AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Tunheim, John R.	District of Minnesota	04/22/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
15 United States Courthouse 300 South Fourth Street Minneapolis, MN 55415		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	CEELI Institute, Prague
2.	Advisor	Advisory Board to the Humphrey School of Public Affairs at the University of Minnesota
3.	Member	National Advisory Council for Concordia Language Villages, Concordia College, Moorhead, MN
4.	Special Counsel	Rule of Law Initiative, American Bar Association
5.	Advisory Board	Oslo Center for Peace and Human Rights - Norway
6.	Advisory Board	The Institute for Civil Justice, RAND Corporation
7.	Council, Executive Committee Member	Criminal Justice Section, American Bar Association
8.	Board Member	World Press Institute
9.	Executive Board Member	Minnesota Historical Society

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		

2.

3.

Name of Person Reporting	Date of Report
Tunheim, John R.	04/22/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	January 1	Conservation Reserve Program, Farm Service Agency, USDA	$6,648.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Self-employed Public Relations Consultant
2.	2022	Landscape Structures, Inc., Delano, MN (Board Member compensation)
3.	2022	McKnight Foundation (Board Member compensation)
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Tunheim, John R.	04/22/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	American Funds: American Balanced Mutual Fund C1 F3	C	Dividend			Sold	06/28/22	N	F	
2.	Schwab Bank Sweep Account	A	Interest	N	T	Buy (add'l)	06/28/22	N		
3.						Buy (add'l)	06/29/22	K		
4.	iShares Core S&P Smallcap Fund	A	Dividend			Sold	10/24/22	K	C	
5.	American Funds: New World Mutual Fund C1 F3	C	Dividend			Sold	10/24/22	K	D	
6.	American Funds: American Balanced Mutual Fund C1 F3	C	Dividend	M	T	Buy (add'l)	10/26/22	K		
7.	Fidelity Balanced Mutual Fund	C	Dividend	L	T					
8.	Mairs & Power Balanced Mutual Fund	C	Dividend	L	T	Buy (add'l)	10/26/22	K		
9.	Vanguard Global Wellington Mutual Fund Adm	C	Dividend	L	T	Sold (part)	06/28/22	K	C	
10.	Schwab Bank Sweep Account	A	Interest	J	T					
11.	American Funds: Growth Fund of America Mutual Fund C1 R3	C	Dividend	L	T					
12.	American Funds: Investment Co of Amer Mutual Fund C1 R3	C	Dividend	L	T					
13.	American Funds: EuroPacific Growth Mutual Fund C1 R3	A	Dividend	K	T					
14.	American Funds: American Balanced Mutual Fund C1 R3	D	Dividend	N	T	Buy (add'l)	01/13/22	J		
15.						Buy (add'l)	01/28/22	J		
16.						Buy (add'l)	02/10/22	J		
17.						Buy (add'l)	02/25/22	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Tunheim, John R.	04/22/2024

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18.					Buy (add'l)	03/11/22	J		
19.					Buy (add'l)	03/24/22	J		
20.					Buy (add'l)	04/07/22	J		
21.					Buy (add'l)	04/22/22	J		
22.					Buy (add'l)	05/05/22	J		
23.					Buy (add'l)	05/20/22	J		
24.					Buy (add'l)	06/03/22	J		
25.					Buy (add'l)	06/17/22	J		
26.					Buy (add'l)	06/29/22	J		
27.					Buy (add'l)	07/14/22	J		
28.					Buy (add'l)	07/28/22	J		
29.					Buy (add'l)	08/10/22	J		
30.					Buy (add'l)	08/25/22	J		
31.					Buy (add'l)	09/09/22	J		
32.					Buy (add'l)	09/23/22	J		
33.					Buy (add'l)	10/07/22	J		
34.					Buy (add'l)	10/21/22	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Buy (add'l)	11/03/22	J		
36.					Buy (add'l)	11/17/22	J		
37.					Buy (add'l)	12/02/22	J		
38.					Buy (add'l)	12/15/22	J		
39.					Buy (add'l)	12/28/22	J		
40.									
41.									
42.	American Funds: Bond Fund of America C1 R3	A	Dividend	J	T				
43.	Wells Fargo Bank Accounts	A	Interest	L	T				
44.	Hiway Federal Credit Union	A	Interest	J	T				
45.	Honeywell Retirement Benefit Plan (not self-directed)	A	Int./Div.	M	T				
46.	State of Minnesota Deferred Compensation Account (not self-directed)	A	Int./Div.	J	T				
47.	American Funds: Capital Income Bldr Fund A	D	Dividend	J	T				
48.	American Funds: Capital World Grw & Inc. Fund A	B	Dividend	J	T				
49.	American Funds: Washington Mutual Invs Fd C1 A	B	Dividend	J	T				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III. Non-Investment Income. Although payment is from the Federal Government, I am listing payment received from the Conservation Reserve Program, Farm Service Agency, USDA in this section.

VII. Line 45 - The Honeywell Retirement Benefit Plan is not self-directed. It is allocated between five funds - The Growth Fund of America - R3, The EuroPacific Growth Fund - R3, The Investment Company of America - R3, American Balanced Fund - R3, and Bond Fund of America - R3.

VII. Line 46 - The State of Minnesota Deferred Compensation Plan is not self-directed. It is invested entirely in the Vanguard Balanced Index Fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John R. Tunheim

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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