AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Wetherell, II, Thomas K.	U.S. District Court, Northern District of Florida	04/30/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 1 North Palafox Street Pensacola, FL 32502		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1999	State of Florida Retirement System defined benefit pension plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University Law School	March 10-15, 2021	Destin, FL	Educational Seminar	Travel, food, hotel
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Account #1 (H)									
2. - Allanzgi Focused Growth Fund (PGWAX)	D	Distribution	N	T					
3. -Alcon common stock (ALC)	A	Dividend	J	T					
4. - Allstate common stock (ALL)	D	Dividend	N	T					
5. - Altria Group common stock (MO)	C	Dividend	L	T					
6. - AT&T common stock (T)	A	Dividend	J	T					
7. - Boulder Growth and Income Fund (BIF)	A	Dividend	K	T					
8. - Bristol Myers common stock (BMY)	B	Dividend	L	T					
9. - Cigna common stock (CI)	A	Dividend	J	T					
10. - Coca Cola common stock (KO)	B	Dividend	K	T					
11. - Comcast common stock (CMCSA)	A	Dividend	K	T					
12. - Discover Financial Services common stock (DFS)	B	Dividend	M	T					
13. - Edison International common stock (EIX)	C	Dividend	L	T					
14. - International Paper Company common stock (IP)	A	Dividend	J	T					
15. - Invesco America Fund (VAFAX)	B	Dividend	L	T					
16. - Kraft Heinz common stock (KHC)	A	Dividend	J	T					
17. - Lands End common stock (LE)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Merck common stock (MRK)	C	Dividend	L	T					
19. - MFS Government Income Fund (MGF)	A	Dividend	J	T					
20. - Mondalez International common stock (MDLZ)	B	Dividend	L	T					
21. - Morgan Stanley common stock (MS)	D	Dividend	M	T					
22. - Morgan Stanley money market account	A	Interest	N	T					
23. - NCR common stock (NCR) (X)		None	J	T					
24. - Novartis common stock (NVS)	B	Dividend	L	T					
25. - Oracle common stock (ORCL)	A	Dividend	L	T					
26. -Oraganon & Co common stock (OGN)	A	Dividend	J	T	Spinoff (from line 18)	06/03/21	J		
27. - Pepsico common stock (PEP)	A	Dividend	K	T					
28. - Pfeizer common stock (PFE)	A	Dividend	K	T					
29. - PGIM National Mutual Fund (PRNMX)	A	Dividend	K	T					
30. - Philip Morris common stock (PM)	D	Dividend	M	T					
31. - PNM Resources common stock (PNM)	A	Dividend	K	T					
32. - Sanofi common stock (SNY)	A	Dividend	K	T					
33. - Teradata common stock (TDC) (X)	A	Dividend	J	T					
34. - Verizon common stock (VZ)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Viatris common stock (VTRS)	A	Dividend	J	T					
36. - Walt Disney Company common stock (DIS)		None	M	T					
37. - Yum Brands common stock (YUM)	A	Dividend	J	T					
38. - Yum China common stock (YUMC)	A	Dividend	J	T					
39. - Zimmer Holdings common stock (ZBH)	A	Dividend	K	T					
40. Investment Account #2 (H)									
41. - Microsoft common stock (MSFT)	A	Dividend	M	T					
42. - Morgan Stanley money market account	A	Interest	L	T					
43. - Pfeizer common stock (PFE)	A	Dividend	J	T					
44. Investment Account #3 (H)									
45. - Morgan Stanley money market account	A	Interest	K	T					
46. American Century IRA (H)									
47. - American Century Select Fund (TWCIX)	E	Dividend	N	T					
48. DWS Scudder Roth IRA #1 (H)									
49. - DWS Capital Growth Fund (SCGSX)	B	Dividend	K	T					
50. - DWS Large Capital Focused Growth Fund (SCQGX)	D	Dividend	M	T					
51. DWS Scudder Roth IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - DWS Capital Growth Fund (SCGSX)	B	Dividend	K	T					
53. - DWS Large Capital Focused Growth Fund (SCQGX)	D	Dividend	M	T					
54. DWS Scudder SEP Plan (H)									
55. - DWS Managed Mutual Bond Fund (SMLAX)	A	Dividend	J	T					
56. - DWS Core Equity Fund (SUWAX)	A	Dividend	K	T					
57. - DWS CROCI US Fund (DCUAX)	A	Dividend	J	T					
58. Vangaurd IRA #1 (H)									
59. - Vanguard Value Index Fund (VVIAX)	B	Dividend	L	T					
60. Vanguard IRA #2 (H)									
61. - Federal Money Market Fund (VMFXX)	A	Dividend	J	T					
62. - Vangaurd Morgan Growth Fund (VMRAX)	E	Dividend	M	T					
63. Vanguard IRA #3 (H)									
64. - Federal Money Market Fund (VMFXX)	A	Dividend	J	T					
65. - Vanguard Target Retirement 2060 Fund (VTTSX)	A	Dividend	J	T	Buy (add'l)	04/15/21	J		
66. Vanguard IRA #4 (H)									
67. - Vanguard Federal Money Market Fund	A	Dividend	J	T					
68. - Vangaurd Target Retirement 2065 Fund (VLXVX) (X)	A	Dividend	J	T	Buy	04/15/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Section 457(b) Plan (H)									
70. - Vanguard MidCap 400 Index Fund (VSPMX)	B	Dividend	N	T					
71. - MSIF Growth Fund (VINIX)	F	Dividend	O	T					
72. - Vanguard Institutional Index Fund (VINIX)	C	Dividend	M	T					
73. - NRS Florida Fixed Fund		None	K	T					
74. Wells Fargo IRA (H)									
75. - Wells Fargo certificate of deposit	A	Interest	K	T					
76. Alger International Growth Fund (ALGAX)	A	Dividend	J	T					
77. Bank of America common stock (BAC)	C	Dividend	M	T					
78. Commonwealth Annuity (H)									
79. - Columbia Strategic Income Fund (COSIX)		None	N	T					
80. DWS CROCI International Fund (SCINX)	A	Dividend	K	T					
81. Edison International common stock (EIX)	C	Dividend	M	T					
82. Florida College Prepaid Plan #1 (Y)	A	Distribution			Redeemed	01/03/21	J		
83. Florida College Prepaid Plan #2	D	Distribution	K	W					
84. Undeveloped land, St. Johns County, Florida (50% interest)		None	J	S					
85. Vangaurd 500 Index Fund (VFIAX)	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Vanguard Windsor II Fund (VWNAX)	E	Dividend	O	T					
87. Suntrust cash accounts	A	Interest	K	T					
88. Wells Fargo cash accounts	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 82: [redacted] college prepaid account. Remainder of credit hours used in Spring 2021 sememster.

Part VII, line 83: [redacted] college prepaid account. Value estimated based on plan's unused credit hours (105) as of 12/31/21 multiplied by current tuition/fee rate ($154.10 per credit hour), plus unused dorm semesters (1) multiplied by current dorm rate ($3,366.24 per semester).

Part VII, line 84: Per the St. Johns County Property Appraiser's website, the land's assessed value for tax purposes on 1/1/2021 was $6,565 and its market value was $30,300.

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/30/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas K. Wetherell, II

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544