Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Werlein, Ewing
U.S. District Court - Texas Southern
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Werlein, Ewing [electronically signed on Aug 28, 2026 1:36pm by Werlein, Ewing in JEFS]
/s/ Werlein, Ewing [electronically signed on Aug 12, 2026 12:30pm by Werlein, Ewing in JEFS]

I. Positions

	ORGANIZATION/ENTITY NAME	ORGANIZATION/ENTITY TYPE	POSITION HELD
1.	The Methodist Hospital, Houston, Texas (d/b/a Houston Methodist)	Non-Profit	Director
2.	Methodist Health Centers	Non-Profit	Director
3.	Houston Methodist Hospital Foundation	Non-Profit	Director
4.	Houston Methodist Academic Institute	Non-Profit	Director
5.	TMH Health Care Group	Non-Profit	Director

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

III B. Spouse Non-Investment Income

I do not have any Spouse Non-Investment Income to report
No entries in this section

IV. Reimbursements

I do not have any Reimbursements to report
No entries in this section

V. Gifts

I do not have any Gifts to report
No entries in this section

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**Halliburton, com.**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
2.	**Halliburton, com.**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
3.	**BP PLC, ADR**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
4.	**Exxon-Mobil, com.**	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
5.	**Wal-Mart Stores, com.**	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
6.	**Exxon-Mobil, com.**	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
7.	**Johnson & Johnson, com.**	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Donated (part)			
8.	**Conoco Phillips, com.**	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
9.	**Texas Capital Bankshares, com.**		None	$500,001 - $1,000,000	Cash/Market
10.	**AT&T, com.**	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Donated			
11.	**Berkshire Hathaway CL B, com.**		None	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Donated (part)			
12.	**IBM, com.**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
13.	**JP Morgan Chase, com.**	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
14.	**Pepsico, Inc., com.**	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
15.	**Sector SPDR Financial, com.**	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
16.	**Phillips 66, com.**	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
17.	**Kinder Morgan, com.**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
18.	**Consumer Discretionary SPDR, com.**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
19.	**EPR Properties, com.**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
20.	**Bank of America, com.**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
21.	**Chevron, com.**	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
22.	**Starbucks, com.**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
23.	**Kenvue, com.**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Donated (part)		

24.	**(H) MUTUAL FUNDS: (H)**				
24-A.	Fidelity MM Fund	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
24-B.	Fidelity Low Price Stock	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market
24-C.	Fidelity Contrafund P1	$50,001 - $100,000	Dividend	$1,000,001 - $5,000,000	Cash/Market
24-D.	Fidelity Mid-Cap Stock	$15,001 - $50,000	Dividend	$1,000,001 - $5,000,000	Cash/Market
24-E.	LPL: Ins'd bank cash dep. sweep	$1,000 or less	Interest	$15,000 or less	Cash/Market
24-F.	JP Morgan Large Cap Growth Sel.	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
24-G.	New Perspective Fund 2	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
24-H.	BNY Mellon Equity Income	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
24-I.	Fidelity OTC Port. Fund	$15,001 - $50,000	Dividend	$250,001 - $500,000	Cash/Market
24-J.	SPDR S&P 500 ETF (SPY)	$2,501 - $5,000	Dividend	$250,001 - $500,000	Cash/Market
24-K.	SPDR S&P 500 ETF (SPYM)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	May 5, 2025	$15,001 - $50,000

24-L.	Fidelity Stock Selector Small Cap	$1,001 - $2,500	Dividend	$250,001 - $500,000	Cash/Market
24-M.	Fidelity 500 Index Fund	$5,001 - $15,000	Dividend	$500,001 - $1,000,000	Cash/Market
24-N.	Fidelity Govt MM	$1,001 - $2,500	Dividend	$15,000 or less	Cash/Market
24-O.	Fidelity MM Premium Cl.	$15,001 - $50,000	Dividend	$1,000,001 - $5,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 16, 2025	$250,001 - $500,000

24-P.	Pacer US Cash Certs. 100 ETF	$1,000 or less	Dividend		

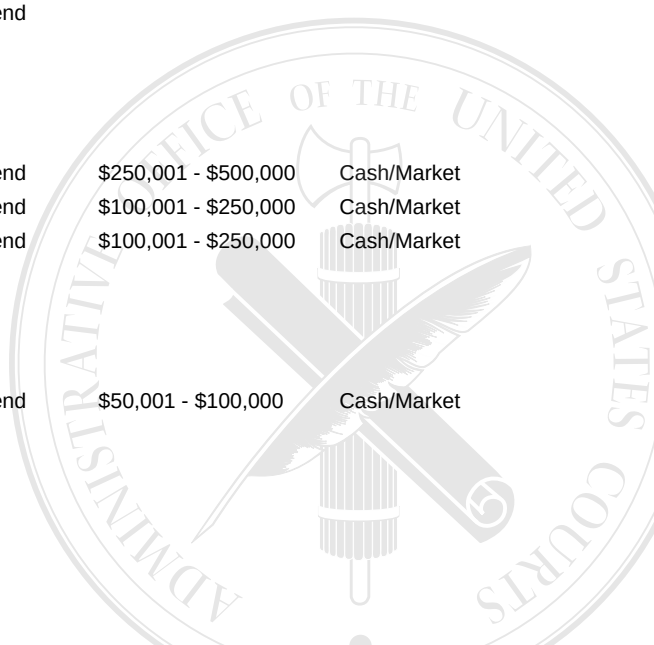
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Mar 11, 2025	$15,000 or less
(2).	Sold	May 5, 2025	$15,001 - $50,000

24-Q.	Fidelity Govt MM	$15,001 - $50,000	Dividend	$250,001 - $500,000	Cash/Market
24-R.	Fidelity 500 Index Fund	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
24-S.	Fidelity Advisor Total Bond (FEPIX)	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	May 5, 2025	$15,001 - $50,000
(2).	Buy (add'l)	Aug 20, 2025	$15,001 - $50,000

24-T.	Nationwide Amundi Strat. Inc. (NWXSHX)	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	May 5, 2025	$15,000 or less



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
24-U.	Investco S&P 400 Midcap (RWK)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	May 5, 2025	$15,000 or less

24-V.	Catalyst Floating Rate Inc. (CFRIX)	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	May 5, 2025	$15,000 or less
(2).	Sold (part)	Aug 20, 2025	$15,000 or less

24-W.	Pimco Income (PONPX)	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	May 5, 2025	$15,000 or less
(2).	Buy (add'l)	Aug 20, 2025	$50,001 - $100,000

24-X.	Victory Short Term Bond (USSBX)	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	May 5, 2025	$15,000 or less
(2).	Sold (part)	Aug 20, 2025	$15,000 or less

24-Y.	Janus Henderson AAA (JAAA)	$1,001 - $2,500	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	May 5, 2025	$15,001 - $50,000
(2).	Sold	Aug 20, 2025	$50,001 - $100,000

24-Z.	Brandywine Global High Yield (BGHIX)	$1,001 - $2,500	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Aug 20, 2025	$15,001 - $50,000

24-AA.	Hood River Small Cap Growth (HRSMX)		None

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Feb 28, 2025	$15,001 - $50,000

24-AB.	Artisan Global (APDEX)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 11, 2025	$15,000 or less
(2).	Buy (add'l)	Mar 13, 2025	$15,000 or less
(3).	Buy (add'l)	Aug 20, 2025	$15,000 or less

24-AC.	Victory Shares Free Cash Flow (VFLO)	$1,000 or less	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 11, 2025	$15,001 - $50,000
(2).	Sold (part)	May 5, 2025	$15,000 or less
(3).	Sold	Aug 20, 2025	$15,000 or less

24-AD.	Principal Mid-Cap (PCBIX)		None

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	May 5, 2025	$15,001 - $50,000
(2).	Sold	Aug 20, 2025	$15,001 - $50,000

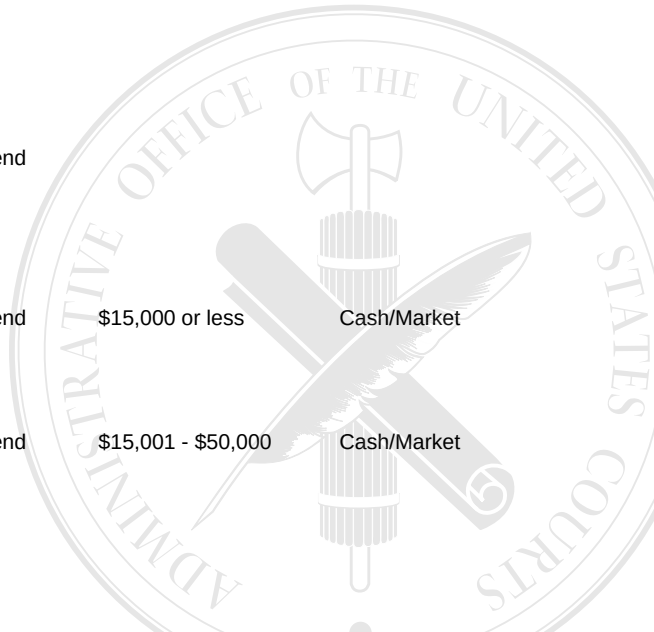
24-AE.	JP Morgan Liq. Assets (JPLXX)	$1,000 or less	Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 3, 2025	$15,001 - $50,000
(2).	Sold	May 5, 2025	$15,001 - $50,000

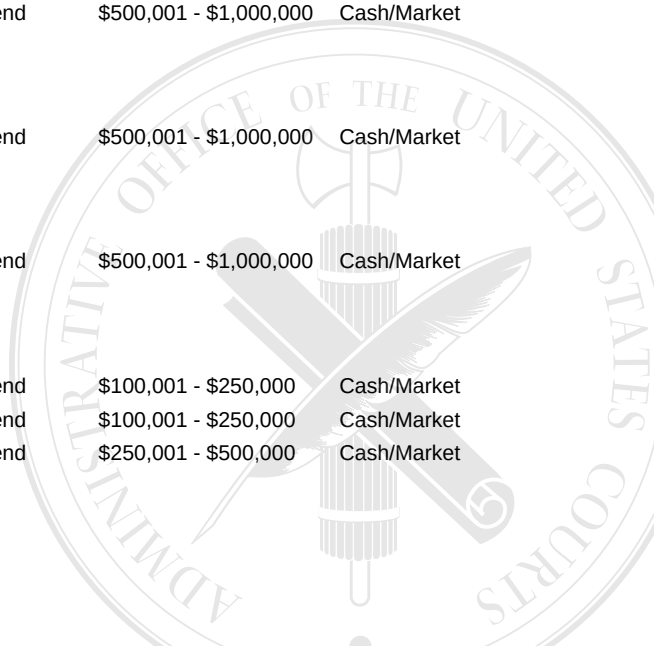
24-AF.	Alger AI Enablers (ALAI)	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Aug 20, 2025	$15,000 or less

24-AG.	Thornburg Global (THOIX)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Aug 20, 2025 $15,001 - $50,000				
25.	**(H) ACCOUNTS IN FINANCIAL INST: (H)**				
25-A.	Bank of America, (Accounts)	$1,000 or less	Interest	$100,001 - $250,000	Cash/Market
25-B.	Zions Bancorp.	$5,001 - $15,000	Interest	$500,001 - $1,000,000	Cash/Market
25-C.	Bank of America (Account)		None	$15,000 or less	Cash/Market
25-D.	Merrill Edge Accts See Note (1) in Part VIII **Additional Explanation**: See Note (1) in Part VIII	$15,001 - $50,000	Interest	$250,001 - $500,000	Cash/Market
25-E.	Beal Bank, CD due 03/24/26	$2,501 - $5,000	Interest	$250,001 - $500,000	Cash/Market
25-F.	Merrill Edge Accts See Note (1) in Part VIII	$5,001 - $15,000	Interest	$100,001 - $250,000	Cash/Market
25-G.	Amegy Bank CD due 01/11/25	$2,501 - $5,000	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Jan 11, 2025 $100,001 - $250,000				
26.	**(H) REAL ESTATE: (H)**				
26-A.	Condo-Larimer County, CO	$15,001 - $50,000	Rent	$500,001 - $1,000,000	Estimated
26-B.	Working Interest-Cabot U., Andrews County, TX	$1,000 or less	Royalty	$15,000 or less	Estimated
26-C.	Mineral Interest, Wheeler County, TX	$1,000 or less	Royalty	$15,000 or less	Estimated
26-D.	Mineral Interests, Martin and Dawson Counties, TX	$50,001 - $100,000	Royalty	$100,001 - $250,000	Estimated
26-E.	Mineral Interests, Howard County, TX	$15,001 - $50,000	Royalty	$50,001 - $100,000	Estimated
26-F.	Mineral Interests, Galveston County, TX	$1,000 or less	Rent	$15,000 or less	Estimated
26-G.	Mineral Interest, Glasscock County, TX	$50,001 - $100,000	Royalty	$250,001 - $500,000	Estimated
26-H.	Mineral Interests, Lavaca County, TX	$1,000 or less	Rent	$15,000 or less	Estimated
27.	**(H) RETIREMENT & IRA ACCOUNTS: (H)**				
27-A.	IRA Acct: John Hancock Ind. Ret. Annuity (Fixed)		None	$15,001 - $50,000	
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Distributed (part) Dec 31, 2025 $15,000 or less **Additional Explanation**: See Note (3) in Part VIII				
28.	**(H) IRA ROLLOVER ACCOUNT: (H)**				
28-A.	--Citibank & other banks FDIC Ins. Bank Deposit Sweeps & Govt MM	$15,001 - $50,000	Interest	$100,001 - $250,000	Cash/Market
28-B.	--Berkshire Hathaway CL		None	$100,001 - $250,000	Cash/Market
28-C.	--Home Depot, com.	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
28-D.	--Microsoft, com.	$1,001 - $2,500	Dividend	$250,001 - $500,000	Cash/Market
28-E.	--Stryker Corp., com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
28-F.	--Thermo Fish. Sc., com.	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market
28-G.	--Fidelity Low Priced Stock	$50,001 - $100,000	Dividend	$500,001 - $1,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Sep 2, 2025 $50,001 - $100,000				
28-H.	--Fidelity OTC Port	$50,001 - $100,000	Dividend	$500,001 - $1,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Sep 2, 2025 $50,001 - $100,000				
28-I.	--Fidelity 500 Index	$5,001 - $15,000	Dividend	$500,001 - $1,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Sep 2, 2025 $50,001 - $100,000				
28-J.	--Fidelity Select Medical Equip & System	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
28-K.	--Fidelity Select Software & IT	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market
28-L.	--Fidelity Contrafund	$2,501 - $5,000	Dividend	$250,001 - $500,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
29.	**(H) IRA ROLLOVER ACCT NO. 2: (H)**				
30.	**(H) CORPORATE BONDS/NOTES IN IRA ROLLOVER ACCT #2: (H)**				
30-A.	--JP Morgan Notes due 10/1/26	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
30-B.	--Wells Fargo Notes due 9/29/25	$2,501 - $5,000	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Sep 29, 2025 $50,001 - $100,000				
30-C.	--Mastercard Notes due 11/21/26	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
30-D.	--Amazon.com Inc. Notes due 4/13/27	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
30-E.	--Pepsico Corp. Resources Inc. Notes due 10/15/27	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
30-F.	--Philip Morris Notes due 8/11/25	$2,501 - $5,000	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Aug 11, 2025 $50,001 - $100,000				
30-G.	--Home Depot Notes due 12/06/28	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
30-H.	--Intercontnl Exch. Notes due 9/21/28	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
30-I.	--Tx Instruments Notes due 09/06/29	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
30-J.	--Coca-Cola Notes due 09/06/29	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
30-K.	--Philip Morris Notes due 11/01/2030		None	$50,001 - $100,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Aug 15, 2025 $50,001 - $100,000				
30-L.	--VISA Inc. Notes due 4/15/2030		None	$50,001 - $100,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Oct 2, 2025 $50,001 - $100,000				
31.	**(H) EQUITIES IN IRA ROLLOVER ACCT #2: (H)**				
31-A.	--American Express, com.	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
31-B.	--Chevron, com.	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
31-C.	--KO, com.	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
31-D.	--Exxon-Mobil, com.	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
31-E.	--J.P. Morgan Chase, com.	$2,501 - $5,000	Dividend	$250,001 - $500,000	Cash/Market
31-F.	--JNJ, com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
31-G.	--Merck, com.	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
31-H.	--PEP, com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
31-I.	--S&P Global, com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
31-J.	--Altria Group, com.	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
31-K.	--Automatic Data Proc., com.	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
31-L.	--Linde, PLC, com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
31-M.	--Procter & Gamble, com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
31-N.	--Texas Instruments, com.	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
31-O.	--Abbott Labs, com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
31-P.	--McDonald's, com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
31-Q.	--Philip Morris Intl., com.	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
31-R.	--Nestle Sponsored ADR, com.	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
31-S.	--Roche Holdings Ltd. Spon. ADR, com.	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
31-T.	--Air Products & Chems., com.	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
31-U.	--Black Rock, com.	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
31-V.	--Novo Nordisk AS ADR, com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
31-W.	--Abbvie Inc., com.	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
31-X.	--Canadian Pac Kansas City, com.	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
31-Y.	--Intercontinental Exchange Group, com.	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
31-Z.	--VISA, com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
31-AA.	--Intuitive Surgical, com.		None	$100,001 - $250,000	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
31-AB.	--Microsoft, com.	$2,501 - $5,000	Dividend	$500,001 - $1,000,000	Cash/Market
31-AC.	--Union Pac., com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
31-AD.	--United Health Group, com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
31-AE.	--Otis Worldwide, com.	$1,000 or less	Dividend	$15,000 or less	Cash/Market
31-AF.	--Sherwin Williams Co., com.	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
31-AG.	--Zoetis Inc., com.	$1,000 or less	Dividend	$15,000 or less	Cash/Market
31-AH.	--CME Group Inc., com.	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
31-AI.	--Mastercard Inc., com.	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
31-AJ.	--BAE Sys. PLC, com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
32.	**(H) CASH ACCOUNTS IN IRA ROLLOVER ACCT NO. 2: (H)**				
32-A.	--Raymond James Ins'd Bank Dep Program	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
33.	**(H) IRA ROLLOVER NO. 3: (H)**				
33-A.	--Lord Abbett Short Fund	$15,001 - $50,000	Dividend	$250,001 - $500,000	Cash/Market
33-B.	--Invesco Oppenheimer Senior Floating Rate	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
33-C.	--AT&T Inc., com.	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
33-D.	--Bristol Meyers, com.	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Nov 12, 2025	$15,001 - $50,000

33-E.	--Caterpillar, com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
33-F.	--Coca-Cola, com.	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
33-G.	--McDonald's, com.	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
33-H.	--Wal-Mart, Inc., com.	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
33-I.	--JP Morgan Deposit Sweep Acct.	$1,000 or less	Interest	$15,000 or less	Cash/Market
33-J.	--JP Morgan 100% Treas. Sec. MM Fd.	$1,000 or less	Dividend	$15,000 or less	Cash/Market

VIII. Additional Information or Explanations

	ADDITIONAL INFORMATION OR EXPLANATION
1.	(1) Part VII, Lines 25-D and Line 25-F report aggregate investments in CDs purchased through Merrill. The interest payments from the issuing banks are paid to Merrill, not to the owner of the Merrill Account(s). Merrill reports the interest income on IRS Forms 1099 as interest income entirely from Merrill. The report here is the same as on our federal income tax return.
2.	(2) Part VII, Line 27-A, the year-end value of IRA account in John Hancock Ind. Retirement Annuity, which is not publicly traded, was provided by John Hancock Life Ins. Co.