AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
WILKEN, CLAUDIA	USDC FOR THE NO DIST OF CALIF	05/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US DISTRICT JUDGE, SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address		
1301 CLAY STREET OAKLAND, CA 94612		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE	TRUST #3
2.	TRUSTEE	TRUST #5
3.	TRUSTEE	TRUST #6
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	SELF-EMPLOYED ATTORNEY
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
WILKEN, CLAUDIA	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Wells Fargo Bank accounts	A	Interest	L	T					
2. Schwab Cash Deposit Account		None	J	T					
3. USAA Tax Exempt Inter-term Fd	A	Dividend	J	T					
4. Schwab S&P 500 Index Fd	A	Dividend	K	T					
5. Schwab Bank (cash account)	A	Interest	J	T					
6. Schwab Value Advantage Money Market Fund	A	Dividend	L	T					
7. Schwab S&P 500 Index Fd	B	Dividend	M	T	Sold (part)	04/30/21	K	E	
8.					Sold (part)	05/04/21	K	E	
9.					Buy (add'l)	10/20/21	J		
10.					Buy (add'l)	11/05/21	J		
11.					Buy (add'l)	11/22/21	J		
12.					Buy (add'l)	12/06/21	J		
13.					Buy (add'l)	12/20/21	J		
14. USAA Tax Exempt Inter-term Fd	D	Dividend	N	T	Buy (add'l)	01/19/21	J		
15.					Buy (add'l)	05/03/21	K		
16.					Buy (add'l)	10/20/21	J		
17.					Buy (add'l)	11/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.					Buy (add'l)	11/22/21	J		
19.					Buy (add'l)	12/06/21	J		
20.					Buy (add'l)	12/20/21	J		
21. Baird Core Plus Bd Inv	B	Dividend	K	T					
22. Schwab Fund Intl Large Co Index	B	Dividend	L	T					
23. Schwab Fund Intl Small Co Index	A	Dividend	J	T					
24. Dodge & Cox Income Fd	A	Dividend	J	T					
25. Schwab US Small Cap ETF	A	Dividend	J	T					
26. Schwab US Aggregate Bond ETF	A	Dividend	L	T	Buy	01/27/21	J		
27.					Buy (add'l)	05/06/21	K		
28. Ishares Russell 2000	A	Dividend	K	T					
29. Schwab Bank Savings Sweep	A	Int./Div.	L	T					
30. Charles Schwab US REIT	A	Dividend	J	T					
31. IShares Gold ETF		None	J	T	Buy (add'l)	01/20/21	J		
32.					Buy (add'l)	02/17/21	J		
33.					Buy (add'l)	04/15/21	J		
34.					Buy (add'l)	09/20/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. Schwab Emerging Markets Equity ETF	B	Dividend	K	T	Buy (add'l)	04/15/21	J		
36.					Buy (add'l)	06/09/21	J		
37.					Buy (add'l)	09/20/21	J		
38.					Buy (add'l)	11/05/21	J		
39. Schwab Fundamental Emerg Mkts Lg Co ETF	C	Dividend	K	T	Buy (add'l)	02/17/21	J		
40.					Buy (add'l)	04/15/21	J		
41.					Buy (add'l)	06/09/21	J		
42.					Buy (add'l)	09/20/21	J		
43.					Buy (add'l)	11/05/21	J		
44.					Buy (add'l)	12/14/21	J		
45. Schwab Fundamental Intl Lg Co ETF	B	Dividend	K	T	Buy (add'l)	02/17/21	J		
46.					Buy (add'l)	09/20/21	J		
47.					Buy (add'l)	11/05/21	J		
48.					Buy (add'l)	12/14/21	J		
49. Schwab Fundamental Intl Sm Co ETF	B	Dividend	K	T	Buy (add'l)	04/15/21	J		
50.					Buy (add'l)	09/20/21	J		
51.					Buy (add'l)	11/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.					Buy (add'l)	12/14/21	J		
53. Schwab Fundamental US Large Co ETF	A	Dividend	K	T	Buy (add'l)	02/17/21	J		
54.					Buy (add'l)	09/20/21	J		
55. Schwab Fundamental US Small Co ETF	A	Dividend	K	T					
56. Schwab International Equity ETF	B	Dividend	K	T	Buy (add'l)	01/20/21	J		
57.					Buy (add'l)	04/15/21	J		
58.					Buy (add'l)	06/09/21	J		
59.					Buy (add'l)	09/20/21	J		
60.					Buy (add'l)	11/05/21	J		
61. Schwab International Small Cap Eqty ETF	A	Dividend	K	T	Buy (add'l)	04/15/21	J		
62.					Buy (add'l)	09/20/21	J		
63.					Buy (add'l)	12/14/21	J		
64. Schwab US Large Cap ETF	B	Dividend	L	T	Buy (add'l)	02/17/21	J		
65.					Buy (add'l)	06/09/21	J		
66. Schwab US Small Cap ETF	A	Dividend	K	T	Buy (add'l)	09/20/21	J		
67.					Buy (add'l)	12/14/21	J		
68. Vanguard Global ex-US Real Est ETF	A	Dividend	J	T					

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69. SPDR Blmbrg Brcly Emg Mkt LCL ETF	A	Dividend	J	T	Buy (add'l)	06/09/21	J		
70.					Buy (add'l)	11/05/21	J		
71. Xtrackers Inter Real Est ETF	A	Dividend	J	T	Buy (add'l)	02/17/21	J		
72.					Buy (add'l)	09/20/21	J		
73. IShares CA Muni Bond ETF	A	Dividend	L	T	Buy (add'l)	01/20/21	J		
74.					Buy (add'l)	02/17/21	J		
75.					Buy (add'l)	04/15/21	J		
76.					Buy (add'l)	06/09/21	J		
77.					Buy (add'l)	09/20/21	J		
78.					Buy (add'l)	11/05/21	J		
79. Schwab US TIPS ETF	C	Dividend	K	T	Buy (add'l)	01/20/21	J		
80.					Buy (add'l)	02/17/21	J		
81.					Buy (add'l)	04/15/21	J		
82.					Buy (add'l)	06/09/21	J		
83.					Buy (add'l)	11/05/21	J		
84. Invesco FTSE RAFI Emerging Mkts ETF	A	Dividend	J	T					
85. Invesco FTSE RAFI Developed Mkts	A	Dividend	J	T					

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86. Invesco FTSE RAFI US 1000 ETF	A	Dividend	J	T					
87. Invesco FTSE RAFI US 1500 Small-mid ETF	A	Dividend	J	T					
88. Vanguard FTSE Developed Mkts ETF	A	Dividend	J	T					
89. IRA #1: Schwab Cash Deposit Account	A	Interest	J	T					
90. IRA #1: Schwab Bank (cash account)	A	Interest	J	T					
91. IRA #1: Baird Core Plus Bd Inv	A	Dividend	J	T					
92. IRA #1: BNY Mellon Small Cap Stock Index Fd	B	Dividend	K	T					
93. IRA #1: Invesco S&P Intl Dev Low Volatility ETF	A	Dividend	K	T					
94. IRA #1: Schwab S&P 500 Index Fd	A	Dividend	J	T					
95. IRA #2: Schwab Bank (cash account)	A	Interest	J	T					
96. IRA #2: Dodge & Cox Income Fd	C	Dividend	L	T					
97. IRA #2: Vanguard 500 Index Fd	C	Dividend	N	T					
98. IRA #2: Ishares Russell 2000	A	Dividend	K	T					
99. IRA #2: Baird Core Plus Bd Inv	C	Dividend	M	T					
100. IRA #2: Schwab US Aggregate Bond ETF	A	Dividend			Distributed	10/18/21	K		
101. IRA #2: Ishares Core S&P ETF	B	Dividend	M	T					
102. IRA #2: SPDR S&P 500 ETF	C	Dividend	M	T					

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103. IRA #2: SPDR S&P Dividend ETF	A	Dividend	K	T					
104. IRA #2: Invesco S&P Intl Dev Low Volatility ETF	B	Dividend	L	T					
105. IRA #2: Schwab Fund Intl Large Co Index	A	Dividend	J	T					
106. SEP-IRA #1: Schwab Cash Deposit Account	A	Interest	J	T					
107. SEP-IRA #1: Schwab Treasury Obligation MMF	A	Dividend	J	T					
108. SEP-IRA #1: Baird Core Plus Bd Inv	A	Dividend	J	T					
109. SEP-IRA #1: Schwab S&P 500 Index Fd	A	Dividend	K	T					
110. SEP-IRA #1: SPDR S&P 500 ETF	A	Dividend	K	T	Buy (add'l)	04/21/21	K		
111. SEP-IRA #1: Schwab US Aggregate Bond ETF	B	Dividend	L	T	Buy (add'l)	04/14/21	K		
112.					Buy (add'l)	04/30/21	J		
113.					Buy (add'l)	05/04/21	K		
114. SEP-IRA #1: Schwab Fund Intl Large Co Index	A	Dividend	J	T					
115. Trust #3: Schwab 529 40% Equity Portfolio		None	L	T	Buy (add'l)	01/19/21	J		
116.					Buy (add'l)	04/21/21	J		
117. Trust #5: Schwab 529 50% Equity Portfolio		None	K	T	Buy (add'l)	01/19/21	J		
118.					Buy (add'l)	01/26/21	J		
119.					Buy (add'l)	02/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
WILKEN, CLAUDIA	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Buy (add'l)	03/26/21	J		
121.					Buy (add'l)	04/27/21	J		
122.					Buy (add'l)	06/03/21	J		
123.					Buy (add'l)	07/06/21	J		
124.					Buy (add'l)	08/03/21	J		
125.					Buy (add'l)	09/03/21	J		
126.					Buy (add'l)	10/05/21	J		
127.					Buy (add'l)	11/03/21	J		
128.					Buy (add'l)	12/03/21	J		
129. Trust #6: Schwab 529 80% Equity Portfolio		None	K	T	Buy (add'l)	01/05/21	J		
130.					Buy (add'l)	01/19/21	J		
131.					Buy (add'l)	01/26/21	J		
132.					Buy (add'l)	02/26/21	J		
133.					Buy (add'l)	03/26/21	J		
134.					Buy (add'l)	04/27/21	J		
135.					Buy (add'l)	05/18/21	J		
136.					Buy (add'l)	06/18/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
WILKEN, CLAUDIA	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137.					Buy (add'l)	07/20/21	J		
138.					Buy (add'l)	08/18/21	J		
139.					Buy (add'l)	09/20/21	J		
140.					Buy (add'l)	10/19/21	J		
141.					Buy (add'l)	11/18/21	J		
142.					Buy (add'l)	12/20/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Investments and Trusts:

Line 28 - Ishares Russell 2000 shares transferred from IRA #2 to non-retirement account on 10/18/21. Value on date of transfer $19,814.08.

Lines 115 and 116 - Omission of the following assets disclosed on previous report due to age-based exchange of Sec. 529 education funds, with no purchase or sale by filer:

Trust #3: Schwab 529 50% Equity Portfolio - 04/06/21. New fund name is Schwab 40% Equity Portfolio.

Name of Person Reporting	Date of Report
WILKEN, CLAUDIA	05/11/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ CLAUDIA WILKEN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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