AO 10*
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Underhill, Stefan R.	U.S. District Court (D. Conn.)	08/19/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
U.S. District Court 915 Lafayette Boulevard Bridgeport, CT 06604		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	Connecticut Teachers' Retirement Benefits (pension)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Underhill, Stefan R.	08/19/2025

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Northwestern Mutual Life Insurance Co.	Loans against cash value of life insurance	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Northwestern Mutual whole life and 65 life policies	D	Dividend	M	T					
2.	M&T Bank accounts	A	Interest	J	T					
3.	Vanguard Cash Reserves Federal Money Market Fund Admiral Shares	A	Int./Div.	J	T	Sold (part)	01/24/24	L		
4.						Sold (part)	06/14/24	J		
5.						Buy (add'l)	07/23/24	J		
6.						Sold (part)	08/01/24	J		
7.						Sold (part)	10/30/24	J		
8.	Vanguard Star Fund		None			Sold	01/23/24	J		
9.	Vanguard Extended Market Index Fund Admiral	A	Dividend	K	T					
10.	Vanguard Growth Index Fund Admiral	A	Dividend	K	T	Sold (part)	07/23/24	J		
11.	Vanguard Total Bond Market Index Fund Admiral	A	Dividend	K	T					
12.	Vanguard Total Stock Market Index Fund Admiral	A	Dividend	L	T	Buy (add'l)	07/22/24	J		
13.						Sold (part)	11/08/24	K		
14.	Vanguard FTSE Developed Markets ETF	A	Dividend	J	T					
15.	Vanguard Growth ETF	A	Dividend	J	T	Sold (part)	05/06/24	J		
16.						Sold (part)	08/01/24	J		
17.						Sold (part)	10/30/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Vanguard Intermediate Term Bond ETF	A	Dividend	J	T	Buy (add'l)	01/23/24	J		
19. Vanguard Short Term Bond ETF	A	Dividend	J	T					
20. Vanguard Total Bond Market ETF	C	Dividend	M	T	Buy (add'l)	01/25/24	J		
21.					Buy (add'l)	04/25/24	J		
22.					Sold (part)	06/12/24	J		
23.					Buy (add'l)	07/23/24	J		
24.					Buy (add'l)	10/22/24	J		
25. Vanguard Total International Bond Index ETF	C	Dividend	L	T	Sold (part)	02/06/24	J		
26.					Sold (part)	06/11/24	J		
27. Vanguard Total International Stock Index Fund ETF	C	Dividend	M	T	Buy (add'l)	01/25/24	J		
28.					Buy (add'l)	04/25/24	J		
29.					Buy (add'l)	07/23/24	J		
30.					Sold (part)	08/01/24	J		
31.					Buy (add'l)	10/22/24	J		
32.					Sold (part)	10/30/24	J		
33. Vanguard Total Stock Market ETF	A	Dividend	M	T	Sold (part)	06/11/24	J		
34. AUGGIE Movie, LLC investment units		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
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35.									
36.									
37.									
38.									

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The cash values of the life insurance policies listed on line 1 of Part VII do not reflect the impact of the loans listed on line 1 of Part VI.

2. The dividends from all Vanguard funds listed in Part VII were reinvested.

3. The Vanguard Extended Market ETF listed on lines 12 & 13 of my 2023 report was fully liquidated on July 10, 2023. The entry in Column D(1) to that report should have said Sold rather than Sold part.

4. The assets held by Trust #1 are not reportable because neither I nor any member of my immediate family has any beneficial interest in that trust nor receives any income from that trust.

5. The AUGGIE Movie LLC investment units listed on line 34 of Part VII reflect an investment in a micro-budget independent film that has not generated any distributable income and is not expected to do so.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stefan R. Underhill

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544