AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Traynor, Daniel M.	U.S. District Court for North Dakota	09/19/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022

7. Chambers or Office Address

United States District Court
District of North Dakota
220 E. Rosser Ave
Bismarck, ND 58502

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Unit Holder	Traynor Properties, LLC
2.	Board of Governors	State Bar Association of North Dakota
3.	State Bar Delegate	American Bar Association House of Delegates
4.	Co-Executor	Estate #1 (reportable assets are listed in Part VII)
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	12/31/2019	12/31/2019 Traynor Law Firm, P.C., Shareholder Agreement (former law firm) providing for sale of shares with accompanying terms and promissory notes.
2.	06/01/2020	Commercial Building Lease between Traynor Properties, LLC, and Traynor Law Firm, P.C.
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	[redacted] (teaching income)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Estate of John T. Traynor	Traynor Properties, LLC (Contract for Deed on Office Building)	L
2.	Ramsey National Bank	Traynor Properties, LLC (Office Remodel)	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Traynor, Daniel M.	09/19/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Promissory Note - Traynor Law Firm, P.C.	E	Distribution	L	T					
3.	Promissory Note - Jason P. Sayler	B	Interest	K	T	Redeemed (part)	12/31/22	J		
4.	Traynor Properties LLC	E	Distribution	M	U					
5.	Account #1 (H)									
6.	Raymond James Deposit Program (cash)	A	Interest	J	T					
7.	AMG River Road Small Cap (ARSIX)	A	Dividend	J	T					
8.	Clarkston Partners Fund (CISMX)	B	Dividend	J	T					
9.	Baird Aggregate Bond Fund (BAGIX)	A	Dividend	K	T					
10.	Blackrock Equity Dividend (MADVX)	C	Dividend	K	T					
11.	Brown Advisory Group (BAFGX)	B	Dividend	K	T					
12.	Columbia Dividend Income Fund Instl Cl (GSFTX)	B	Dividend	K	T	Buy	05/19/22	J		
13.	DF Dent Mid Cap Growth (DFMLX)		None	J	T					
14.	JP Morgan Emerging Markets (JMIEX)	A	Dividend	J	T					
15.	MFS International Growth (MQGIX)	A	Dividend	J	T					
16.	MFS Research Fund Cl I (MQGIX)	C	Dividend	K	T					
17.	Metropolitan West Total (MWTIX)	A	Dividend	K	T	Buy (add'l)	08/09/22	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Traynor, Daniel M.	09/19/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	PGIM High Yield Fund (PHYZX)	A	Dividend			Sold	08/09/22	J		
19.	T. Rowe Price U.S. Equity (PRCOX)	A	Dividend	K	T					
20.	T. Rowe Price Overseas (TROSX)	A	Dividend	J	T	Sold (part)	05/19/22	J		
21.	Victory Trivalent INTL (MYSIX)	A	Dividend	J	T	Sold (part)	05/19/22	J		
22.	Wasatch Small Cap (WIAEX)		None	J	T					
23.	Allspring Special Mid Cap Value Fd Cl I (WFMIX)	B	Dividend	K	T					
24.	Account #2 (H)									
25.	Columbia Dividend Income (GSFTX)	A	Dividend	K	T					
26.	Hartford Schroders INTL (SCIEX)	A	Dividend	K	T					
27.	MS Insight Fund Cl I (CPODX)	C	Dividend	J	T					
28.	Parnassus Core Equity Fund Inv Cl (PRBLX)	B	Dividend	K	T					
29.	Principal Small Cap Fund (PSLIX)	A	Dividend	K	T					
30.	Victory RS Global Fund (RGGYX)	A	Dividend	K	T					
31.	Account #3 (H)									
32.	American Funds AMCAP Fund (AMCFX)	B	Dividend	K	T					
33.	American Funds Fundamental Investor (FINFX)	A	Dividend	K	T	Sold (part)	11/03/22	J		
34.	American Funds Growth Fund (GFFFX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. American Funds New Economy Fund Cl F2 (NEFFX)	A	Dividend	J	T					
36. American Funds New Perspective (ANWFX)	A	Dividend	J	T					
37. American Funds Smallcap World (SMCFX)	A	Dividend	J	T	Buy (add'l)	11/03/22	J		
38. Account #4 (H)									
39. American Funds AMCAP Fund (AMCFX)	B	Dividend	K	T					
40. American Funds Fundamental Investor (FINFX)	B	Dividend	K	T	Sold (part)	11/03/22	J		
41. American Funds Growth Fund (GFFFX)	B	Dividend	K	T					
42. American Funds New Economy Fund Cl F2 (NEFFX)	A	Dividend	J	T					
43. American Funds New Perspective (ANWFX)	A	Dividend	J	T					
44. American Funds Smallcap World (SMCFX)	A	Dividend	K	T	Buy (add'l)	11/03/22	J		
45. Account #5 (H)									
46. Vanguard Target Retirement 2045 Inv (VTIVS)		None	K	T					
47. Account #6 (H)									
48. Champlain Small Company (CIPNX)	A	Dividend	J	T	Sold (part)	02/18/22	J		
49.					Sold (part)	03/28/22	J		
50.					Sold (part)	04/18/22	J		
51.					Sold (part)	07/18/22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52.					Buy (add'l)	10/19/22	J		
53. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T	Sold (part)	02/18/22	J		
54.					Sold (part)	03/28/22	J		
55.					Sold (part)	04/18/22	J		
56.					Sold (part)	07/18/22	J		
57.					Buy (add'l)	10/19/22	J		
58. American Funds Europacific (AEPFX)	A	Dividend	J	T	Sold (part)	02/22/22	J		
59.					Sold (part)	03/29/22	J		
60.					Sold (part)	04/19/22	J		
61.					Sold (part)	10/20/22	J		
62. MFS Research Fund (MRFIX)	A	Dividend	J	T	Sold (part)	02/22/22	J		
63.					Sold (part)	03/29/22	J		
64.					Sold (part)	04/19/22	J		
65.					Sold (part)	07/19/22	J		
66.					Buy (add'l)	10/20/22	J		
67. Metropolitan West Total (MWTIX)	A	Dividend	J	T	Sold (part)	02/18/22	J		
68.					Sold (part)	03/28/22	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

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69.					Sold (part)	04/18/22	J		
70.					Sold (part)	07/18/22	J		
71.					Buy (add'l)	10/19/22	J		
72. Vanguard 500 Index Fund (VFIAX)	A	Dividend	J	T	Sold (part)	02/18/22	J		
73.					Sold (part)	03/28/22	J		
74.					Sold (part)	04/18/22	J		
75.					Sold (part)	07/18/22	J		
76.					Buy (add'l)	10/19/22	J		
77. Account #7 (H)									
78. College SAVE Aggressive Growth Portfolio-Adv		None	J	T					
79. Account #8 (H)									
80. College SAVE Aggressive Growth Portfolio-Adv		None	K	T					
81. Account #9 (H)									
82. College SAVE Aggressive Growth Portfolio-Adv		None	J	T					
83. Account #10 (H)									
84. Edward Jones (cash) (X)	A	Interest	J	T					
85. Exxon Mobil (XOM) (X)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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86. Chevron Corp (CVX) (X)	B	Dividend	K	T					
87. Wells Fargo Co (WFC) (X)	B	Dividend			Distributed	09/19/22	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Traynor, Daniel M.	09/19/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Part VII, Account #5 is controlled and managed by the filer's [redacted] employer. The account owner is permitted to adjust percentages of investment for each position, but the timing of any transactions is within the exclusive purview of the manager. Despite request, specific transaction dates are not available to the filer. In the absence of more precise information, transactions are reported as of the second business day of the quarter in which they occurred.

Name of Person Reporting	Date of Report
Traynor, Daniel M.	09/19/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Daniel M. Traynor

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544