelson's Green Brier Distillery, LLC		None	M	U					
181. Rental Property - Nashville, TN	A	Rent	P1	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 14 Vanguard Interm-Term Invt Grde was previously bought on Dec. 17, 2020. We failed to include this investment on the 2020 disclosure.
Line 86 Providence Service Corp became Modivcare (line 87) Inc. & LogistiCare Solutions LLC on Jan 6, 2021.
Line 180 Nelson's Green Brier Distillery LLC was incorrectly reported as closed on the 2020 report. There is still ownership in this investment and the current value is properly listed on this line.

If no gain is reported on a sale, there was a loss.

Name of Person Reporting	Date of Report
TRAUGER, ALETA A.	06/23/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ALETA A. TRAUGER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544