AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Wang, Nina Y.	United States District Court for the District of Colorado	07/27/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Magistrate Judge (FT)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Alfred A. Arraj United States Courthouse 901 19th Street Denver, Colorado 80294		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executive Committee Member	Colorado Our Courts
2.	Steering Committee Member	National Task Force for the United States Patent and Trademark Office Pro Bono Initiative
3.	Trustee	Trust #1 (no reportable assets)
4.	Member	Sweet Potato Properties LLC (no reportable assets)
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2005	Faegre Drinker 401k (no control)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed (energy consultant)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Wang, Nina Y.	07/27/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Nationstar Mortgage	Mortgage on Rental Property	K
2.			
3.			
4.			
5.			

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Wang, Nina Y.	07/27/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. FirstBank Denver (cash)	A	Interest	O	T					
3. American Century Select	A	Dividend	J	T					
4. American Century Ultra	C	Dividend	L	T					
5. American Century Value	C	Dividend	K	T					
6. American Century Heritage	B	Dividend	J	T					
7. American Century Equity Growth	C	Dividend	K	T					
8. Touchstone Global ESG Equity Fund - Class A (TEQAX)	C	Dividend	K	T					
9. Faegre Drinker Cash Balance Pension Plan (cash)		None			Redeemed	03/26/21	M		
10. Rental Property #1, Denver, Colorado	E	Rent	N	W					
11. Account #1 (H)									
12. E-Trade Retirement Sweep Deposit Account (cash)	A	Interest	J	T					
13. Account #2 (H)									
14. E-Trade Retirement Sweep Deposit Account (cash)	A	Interest	J	T					
15. Account #3 (H)									
16. Fidelity Growth Company Comingled	E	Int./Div.	O	T	Buy (add'l)	06/01/21	O		
17. Baron Small Cap Institutional	A	Int./Div.	N	T	Buy (add'l)	03/26/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Wang, Nina Y.	07/27/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Victory Sycamore Small Company	E	Int./Div.	M	T	Buy (add'l)	03/26/21	K		
19. American Funds EuroPacific	A	Int./Div.	M	T	Buy (add'l)	03/26/21	K		
20. T Rowe Price Large Cap Growth I R5 Fund	A	Int./Div.			Buy (add'l)	03/26/21	K		
21.					Sold	06/01/21	O		
22. Account #4 (H)									
23. Nexant Custom Target 2035 Portfolio	C	Int./Div.	M	T					
24. Account #5 (H)									
25. Principal Global Investors Equity Income R5 Fund		None	L	T					
26. T.Rowe Price Large Cap Growth I R5		None	M	T					
27. Vanguard 500 Index Admiral Fund		None	K	T					
28. Account #6 (H)									
29. AXAAB Small Cap Growth Plan	A	Dividend	K	T					
30. Sustainability and Impact Equity FD-CLA	A	Dividend	K	T					
31. Account #7 (H)									
32. College Invest - Aggressive Growth	C	Int./Div.	L	T					
33. College Invest - Stock Index	C	Int./Div.	M	T	Buy (add'l)	12/17/21	J		
34. College Invest - Growth Portfolio	A	Int./Div.	L	T	Buy (add'l)	12/17/21	J		

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35. College Invest - Moderate Age Based Cons Growth Portfolio	C	Int./Div.	K	T	Buy (add'l)	12/17/21	J		
36. Account #8 (H)									
37. College Invest - Aggressive Growth	C	Int./Div.	L	T	Buy (add'l)	12/17/21	J		
38. College Invest - Stock Index	C	Int./Div.	L	T	Buy (add'l)	12/17/21	J		
39. College Invest - Growth Portfolio	C	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nina Y. Wang

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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