AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Urbanski, Michael F.	U.S. District Court - Western District of Virginia	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 210 Franklin Road, SW Roanoke, VA 24011-2208		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust #1 (reportable assets are listed in Part VII)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	[redacted] - part-time (wages)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Urbanski, Michael F.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Individual Assets (H)									
2.	Wells Fargo Bank (cash)	A	Interest	N	T					
3.	Parkway Acquisition Corp. PKKW:US - Common Stock	A	Dividend	K	T					
4.	MetLife Policyholder Trust	A	Dividend	J	T					
5.	Real Estate/ Carroll Co., VA (1/2 interest)		None	L	W					
6.	Northwestern Mutual - Ordinary Life (whole life)	B	Dividend	K	T					
7.	Northwestern Mutual - Custom CompLife (whole life)	C	Dividend	L	T					
8.	Northwestern Mutual - Term to Age 65 (whole life)	B	Dividend	K	T					
9.	Northwestern Mutual - 90 Life (whole life)	B	Dividend	K	T					
10.	Account #1 (H)									
11.	Equitable Financial Life Insurance Company Multi-Mgr Agg Equity Fund		None	J	T					
12.	Account #2 (H)									
13.	Wells Fargo (cash)	A	Interest	K	T					
14.	Congress Small Cap Growth Fd Instl Cl (CSMCX)	A	Dividend	J	T	Buy	09/28/21	J		
15.	JPMorgan Ultra-Short Income (JPST)	A	Dividend			Sold (part)	04/29/21	J	A	
16.						Sold	09/28/21	J		
17.	Invesco High Yield Muni. Fd CL Y (ACTDX)	A	Dividend	J	T	Sold (part)	04/29/21	K	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Urbanski, Michael F.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	American Century Funds Small Cap Value Fund Cl I (ACVIX)	A	Dividend	J	T	Buy	09/28/21	J		
19.	American Funds Limited Term Tax Exempt Bond Fund F2 (LTEFX)	A	Dividend	J	T	Sold (part)	04/29/21	J	A	
20.						Buy (add'l)	09/28/21	J		
21.	Brookfield Funds Center Coast MLP Focus Y (CCCNX)	A	Dividend			Sold	04/29/21	J	B	
22.	Prof Managed Portfolios Congress Mid Cap Growth Fund Instl Cl (IMIDX)	A	Dividend	J	T	Buy	09/28/21	J		
23.	Delaware Grp Global & Intl Funds Emerging Markets Fd. (DEMIX)	A	Dividend	J	T	Sold (part)	04/29/21	L	E	
24.	Dodge & Cox Income Fund (DODIX)	A	Dividend			Sold	04/29/21	J		
25.	Edgewood Funds Growth Fund I (EGFIX)	B	Dividend	K	T	Sold (part)	04/29/21	L	E	
26.						Sold (part)	09/07/21	J	A	
27.						Buy (add'l)	09/28/21	J		
28.						Buy (add'l)	11/02/21	J		
29.	Federated Funds Kaufmann Small Cap Fund I (FKAIX)	A	Dividend	J	T	Sold (part)	04/29/21	K	C	
30.	Goldman Sachs Finl Square Govt Fund (FGTXX) (cash equiv)	A	Dividend			Buy	07/29/21	K		
31.						Sold (part)	08/31/21	J		
32.						Sold (part)	09/07/21	J		
33.						Sold	09/28/21	J		
34.	American Funds New World Fund F2 (NFFFX)		None			Sold	04/29/21	J	B	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.	Goldman Sachs Funds GQG Partners Intl Opptys (GSIMX)	A	Dividend	J	T	Buy	11/02/21	J		
36.	Legg Mason Funds Clearbridge Intl Grwth I (LMGNX)	A	Dividend			Sold	04/29/21	J	C	
37.	Federated Hermes Treasury Obligations Fund Cl IS (TOIXX) (cash equiv.)	A	Dividend			Buy	07/29/21	K		
38.						Sold	08/31/21	K		
39.	Loomis Sayles Funds II Invt Grade Bond Fd Cl Y (LSIIX)	A	Dividend	J	T	Buy	09/29/21	J		
40.						Buy (add'l)	11/02/21	J		
41.	JPMorgan TR II Equity Income Fund I (HLIEX)	A	Dividend	K	T	Sold (part)	04/29/21	M	E	
42.						Sold (part)	09/07/21	J	A	
43.						Buy (add'l)	09/28/21	J		
44.	JPMorgan TR II Small Cap Growth Fund I (JISGX)		None			Sold	04/29/21	J	C	
45.	JPMorgan TR I Emerging Markets Equity Fund L (JMIEX)	A	Dividend	J	T	Sold (part)	04/29/21	K	D	
46.	Pimco Fds Pac Invt Mgmt Ser Emerg Mkts (PEBIX)	A	Dividend	J	T	Sold (part)	04/29/21	J	A	
47.	Pimco Commodity Real Return Strat Fd Instl (PCRIX)	A	Dividend	J	T	Buy	11/02/21	J		
48.	PGIM High Yield Cl Z (PHYZX)	A	Dividend	J	T	Buy	11/02/21	J		
49.	Touchstone Mid Cap Inst. (TMCPX)	A	Dividend	J	T	Sold (part)	04/29/21	L	E	
50.	Undiscovered Mgrs Behavioral Value I (UBVLX)	A	Dividend	J	T	Sold (part)	04/29/21	L	E	
51.	Victory Sycamore Established Value Fd Cl I (VEVIX)	A	Dividend	J	T	Buy	09/28/21	J		

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52.	Wasatch Funds Emerging Markets Sm Cap Instl Cl (WIEMX)	B	Dividend	K	T	Buy	09/28/21	J		
53.						Buy (add'l)	11/02/21	J		
54.	Wells Fargo Funds Money Market Premier Cl (WMPXX) (cash equiv.)	A	Dividend			Buy	07/29/21	K		
55.						Sold (part)	08/31/21	J		
56.						Sold (part)	09/07/21	J		
57.						Sold	09/28/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Account #1 is an Equitable Financial Life Insurance Company variable annuity. The underlying asset is reported in Part VII.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael F. Urbanski

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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