AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Wetherell, II, Thomas K.	U.S. District Court, Northern District of Florida	04/28/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
United States Courthouse 1 North Palafox Street Pensacola, FL 32502		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1999	State of Florida Retirement System defined benefit pension plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Wetherell, II, Thomas K.	04/28/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Investment Account #1 (H)									
2. -Alcon common stock (ALC)	A	Dividend	J	T					
3. - Allstate common stock (ALL)	D	Dividend	N	T					
4. - Altria Group common stock (MO)	C	Dividend	L	T					
5. - AT&T common stock (T)	A	Dividend	J	T					
6. - Bristol Myers common stock (BMY)	C	Dividend	L	T					
7. - Cigna common stock (CI)	A	Dividend	K	T					
8. - Coca Cola common stock (KO)	B	Dividend	K	T					
9. - Comcast common stock (CMCSA)	A	Dividend	K	T					
10. - Discover Financial Services common stock (DFS)	B	Dividend	M	T					
11. - Edison International common stock (EIX)	C	Dividend	L	T					
12. - International Paper Company common stock (IP)	A	Dividend	J	T					
13. - Invesco America Fund (VAFAX)		None	K	T					
14. - Kraft Heinz common stock (KHC)	A	Dividend	J	T					
15. - Lands End common stock (LE)		None	J	T					
16. - Merck common stock (MRK)	C	Dividend	M	T					
17. - MFS Government Income Fund (MGF)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

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Wetherell, II, Thomas K.	04/28/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - Mondalez International common stock (MDLZ)	B	Dividend	L	T					
19. - Morgan Stanley common stock (MS)	D	Dividend	M	T					
20. - Morgan Stanley money market account	A	Interest	N	T					
21. - Novartis common stock (NVS)	B	Dividend	L	T					
22. - Oracle common stock (ORCL)	B	Dividend	L	T					
23. - Oraganon & Co common stock (OGN)	A	Dividend	J	T					
24. - Pepsico common stock (PEP)	A	Dividend	K	T					
25. - Pfeizer common stock (PFE)	A	Dividend	J	T					
26. - PGIM National Mutual Fund (PRNMX)	A	Dividend	K	T					
27. - Philip Morris common stock (PM)	D	Dividend	M	T					
28. - PNM Resources common stock (PNM)	A	Dividend	J	T					
29. - Sandoz Group common stock (SDZNY) (X)		None	J	T	Spinoff (from line 21)	10/04/23	J		
30. - Sanofi common stock (SNY)	A	Dividend	K	T					
31. - SRH Total Return Fund (STEW)	A	Dividend	K	T					
32. - Teradata Corporation common stock (TDC)		None	J	T					
33. - Verizon common stock (VZ)	A	Dividend	J	T					
34. - Viatris common stock (VTRS) (Y)									

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. - Virtas Silvant Focused Growth A Fund (PGWAX) (X)	D	Dividend	N	T					
36. - Walt Disney Company common stock (DIS)		None	M	T					
37. - Warner Bros Discovery common stock (WBD)		None	J	T					
38. - Yum Brands common stock (YUM)	A	Dividend	J	T					
39. - Zimmer Holdings common stock (ZBH)	A	Dividend	J	T					
40. Investment Account #2 (H) (Y)									
41. - Microsoft common stock (MSFT) (Y)									
42. - Morgan Stanley money market account (Y)									
43. - Pfeizer common stock (PFE) (Y)									
44. Investment Account #3 (H)									
45. - Morgan Stanley money market account	A	Interest	K	T					
46. American Century IRA (H)									
47. - American Century Select Fund (TWCIX)	E	Dividend	N	T					
48. DWS Scudder Roth IRA #1 (H)									
49. - DWS Capital Growth Fund (SCGSX)	B	Dividend	K	T					
50. - DWS Large Capital Focused Growth Fund (SCQGX)	C	Dividend	M	T					
51. DWS Scudder Roth IRA #2 (H)									

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52. - DWS Capital Growth Fund (SCGSX)	B	Dividend	K	T					
53. - DWS Large Capital Focused Growth Fund (SCQGX)	C	Dividend	M	T					
54. DWS Scudder SEP Plan (H)									
55. - DWS Managed Mutual Bond Fund (SMLAX)	A	Dividend	J	T					
56. - DWS Core Equity Fund (SUWAX)	A	Dividend	K	T					
57. - DWS CROCI US Fund (DCUAX)	A	Dividend	J	T					
58. Vangaurd IRA #1 (H)									
59. - Vanguard Value Index Fund (VVIAX)	B	Dividend	L	T					
60. Vanguard IRA #2 (H)									
61. - Federal Money Market Fund (VMFXX)	A	Dividend	J	T					
62. - Vanguard Morgan Growth Fund (VMRAX)	A	Dividend	M	T					
63. Vanguard IRA #3 (H) (Y)									
64. - Vanguard Target Retirement 2060 Fund (VTTSX) (Y)									
65. Vanguard IRA #4 (H)									
66. - Vangaurd Target Retirement 2065 Fund (VLXVX)	A	Dividend	J	T	Buy (add'l)	04/15/23	J		
67. Section 457(b) Plan (H)									
68. - Vanguard MidCap 400 Index Fund (VSPMX)	B	Dividend	N	T					

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69. - MSIF Growth Fund (VINIX)	E	Dividend	N	T					
70. - Vanguard Institutional Index Fund (VINIX)	C	Dividend	M	T					
71. - NRS Florida Fixed Fund		None	K	T					
72. Wells Fargo IRA (H)									
73. - Wells Fargo certificate of deposit	A	Interest	K	T					
74. Alger International Growth Fund (ALGAX)	A	Dividend	J	T					
75. Bank of America common stock (BAC)	C	Dividend	M	T					
76. Commonwealth Annuity (H)									
77. - Columbia Strategic Income Fund (COSIX)		None	N	T					
78. DWS CROCI International Fund (SCINX)	A	Dividend	K	T					
79. Edison International common stock (EIX)	D	Dividend	M	T					
80. Florida College Prepaid Plan	D	Distribution	J	W					
81. Undeveloped land, St. Johns County, Florida (50% interest)		None	K	S					
82. Vangaurd 500 Index Fund (VFIAX)	C	Dividend	M	T					
83. Vanguard Windsor II Fund (VWNAX)	E	Dividend	O	T					
84. Suntrust cash accounts	A	Interest	K	T					
85. Wells Fargo cash accounts	A	Interest	K	T					

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86. State Farm money market account #1 (X)	C	Interest	M	T	Open	08/09/23	M		
87. State Farm money market account #2 (X)	B	Interest	L	T	Open	08/09/23	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 34: Value less than $1,000 and no income

Part VII, lines 40-43 and 63-64: Accounts owned by [redacted], who was no longer living at [redacted] as of 2/27/23.

Part VII, line 80: Value estimated based on plan's unused credit hours (32.85) as of 12/31/23 multiplied by current tuition/fee rate ($154.06 per credit hour).

Part VII, line 81: Per the St. Johns County Property Appraiser's website, the land's market value as of 1/1/23 was $30,300 and its assessed value for tax purposes was $6,565.

Part VII, lines 86, 87 Proceeds from relative's life insurance policy.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas K. Wetherell, II

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544