AO 10*
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Walker, Lance E.	U.S. District Court, Maine	05/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

U.S. District Court, District of Maine
Margaret Chase Smith Federal Building and Courthouse
202 Harlow Street
Bangor, Maine 04401

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Walker, Lance E.	05/14/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	GreatBanc Trust Company
2.	2021	Business Valuation Resources
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Capital One	Credit Card	K
2.			
3.			
4.			
5.			

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Walker, Lance E.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. (GOFXX) Federated Government Oblig Fd #117	A	Dividend	K	T					
3. Invesco QQQ Trust Unit Ser 1	E	Dividend	L	T					
4. Vanguard Admiral Fds 500 Grth IDX ETF	A	Dividend	J	T					
5. Vanguard S&P 500 ETF	E	Dividend	M	T					
6. Vanguard 500 Index Fund Adm	A	Dividend	J	T					
7. IShares Core S&P Midcap ETF	E	Dividend	J	T					
8. Ishares Core S&P Small-Cap ETF	D	Dividend	K	T					
9. Ishares MSCI EAFE ETF	B	Dividend	K	T					
10. Doubleline Low Duration Bond Fund	A	Dividend	L	T					
11. Vanguard Fixed Income Secs Fd Admiral	B	Dividend	L	T					
12. IRA #2 (H)									
13. (GOFXX) Federated Government Oblig Fd #117	A	Dividend	K	T					
14. Invesco QQQ Trust Unit Ser 1	E	Dividend	L	T					
15. Vanguard S&P 500 ETF	F	Dividend	M	T					
16. Vanguard 500 Fund-Adm	C	Dividend	K	T					
17. IShares Core S&P Midcap ETF	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Walker, Lance E.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Ishares Core S&P Small-Cap ETF	D	Dividend	K	T					
19. IShares MSCI EAFE ETF	C	Dividend	K	T					
20. Doubleline Low Duration Bond Fund	A	Dividend	L	T					
21. Vanguard Fixed Income Secs Fd Admiral	C	Dividend	L	T					
22. 401(k) (H)									
23. Principal LifeTime 2040 Inst Fund	D	Dividend	L	T					
24. Bank Accounts (H)									
25. Key Bank Health Savings Account	A	Interest	J	T					
26. Key Bank Cash Accounts	A	Interest	J	T					
27. Key4Kids Saver - AW	A	Interest	J	T					
28. Key4Kids Saver - DW	A	Interest	J	T					
29. Capital One 360 Performance Savings	A	Interest	L	T					
30. 529 Plan #1 (H)									
31. MATCHING GRANT 0-15 YEAR PORTFOLIO	A	Dividend	J	T					
32. BLKRK 12-13 YEARS DIRECT	C	Dividend	K	T					
33. ALFOND GRANT 12-13 YRS	A	Dividend	J	T					
34. 529 Plan #2 (H)									

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F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
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Walker, Lance E.	05/14/2022

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35. MATCHING GRANT 0-15 YEAR PORTFOLIO	A	Dividend	J	T					
36. BLKRK 14-15 YEARS DIRECT	B	Dividend	K	T					
37. ISHARES 14-15 YEARS DIRECT	A	Dividend	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Walker, Lance E.	05/14/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lance E. Walker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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