AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Wang, Nina Y.	United States District Court for the District of Colorado	08/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Alfred A. Arraj United States Courthouse 901 19th Street Denver, Colorado 80294		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executive Committee Member	Colorado Our Courts
2.	Steering Committee Member	National Task Force for the United States Patent and Trademark Office Pro Bono Initiative
3.	Trustee	Trust #1 (no reportable assets)
4.	Member	Sweet Potato Properties LLC (no reportable assets)
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2005	Faegre Drinker 401k (no control, reportable assets are included in Part VII)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Self-employed (energy consultant)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Nationstar Mortgage	Mortgage on Rental Property	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Wang, Nina Y.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. FirstBank Denver (cash)	A	Interest	O	T					
3. American Century Select (TWCIX)	A	Dividend	J	T					
4. American Century Ultra (TWCUX)	B	Dividend	K	T					
5. American Century Value (TWVLX)	C	Dividend	K	T					
6. American Century Heritage (TWHIX)	A	Dividend	J	T					
7. American Century Equity Growth (BEQGX)	B	Dividend	K	T					
8. Touchstone Global ESG Equity Fund - Class A (TEQAX)	B	Dividend	K	T					
9. Rental Property #1, Denver, Colorado	E	Rent	N	W					
10. Account #1 (H)									
11. E-Trade Retirement Sweep Deposit Account (cash)	A	Interest	J	T					
12. Account #2 (H)									
13. E-Trade Retirement Sweep Deposit Account (cash)	A	Interest	J	T					
14. Account #3 (H)									
15. Fidelity Growth Company Comingled		None	O	T					
16. Baron Small Cap Institutional		None	N	T					
17. Victory Sycamore Small Company		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wang, Nina Y.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. American Funds EuroPacific		None	N	T					
19. Account #4 (H)									
20. Nexant Custom Target 2035 Portfolio		None	M	T					
21. Account #5 (H)									
22. Principal Global Investors Equity Income Inst Fund		None	M	T					
23. T.Rowe Price Large Cap Growth I R6		None	M	T					
24. Fidelity 500 Index Fund (X)		None	L	T					
25. Vanguard 500 Index Admiral Fund (Y)									
26. Account #6 (H)									
27. AXAAB Small Cap Growth Plan	A	Dividend	K	T					
28. Sustainability and Impact Equity FD-CLA	A	Dividend	K	T					
29. Account #7 (H)									
30. College Invest - Aggressive Growth		None	L	T	Buy (add'l)	12/23/22	J		
31. College Invest - Stock Index		None	L	T	Buy (add'l)	12/23/22	J		
32. College Invest - Growth Portfolio		None	L	T	Buy (add'l)	12/23/22	J		
33. College Invest - Moderate Age Based Cons Growth Portfolio		None	K	T	Buy (add'l)	12/23/22	J		
34. Account #8 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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35.	College Invest - Aggressive Growth		None	L	T	Buy (add'l)	12/23/22	J		
36.	College Invest - Stock Index		None	L	T	Buy (add'l)	12/23/22	J		
37.	College Invest - Growth Portfolio		None	K	T	Buy (add'l)	12/23/22	J		
38.	Account #9 (H)									
39.	College Invest - Stock Index Portfolio		None	J	T	Buy	12/22/22	J		
40.	College Invest - Growth Portfolio		None	J	T	Buy	12/22/22	J		
41.	College Invest - Aggressive Age-Based Option: 62.5% Stock/37.5% Bond		None	J	T	Buy	12/22/22	J		
42.	College Invest - Moderate Growth Portfolio		None	J	T	Buy	12/22/22	J		
43.	College Invest - Moderate Age-Based Option: 37.5% Stock/62.5% Bond		None	J	T	Buy	12/22/22	J		
44.	Account #10 (H)									
45.	College Invest - Stock Index Portfolio		None	J	T	Buy	12/22/22	J		
46.	College Invest - Growth Portfolio		None	J	T	Buy	12/22/22	J		
47.	College Invest - Aggressive Age-Based Option Moderate Growth Portfolio		None	J	T	Buy	12/22/22	J		
48.	College Invest - Moderate Growth Portfolio		None	J	T	Buy	12/22/22	J		
49.	College Invest - Moderate Age-Based Option: Conservative Growth Port		None	J	T	Buy	12/22/22	J		
50.	Account #11 (H)									
51.	Learning Quest Agressive Track: 50% Equity Portfolio		None	M	T	Buy	04/05/22	M		

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52.	Learning Quest Agressive Track: 60% Equity Portfolio (X)		None			Sold	04/05/22	M		
53.	Account #12 (H)									
54.	Learning Quest Agressive Track: 60% Equity Portfolio (X)		None	L	T					

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Name of Person Reporting	Date of Report
Wang, Nina Y.	08/12/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Accounts #11 and #12 are not owned by the filer and were inadvertently omitted from prior reports.

Name of Person Reporting	Date of Report
Wang, Nina Y.	08/12/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nina Y. Wang

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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