AO 10
Rev. 1/2011

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Wood, Kimba M.	U.S. District Court, SDNY	02/04/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior)	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	1/1/2020 **to** 12/31/2020
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
500 Pearl Street New York, NY 10007	**Reviewing Officer**_____ **Date**_____	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	New York City Ballet, Inc.
2.	Trustee	The Frank E. Richardson 2011 Insurance Trust Agreement (See Note 4 in Section VIII)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2020*	Morgan Stanley Pension Benefit
2.	2020*	Fee Income (Mgmt Fee Offset) - Blackstone VIII, Gemspring Capital, Tinicum, Vestar Capital
3.		
4.		
5.	*(various)	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Citibank	Line of Credit	P1
2.	Citibank	Credit Card	K
3.	American Express	Credit Card	K
4.			
5.			

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	EXXON CORP COMMON STOCK	C	Dividend	K	T					
2.	INVESTMENT ACCOUNT #1 (FKA US BANK #1) (LINES 3-20) (IA) (H)									
3.	---FIRST AM GOVT OB FUND CL Z (SEE NOTE 14 IN SECT VIII)	A	Dividend	K	T	Buy (add'l)	03/17/20	K		
4.						Sold (part)	03/18/20	K		
5.						Sold (part)	04/09/20	J		
6.						Buy (add'l)	04/09/20	K		
7.						Sold (part)	10/19/20	K		
8.						Buy (add'l)	10/19/20	J		
9.						Buy (add'l)	12/24/20	J		
10.	---ARTISAN GLOBAL VALUE ADVISOR		None			Sold	04/09/20	K		
11.	---ARTISAN INTERNATIONAL VALUE ADVISOR	A	Dividend	L	T					
12.	---BROWN ADV BEUTI GDMAN LRGE CAP INST	C	Dividend	M	T					
13.	---BROWN ADV EMG MKTS INS	A	Dividend	K	T	Sold (part)	10/19/20	J	B	
14.	---BROWN ADV GLOBAL LEADERS	A	Dividend	L	T	Buy (add'l)	04/09/20	J		
15.						Buy (add'l)	10/19/20	J		
16.	---BROWN ADV SMALL CAP FUNDAMENTAL VALUE	A	Dividend	L	T	Buy	03/18/20	K		
17.	---BROWN ADV SMALL CAP GROWTH INV	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ---BROWN ADV SUSTAINABLE GROWTH		None	M	T					
19. ---BROWN ADV TAX EXEMPT BND INS	C	Dividend	M	T	Buy (add'l)	10/19/20	J		
20. ---ISHARES RUSSELL 2000 VALUE ETF		None			Sold	03/17/20	K		
21. INVESTMENT ACCOUNT #2 - FOR IRA #1 (LINES 22-59) (H)									
22. ---FIRST AM GOVT OB MMF (SEE NOTE 14 IN SECT VIII)	A	Dividend	L	T	Buy (add'l)	02/28/20	J		
23.					Buy (add'l)	03/02/20	J		
24.					Buy (add'l)	03/03/20	J		
25.					Buy (add'l)	03/17/20	M		
26.					Sold (part)	03/18/20	M		
27.					Buy (add'l)	03/18/20	J		
28.					Buy (add'l)	03/19/20	J		
29.					Buy (add'l)	04/01/20	K		
30.					Sold (part)	04/07/20	K		
31.					Sold (part)	04/09/20	L		
32.					Buy (add'l)	04/09/20	L		
33.					Sold (part)	10/19/20	M		
34.					Buy (add'l)	10/19/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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35.						Sold (part)	11/18/20	J		
36.						Buy (add'l)	11/18/20	J		
37.						Sold (part)	12/16/20	J		
38.						Buy (add'l)	12/16/20	J		
39.						Buy (add'l)	12/24/20	K		
40.	---ARTISAN GLOBAL VALUE ADVISOR		None			Sold	04/09/20	L		
41.	---ARTISAN INTERNATIONAL VALUE ADVISOR	C	Dividend	N	T	Buy (add'l)	11/18/20	J		
42.	---BROWN ADV BEUTI GDMAN LRG CAP INST	E	Dividend	O	T	Buy (add'l)	10/19/20	L		
43.	---BROWN ADV EMG MKTS INS	B	Dividend	N	T					
44.	---BROWN ADV GLOBAL LEADERS INST	A	Dividend	N	T	Buy (add'l)	04/09/20	L		
45.	---BROWN ADV SM CAP FUNDAMENTAL VALUE	B	Dividend	N	T	Buy	03/18/20	M		
46.	---BROWN ADV SMALL CAP GROWTH INV	B	Dividend	N	T	Buy (add'l)	12/16/20	J		
47.	---BROWN ADV STRATEGIC BOND FUND	A	Dividend			Sold (part)	02/28/20	J	A	
48.						Sold (part)	03/02/20	J	A	
49.						Sold (part)	03/03/20	J	A	
50.						Sold (part)	03/17/20	J		
51.						Sold (part)	03/18/20	J		

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52.						Sold	03/19/20	J		
53.	---BROWN ADV SUSTAINABLE GROWTH		None	O	T	Sold (part)	10/19/20	J	B	
54.						Sold (part)	10/19/20	L	E	
55.	---BROWN ADV TOTAL RETURN INS	D	Dividend	N	T	Buy (add'l)	04/07/20	K		
56.						Buy (add'l)	12/16/20	J		
57.	---ISHARES RUSSELL 2000 VALUE ETF		None			Sold	03/17/20	M		
58.	---ISHARES TIPS BOND ETF	A	Dividend			Sold	04/01/20	K	A	
59.	---VANGUARD SHORT TERM BOND INDEX	A	Dividend	L	T	Buy	10/19/20	L		
60.	MERRILL LYNCH BANK DEPOSIT MM/ CASH (ML EDGE)	A	Interest	L	T					
61.	INVESTMENT ACCOUNT #3 - (LINES 62-102) (12-MAIN) (H)									
62.	---FIRST AMERICAN GOVT OBLIG MMF/CASH (NOTE 14 SEC VIII)	A	Int./Div.	P1	T	Buy (add'l)	01/07/20	J		
63.						Buy (add'l)	01/15/20	J		
64.						Sold (part)	01/30/20	J		
65.						Buy (add'l)	02/04/20	J		
66.						Buy (add'l)	02/18/20	J		
67.						Buy (add'l)	03/04/20	J		
68.						Buy (add'l)	03/16/20	J		

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69.					Buy (add'l)	04/02/20	J		
70.					Buy (add'l)	04/15/20	J		
71.					Sold (part)	04/30/20	K		
72.					Buy (add'l)	05/04/20	J		
73.					Buy (add'l)	05/12/20	P1		
74.					Sold (part)	05/19/20	P1		
75.					Buy (add'l)	07/06/20	O		
76.					Sold (part)	07/13/20	O		
77.					Sold (part)	07/30/20	J		
78.					Buy (add'l)	08/18/20	P1		
79.					Sold (part)	09/03/20	P1		
80.					Buy (add'l)	10/01/20	J		
81.					Sold (part)	10/30/20	J		
82.					Buy (add'l)	11/03/20	J		
83.					Buy (add'l)	11/17/20	P2		
84.					Sold (part)	11/18/20	P1		
85.					Buy (add'l)	11/23/20	P1		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86.					Sold (part)	11/23/20	P1		
87.					Sold (part)	11/30/20	P1		
88.					Buy (add'l)	12/01/20	J		
89.					Buy (add'l)	12/04/20	N		
90.					Buy (add'l)	12/08/20	P2		
91.					Sold (part)	12/09/20	P1		
92.					Buy (add'l)	12/24/20	J		
93.					Buy (add'l)	12/30/20	L		
94.					Sold (part)	12/30/20	K		
95.	---NYC NY ADJ FISCAL BOND	D	Interest			Sold	05/12/20	O		
96.	---NYC NY MUNI WATER BOND	D	Interest			Sold	07/06/20	O		
97.	---NYC NY SUBSER BOND	D	Interest	O	T					
98.	---NY NY ST HSG FN AGY BOND	D	Interest			Sold	05/12/20	O		
99.	---BROWN ADVISORY GLOBAL LEADERS FUND	C	Dividend	P1	T	Buy	12/09/20	P1		
100.	---EGERTON INVESTMENT PARTNERS (SEE NOTE 1 IN SECT VIII)	C	Distribution	P1	T	Buy	12/01/20	P1		
101.	---VANGUARD DIVIDEND GROWTH FUND	F	Dividend	P1	T	Buy	11/18/20	P1		
102.						Buy (add'l)	12/09/20	O		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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103. ---VANGUARD SHORT TERM TAX EXEMPT FUND	C	Dividend	P1	T	Buy	09/03/20	P1		
104. INVESTMENT ACCOUNT #4 (MUNI) (LINES 104-212) (H)									
105. ---FIRST AMERICAN GOVT OBLIG MMF/CSH (NOTE 14 IN SECT VIII)	B	Dividend	N	T	Buy (add'l)	01/01/20	K		
106.					Buy (add'l)	01/23/20	L		
107.					Sold (part)	01/30/20	M		
108.					Buy (add'l)	02/01/20	K		
109.					Buy (add'l)	02/03/20	J		
110.					Buy (add'l)	02/12/20	M		
111.					Buy (add'l)	02/15/20	J		
112.					Buy (add'l)	03/01/20	J		
113.					Buy (add'l)	03/02/20	J		
114.					Buy (add'l)	03/15/20	J		
115.					Buy (add'l)	03/27/20	J		
116.					Buy (add'l)	04/01/20	K		
117.					Buy (add'l)	04/14/20	N		
118.					Sold (part)	04/15/20	N		
119.					Sold (part)	04/30/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	05/01/20	K		
121.					Buy (add'l)	05/15/20	K		
122.					Buy (add'l)	06/01/20	L		
123.					Sold (part)	06/04/20	N		
124.					Buy (add'l)	06/15/20	J		
125.					Buy (add'l)	06/30/20	J		
126.					Buy (add'l)	07/01/20	K		
127.					Sold (part)	07/30/20	M		
128.					Buy (add'l)	08/01/20	K		
129.					Buy (add'l)	08/15/20	J		
130.					Buy (add'l)	09/01/20	J		
131.					Buy (add'l)	09/15/20	J		
132.					Buy (add'l)	10/01/20	K		
133.					Sold (part)	10/23/20	M		
134.					Buy (add'l)	10/26/20	M		
135.					Sold (part)	10/28/20	N		
136.					Buy (add'l)	10/29/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	10/30/20	L		
138.					Buy (add'l)	11/01/20	M		
139.					Buy (add'l)	11/13/20	K		
140.					Buy (add'l)	11/15/20	N		
141.					Sold (part)	11/19/20	N		
142.					Buy (add'l)	12/01/20	K		
143.					Buy (add'l)	12/15/20	N		
144.					Sold (part)	12/28/20	N		
145.					Buy (add'l)	12/30/20	J		
146. ---ALLEN CNTY OH HOSPITAL BOND DUE 5/1/26	C	Interest	L	T					
147. ---BALTIMORE COUNTY MD BOND DUE 3/1/31	C	Interest	L	T					
148. ---BLACK BELT ENERGY GAS DIST AL DUE 12/1/48	D	Interest	N	T					
149. ---CHANDLER AZ INDL DEV BOND DUE 12/1/37	C	Interest	M	T					
150. ---CHARLOTTE NC AIRPORT 5% DUE 7/1/49		None	N	T	Buy	10/28/20	N		
151. ---CHICAGO IL O'HARE INTL AIRPORT 5% DUE 1/1/31	C	Interest	N	T	Buy	04/15/20	N		
152. ---CLARK COUNTY NV PARKS IMPRV BOND DUE 12/1/33	D	Interest	N	T					
153. ---CLARK COUNTY NV SCH BOND DUE 6/15/32	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ---COMMONWEALTH FINANCING AUTHORITY PA DUE 6/1/23	D	Interest	M	T					
155. ---CO STATE HEALTH FACS AUTH DUE 2/1/31	D	Interest			Sold	02/12/20	M	C	
156. ---DENVER CO CONVENTION CENTER HOTEL 12/1/34	E	Interest			Sold	12/15/20	N	E	
157. EDINBURG TX CONSOL BOND DUE 2/15/31	C	Interest	M	T					
158. ---GOLDEN ST TABACCO SECURITIZATION BOND DUE 6/1/30	B	Interest	L	T					
159. ---HARRIS CNTY HOUSTON TX BOND DUE 11/15/2020	D	Interest			Matured	11/15/20	M		
160. ---HEALTHCARE AUTH BAPTIST HEALTH AL DUE 11/15/37	C	Interest	M	T	Sold (part)	11/13/20	K	A	
161. ---HIDALGO CO. TX GO DUE 8/15/35	D	Interest	N	T					
162. ---HOUSTON CO. AL HEALTH CARE AUTH-SE AL MED CENTER 10/1/30	D	Interest	M	T					
163. ---HOUSTON TX ARPT SYS BOND DUE 7/1/29	C	Interest	M	T					
164. ---ILLINOIS ST FIN AUTH BOND DUE 11/1/30	C	Interest	L	T					
165. ---INDIANA ST FIN AUTH 5/1/24 BOND	C	Interest	L	T					
166. ---INDIANA ST FIN AUTH 5/1/24 BOND	A	Interest	J	T					
167. ---IN FINANCE AUTH WASTEWATER UTILITY DUE 10/1/31	D	Interest	M	T					
168. ---JACKSONVILLE FL CAPITAL IMPT BOND DUE 10/1/25	C	Interest	L	T					
169. ---KENTUCKY ST ASSET LIAB COMM GEN FUND BOND DUE 11/1/21	C	Interest	M	T	Sold (part)	11/01/20	L	A	
170. ---LOUISIANA PUB FACS AUTH REV BOND DUE 12/15/31	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ---MD ST COMMUNITY DEV ADMIN DEPT HSG&COMM DEV DUE 9/1/31	C	Interest	M	T					
172. ---MARYLAND ST HEALTH - 7/1/24 BOND	C	Interest	L	T					
173. ---MARYLAND ST HEALTH -7/1/30 BOND	C	Interest	L	T					
174. ---MET ATLANTA GA RAPID TRNSIT AUTH SALES TAX DUE 7/1/41	D	Interest	N	T					
175. ---MET TRANSPORTATION NY - 11/15/20 BOND	D	Interest			Matured	11/15/20	M		
176. ---MET TRANSPORTATION NY - 11/15/33 BOND	D	Interest	N	T					
177. ---MET WASHGTN DC AIRPORTS AUTH AIRPORT SYSTEMS DUE 10/1/39	D	Interest	N	T					
178. ---MIAMI DADE CNTY FL AVIATION REV (10/1/2030) BOND	C	Interest			Sold	04/14/20	M		
179. ---MIAMI DADE CNTY FL AVIATION REV (10/1/2020) BOND	D	Interest			Sold	04/14/20	N		
180. ---MICHIGAN ST BOND DUE 5/1/28	C	Interest	M	T					
181. ---MODESTO CA IRR DIST FING AUTH DUE 9/1/27	C	Interest	N	T					
182. ---NJ STATE GO 5% DUE 6/1/29		None	N	T	Buy	11/19/20	N		
183. ---NEWPORT NEWS VA ECONOMIC DEVELOP AUTH DUE 12/1/38	D	Interest	M	T					
184. ---NEW YORK NY GO 5% DUE 8/1/23	B	Interest	N	T	Buy	06/04/20	N		
185. ---NEW YORK NY GO DUE 8/1/25	D	Interest	N	T					
186. ---NEW YORK CITY NY TRANSITIONAL FIN 5/1/2022 BOND	D	Interest			Sold	10/26/20	M	C	
187. ---NEW YORK STATE DORM AUTH BOND DUE 10/1/21	C	Interest			Sold	10/26/20	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ---NY STATE DORM AUTH MEM SLOAN KETT CANCR CTR DUE 7/1/36	D	Interest	M	T					
189. ---NEW YORK STATE DORM AUTH PERSONAL INCOME TAX DUE 3/15/26	E	Interest			Sold	10/29/20	N	D	
190. ---NEW YORK ST THRUWAY BOND DUE 1/1/22	C	Interest	L	T					
191. ---NEW YORK ST URBAN BOND DUE 3/15/27	D	Interest	M	T					
192. ---NEW YORK STATE URBAN DEVELOPMENT CORP DUE 3/15/32		None	N	T	Buy	12/28/20	N		
193. ---NORTH CAROLINA ST BOND DUE 11/1/30	C	Interest			Sold	11/01/20	L		
194. ---PALM BEACH CO FL HEALTH FACS AUTH DUE 11/15/32	D	Interest	M	T					
195. ---PENNSYLVANIA ST TURNPIKE COMM BOND DUE 12/1/28	C	Interest	L	T					
196. ---PHILADELPHIA PA REF SER A BOND DUE 8/1/26	C	Interest	L	T					
197. ---POMPANO BEACH FL 3.5% DUE 9/1/35		None	M	T	Buy	10/23/20	M		
198. ---PORT AUTH OF NY & NJ NY AMT DUE 11/1/37	D	Interest	N	T					
199. ---PORT OF CORPUS CHRISTI TX AUTH BOND 12/1/31	C	Interest	M	T					
200. ---RIO HONDO CA COMMUNITY COLLEGE DIST GO DUE 8/1/31		None	M	T					
201. ---SEATTLE WA MUNI LIGHT PWR REV BOND DUE 1/1/30	C	Interest	M	T					
202. ---SOUTH DAKOTA ST EDU BOND DUE 6/1/25	C	Interest	L	T					
203. ---SPRINGFIELD IL ELECTRIC DUE 3/1/26	D	Interest	M	T					
204. ---SYRACUSE NY INDL DEV AGY CIVIC FAC BOND DUE 12/1/29	A	Interest			Sold	01/23/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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205. ---TOBACCO SETTLEMENT NJ FINANCING CORP DUE 6/1/31	D	Interest	M	T					
206. ---TOBACCO SETTLMENT AUTH WA BOND DUE 6/1/22	C	Interest	L	T					
207. ---TOBACCO SETTLEMENT FINANCING CORP LA BOND 5/15/21	C	Interest	L	T					
208. ---UNIVERSITY OF TOLEDO OH DUE 6/1/36	C	Interest	N	T					
209. ---WASHINGTON ST CONVENTION CENTER BOND DUE 7/1/32	D	Interest			Sold	12/15/20	M	D	
210. ---WAXAHACHIE TX INDEP SCH DIST REF BOND DUE 8/15/29	C	Interest	L	T					
211. ---WINNEBAGO CNTY IL REF SER C BOND DUE 12/30/26	C	Interest	M	T					
212. ---YAKIMA & KITTITAS CNTYS WA GO DUE 12/1/29	D	Interest	N	T					
213. ---YORKTOWN NY CENTRAL SCH DISTR BOND DUE 10/1/21	C	Interest	L	T					
214. INVEST ACCT #5 (EC) (LINES 214-428) (H)									
215. ---FIRST AMERICAN GOVT OBLGTNS-MMF/CASH (NOTE 14 SECT VIII)	A	Dividend	J	T	Buy (add'l)	01/17/20	K		
216.					Sold (part)	01/27/20	K		
217.					Sold (part)	01/28/20	K		
218.					Buy (add'l)	01/29/20	J		
219.					Sold (part)	01/31/20	J		
220.					Sold (part)	02/07/20	K		
221.					Buy (add'l)	02/11/20	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	02/12/20	K		
223.					Sold (part)	02/13/20	K		
224.					Sold (part)	02/21/20	K		
225.					Sold (part)	02/25/20	K		
226.					Buy (add'l)	02/28/20	K		
227.					Sold (part)	02/28/20	L		
228.					Buy (add'l)	03/01/20	J		
229.					Buy (add'l)	03/02/20	K		
230.					Sold (part)	03/02/20	K		
231.					Buy (add'l)	03/03/20	K		
232.					Sold (part)	03/05/20	K		
233.					Sold (part)	03/06/20	K		
234.					Buy (add'l)	03/12/20	M		
235.					Sold (part)	03/12/20	M		
236.					Buy (add'l)	03/13/20	L		
237.					Sold (part)	03/13/20	L		
238.					Sold (part)	03/16/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold (part)	03/17/20	J		
240.					Buy (add'l)	03/18/20	K		
241.					Sold (part)	03/18/20	K		
242.					Buy (add'l)	03/19/20	J		
243.					Buy (add'l)	03/20/20	L		
244.					Sold (part)	03/20/20	K		
245.					Buy (add'l)	03/23/20	J		
246.					Sold (part)	03/23/20	K		
247.					Buy (add'l)	03/27/20	K		
248.					Buy (add'l)	03/30/20	J		
249.					Buy (add'l)	03/31/20	J		
250.					Sold (part)	03/31/20	K		
251.					Sold (part)	04/01/20	J		
252.					Buy (add'l)	04/07/20	K		
253.					Buy (add'l)	04/08/20	K		
254.					Buy (add'l)	04/09/20	K		
255.					Buy (add'l)	04/13/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	04/15/20	K		
257.					Buy (add'l)	04/22/20	J		
258.					Sold (part)	04/24/20	K		
259.					Buy (add'l)	05/11/20	K		
260.					Buy (add'l)	05/15/20	K		
261.					Buy (add'l)	05/18/20	K		
262.					Buy (add'l)	05/22/20	J		
263.					Sold (part)	05/22/20	K		
264.					Buy (add'l)	05/27/20	K		
265.					Buy (add'l)	06/01/20	J		
266.					Sold (part)	06/04/20	L		
267.					Buy (add'l)	06/11/20	J		
268.					Buy (add'l)	06/15/20	J		
269.					Sold (part)	06/18/20	K		
270.					Sold (part)	06/19/20	K		
271.					Sold (part)	06/22/20	K		
272.					Buy (add'l)	06/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	07/17/20	K		
274.					Sold (part)	07/20/20	K		
275.					Buy (add'l)	07/22/20	J		
276.					Buy (add'l)	07/23/20	M		
277.					Sold (part)	07/23/20	L		
278.					Sold (part)	07/24/20	K		
279.					Sold (part)	07/27/20	K		
280.					Sold (part)	07/28/20	K		
281.					Sold (part)	08/05/20	K		
282.					Buy (add'l)	08/06/20	K		
283.					Sold (part)	08/12/20	K		
284.					Buy (add'l)	08/14/20	L		
285.					Sold (part)	08/17/20	K		
286.					Buy (add'l)	08/18/20	K		
287.					Buy (add'l)	08/19/20	K		
288.					Sold (part)	08/19/20	K		
289.					Buy (add'l)	08/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	09/03/20	K		
291.					Buy (add'l)	09/04/20	J		
292.					Buy (add'l)	09/08/20	J		
293.					Sold (part)	09/08/20	J		
294.					Buy (add'l)	09/10/20	J		
295.					Sold (part)	09/22/20	K		
296.					Buy (add'l)	09/29/20	J		
297.					Buy (add'l)	10/28/20	J		
298.					Buy (add'l)	11/06/20	K		
299.					Buy (add'l)	11/13/20	P2		
300.					Sold (part)	11/17/20	P2		
301.					Buy (add'l)	11/25/20	J		
302.					Sold (part)	12/04/20	N		
303.	COMMON STOCKS (EC)(LINES 303-428) (H)								
304.	---AERCAP HOLDINGS (FORGN STOCK)		None		Sold	11/13/20	L		
305.	---ALPHABET, INC		None		Sold (part)	03/12/20	K	A	
306.					Sold (part)	07/23/20	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	11/13/20	O	G	
308. ---AMAZON.COM INC		None			Sold (part)	07/23/20	L	E	
309.					Sold (part)	08/06/20	K	D	
310.					Sold (part)	08/19/20	K	E	
311.					Sold (part)	09/03/20	K	D	
312.					Sold (part)	09/04/20	J	D	
313.					Sold	11/13/20	N	G	
314. ---ANTHEM, INC	A	Dividend			Buy	02/07/20	K		
315.					Buy (add'l)	02/25/20	K		
316.					Buy (add'l)	06/18/20	K		
317.					Buy (add'l)	06/22/20	K		
318.					Buy (add'l)	07/27/20	K		
319.					Sold	11/13/20	M	E	
320. ---AON PLC (FORGN STOCK)	B	Dividend			Buy (add'l)	03/12/20	K		
321.					Buy (add'l)	03/20/20	K		
322.					Buy (add'l)	03/23/20	K		
323.					Buy (add'l)	03/31/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	04/01/20	J		
325.					Buy (add'l)	07/23/20	K		
326.					Buy (add'l)	08/19/20	K		
327.					Buy (add'l)	09/08/20	J		
328.					Sold	11/13/20	N	E	
329. ---BERKSHIRE HATHAWAY INC		None			Buy (add'l)	01/27/20	K		
330.					Buy (add'l)	01/28/20	K		
331.					Buy (add'l)	01/31/20	J		
332.					Sold (part)	03/12/20	K		
333.					Sold (part)	03/13/20	K		
334.					Sold (part)	04/09/20	K		
335.					Sold (part)	04/13/20	K		
336.					Sold (part)	08/14/20	K	A	
337.					Sold	11/13/20	N	E	
338. ---CAPITAL ONE FINANCIAL CORP	A	Dividend			Buy	08/17/20	K		
339.					Buy (add'l)	09/22/20	K		
340.					Sold	11/13/20	L	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. ---CITIGROUP, INC	D	Dividend			Sold (part)	04/07/20	K		
342.					Sold (part)	04/08/20	K		
343.					Buy (add'l)	06/04/20	K		
344.					Buy (add'l)	07/24/20	K		
345.					Sold	11/13/20	M		
346. ---COMCAST CORP	D	Dividend			Buy (add'l)	02/28/20	K		
347.					Buy (add'l)	03/02/20	K		
348.					Buy (add'l)	03/05/20	K		
349.					Buy (add'l)	04/24/20	K		
350.					Sold	11/13/20	N	F	
351. ---DELTA AIR LINES, INC	A	Dividend			Buy	02/13/20	K		
352.					Buy (add'l)	02/21/20	K		
353.					Sold (part)	03/12/20	K		
354.					Sold	03/20/20	J		
355. ---DISH NETWORK CORP		None			Sold (part)	01/17/20	K		
356.					Buy (add'l)	08/05/20	K		
357.					Buy (add'l)	08/12/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	11/13/20	M		
359. ---WALT DISNEY CO		None			Buy	03/12/20	K		
360.					Buy (add'l)	03/13/20	K		
361.					Buy (add'l)	03/20/20	K		
362.					Sold	11/13/20	M	F	
363. ---FACEBOOK, INC		None			Sold	11/13/20	N	G	
364. ---FISERV, INC		None			Sold (part)	03/02/20	K	D	
365.					Sold (part)	03/13/20	K	C	
366.					Sold	04/09/20	K	C	
367. ---GCI LIBERTY, INC		None			Sold (part)	03/03/20	K	D	
368.					Sold	11/13/20	M	F	
369. ---GENERAL ELECTRIC CO	B	Dividend			Buy (add'l)	06/19/20	K		
370.					Sold	11/13/20	M	A	
371. ---GENERAL MOTORS CO	B	Dividend			Sold	11/13/20	M	D	
372. ---GOLDMAN SACHS GROUP, INC	C	Dividend			Sold (part)	04/13/20	K		
373.					Sold	11/13/20	N	E	
374. ---HEXCEL CORP		None			Buy	03/06/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold	11/13/20	K		
376. ---HILTON, INC	A	Dividend			Buy (add'l)	03/12/20	J		
377.					Buy (add'l)	03/18/20	K		
378.					Sold	11/13/20	M	F	
379. ---LIBERTY BROADBAND		None			Sold	11/13/20	M	F	
380. ---LIBERTY GLOBAL PLC		None			Sold (part)	03/27/20	K		
381.					Sold (part)	04/15/20	K		
382.					Sold (part)	05/15/20	K		
383.					Sold (part)	05/27/20	K		
384.					Sold (part)	08/14/20	K		
385.					Sold	08/18/20	K		
386. ---LIBERTY TRIPADVISOR HOLDINGS, INC		None			Sold	11/13/20	J		
387. ---LYONDELLBASELL INDUSTRIES	B	Dividend			Buy	03/13/20	K		
388.					Buy (add'l)	03/16/20	K		
389.					Sold	11/13/20	L	E	
390. ---MARRIOTT INTERNATIONAL, INC	A	Dividend			Buy (add'l)	03/12/20	K		
391.					Buy (add'l)	03/16/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	03/17/20	J		
393.					Buy (add'l)	03/23/20	K		
394.					Sold	11/13/20	N	E	
395. ---MICROSOFT CORP	C	Dividend			Sold (part)	02/28/20	K	D	
396.					Sold (part)	03/12/20	K	D	
397.					Sold (part)	03/13/20	K	D	
398.					Sold (part)	07/23/20	K	D	
399.					Sold (part)	08/06/20	J	D	
400.					Sold	11/13/20	O	G	
401. ---MOHAWK INDUSTRIES, INC		None			Sold (part)	02/11/20	K		
402.					Sold (part)	02/12/20	K		
403.					Sold (part)	03/12/20	K		
404.					Sold	05/18/20	J		
405. ---MORGAN STANLEY	C	Dividend			Sold	11/13/20	M	E	
406. ---NETFLIX, INC		None			Sold	11/13/20	M	F	
407. ---ORACLE CORP	A	Dividend			Sold (part)	02/28/20	K		
408.					Sold (part)	03/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	03/20/20	K		
410.					Sold (part)	03/23/20	J		
411.					Sold (part)	05/11/20	K	A	
412.					Sold	05/18/20	K	A	
413. ---RAYTHEON TECHNOLOGIES CORP	A	Dividend			Buy	05/22/20	K		
414.					Sold	11/13/20	K	C	
415. ---TRIPADVISOR, INC		None			Sold	11/06/20	K		
416. ---UNITED AIRLINES HOLDINGS, INC		None			Buy	02/28/20	J		
417.					Buy (add'l)	03/02/20	J		
418.					Sold	03/19/20	J		
419. ---UNITEDHEALTH GROUP, INC	B	Dividend			Sold	11/13/20	M	F	
420. ---WABTEC CORP	A	Dividend			Sold	11/13/20	L	B	
421. ---WELLS FARGO & CO	D	Dividend			Sold (part)	04/08/20	J		
422.					Buy (add'l)	06/04/20	K		
423.					Buy (add'l)	07/17/20	K		
424.					Buy (add'l)	07/20/20	K		
425.					Buy (add'l)	07/23/20	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.						Buy (add'l)	07/28/20	K		
427.						Sold	11/13/20	M		
428.	---WOODWARD, INC	A	Dividend			Buy	02/28/20	K		
429.						Sold	11/13/20	K	A	
430.	INV ACCT #6 (SCG) (LINES 430-1217) (H)									
431.	---FIRST AMERICN GOVT OBLGTNS (MMF)/CSH (NOTE 14 SEC VIII)	A	Dividend	M	T	Sold (part)	01/02/20	J		
432.						Buy (add'l)	01/13/20	J		
433.						Buy (add'l)	01/14/20	J		
434.						Buy (add'l)	01/15/20	J		
435.						Buy (add'l)	01/16/20	J		
436.						Sold (part)	01/30/20	J		
437.						Buy (add'l)	01/30/20	J		
438.						Sold (part)	01/31/20	J		
439.						Buy (add'l)	02/03/20	J		
440.						Buy (add'l)	02/04/20	J		
441.						Sold (part)	02/04/20	J		
442.						Sold (part)	02/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold (part)	02/07/20	J		
444.					Sold (part)	02/10/20	J		
445.					Buy (add'l)	02/10/20	J		
446.					Buy (add'l)	02/11/20	J		
447.					Sold (part)	02/11/20	J		
448.					Buy (add'l)	02/12/20	J		
449.					Sold (part)	02/12/20	J		
450.					Buy (add'l)	02/13/20	K		
451.					Sold (part)	02/13/20	J		
452.					Buy (add'l)	02/18/20	J		
453.					Sold (part)	02/18/20	J		
454.					Buy (add'l)	02/19/20	J		
455.					Sold (part)	02/21/20	J		
456.					Buy (add'l)	02/24/20	J		
457.					Sold (part)	02/24/20	J		
458.					Sold (part)	02/25/20	J		
459.					Sold (part)	03/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460.					Sold (part)	03/03/20	J		
461.					Sold (part)	03/04/20	J		
462.					Sold (part)	03/05/20	J		
463.					Sold (part)	03/06/20	J		
464.					Sold (part)	03/09/20	J		
465.					Buy (add'l)	03/10/20	J		
466.					Sold (part)	03/10/20	J		
467.					Buy (add'l)	03/11/20	J		
468.					Sold (part)	03/11/20	J		
469.					Buy (add'l)	03/12/20	J		
470.					Sold (part)	03/12/20	J		
471.					Buy (add'l)	03/13/20	J		
472.					Sold (part)	03/13/20	J		
473.					Buy (add'l)	03/16/20	K		
474.					Sold (part)	03/16/20	J		
475.					Buy (add'l)	03/17/20	J		
476.					Sold (part)	03/17/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477.					Buy (add'l)	03/18/20	J		
478.					Buy (add'l)	03/19/20	J		
479.					Sold (part)	03/19/20	J		
480.					Buy (add'l)	03/20/20	J		
481.					Sold (part)	03/20/20	K		
482.					Buy (add'l)	03/23/20	J		
483.					Sold (part)	03/23/20	J		
484.					Buy (add'l)	03/24/20	J		
485.					Sold (part)	03/24/20	J		
486.					Buy (add'l)	03/25/20	J		
487.					Sold (part)	03/25/20	J		
488.					Buy (add'l)	03/26/20	J		
489.					Sold (part)	03/26/20	J		
490.					Buy (add'l)	03/27/20	K		
491.					Sold (part)	03/27/20	J		
492.					Buy (add'l)	03/30/20	J		
493.					Sold (part)	03/30/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold (part)	03/31/20	J		
495.					Buy (add'l)	04/01/20	J		
496.					Buy (add'l)	04/02/20	J		
497.					Sold (part)	04/02/20	J		
498.					Buy (add'l)	04/03/20	J		
499.					Buy (add'l)	04/07/20	K		
500.					Buy (add'l)	04/08/20	J		
501.					Buy (add'l)	04/09/20	J		
502.					Buy (add'l)	04/13/20	J		
503.					Sold (part)	04/13/20	J		
504.					Sold (part)	04/17/20	J		
505.					Buy (add'l)	04/20/20	J		
506.					Sold (part)	04/20/20	J		
507.					Sold (part)	04/23/20	J		
508.					Sold (part)	04/24/20	J		
509.					Sold (part)	04/29/20	J		
510.					Sold (part)	04/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
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W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	05/01/20	J		
512.					Sold (part)	05/01/20	J		
513.					Buy (add'l)	05/04/20	J		
514.					Sold (part)	05/04/20	J		
515.					Sold (part)	05/05/20	J		
516.					Buy (add'l)	05/06/20	K		
517.					Sold (part)	05/06/20	J		
518.					Sold (part)	05/07/20	J		
519.					Sold (part)	05/12/20	J		
520.					Sold (part)	05/13/20	J		
521.					Sold (part)	05/20/20	J		
522.					Sold (part)	05/21/20	J		
523.					Sold (part)	05/22/20	J		
524.					Buy (add'l)	05/26/20	J		
525.					Sold (part)	05/26/20	J		
526.					Sold (part)	05/27/20	J		
527.					Buy (add'l)	05/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Buy (add'l)	06/03/20	J		
529.					Sold (part)	06/04/20	J		
530.					Buy (add'l)	06/08/20	J		
531.					Buy (add'l)	06/09/20	J		
532.					Buy (add'l)	06/10/20	J		
533.					Sold (part)	06/11/20	J		
534.					Sold (part)	06/12/20	J		
535.					Sold (part)	06/26/20	J		
536.					Buy (add'l)	06/29/20	K		
537.					Sold (part)	06/29/20	J		
538.					Sold (part)	06/30/20	J		
539.					Sold (part)	07/02/20	J		
540.					Buy (add'l)	07/07/20	J		
541.					Buy (add'l)	07/09/20	J		
542.					Buy (add'l)	07/10/20	J		
543.					Sold (part)	07/10/20	J		
544.					Buy (add'l)	07/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold (part)	07/15/20	K		
546.					Buy (add'l)	07/16/20	J		
547.					Sold (part)	07/16/20	J		
548.					Sold (part)	07/17/20	J		
549.					Sold (part)	07/20/20	J		
550.					Buy (add'l)	07/21/20	J		
551.					Sold (part)	07/21/20	J		
552.					Buy (add'l)	07/22/20	J		
553.					Sold (part)	07/22/20	J		
554.					Buy (add'l)	07/23/20	J		
555.					Sold (part)	07/23/20	J		
556.					Sold (part)	07/24/20	J		
557.					Buy (add'l)	07/27/20	J		
558.					Sold (part)	07/28/20	J		
559.					Sold (part)	07/30/20	J		
560.					Sold (part)	07/31/20	J		
561.					Buy (add'l)	08/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	08/03/20	J		
563.					Buy (add'l)	08/04/20	J		
564.					Buy (add'l)	08/05/20	J		
565.					Sold (part)	08/05/20	J		
566.					Sold (part)	08/06/20	J		
567.					Buy (add'l)	08/10/20	J		
568.					Sold (part)	08/10/20	J		
569.					Buy (add'l)	08/11/20	K		
570.					Sold (part)	08/11/20	J		
571.					Buy (add'l)	08/12/20	J		
572.					Sold (part)	08/12/20	J		
573.					Buy (add'l)	08/13/20	J		
574.					Sold (part)	08/13/20	J		
575.					Buy (add'l)	08/14/20	J		
576.					Sold (part)	08/14/20	J		
577.					Sold (part)	08/17/20	J		
578.					Sold (part)	08/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	08/19/20	J		
580.					Sold (part)	08/19/20	J		
581.					Buy (add'l)	08/20/20	J		
582.					Sold (part)	08/20/20	J		
583.					Sold (part)	08/21/20	J		
584.					Sold (part)	08/25/20	J		
585.					Sold (part)	08/26/20	J		
586.					Sold (part)	08/27/20	J		
587.					Sold (part)	08/28/20	J		
588.					Sold (part)	09/01/20	J		
589.					Sold (part)	09/02/20	J		
590.					Sold (part)	09/03/20	J		
591.					Sold (part)	09/04/20	J		
592.					Sold (part)	09/08/20	J		
593.					Sold (part)	09/11/20	J		
594.					Sold (part)	09/14/20	J		
595.					Sold (part)	09/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Sold (part)	09/16/20	J		
597.					Sold (part)	09/17/20	J		
598.					Buy (add'l)	09/18/20	J		
599.					Sold (part)	09/18/20	J		
600.					Buy (add'l)	09/23/20	J		
601.					Sold (part)	09/23/20	J		
602.					Sold (part)	09/28/20	J		
603.					Buy (add'l)	10/05/20	J		
604.					Sold (part)	10/05/20	J		
605.					Buy (add'l)	10/06/20	J		
606.					Sold (part)	10/06/20	J		
607.					Buy (add'l)	10/07/20	J		
608.					Buy (add'l)	10/08/20	J		
609.					Sold (part)	10/08/20	J		
610.					Buy (add'l)	10/09/20	J		
611.					Sold (part)	10/09/20	J		
612.					Sold (part)	10/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Sold (part)	10/13/20	J		
614.					Sold (part)	10/14/20	J		
615.					Buy (add'l)	10/14/20	J		
616.					Buy (add'l)	10/15/20	J		
617.					Sold (part)	10/15/20	J		
618.					Buy (add'l)	10/16/20	K		
619.					Sold (part)	10/16/20	J		
620.					Buy (add'l)	10/19/20	J		
621.					Sold (part)	10/19/20	J		
622.					Buy (add'l)	10/20/20	J		
623.					Sold (part)	10/20/20	J		
624.					Buy (add'l)	10/21/20	J		
625.					Sold (part)	10/21/20	J		
626.					Buy (add'l)	10/22/20	J		
627.					Sold (part)	10/22/20	K		
628.					Buy (add'l)	10/23/20	J		
629.					Sold (part)	10/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	10/26/20	J		
631.					Buy (add'l)	10/27/20	J		
632.					Buy (add'l)	10/28/20	J		
633.					Sold (part)	10/28/20	J		
634.					Sold (part)	10/30/20	J		
635.					Sold (part)	11/03/20	K		
636.					Sold (part)	11/04/20	J		
637.					Sold (part)	11/05/20	J		
638.					Buy (add'l)	11/09/20	K		
639.					Sold (part)	11/09/20	K		
640.					Buy (add'l)	11/10/20	J		
641.					Sold (part)	11/10/20	J		
642.					Buy (add'l)	11/11/20	J		
643.					Sold (part)	11/11/20	J		
644.					Buy (add'l)	11/12/20	J		
645.					Sold (part)	11/12/20	J		
646.					Buy (add'l)	11/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	11/16/20	J		
648.					Buy (add'l)	11/17/20	J		
649.					Sold (part)	11/17/20	J		
650.					Buy (add'l)	11/18/20	J		
651.					Sold (part)	11/18/20	J		
652.					Buy (add'l)	11/19/20	J		
653.					Sold (part)	11/19/20	J		
654.					Buy (add'l)	11/20/20	J		
655.					Buy (add'l)	11/24/20	J		
656.					Sold (part)	11/24/20	J		
657.					Sold (part)	11/25/20	J		
658.					Buy (add'l)	11/30/20	J		
659.					Sold (part)	11/30/20	J		
660.					Buy (add'l)	12/01/20	J		
661.					Sold (part)	12/01/20	J		
662.					Sold (part)	12/02/20	J		
663.					Buy (add'l)	12/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Sold (part)	12/03/20	J		
665.					Buy (add'l)	12/08/20	J		
666.					Buy (add'l)	12/09/20	J		
667.					Buy (add'l)	12/10/20	J		
668.					Buy (add'l)	12/11/20	J		
669.					Sold (part)	12/11/20	J		
670.					Buy (add'l)	12/14/20	J		
671.					Sold (part)	12/14/20	J		
672.					Buy (add'l)	12/15/20	J		
673.					Sold (part)	12/15/20	J		
674.					Buy (add'l)	12/16/20	J		
675.					Sold (part)	12/16/20	J		
676.					Buy (add'l)	12/17/20	K		
677.					Sold (part)	12/17/20	J		
678.					Buy (add'l)	12/18/20	J		
679.					Sold (part)	12/18/20	J		
680.					Sold (part)	12/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Sold (part)	12/22/20	J		
682.					Buy (add'l)	12/22/20	J		
683.					Buy (add'l)	12/23/20	J		
684.					Sold (part)	12/23/20	J		
685.					Buy (add'l)	12/28/20	J		
686.					Sold (part)	12/28/20	J		
687.					Buy (add'l)	12/29/20	K		
688.					Sold (part)	12/29/20	J		
689.					Buy (add'l)	12/30/20	J		
690.					Sold (part)	12/30/20	K		
691.					Buy (add'l)	12/31/20	J		
692.					Sold (part)	12/31/20	J		
693. COMMON STOCKS (SCG)(H)									
694. ---ABCAM PLC		None	J	T	Buy	10/22/20	J		
695. ---ACCELERON PHARMA, INC		None	K	T					
696. ---ACCOLADE INC		None	K	T	Buy	07/02/20	J		
697.					Buy (add'l)	07/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Buy (add'l)	07/16/20	J		
699.					Buy (add'l)	07/22/20	J		
700.					Buy (add'l)	10/22/20	J		
701. ---ANGI HOMESERVICES INC		None	K	T	Buy	10/19/20	J		
702.					Buy (add'l)	10/20/20	J		
703.					Buy (add'l)	10/21/20	J		
704.					Buy (add'l)	10/22/20	J		
705.					Buy (add'l)	10/23/20	J		
706.					Buy (add'l)	10/28/20	J		
707.					Buy (add'l)	11/11/20	J		
708.					Buy (add'l)	12/28/20	J		
709. ---APPFOLIO, INC		None			Sold (part)	02/11/20	J	A	
710.					Sold (part)	02/13/20	J	A	
711.					Sold (part)	03/11/20	J	A	
712.					Sold (part)	03/12/20	J	A	
713.					Sold	03/16/20	J	A	
714. ---ARES MANAGEMENT CORP	B	Dividend	K	T	Sold (part)	08/11/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Sold (part)	08/12/20	J	A	
716.					Sold (part)	08/13/20	J	A	
717.					Sold (part)	08/14/20	J	B	
718.					Sold (part)	10/14/20	J	B	
719.					Sold (part)	10/15/20	J	A	
720.					Sold (part)	12/11/20	J	A	
721.					Sold (part)	12/15/20	J	B	
722. ---ASPEN TECHNOLOGY, INC		None	K	T	Sold (part)	05/01/20	J		
723.					Sold (part)	05/04/20	J		
724.					Sold (part)	05/06/20	J	A	
725.					Sold (part)	08/10/20	J	A	
726.					Sold (part)	08/11/20	J	A	
727. ---BENTLEY SYSTEMS INC		None			Buy	09/23/20	J		
728.					Sold	09/23/20	J	A	
729. ---BIGCOMMERCE HLDGS INC (X)		None			Sold	08/05/20	J	B	
730. ---BIOHAVEN PHARMACEUTICAL HOLDING CO LTD		None	K	T					
731. ---BLACKBAUD, INC		None			Sold (part)	02/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold (part)	02/18/20	J	A	
733.					Sold	02/24/20	J	A	
734. ---BLACKLINE, INC		None	L	T	Sold (part)	02/12/20	J	A	
735.					Sold (part)	02/13/20	J	B	
736.					Sold (part)	10/14/20	J	A	
737.					Sold (part)	10/15/20	J	A	
738.					Sold (part)	12/11/20	J	B	
739.					Sold (part)	12/14/20	J	B	
740. ---BLUEPRINT MEDICINES CORP		None	K	T	Buy (add'l)	03/30/20	J		
741.					Buy (add'l)	05/20/20	J		
742.					Buy (add'l)	05/21/20	J		
743.					Buy (add'l)	05/27/20	J		
744. ---BRIGHT HORIZONS FAMILY SOLUTION, INC		None	L	T	Sold (part)	02/13/20	J	B	
745.					Buy (add'l)	03/20/20	J		
746.					Sold (part)	05/04/20	J		
747.					Sold (part)	05/06/20	J		
748.					Buy (add'l)	08/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. ---BROADRIDGE FINANCIAL SOLUTIONS, INC.	A	Dividend			Sold (part)	07/09/20	J	A	
750.					Sold (part)	07/10/20	J	A	
751.					Sold (part)	07/16/20	J	A	
752.					Sold (part)	08/20/20	J	A	
753.					Sold	09/18/20	J	A	
754. ---BRUKER CORPORATION	A	Dividend	K	T	Buy	04/02/20	J		
755.					Buy (add'l)	04/23/20	J		
756.					Buy (add'l)	05/01/20	J		
757.					Buy (add'l)	05/04/20	J		
758.					Buy (add'l)	05/07/20	J		
759.					Buy (add'l)	05/12/20	J		
760.					Buy (add'l)	05/13/20	J		
761.					Buy (add'l)	07/15/20	J		
762.					Buy (add'l)	07/22/20	J		
763.					Buy (add'l)	07/28/20	J		
764. ---BWX TECHNOLOGIEGS INC	A	Dividend	K	T	Sold (part)	05/04/20	J		
765.					Sold (part)	10/26/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Sold (part)	10/28/20	J	A	
767. ---CACTUS, INC	A	Dividend	J	T	Sold (part)	02/19/20	J		
768. ---CARROLS RESTAURANT GROUP, INC		None			Sold (part)	01/15/20	J		
769.					Sold	01/16/20	J		
770. ---CASEY'S GENERAL STORES, INC	A	Dividend	L	T	Sold (part)	03/23/20	J		
771.					Buy (add'l)	06/29/20	J		
772.					Buy (add'l)	06/30/20	J		
773.					Buy (add'l)	08/03/20	J		
774.					Buy (add'l)	08/05/20	J		
775.					Buy (add'l)	12/15/20	J		
776.					Buy (add'l)	12/16/20	J		
777.					Buy (add'l)	12/18/20	J		
778.					Buy (add'l)	12/21/20	J		
779.					Buy (add'l)	12/30/20	J		
780. ---CATALENT, INC		None	L	T	Buy	03/17/20	J		
781.					Buy (add'l)	03/19/20	J		
782.					Buy (add'l)	03/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Buy (add'l)	03/30/20	J		
784.					Sold (part)	06/08/20	J	A	
785.					Sold (part)	06/09/20	J	B	
786.					Sold (part)	06/10/20	J	A	
787.					Sold (part)	07/07/20	J	B	
788.					Sold (part)	08/11/20	J	B	
789.					Sold (part)	08/19/20	J	A	
790.					Sold (part)	08/20/20	J	B	
791.					Sold (part)	10/14/20	J	B	
792.					Sold (part)	10/16/20	J	C	
793. ---CERTARA INC		None	J	T	Buy	12/11/20	J		
794. ---CHARLES RIVER LABORATORIES INTERNATIONAL, INC		None	L	T	Sold (part)	08/04/20	J	A	
795.					Sold (part)	08/11/20	J	C	
796.					Sold (part)	08/19/20	J	A	
797.					Sold (part)	08/20/20	J	A	
798.					Sold (part)	10/14/20	J	B	
799. ---CHEGG, INC		None	K	T	Sold (part)	05/01/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Sold (part)	06/29/20	J	C	
801.					Sold (part)	07/07/20	J	B	
802.					Sold (part)	07/14/20	J	B	
803.					Sold (part)	07/16/20	J	A	
804.					Sold (part)	07/21/20	J	C	
805.					Sold (part)	08/03/20	J	D	
806. ---CHOICE HOTELS INTERNATIONAL, INC	A	Dividend	K	T	Sold (part)	02/10/20	J	A	
807.					Sold (part)	02/11/20	J	A	
808.					Sold (part)	03/12/20	J		
809.					Sold (part)	03/13/20	J		
810.					Sold (part)	03/16/20	J		
811.					Sold (part)	03/27/20	J		
812.					Sold (part)	04/07/20	J		
813.					Sold (part)	04/08/20	J		
814.					Buy (add'l)	11/09/20	J		
815.					Buy (add'l)	11/10/20	J		
816.					Buy (add'l)	11/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Buy (add'l)	11/12/20	J		
818. ---CHURCHILL DOWNS		None	K	T	Buy	11/09/20	J		
819.					Buy (add'l)	11/10/20	J		
820.					Buy (add'l)	11/11/20	J		
821.					Buy (add'l)	11/12/20	J		
822.					Buy (add'l)	11/18/20	J		
823.					Buy (add'l)	11/30/20	J		
824.					Buy (add'l)	12/30/20	J		
825. ---CLARUS CORP	A	Dividend	K	T					
826. ---CMC MATLS INC	A	Dividend	K	T	Buy	08/10/20	J		
827.					Buy (add'l)	08/11/20	J		
828.					Buy (add'l)	08/12/20	J		
829.					Buy (add'l)	08/13/20	J		
830.					Buy (add'l)	08/14/20	J		
831.					Buy (add'l)	08/20/20	J		
832.					Buy (add'l)	08/21/20	J		
833.					Buy (add'l)	09/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834.					Buy (add'l)	10/16/20	J		
835.					Buy (add'l)	11/09/20	J		
836.					Buy (add'l)	11/10/20	J		
837.					Buy (add'l)	11/24/20	J		
838.					Buy (add'l)	11/25/20	J		
839.					Buy (add'l)	11/30/20	J		
840.					Buy (add'l)	12/01/20	J		
841.					Buy (add'l)	12/11/20	J		
842.					Buy (add'l)	12/29/20	J		
843.					Buy (add'l)	12/30/20	J		
844. ---COGENT COMMUNICATIONS GROUP, INC	A	Dividend	K	T	Buy	08/28/20	J		
845.					Buy (add'l)	09/01/20	J		
846.					Buy (add'l)	09/02/20	J		
847.					Buy (add'l)	09/03/20	J		
848.					Buy (add'l)	09/04/20	J		
849.					Buy (add'l)	09/08/20	J		
850.					Buy (add'l)	09/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851.					Buy (add'l)	09/16/20	J		
852.					Buy (add'l)	09/28/20	J		
853.					Buy (add'l)	11/03/20	J		
854.					Buy (add'l)	11/05/20	J		
855.					Buy (add'l)	11/09/20	J		
856.					Buy (add'l)	12/15/20	J		
857.					Buy (add'l)	12/16/20	J		
858.					Buy (add'l)	12/30/20	J		
859. ---COHERUS BIOSCIENCES, INC		None			Sold (part)	12/14/20	J	A	
860.					Sold	12/17/20	J	A	
861. ---DESPEGAR.COM, CORP		None			Sold (part)	03/23/20	J		
862.					Sold	03/25/20	J		
863. ---DYNATRACE HOLDINGS LLC		None	K	T	Buy (add'l)	03/17/20	J		
864.					Sold (part)	07/07/20	J	A	
865.					Sold (part)	07/14/20	J	A	
866.					Sold (part)	07/21/20	J	A	
867.					Sold (part)	07/22/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. ---ESCO TECHNOLOGIES, INC	A	Dividend	J	T	Sold (part)	02/03/20	J	A	
869.					Sold (part)	02/04/20	J	B	
870. ---EASTGROUP PROPERTIES INC	A	Dividend	K	T	Buy	03/09/20	J		
871.					Buy (add'l)	03/10/20	J		
872.					Buy (add'l)	03/11/20	J		
873.					Buy (add'l)	03/17/20	J		
874.					Buy (add'l)	03/19/20	J		
875.					Buy (add'l)	03/20/20	J		
876.					Buy (add'l)	03/23/20	J		
877.					Buy (add'l)	03/24/20	J		
878.					Buy (add'l)	03/25/20	J		
879.					Buy (add'l)	03/26/20	J		
880.					Buy (add'l)	03/27/20	J		
881.					Buy (add'l)	04/23/20	J		
882.					Buy (add'l)	05/04/20	J		
883.					Buy (add'l)	08/19/20	J		
884.					Buy (add'l)	12/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885. ---ENCOMPASS HEALTH CORP	A	Dividend	K	T	Buy	03/16/20	J		
886.					Buy (add'l)	03/17/20	J		
887.					Buy (add'l)	04/17/20	J		
888.					Buy (add'l)	04/20/20	J		
889.					Buy (add'l)	04/23/20	J		
890.					Buy (add'l)	04/29/20	J		
891.					Buy (add'l)	04/30/20	J		
892.					Buy (add'l)	07/15/20	J		
893. ---ENTEGRIS INC	A	Dividend	K	T	Buy	03/12/20	J		
894.					Buy (add'l)	03/13/20	J		
895.					Buy (add'l)	03/16/20	J		
896.					Buy (add'l)	03/17/20	J		
897.					Buy (add'l)	03/19/20	J		
898.					Buy (add'l)	03/20/20	J		
899.					Buy (add'l)	03/30/20	J		
900. ---ENVESTNET INC		None	K	T	Buy	07/17/20	J		
901.					Buy (add'l)	07/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902.					Buy (add'l)	08/17/20	J		
903.					Buy (add'l)	08/18/20	J		
904.					Buy (add'l)	08/19/20	J		
905.					Buy (add'l)	08/20/20	J		
906.					Buy (add'l)	08/21/20	J		
907.					Buy (add'l)	09/15/20	J		
908.					Buy (add'l)	09/16/20	J		
909.					Buy (add'l)	09/17/20	J		
910.					Buy (add'l)	09/18/20	J		
911.					Buy (add'l)	11/30/20	J		
912.					Buy (add'l)	12/11/20	J		
913.					Buy (add'l)	12/14/20	J		
914.					Buy (add'l)	12/30/20	J		
915. ---ESTABLISHMENT LABS HOLDINGS		None	K	T	Buy (add'l)	01/30/20	J		
916. ---ETSY, INC		None	J	T	Sold (part)	04/02/20	J		
917.					Sold (part)	04/07/20	J		
918.					Sold (part)	05/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919.					Sold (part)	06/29/20	J	C	
920.					Sold (part)	07/07/20	J	B	
921.					Sold (part)	07/14/20	J	B	
922.					Sold (part)	07/16/20	J	A	
923.					Sold (part)	07/21/20	J	B	
924.					Sold (part)	07/22/20	J	A	
925.					Sold (part)	08/05/20	J	C	
926.					Sold (part)	08/11/20	J	C	
927.					Sold (part)	10/16/20	J	B	
928.					Sold (part)	11/09/20	J	D	
929.					Sold (part)	12/17/20	J	C	
930. ---EVO PAYMENTS HOLDINGS, INC		None	L	T	Buy (add'l)	04/17/20	J		
931. ---FAIR ISAAC CORP		None			Sold (part)	01/14/20	J	A	
932.					Sold (part)	01/15/20	J	B	
933.					Sold (part)	01/30/20	J	C	
934.					Sold	03/16/20	J	B	
935. ---FIBROGEN INC		None	J	T	Buy	08/25/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936.					Buy (add'l)	08/26/20	J		
937.					Buy (add'l)	08/27/20	J		
938.					Buy (add'l)	10/16/20	J		
939.					Buy (add'l)	12/30/20	J		
940. ---FTI CONSULTING INC		None	K	T	Buy	10/05/20	J		
941.					Buy (add'l)	10/06/20	J		
942.					Buy (add'l)	10/08/20	J		
943.					Buy (add'l)	10/09/20	J		
944.					Buy (add'l)	10/13/20	J		
945.					Buy (add'l)	10/14/20	J		
946.					Buy (add'l)	10/16/20	J		
947.					Buy (add'l)	10/19/20	J		
948.					Buy (add'l)	10/30/20	J		
949.					Buy (add'l)	11/24/20	J		
950.					Buy (add'l)	11/25/20	J		
951.					Buy (add'l)	12/02/20	J		
952.					Buy (add'l)	12/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953.					Buy (add'l)	12/30/20	J		
954. ---LIBERTY BROADBAND (FKA GCI LIBERTY, INC)		None			Buy (add'l)	03/17/20	J		
955.					Buy (add'l)	03/20/20	J		
956.					Sold (part)	11/09/20	J	B	
957.					Sold (part)	11/10/20	J	B	
958.					Sold (part)	11/11/20	J	B	
959.					Sold (part)	11/12/20	J	A	
960.					Sold (part)	11/13/20	J	B	
961.					Sold (part)	11/16/20	J	A	
962.					Sold (part)	11/17/20	J	B	
963.					Sold (part)	11/24/20	J	B	
964.					Sold (part)	12/17/20	J	B	
965.					Sold (part)	12/22/20	J	B	
966.					Sold (part)	12/23/20	J	D	
967.					Sold (part)	12/28/20	J	C	
968.					Sold	12/29/20	K	D	
969. ---GENPACT LTD	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. ---GLOBAL BLOOD THERAPEUTICS, INC		None	J	T					
971. ---GUIDEWIRE SOFTWARE, INC		None	K	T	Sold (part)	06/03/20	J	A	
972.					Sold (part)	07/07/20	J	A	
973.					Sold (part)	07/14/20	J	A	
974.					Sold (part)	07/16/20	J	A	
975.					Sold (part)	07/22/20	J	A	
976.					Sold (part)	08/10/20	J	A	
977.					Sold (part)	08/11/20	J	A	
978. ---HABIT RESTAURANTS INC		None			Sold (part)	03/16/20	J	A	
979.					Sold (part)	03/17/20	J	A	
980.					Sold	03/18/20	J	A	
981. ---HAIN CELESTIAL GROUP, INC		None	L	T	Buy (add'l)	03/30/20	J		
982.					Buy (add'l)	04/29/20	J		
983.					Buy (add'l)	05/04/20	J		
984.					Buy (add'l)	05/05/20	J		
985.					Buy (add'l)	07/15/20	J		
986. ---HAMILTON LANE, INC	A	Dividend	J	T	Sold (part)	02/03/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987.					Sold (part)	02/04/20	J	B	
988. ---HEALTHEQUITY, INC		None	K	T	Buy (add'l)	03/17/20	J		
989.					Buy (add'l)	03/19/20	J		
990.					Buy (add'l)	09/11/20	J		
991.					Buy (add'l)	09/14/20	J		
992.					Buy (add'l)	09/15/20	J		
993.					Buy (add'l)	09/28/20	J		
994.					Buy (add'l)	11/03/20	J		
995.					Buy (add'l)	11/04/20	J		
996. ---HEICO CORP	A	Dividend			Sold (part)	03/10/20	J	B	
997.					Sold (part)	03/11/20	J	A	
998.					Sold	03/12/20	J	A	
999. ---HENRY SCHEIN, INC		None			Sold (part)	01/13/20	J	A	
1000.					Sold	01/14/20	J	A	
1001. ---HEXCEL CORP	A	Dividend			Sold (part)	04/20/20	J		
1002.					Sold (part)	07/27/20	J		
1003.					Sold (part)	10/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004.					Sold (part)	10/06/20	J		
1005.					Sold	10/07/20	J		
1006. ---HUDSON, LTD		None			Sold	03/12/20	J		
1007. ---IAA SPINCO, INC		None	M	T	Buy (add'l)	03/11/20	J		
1008.					Buy (add'l)	03/12/20	J		
1009.					Buy (add'l)	07/15/20	J		
1010.					Buy (add'l)	08/05/20	J		
1011.					Buy (add'l)	08/06/20	J		
1012. ---IDEX CORP	A	Dividend	K	T	Sold (part)	10/16/20	J	A	
1013.					Sold (part)	11/24/20	J	B	
1014.					Sold (part)	12/15/20	J	B	
1015. ---INARI MED INC		None	J	T	Buy	05/22/20	J		
1016. ---INFINERA CORP		None	J	T	Buy	12/11/20	J		
1017.					Buy (add'l)	12/14/20	J		
1018.					Buy (add'l)	12/15/20	J		
1019.					Buy (add'l)	12/17/20	J		
1020.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021.					Buy (add'l)	12/28/20	J		
1022.					Buy (add'l)	12/31/20	J		
1023. ---INTEGRA LIFESCIENCES HOLDINGS		None			Sold (part)	03/24/20	J		
1024.					Sold (part)	03/25/20	J		
1025.					Sold (part)	03/26/20	J		
1026.					Sold (part)	03/27/20	J		
1027.					Sold (part)	04/01/20	J		
1028.					Sold (part)	04/02/20	J		
1029.					Sold (part)	12/11/20	J	B	
1030.					Sold (part)	12/14/20	J	B	
1031.					Sold	12/15/20	J	A	
1032. ---IOVANCE BIOTHERAPEUTICS, INC		None	K	T					
1033. ---JOHN BEAN TECHNOLOGIES CORP	A	Dividend	K	T					
1034. ---KNIGHT-SWIFT TRANSPORTATION HOLDINGS, INC	A	Dividend	K	T	Buy (add'l)	11/03/20	J		
1035. ---KURA ONCOLOGY, INC		None			Sold	04/03/20	J		
1036. ---LATTICE SEMICONDUCTOR CORP		None	L	T	Buy	03/03/20	J		
1037.					Buy (add'l)	03/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038.					Buy (add'l)	03/11/20	J		
1039.					Buy (add'l)	03/12/20	J		
1040.					Buy (add'l)	03/17/20	J		
1041.					Buy (add'l)	03/19/20	J		
1042.					Buy (add'l)	03/20/20	J		
1043.					Buy (add'l)	03/30/20	J		
1044. ---LINDBLAD EXPEDITIONS HOLDINGS, INC.		None			Sold (part)	03/12/20	J		
1045.					Sold	03/16/20	J		
1046. ---LITTELFUSE, INC	A	Distribution	K	T	Sold (part)	03/27/20	J		
1047.					Sold (part)	03/30/20	J		
1048.					Sold (part)	04/01/20	J		
1049.					Buy (add'l)	10/15/20	J		
1050.					Buy (add'l)	10/19/20	J		
1051.					Buy (add'l)	10/23/20	J		
1052. ---MAKEMYTRIP LTD		None	K	T	Sold (part)	12/01/20	J		
1053.					Sold (part)	12/03/20	J		
1054. ---MANTECH INTL CORP	A	Dividend	K	T	Buy	07/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055.					Buy (add'l)	07/24/20	J		
1056.					Buy (add'l)	07/30/20	J		
1057.					Buy (add'l)	07/31/20	J		
1058.					Buy (add'l)	08/11/20	J		
1059.					Buy (add'l)	08/13/20	J		
1060.					Buy (add'l)	08/14/20	J		
1061.					Buy (add'l)	08/21/20	J		
1062.					Buy (add'l)	09/01/20	J		
1063.					Buy (add'l)	11/19/20	J		
1064.					Buy (add'l)	11/30/20	J		
1065.					Buy (add'l)	12/18/20	J		
1066. ---MARVELL TECHNOLOGY GROUP LTD	A	Dividend			Sold (part)	05/26/20	J	C	
1067.					Sold	05/27/20	J	C	
1068. ---MAXIMUS, INC	A	Dividend	J	T					
1069. ---MERCURY SYSTEMS, INC		None	J	T					
1070. ---MIMECAST, LTD		None	L	T	Sold (part)	10/16/20	J		
1071.					Sold (part)	10/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1072. ---MSA SAFETY, INC	A	Dividend	K	T	Buy (add'l)	03/17/20	J		
1073.					Buy (add'l)	05/05/20	J		
1074.					Buy (add'l)	07/15/20	J		
1075. ---NATIONAL VISION HOLDINGS, INC		None	L	T	Sold (part)	04/07/20	J		
1076.					Sold (part)	04/08/20	J		
1077.					Sold (part)	04/09/20	J		
1078. ---NEOGENOMICS, INC		None	L	T	Buy (add'l)	04/30/20	J		
1079.					Buy (add'l)	05/27/20	J		
1080.					Buy (add'l)	06/11/20	J		
1081.					Buy (add'l)	06/12/20	J		
1082.					Buy (add'l)	07/10/20	J		
1083.					Sold (part)	10/16/20	J	B	
1084.					Sold (part)	10/20/20	J	A	
1085.					Sold (part)	12/10/20	J	B	
1086.					Sold (part)	12/16/20	J	B	
1087.					Sold (part)	12/17/20	J	B	
1088. ---NESCO HOLDINGS, INC		None	J	T	Sold (part)	12/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1089.					Sold (part)	12/16/20	J		
1090. ---NEUROCRINE BIOSCIENCES, INC		None	K	T	Buy (add'l)	03/30/20	J		
1091. ---NEVRO CORP		None	K	T	Buy	04/02/20	J		
1092.					Buy (add'l)	05/26/20	J		
1093.					Buy (add'l)	05/27/20	J		
1094.					Buy (add'l)	06/04/20	J		
1095.					Buy (add'l)	06/26/20	J		
1096. ---NUANCE COMMUNICATIONS INC		None	L	T	Buy	03/02/20	J		
1097.					Buy (add'l)	03/03/20	J		
1098.					Buy (add'l)	03/05/20	J		
1099.					Buy (add'l)	03/06/20	J		
1100.					Buy (add'l)	03/11/20	J		
1101.					Buy (add'l)	03/20/20	J		
1102.					Buy (add'l)	03/24/20	J		
1103.					Buy (add'l)	03/25/20	J		
1104.					Buy (add'l)	03/27/20	J		
1105.					Buy (add'l)	03/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1106. ---OAK STR HEALTH INC		None	K	T	Buy	08/06/20	J		
1107. ---ONESPAWORLD HOLDINGS LTD		None			Buy	02/04/20	J		
1108.					Buy (add'l)	02/07/20	J		
1109.					Buy (add'l)	02/10/20	J		
1110.					Buy (add'l)	02/18/20	J		
1111.					Buy (add'l)	03/03/20	J		
1112.					Buy (add'l)	03/04/20	J		
1113.					Sold (part)	10/22/20	J		
1114.					Sold	10/23/20	J		
1115. ---ORTHOPEDIATRICS CORP		None	K	T	Buy (add'l)	03/11/20	J		
1116.					Buy (add'l)	03/16/20	J		
1117.					Buy (add'l)	03/23/20	J		
1118.					Buy (add'l)	03/25/20	J		
1119.					Buy (add'l)	03/26/20	J		
1120.					Buy (add'l)	07/15/20	J		
1121.					Buy (add'l)	07/20/20	J		
1122. ---PHREESIA, INC		None	L	T	Buy (add'l)	04/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1123.					Buy (add'l)	04/24/20	J		
1124.					Buy (add'l)	10/21/20	J		
1125. ---PROGYNY INC		None	K	T	Buy	05/20/20	J		
1126.					Buy (add'l)	05/21/20	J		
1127.					Buy (add'l)	05/27/20	J		
1128.					Buy (add'l)	07/15/20	J		
1129. ---PROS HOLDINGS, INC		None	K	T	Buy (add'l)	02/07/20	J		
1130.					Buy (add'l)	02/10/20	J		
1131.					Buy (add'l)	02/12/20	J		
1132.					Buy (add'l)	02/13/20	J		
1133.					Buy (add'l)	05/27/20	J		
1134.					Sold (part)	10/20/20	J		
1135.					Buy (add'l)	11/09/20	J		
1136. ---PROSPERITY BANCSHARES, INC	A	Dividend	K	T	Buy (add'l)	11/03/20	J		
1137.					Buy (add'l)	11/04/20	J		
1138.					Buy (add'l)	11/09/20	J		
1139.					Buy (add'l)	12/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1140. ---QUAKER CHEM CORP	A	Dividend	L	T	Buy	01/31/20	J		
1141.					Buy (add'l)	02/06/20	J		
1142.					Buy (add'l)	02/07/20	J		
1143.					Buy (add'l)	02/21/20	J		
1144.					Buy (add'l)	02/24/20	J		
1145.					Buy (add'l)	02/25/20	J		
1146.					Buy (add'l)	03/11/20	J		
1147.					Buy (add'l)	03/17/20	J		
1148.					Buy (add'l)	10/16/20	J		
1149. ---SIMPLY GOOD FOODS COMPANY		None	K	T	Buy	07/21/20	J		
1150.					Buy (add'l)	07/23/20	J		
1151.					Buy (add'l)	07/30/20	J		
1152.					Buy (add'l)	08/21/20	J		
1153.					Buy (add'l)	10/16/20	J		
1154.					Buy (add'l)	11/09/20	J		
1155.					Buy (add'l)	11/10/20	J		
1156. ---SITEONE LANDSCAPE SUPPLY, INC		None	L	T	Sold (part)	04/07/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1157.					Sold (part)	04/08/20	J	A	
1158.					Sold (part)	10/08/20	J	A	
1159.					Sold (part)	10/09/20	J	C	
1160.					Sold (part)	10/15/20	J	A	
1161.					Sold (part)	12/09/20	J	A	
1162.					Sold (part)	12/10/20	J	B	
1163.					Sold (part)	12/11/20	J	B	
1164. ---STITCH FIX, INC		None	K	T	Buy (add'l)	01/30/20	J		
1165.					Buy (add'l)	01/31/20	J		
1166.					Sold (part)	06/08/20	J		
1167.					Buy (add'l)	11/09/20	J		
1168.					Buy (add'l)	11/12/20	J		
1169.					Sold (part)	12/17/20	J	A	
1170.					Sold (part)	12/18/20	J	B	
1171. ---SUMO LOGIC INC		None	J	T	Buy	09/17/20	J		
1172. ---TABULA RASA HEALTHCARE, INC		None			Sold (part)	10/06/20	J		
1173.					Sold (part)	10/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1174.					Sold	10/09/20	J		
1175. ---TOPBUILD CORP		None	K	T	Sold (part)	04/07/20	J	A	
1176.					Sold (part)	04/08/20	J	A	
1177.					Sold (part)	04/09/20	J	A	
1178.					Sold (part)	10/09/20	J	C	
1179.					Sold (part)	10/14/20	J	B	
1180.					Sold (part)	11/09/20	J	B	
1181.					Sold (part)	11/10/20	J	B	
1182.					Sold (part)	11/12/20	J	A	
1183.					Sold (part)	11/13/20	J	B	
1184.					Sold (part)	11/16/20	J	A	
1185. ---VROOM INC		None	K	T	Buy	12/21/20	J		
1186.					Buy (add'l)	12/22/20	J		
1187.					Buy (add'l)	12/23/20	J		
1188.					Buy (add'l)	12/28/20	J		
1189.					Buy (add'l)	12/29/20	J		
1190.					Buy (add'l)	12/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1191.					Buy (add'l)	12/31/20	J		
1192. ---WASTE CONNECTIONS INC	A	Dividend	L	T	Sold (part)	03/19/20	J		
1193.					Sold (part)	03/20/20	J		
1194.					Sold (part)	05/27/20	J	A	
1195.					Sold (part)	08/19/20	J	A	
1196.					Sold (part)	10/16/20	J	A	
1197.					Sold (part)	11/24/20	J	A	
1198.					Sold (part)	11/25/20	J	A	
1199. ---WEBSTER FINANCIAL CORP	A	Dividend			Sold (part)	03/23/20	J		
1200.					Sold (part)	03/24/20	J		
1201.					Sold (part)	03/25/20	J		
1202.					Sold	03/26/20	J		
1203. ---WEX, INC		None	K	T	Sold (part)	03/16/20	J		
1204.					Sold (part)	03/23/20	J		
1205.					Sold (part)	03/24/20	J		
1206.					Buy (add'l)	11/03/20	J		
1207.					Buy (add'l)	11/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1208. ---WOODWARD, INC	A	Dividend	K	T	Sold (part)	04/20/20	J		
1209.					Sold (part)	05/04/20	J		
1210. ---WORKIVA, INC		None	L	T	Sold (part)	04/13/20	J		
1211. ---ZUORA, INC		None	K	T	Buy (add'l)	12/30/20	J		
1212.					Sold (part)	11/11/20	J		
1213.					Sold (part)	11/17/20	J		
1214.					Sold (part)	11/18/20	J		
1215.					Sold (part)	11/19/20	J		
1216.					Sold (part)	11/20/20	J		
1217. ---ZYNGA, INC		None	L	T	Buy (add'l)	01/30/20	J		
1218.					Buy (add'l)	12/30/20	J		
1219. INV ACCT #7 (ACM) (LINES 1219-1315) (H)									
1220. ---FIRST AMERICN GOVT OBLGTN FND MMF/CASH (NOTE 14 SECT VII)	A	Dividend	O	T	Sold (part)	01/23/20	L		
1221.					Sold (part)	01/24/20	J		
1222.					Buy (add'l)	01/28/20	K		
1223.					Buy (add'l)	02/13/20	K		
1224.					Buy (add'l)	03/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1225.					Buy (add'l)	03/09/20	L		
1226.					Sold (part)	03/09/20	L		
1227.					Buy (add'l)	03/10/20	J		
1228.					Buy (add'l)	03/12/20	J		
1229.					Sold (part)	03/12/20	J		
1230.					Buy (add'l)	03/13/20	J		
1231.					Buy (add'l)	03/16/20	J		
1232.					Sold (part)	03/16/20	K		
1233.					Sold (part)	05/06/20	J		
1234.					Sold (part)	05/07/20	J		
1235.					Sold (part)	05/13/20	J		
1236.					Buy (add'l)	05/18/20	K		
1237.					Sold (part)	08/06/20	K		
1238.					Sold (part)	08/27/20	L		
1239.					Buy (add'l)	10/21/20	L		
1240.					Buy (add'l)	11/18/20	O		
1241.					Sold (part)	11/18/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1242.					Buy (add'l)	12/28/20	J		
1243. COMMON STOCKS (ACM) (H)									
1244. ---ABIOMED INC		None	L	T	Buy (add'l)	01/23/20	J		
1245.					Buy (add'l)	11/18/20	J		
1246. ---AEROVIRONMENT INC		None	M	T	Buy (add'l)	11/18/20	K		
1247. ---ANSYS INC		None	M	T	Buy (add'l)	11/18/20	K		
1248. ---BIO TECHNE CORP	A	Dividend	M	T	Buy (add'l)	01/23/20	K		
1249.					Buy (add'l)	11/18/20	K		
1250. ---BLACKBAUD INC	A	Dividend	L	T	Buy (add'l)	11/18/20	J		
1251. ---COGNEX CORP	C	Dividend	M	T	Buy (add'l)	11/18/20	J		
1252. ---COSTAR GROUP INC		None	M	T	Buy (add'l)	11/18/20	K		
1253. ---CYBERARK SOFTWARE LTD ISRAEL		None	M	T	Buy (add'l)	11/18/20	J		
1254. ---DEXCOM INC		None	K	T	Buy	03/09/20	K		
1255.					Buy (add'l)	03/12/20	J		
1256.					Buy (add'l)	03/16/20	J		
1257.					Buy (add'l)	11/18/20	J		
1258. ---FACTSET RESEARCH SYSTEMS INC	A	Dividend	M	T	Buy (add'l)	11/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1259. ---FORTINET INC		None	M	T	Sold (part)	02/13/20	J	C	
1260.					Sold (part)	05/18/20	K	D	
1261.					Buy (add'l)	11/18/20	K		
1262. ---GENTEX CORP	A	Dividend	L	T	Sold (part)	01/28/20	J	B	
1263.					Sold (part)	02/13/20	J	C	
1264.					Sold (part)	03/12/20	J	A	
1265.					Buy (add'l)	08/27/20	J		
1266.					Buy (add'l)	11/18/20	J		
1267. ---HMS HLDGS CORP		None	M	T	Buy (add'l)	11/18/20	K		
1268. ---ICON PLC		None	M	T	Buy (add'l)	11/18/20	K		
1269. ---IDEXX LABS INC		None	M	T	Sold (part)	10/21/20	J	C	
1270.					Buy (add'l)	11/18/20	K		
1271. ---ILLUMINA INC		None	M	T	Buy (add'l)	11/18/20	K		
1272. ---JACK HENRY ASSOCIATES INC	A	Dividend	L	T	Buy (add'l)	11/18/20	J		
1273. ---KORNIT DIGITAL LTD		None	N	T	Sold (part)	10/21/20	J	D	
1274.					Buy (add'l)	11/18/20	K		
1275. ---KRATOS DEFENSE & SECURITY		None	L	T	Buy	05/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1276.					Buy (add'l)	05/07/20	J		
1277.					Buy (add'l)	05/13/20	J		
1278.					Buy (add'l)	11/18/20	J		
1279. ---LOGMEIN INC		None			Sold	03/09/20	K		
1280. ---MANHATTAN ASSOCS INC		None	M	T	Buy (add'l)	03/16/20	J		
1281.					Buy (add'l)	11/18/20	K		
1282. ---MEDPACE HOLDINGS INC		None	L	T	Buy	03/09/20	K		
1283.					Buy (add'l)	03/12/20	J		
1284.					Buy (add'l)	03/16/20	J		
1285.					Buy (add'l)	11/18/20	J		
1286. ---MORNINGSTAR INC	A	Dividend	M	T	Buy (add'l)	11/18/20	J		
1287. ---NICE LTD ADR		None	M	T	Sold (part)	05/18/20	J	C	
1288.					Sold (part)	10/21/20	J	C	
1289.					Buy (add'l)	11/18/20	K		
1290. ---ORASURE TECHNOLOGIES INC		None	L	T	Buy (add'l)	01/23/20	J		
1291.					Buy (add'l)	01/24/20	J		
1292.					Buy (add'l)	08/06/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1293.					Buy (add'l)	11/18/20	J		
1294. ---PROOFPOINT INC		None	K	T	Buy	01/23/20	K		
1295.					Buy (add'l)	11/18/20	J		
1296. ---QUALYS INC		None	M	T	Buy (add'l)	03/16/20	J		
1297.					Buy (add'l)	11/18/20	J		
1298. ---QUIDEL CORP		None	L	T	Buy	08/27/20	K		
1299.					Buy (add'l)	11/18/20	J		
1300. ---RBC BEARINGS INC		None	K	T	Buy (add'l)	11/18/20	J		
1301. ---REALPAGE INC		None	M	T	Buy (add'l)	01/23/20	J		
1302.					Buy (add'l)	11/18/20	J		
1303. ---REPLIGEN CORP		None	K	T	Buy	03/09/20	K		
1304.					Buy (add'l)	11/18/20	J		
1305. ---RESMED INC	A	Dividend	M	T	Buy (add'l)	11/18/20	K		
1306. ---SEI INVESTMENTS CO	A	Dividend	L	T	Buy (add'l)	11/18/20	J		
1307. ---TIVITY HEALTH INC		None			Sold (part)	03/05/20	J		
1308.					Sold (part)	03/10/20	J		
1309.					Sold (part)	03/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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1310.					Sold	03/16/20	J		
1311. ---TRIMBLE NAV LTD		None			Sold (part)	01/28/20	J	A	
1312.					Sold (part)	02/13/20	J	A	
1313.					Sold	03/09/20	K	A	
1314. ---VEEVA SYSTEMS INC		None	M	T	Sold (part)	10/21/20	K	E	
1315.					Buy (add'l)	11/18/20	K		
1316. ---VERINT SYSTEMS INC		None	M	T	Buy (add'l)	11/18/20	J		
1317. ADDL CMN STCKS, OPTNS & FUNDS (NON-IRA)(LINES 1317-1401)(H)									
1318. ABBVIE, INC COMMON STOCK	D	Dividend	M	T	Buy (add'l)	10/12/20	J		
1319. AIR PRODS & CHEMS COMMON STOCK	C	Dividend	M	T	Sold (part)	10/21/20	K	D	
1320. ALIBABA GROUP COMMON STOCK		None			Buy (add'l)	11/05/20	M		
1321.					Buy (add'l)	11/20/20	L		
1322.					Sold	12/28/20	O	B	
1323. ALPHABET COMMON STOCK		None	O	T					
1324. AMAZON.COM COMMON STOCK		None	P1	T	Sold (part)	04/17/20	M	F	
1325. AMGEN COMMON STOCK	C	Dividend	L	T	Sold (part)	10/21/20	K	E	
1326. ANALOG DEVICES COMMON STOCK	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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1327. APPLE INC COMMON STOCK	B	Dividend	M	T	Sold (part)	08/19/20	K	E	
1328.					Sold (part)	10/12/20	M	G	
1329. AT&T COMMON STOCK	D	Dividend	L	T	Sold (part)	04/21/20	L		
1330. AUTOMATIC DATA PROCESSING COMMON STOCK	A	Dividend	M	T	Buy	04/21/20	K		
1331.					Buy (add'l)	06/17/20	K		
1332.					Buy (add'l)	08/19/20	K		
1333. BERKSHIRE HATHAWAY COMMON STOCK		None	P1	T	Sold (part)	07/02/20	N	G	
1334. BILL.COM COMMON STOCK		None	M	T	Buy	12/10/20	M		
1335. BLACKROCK INC COMMON STOCK	E	Dividend	P1	T					
1336. BLACKSTONE GROUP COMMON STOCK	D	Dividend	N	T					
1337. BOEING COMMON STOCK	A	Dividend			Sold	03/17/20	K		
1338. BRISTOL MYERS SQUIBB COMMON STOCK		None	M	T	Buy	10/12/20	M		
1339. BROADCOM, INC COMMON STOCK	D	Dividend	N	T	Buy (add'l)	04/14/20	K		
1340. CALL OPTIONS ON LINES 1340-1346) (SEE NOTE 3 SECT VIII)(H)									
1341. ---CALL AMAZON - CONTRACT OPENED (EXPIRE 1/15 AND 2/19/21)		None			Sold	10/09/20	L		
1342. CONTRACT CLOSED		None			Buy	11/03/20	K	F	
1343. ---CALL AMAZON - CONTRACT OPENED (EXPIRES 3/19/21)		None			Sold	11/03/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1344. --CALL MICROSOFT - CONTRACT OPENED (EXPIRE 1/15 AND 2/19/21)		None			Sold	10/09/20	K		
1345. ---CALL PAYPAL - CONTRACT OPENED (EXPIRES 12/18/20)		None			Sold	10/09/20	K		
1346. CONTRACT CLOSED		None			Buy	11/03/20	J	D	
1347. ---CALL PAYPAL - CONTRACT OPENED (EXPIRES 3/19/21)		None			Sold	11/25/20	K		
1348. CATERPILLAR COMMON STOCK	A	Dividend	L	T	Buy	10/21/20	L		
1349. CHEVRON COMMON STOCK	C	Dividend	L	T	Sold (part)	03/18/20	K		
1350. CISCO SYS COMMON STOCK	D	Dividend	M	T	Buy (add'l)	04/14/20	J		
1351. CME GROUP COMMON STOCK	C	Dividend	M	T					
1352. CMS ENERGY COMMON STOCK	C	Dividend	M	T					
1353. COMCAST COMMON STOCK	C	Dividend	N	T	Buy (add'l)	03/18/20	K		
1354.					Buy (add'l)	04/14/20	K		
1355.					Buy (add'l)	08/19/20	J		
1356. CROWDSTRIKE HOLDINGS COMMON STOCK		None	N	T	Buy	11/24/20	N		
1357. CROWN CASTLE COMMON STOCK	D	Dividend	M	T					
1358. DATADOG COMMON STOCK		None	O	T	Buy	04/22/20	N		
1359.					Sold (part)	09/04/20	K	E	
1360. DOCUSIGN COMMON STOCK		None	N	T	Buy	05/06/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1361.					Buy (add'l)	06/16/20	M		
1362.					Sold (part)	09/04/20	L	E	
1363. DOW, INC COMMON STOCK	B	Dividend			Sold	04/14/20	L		
1364. FEDERAL EXPRESS CORP CMN STOCK (SEE NOTE 17 IN SECT VIII)	B	Dividend							
1365. GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND		None			Sold	04/01/20	N		
1366. HEALTHPEAK PROPERTIES, INC COMMON STOCK	B	Dividend			Sold	04/14/20	L		
1367. HOME DEPOT COMMON STOCK	E	Dividend	O	T	Buy (add'l)	04/14/20	K		
1368.					Sold (part)	08/19/20	K	D	
1369.					Buy (add'l)	08/24/20	M		
1370. HONEYWELL COMMON STOCK	C	Dividend	M	T	Buy (add'l)	06/17/20	K		
1371.					Buy (add'l)	06/29/20	K		
1372. INTUIT COMMON STOCK	B	Dividend	O	T	Buy	04/07/20	N		
1373. JOHNSON & JOHNSON COMMON STOCK	F	Dividend	P1	T	Buy (add'l)	03/31/20	L		
1374.					Buy (add'l)	04/14/20	K		
1375.					Sold (part)	10/12/20	M	D	
1376. JPMORGAN CHASE COMMON STOCK	D	Dividend	M	T	Sold (part)	10/21/20	J		
1377.					Sold (part)	11/17/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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1378. LAS VEGAS SANDS CORP COMMON STOCK		None			Sold	03/03/20	L		
1379. LOCKHEED MARTIN CORP COMMON STOCK	C	Dividend	M	T					
1380. MCDONALDS INC COMMON STOCK	C	Dividend	M	T					
1381. MEDTRONIC PLC COMMON STOCK	C	Dividend	M	T	Buy (add'l)	03/18/20	J		
1382.					Buy (add'l)	04/14/20	K		
1383.					Buy (add'l)	06/17/20	J		
1384.					Buy (add'l)	10/12/20	J		
1385. MERCK & CO COMMON STOCK	C	Dividend	M	T	Buy (add'l)	03/18/20	J		
1386.					Buy (add'l)	06/17/20	J		
1387. MICROSOFT COMMON STOCK	D	Dividend	P1	T	Sold (part)	08/19/20	K	D	
1388. MONDELEZ INTL COMMON STOCK	C	Dividend	M	T	Buy (add'l)	08/19/20	K		
1389. MONGODB INC COMMON STOCK		None			Sold (part)	09/04/20	L	E	
1390.					Sold	09/17/20	N	G	
1391. PAYPAL HOLDINGS COMMON STOCK		None	P1	T					
1392. PEPSICO COMMON STOCK	D	Dividend	M	T					
1393. PFIZER INC COMMON STOCK	D	Dividend	L	T	Buy (add'l)	03/18/20	K		
1394.					Sold (part)	06/29/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1395.					Sold (part)	08/19/20	K		
1396.					Sold (part)	10/21/20	K	A	
1397. PHILLIPS 66 COMMON STOCK	D	Dividend	M	T	Buy (add'l)	03/18/20	K		
1398. PROCTER & GAMBLE COMMON STOCK	C	Dividend	M	T	Buy (add'l)	08/19/20	J		
1399. RAYTHEON TECH (FKA RAYTHEON) COMMON STOCK	C	Dividend	M	T	Buy (add'l)	10/12/20	K		
1400. ROCKWELL AUTOMATION COMMON STOCK	B	Dividend	L	T	Buy	04/14/20	M		
1401.					Sold (part)	06/17/20	L	D	
1402.					Sold (part)	06/29/20	J	C	
1403. IRA ACCOUNT #2 (LINES 1403-1489) (H)									
1404. ---AIMMUNE THERAPEUTICS INC COMMON STOCK		None			Sold	09/16/20	K	C	
1405. ---ALIBABA GROUP HOLDING LTD COMMON STOCK		None	K	T	Buy	12/29/20	K		
1406. ---ALLIANCE BERNSTEIN INCOME ADV MUTUAL FUND	A	Dividend			Sold (part)	03/23/20	J		
1407.					Sold	11/02/20	J	A	
1408. ---ALPHABET INC COMMON STOCK		None	K	T	Sold (part)	12/03/20	J	B	
1409. ---AMAZON COM INC COMMON STOCK		None	K	T					
1410. ---AMERICAN CAMPUS COMMUNITIES INC COMMON STOCK	A	Dividend	K	T	Buy	08/28/20	J		
1411.					Buy (add'l)	08/31/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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1412.					Buy (add'l)	09/01/20	J		
1413. ---APOLLO GLOBAL MANAGEMENT, INC COMMON STOCK	A	Dividend			Buy	11/02/20	K		
1414.					Sold	12/29/20	K	C	
1415. ---ARES CAPITAL CORP FUND	B	Dividend	K	T	Buy (add'l)	03/26/20	J		
1416.					Buy (add'l)	03/27/20	J		
1417. ---BLACKSTONE MTG TRUST INC COMMON STOCK	A	Dividend	K	T	Buy	07/09/20	K		
1418.					Buy (add'l)	08/21/20	J		
1419. ---BOOKING HOLDINGS INC COMMON STOCK		None	K	T	Buy	10/05/20	K		
1420. ---CARNIVAL CP COMMON STOCK		None	J	T	Buy	12/03/20	J		
1421. ---CIGNA CORP COMMON STOCK	A	Dividend	K	T	Sold (part)	03/23/20	J		
1422.					Buy (add'l)	10/21/20	K		
1423. ---CITIGROUP INC COMMON STOCK	A	Dividend	K	T	Buy	09/16/20	K		
1424. ---COMCAST CORP COMMON STOCK	A	Dividend			Sold	11/11/20	K	C	
1425. ---CORBUS PHARMACEUTICALS HLDGS COMMON STOCK		None			Sold	09/08/20	J		
1426. ---CROWN CASTLE INTL CORP COMMON STOCK	A	Dividend			Sold (part)	08/27/20	J	B	
1427.					Sold	12/03/20	K	D	
1428. ---DANAHER CORP COMMON STOCK	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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1429. ---ESTEE LAUDER CO INC COMMON STOCK	A	Dividend	K	T	Buy	08/27/20	K		
1430. ---E V ADV FLOATING RATE MUTUAL FUND	A	Dividend			Sold	08/27/20	J		
1431. ---EATON VANCE SHORT DURATION GOVT INC MUTUAL FUND	A	Dividend			Sold	08/12/20	K		
1432. ---FACEBOOK INC COMMON STOCK		None	K	T	Sold (part)	12/03/20	J	A	
1433. ---FALCON MINERALS CORP COMMON STOCK	A	Dividend	J	T					
1434. ---FEDERATED GOVT OBLIG ADV MMF	A	Dividend			Buy (add'l)	02/03/20	J		
1435.					Buy (add'l)	05/14/20	K		
1436.					Sold (part)	05/27/20	J		
1437.					Sold (part)	07/09/20	J		
1438.					Sold	08/04/20	K		
1439. ---FIDELITY ADV HEALTH CARE FUND	B	Dividend	K	T	Buy	03/06/20	K		
1440. ---FIRST TRUST SENIOR LOAN FUND	A	Dividend			Sold	08/27/20	J		
1441. ---FRANKLIN INTL GROWTH ADV FUND	A	Dividend	J	T	Buy	08/04/20	J		
1442. ---GOLUB CAPITAL BDC INC FUND	A	Dividend	K	T	Buy	08/27/20	K		
1443. ---GQG PARTNERS EMRG MKTS EQ INS	A	Dividend	K	T	Sold (part)	04/20/20	K		
1444.					Buy (add'l)	08/27/20	K		
1445. ---HONEYWELL INTERNATIONAL INC COMMON STOCK	A	Dividend			Sold	03/20/20	J	B	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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1446. ---IQVIA HOLDINGS INC COMMON		None			Sold	03/23/20	J		
1447. ---ISHARES CORE S&P US GROWTH FUND	A	Dividend	K	T	Buy	03/27/20	K		
1448. ---ISHARES EDGE MSCI MUL INTL ETF		None			Sold	03/23/20	K		
1449. ---ISHARES EDGE MSCI US MOMENTUM	A	Dividend	K	T	Sold (part)	08/27/20	J	B	
1450. ---ISHARES EDGE MSCI US QLTY FAC	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
1451. ---ISHARES FACTOR SELECT MSCI		None			Sold	03/23/20	J		
1452. ---ISHARES MSCI GROWTH ETF		None			Sold	03/23/20	J	A	
1453. ---ISHARES S&P MIDCAP 400 INDEX	A	Dividend	K	T	Sold (part)	03/23/20	J		
1454.					Buy (add'l)	05/27/20	K		
1455. ---JPMORGAN CHASE & CO COMMON STOCK	A	Dividend	K	T	Buy	05/27/20	K		
1456. ---KINDER MORGAN INCORP COMMON STOCK	A	Dividend			Sold	02/27/20	J	B	
1457. ---KRANESH BOSERA MSCI CHINA ETF	A	Dividend	K	T					
1458. ---KRANESHARES CSI CHINA INTERNET ETF	A	Dividend	J	T	Sold (part)	08/27/20	J	B	
1459. ---MICROSOFT CORP COMMON STOCK	A	Dividend	K	T					
1460. ---NORWEGIAN CRUISE LINE HOLDING LTD COMMON STOCK		None	J	T	Buy	12/03/20	J		
1461. ---OAKTREE SPECIALTY LENDING CORP FUND	B	Dividend	K	T	Buy	03/26/20	J		
1462.					Buy (add'l)	03/27/20	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1463.					Buy (add'l)	03/30/20	J		
1464.					Sold (part)	12/03/20	J	C	
1465. ---ONEOK INC COMMON STOCK	A	Dividend			Sold	03/23/20	J		
1466. ---PENNANTPARK FLTG RT CAP LTD FUND	B	Dividend	J	T					
1467. ---PENNANTPARK INVESTMENT CORP FUND	A	Dividend	J	T	Sold (part)	10/05/20	J		
1468. ---PLAINS GP HOLDINGS LP	A	Dividend			Sold	02/27/20	J		
1469. ---INVESCO BUYBACK ACH ETF	A	Dividend			Sold	03/23/20	J		
1470. ---PROSHARES TR S&P 500 DV ARIST FUND	A	Dividend	K	T					
1471. ---RAYTHEON CO/RAYTHEON TECH		None			Buy	03/30/20	J		
1472.					Sold	05/11/20	J		
1473. ---SALESFORCE.COM INC COMMON STOCK		None	K	T					
1474. ---SLR INVESTMENT CORP (FKA SOLAR CAPITAL LTD FUND)	B	Dividend	K	T	Buy (add'l)	03/26/20	J		
1475. ---SPDR S&P 500 ETF TRUST	A	Dividend	K	T					
1476. ---STARWOOD PROPERTY TRUST CMN STOCK	B	Dividend			Buy (add'l)	03/27/20	J		
1477.					Sold	08/21/20	K		
1478. ---STRYKER CORP COMMON STOCK	A	Dividend	K	T	Buy	03/30/20	J		
1479. ---THERMO FISHER SCIENTIFIC COMMON STOCK	A	Dividend	K	T	Sold (part)	03/23/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1480. ---UNITEDHEALTH GP INC COMMON STOCK	A	Dividend	K	T	Buy	03/20/20	K		
1481. ---VANGUARD INFO TECH ETF	A	Dividend	K	T	Sold (part)	08/27/20	J	D	
1482. ---VERIZON COMMUNICATIONS COMMON STOCK	B	Dividend			Sold (part)	08/27/20	J	A	
1483.					Sold	10/21/20	K	C	
1484. ---VIRTUS KAR SM-CAP VALUE FUNE	A	Dividend	K	T	Buy	08/12/20	K		
1485. ---VISA INC COMMON STOCK	A	Dividend	K	T					
1486. ---WALT DISNEY CO HLDG CO COMMON STOCK	A	Dividend			Sold	02/25/20	K	C	
1487. ---WELLS FARGO & CO COMMON STOCK		None	K	T	Buy	11/11/20	K		
1488. ---WISDOMTREE SMALL CAP DIV FUND	A	Dividend			Sold	03/06/20	J	B	
1489. ---WISDOMTREE TRUST MIDCAP EARN FUND		None			Sold	03/12/20	J		
1490. ---WISDOMTREE TRUST CHINA DIV EX	A	Dividend			Sold	08/27/20	K	D	
1491. ADDL COMMN STOCKS & FUNDS (NON-IRA) (LINES 1491-1514) (H)									
1492. SEMPRA ENERGY COMMON STOCK	C	Dividend	M	T					
1493. SNOWFLAKE INC COMMON STOCK		None			Buy	12/10/20	M		
1494.					Sold	12/28/20	M		
1495. SQUARE COMMON STOCK		None	N	T	Buy	12/10/20	M		
1496.					Buy (add'l)	12/21/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1497. TARGET COMMON STOCK	B	Dividend	M	T	Buy	05/21/20	K		
1498.					Buy (add'l)	06/17/20	K		
1499.					Buy (add'l)	06/29/20	J		
1500.					Buy (add'l)	08/19/20	K		
1501.					Buy (add'l)	11/17/20	K		
1502. TE CONNECTIVITY COMMON STOCK	A	Dividend			Sold	04/14/20	M		
1503. TELA BIO COMMON STOCK		None	M	T	Spinoff (from line 1879)	12/29/20	M		
1504. TRANSDIGM GRP COMMON STOCK		None	N	T	Buy	04/07/20	M		
1505.					Buy (add'l)	12/08/20	L		
1506. UNITED HEALTH GROUP COMMON STOCK	C	Dividend	M	T					
1507. VERTEX PHARMACEUTICALS COMMON STOCK		None			Buy	07/02/20	N		
1508.					Sold	11/20/20	N		
1509. VIATRIS COMMON STOCK		None	J	T	Spinoff (from line 1393)	11/16/20	J		
1510. VISA COMMON STOCK	D	Dividend	P1	T	Buy (add'l)	04/09/20	M		
1511.					Buy (add'l)	10/12/20	M		
1512.					Buy (add'l)	10/21/20	K		
1513. WALGREENS COMMON STOCK	C	Dividend			Sold	04/01/20	N	G	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1514. WALMART CORP COMMON STOCK	B	Dividend	M	T					
1515. WELLS FARGO COMMON STOCK	C	Dividend			Sold	06/17/20	L		
1516. PUT ACCT (LINES 1516-1622) (NOTE 3 IN SECT VIII) (H)									
1517. ---XSP PUT - CONTRACT CLOSED (EXP 1/17/20) OPENED IN 2019		None			Buy	01/09/20	J	C	
1518. ---XSP PUT - CONTRACT OPENED (EXPIRES 2/28/20)		None			Sold	01/09/20	J		
1519. CONTRACT CLOSED					Buy	02/05/20	J	B	
1520. ---XSP PUT - CONTRACT CLOSED (EXP 1/24/20) OPENED IN 2019		None			Buy	01/15/20	J	B	
1521. ---XSP PUT - CONTRACT OPENED (EXPIRES 3/20/20)		None			Sold	01/15/20	J		
1522. PARTIAL FULFILLMENT OF CONTRACT					Buy	03/10/20	J		
1523. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	03/13/20	J		
1524. CONTRACT CLOSED					Buy (add'l)	03/17/20	J		
1525. ---XSP PUT - CONTRAACT CLOSED (EXP 2/21/20) OPENED IN 2019		None			Buy	01/22/20	J	B	
1526. ---XSP PUT - CONTRACT OPENED (EXPIRES 3/31/20)		None			Sold	01/22/20	J		
1527. PARTIAL FULFILLMENT OF CONTRACT					Buy	03/20/20	K		
1528. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	03/23/20	K		
1529. CONTRACT CLOSED					Buy (add'l)	03/25/20	J		
1530. ---XSP PUT - CONTRACT CLOSED (EXPIRES 2/7/20) OPENED IN 2019		None			Buy	02/05/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1531. ---XSP PUT - CONTRACT OPENED (EXPIRES 4/17/20)		None			Sold	02/05/20	J		
1532. PARTIAL FULFILLMENT OF CONTRACT					Buy	04/03/20	J		
1533. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	04/09/20	J		
1534. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	04/14/20	J		
1535. CONTRACT CLOSED					Buy (add'l)	04/16/20	J		
1536. ---XSP CONTRACT OPENED (EXPIRES 5/15/20)		None			Sold	02/19/20	J		
1537. PARTIAL FULFILLMENT OF CONTRACT					Buy	04/27/20	J		
1538. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	04/29/20	J		
1539. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	05/01/20	J		
1540. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	05/08/20	J		
1541. PARTIAL FULFILLMENT OF CONTRACT					Buy (add'l)	05/11/20	J		
1542. CONTRACT CLOSED					Buy (add'l)	05/13/20	J		
1543. ---XSP CONTRACT OPENED (EXPIRES 4/24/20)		None			Sold	03/10/20	J		
1544. PARTIAL FULFILLMENT OF CONTRACT					Buy	04/20/20	J	B	
1545. CONTRACT CLOSED					Buy (add'l)	04/22/20	J	B	
1546. ---XSP CONTRACT OPENED (EXPIRES 4/24/20)		None			Sold	03/13/20	J		
1547. PARTIAL FULFILLMENT OF CONTRACT					Buy	04/09/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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1548. CONTRACT CLOSED					Buy (add'l)	04/14/20	J	B	
1549. ---XSP CONTRACT OPENED (EXPIRES 6/19/20)		None			Sold	03/17/20	J		
1550. PARTIAL FULFILLMENT OF CONTRACT					Buy	05/05/20	J	C	
1551. CONTRACT CLOSED					Buy (add'l)	05/11/20	J	B	
1552. ---XSP CONTRACT OPENED (EXPIRES 6/19/20)		None			Sold	03/19/20	J		
1553. CONTRACT CLOSED					Buy	05/01/20	J	C	
1554. ---XSP CONTRACT OPENED (EXPIRES 6/30/20)		None			Sold	03/20/20	J		
1555. OPENED ADDITIONAL					Sold (part)	03/23/20	J		
1556. PARTIAL FULFILLMNET OF CONTRACT					Buy	04/29/20	J	C	
1557. CONTRACT CLOSED					Buy (add'l)	05/13/20	J	B	
1558. ---XSP CONTRACT OPENED (EXPIRES 6/30/20)		None			Sold	03/27/20	J		
1559. CONTRACT CLOSED					Buy	05/13/20	J	C	
1560. ---XSP CONTRACT OPENED (EXPIRES 5/22/20)		None			Sold	04/03/20	J		
1561. CONTRACT CLOSED					Buy	04/27/20	J	B	
1562. ---XSP CONTRACT OPENED (EXPIRES 5/22/20)		None			Sold	04/09/20	J		
1563. CONTRACT CLOSED					Buy	05/08/20	J	B	
1564. ---XSP CONTRACT OPENED (EXPIRES 7/17/20)		None			Sold	04/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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1565. CONTRACT CLOSED					Buy	06/30/20	J	C	
1566. ---XSP CONTRACT OPENED (EXPIRES 5/29/20)		None			Sold	04/20/20	J		
1567. CONTRACT CLOSED					Buy	05/27/20	J	B	
1568. ---XSP CONTRACT OPENED (EXPIRES 7/17/20)		None			Sold	04/22/20	J		
1569. CONTRACT CLOSED					Buy	06/30/20	J	C	
1570. ---XSP CONTRACT OPENED (EXPIRES 6/30/20)		None			Sold	04/27/20	J		
1571. CONTRACT CLOSED					Buy	06/09/20	J	B	
1572. ---XSP CONTRACT OPENED (EXPIRES 6/30/20)		None			Sold	04/29/20	J		
1573. CONTRACT CLOSED					Buy	06/09/20	J	B	
1574. ---XSP CONTRACT OPENED (EXPIRES 6/19/20)		None			Sold	05/01/20	J		
1575. CONTRACT CLOSED					Buy	06/04/20	J	B	
1576. ---XSP CONTRACT OPENED (EXPIRES 8/21/20)		None			Sold	05/05/20	J		
1577. CONTRACT CLOSED					Buy	07/13/20	J	B	
1578. ---XSP CONTRACT OPENED (EXPIRES 8/21/20)		None			Sold	05/08/20	J		
1579. CONTRACT CLOSED					Buy	07/20/20	J	C	
1580. ---XSP CONTRACT OPENED (EXPIRES 7/31/20)		None			Sold	05/11/20	J		
1581. CONTRACT CLOSED					Buy	07/13/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1582. ---XSP CONTRACT OPENED (EXPIRES 8/31/20)		None			Sold	05/13/20	J		
1583. PARTIAL FULFILLMENT OF CONTRACT					Buy	08/04/20	J	C	
1584. CONTRACT CLOSED					Buy (add'l)	08/17/20	J	C	
1585. ---XSP CONTRACT OPENED (EXPIRES 9/18/21)		None			Sold	06/04/20	J		
1586. CONTRACT CLOSED					Buy	08/31/20	J	B	
1587. ---XSP CONTRACT OPENED (EXPIRES 9/18/20)		None			Sold	06/09/20	J		
1588. CONTRACT CLOSED					Buy	09/03/20	J	B	
1589. ---XSP CONTRACT OPENED (EXPIRES 7/31/20)		None			Sold	06/22/20	J		
1590. CONTRACT CLOSED					Buy	07/20/20	J	B	
1591. ---XSP CONTRACT OPENED (EXPIRES 9/30/20)		None			Sold	06/30/20	J		
1592. CONTRACT CLOSED					Buy	09/03/20	J	C	
1593. ---XSP CONTRACT OPENED (EXPIRES 10/16/20)		None			Sold	07/13/20	J		
1594. CONTRACT CLOSED					Buy	10/08/20	J	B	
1595. ---XSP CONTRACT OPENED (EXPIRES 10/16/20)		None			Sold	07/20/20	J		
1596. CONTRACT CLOSED					Buy	10/13/20	J	B	
1597. ---XSP CONTRACT OPENED (EXPIRES 9/30/20)		None			Sold	08/04/20	J		
1598. CONTRACT CLOSED					Buy	09/28/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1599. ---XSP CONTRACT OPENED (EXPIRES 10/30/20)		None			Sold	08/10/20	J		
1600. CONTRACT CLOSED					Buy	10/26/20	J	B	
1601. ---XSP CONTRACT OPENED (EXPIRES 11/20/20)		None			Sold	08/17/20	J		
1602. CONTRACT CLOSED					Buy	11/10/20	J	B	
1603. ---XSP CONTRACT OPENED (EXPIRES 10/30/20)		None			Sold	08/24/20	J		
1604. CONTRACT CLOSED					Buy	10/29/20	J	B	
1605. ---XSP CONTRACT OPENED (EXPIRES 10/9/20)		None			Sold	08/31/20	J		
1606. CONTRACT CLOSED					Buy	10/08/20	J	B	
1607. ---XSP CONTRACT OPENED (EXPIRES 11/30/20)		None			Sold	09/03/20	J		
1608. CONTRACT CLOSED					Buy	11/17/20	J	C	
1609. ---XSP CONTRACT OPENED (EXPIRES 12/31/20)		None			Sold	09/28/20	J		
1610. CONTRACT CLOSED					Buy	12/09/20	J	B	
1611. ---XSP CONTRACT OPENED (EXPIRES 12/18/20)		None			Sold	09/28/20	J		
1612. CONTRACT CLOSED					Buy	11/30/20	J	B	
1613. ---XSP CONTRACT OPENED (EXPIRES 12/31/20)		None			Sold	10/08/20	J		
1614. CONTRACT CLOSED					Buy	12/09/20	J	A	
1615. ---XSP PUT - CONTRACT OPENED (EXPIRES 1/15/2021)		None			Sold	10/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1616. ---XSP PUT - CONTRACT OPENED (EXPIRES 1/15/2021)		None			Sold	10/13/20	J		
1617. ---XSP PUT - CONTRACT OPENED (EXPIRES 1/30/2021)		None			Sold	10/26/20	J		
1618. ---XSP PUT - CONTRACT OPENED (EXPIRES 1/29/2021)		None			Sold	10/29/20	J		
1619. ---XSP PUT - CONTRACT OPENED (EXPIRES 2/19/2021)		None			Sold	11/10/20	J		
1620. ---XSP PUT - CONTRACT OPENED (EXPIRES 2/26/2021)		None			Sold	11/13/20	J		
1621. ---XSP PUT - CONTRACT OPENED (EXPIRES 1/29/2021)		None			Sold	11/17/20	J		
1622. ---XSP PUT - CONTRACT OPENED (EXPIRES 3/19/2021)		None			Sold	11/30/20	J		
1623. ---XSP PUT - CONTRACT OPENED (EXPIRES 3/31/2021)		None			Sold	12/09/20	J		
1624. CASH/S/T INVESTS/MM ACCTS (LINES 1624-1731) (H)									
1625. GOLDMN SACHS BANK MM/CASH	D	Interest	O	T					
1626. GOLDMN SACHS (PUT) MM/CSH	A	Interest	K	T					
1627. GOLDMAN SACHS BANK MM/CASH (EQTY)	A	Interest			Closed	08/05/20	J		
1628. GOLDMAN SACHS DEPOSIT/CASH #2 (FDN)	A	Interest	J	T					
1629. CITIBANK CHECKING ACCOUNT - FOUNDATION		None	K	T					
1630. CITIBANK INTEREST CHECKING ACCOUNT #1 (FERP)	A	Interest	K	T					
1631. CITIBANK CHECKING ACCOUNT (HH)		None	L	T					
1632. CITI AGENCY CASH/WESTERN ASSET MM FUND (NOTE 14 SECT VIII)	B	Dividend	M	T	Buy (add'l)	01/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1633.					Buy (add'l)	01/08/20	J		
1634.					Buy (add'l)	01/15/20	J		
1635.					Sold (part)	01/15/20	J		
1636.					Buy (add'l)	01/22/20	J		
1637.					Buy (add'l)	01/31/20	J		
1638.					Buy (add'l)	02/03/20	J		
1639.					Buy (add'l)	02/14/20	J		
1640.					Sold (part)	02/14/20	J		
1641.					Buy (add'l)	02/18/20	J		
1642.					Buy (add'l)	02/28/20	J		
1643.					Buy (add'l)	03/01/20	J		
1644.					Buy (add'l)	03/02/20	J		
1645.					Buy (add'l)	03/03/20	L		
1646.					Buy (add'l)	03/06/20	J		
1647.					Buy (add'l)	03/10/20	J		
1648.					Buy (add'l)	03/13/20	J		
1649.					Sold (part)	03/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1650.					Buy (add'l)	03/17/20	K		
1651.					Buy (add'l)	03/18/20	K		
1652.					Sold (part)	03/18/20	L		
1653.					Buy (add'l)	03/23/20	J		
1654.					Sold (part)	03/25/20	K		
1655.					Buy (add'l)	03/26/20	J		
1656.					Buy (add'l)	03/27/20	J		
1657.					Buy (add'l)	03/31/20	J		
1658.					Sold (part)	03/31/20	L		
1659.					Buy (add'l)	04/07/20	J		
1660.					Buy (add'l)	04/14/20	N		
1661.					Sold (part)	04/14/20	N		
1662.					Buy (add'l)	04/21/20	L		
1663.					Sold (part)	04/21/20	K		
1664.					Buy (add'l)	04/22/20	J		
1665.					Buy (add'l)	04/30/20	J		
1666.					Buy (add'l)	05/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1667.					Sold (part)	05/14/20	J		
1668.					Buy (add'l)	05/15/20	J		
1669.					Sold (part)	05/21/20	K		
1670.					Buy (add'l)	06/01/20	J		
1671.					Buy (add'l)	06/05/20	J		
1672.					Buy (add'l)	06/09/20	J		
1673.					Buy (add'l)	06/10/20	J		
1674.					Sold (part)	06/12/20	J		
1675.					Buy (add'l)	06/17/20	M		
1676.					Sold (part)	06/17/20	M		
1677.					Buy (add'l)	06/18/20	J		
1678.					Buy (add'l)	06/23/20	J		
1679.					Sold (part)	06/25/20	K		
1680.					Buy (add'l)	06/26/20	J		
1681.					Buy (add'l)	06/29/20	L		
1682.					Sold (part)	06/29/20	K		
1683.					Buy (add'l)	06/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1684.					Buy (add'l)	07/07/20	J		
1685.					Sold (part)	07/14/20	J		
1686.					Buy (add'l)	07/22/20	J		
1687.					Buy (add'l)	07/31/20	J		
1688.					Buy (add'l)	08/03/20	J		
1689.					Buy (add'l)	08/14/20	J		
1690.					Buy (add'l)	08/17/20	J		
1691.					Buy (add'l)	08/19/20	M		
1692.					Sold (part)	08/19/20	L		
1693.					Buy (add'l)	09/01/20	J		
1694.					Buy (add'l)	09/04/20	J		
1695.					Buy (add'l)	09/08/20	J		
1696.					Buy (add'l)	09/10/20	J		
1697.					Sold (part)	09/15/20	J		
1698.					Buy (add'l)	09/17/20	J		
1699.					Buy (add'l)	09/22/20	J		
1700.					Buy (add'l)	09/25/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1701.					Sold (part)	09/25/20	K		
1702.					Buy (add'l)	09/30/20	J		
1703.					Buy (add'l)	10/07/20	J		
1704.					Buy (add'l)	10/12/20	N		
1705.					Sold (part)	10/12/20	N		
1706.					Buy (add'l)	10/14/20	J		
1707.					Sold (part)	10/15/20	J		
1708.					Buy (add'l)	10/21/20	L		
1709.					Sold (part)	10/21/20	L		
1710.					Buy (add'l)	10/28/20	J		
1711.					Buy (add'l)	10/31/20	J		
1712.					Buy (add'l)	11/02/20	J		
1713.					Sold (part)	11/13/20	J		
1714.					Buy (add'l)	11/16/20	J		
1715.					Buy (add'l)	11/17/20	J		
1716.					Sold (part)	11/17/20	K		
1717.					Buy (add'l)	12/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1718.					Buy (add'l)	12/04/20	J		
1719.					Buy (add'l)	12/10/20	J		
1720.					Sold (part)	12/14/20	J		
1721.					Sold (part)	12/15/20	J		
1722.					Buy (add'l)	12/17/20	J		
1723.					Buy (add'l)	12/21/20	J		
1724.					Buy (add'l)	12/24/20	J		
1725.					Sold (part)	12/28/20	K		
1726.					Buy (add'l)	12/31/20	J		
1727. CITIBANK ASSET ACCOUNT		None	J	T					
1728. CITIBANK MONEY MARKET PLUS	D	Interest	P2	T					
1729. CITIBANK INTEREST CHECKING ACCOUNT #2 (KATNH)	A	Interest	J	T					
1730. CITIBANK CHECKING ACCOUNT (REV TR)	A	Interest	J	T					
1731. UBS BANK USA DEPOSIT ACCOUNT (SEE NOTE 8 IN SECT VIII)		None	J	T					
1732. MORGAN STANLEY BANK/TRUST DEPOSITS #3 (ROTH IRA)	A	Interest	J	T					
1733. FDN BROKERAGE #1 (NOTE 16 IN SECT VIII) (LINES 1733-1743)(H)									
1734. ---AMAZON.COM COMMON STOCK (NOTE 23 SECT VIII) (X)		None			Sold	07/15/20	L	F	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1735. ---AMAZON.COM COMMON STOCK (NOTE 23 SECT VIII) (X)		None			Sold	12/09/20	L	F	
1736. ---BLACKROCK, INC COMMON STOCK (X)		None			Sold	12/09/20	K	E	
1737. ---DATADOG INC COMMON STOCK (X)		None			Sold	09/04/20	K	E	
1738. ---DOCUSIGN COMMON STOCK (X)		None			Sold	09/04/20	L	E	
1739. ---JOHNSON & JOHNSON COMMON STOCK (NOTE 23 SECT VIII)	A	Dividend			Sold	02/25/20	K	E	
1740. ---JOHNSON & JOHNSON COMMON STOCK (NOTE 23 SECT VIII)(X)		None			Sold	04/22/20	M	G	
1741. ---JOHNSON & JOHNSON COMMON STOCK (NOTE 23 SECT VIII) (X)		None			Sold	12/09/20	K	E	
1742. ---MONGODB, INC COMMON STOCK (NOTE 23 SECT VIII) (X)		None			Sold	04/17/20	M	F	
1743. ---MONGODB, INC COMMON STOCK (NOTE 23 SECT VIII) (X)		None			Sold	09/04/20	L	E	
1744. ---PAYPAL HOLDINGS, INC COMMON STOCK (X)		None			Sold	12/09/20	L	E	
1745. FDN BRKG ACCT #2 (LINES 1745-1829) (NOTE 16 SECT VIII) (H)									
1746. ---MORGAN STANLEY BANK/TRUST #2 (CASH/MM)	A	Interest	M	T					
1747. COMMON STOCKS AND FUNDS (LINES 1747-1829) (H)									
1748. ---AIMMUNE THERAPEUTICS INC COMMON STOCK		None			Sold	09/16/20	M	E	
1749. ---ALIBABA GROUP HLDG LTD		None	M	T	Buy	12/29/20	M		
1750. ---ALLIANCE BERNSTEIN INCOME ADV FUND	C	Dividend			Sold (part)	03/23/20	L		
1751.					Sold	08/27/20	L	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1752. ---ALPHABET INC COMMON STOCK		None	M	T	Sold (part)	12/03/20	L	E	
1753. ---AMAZON COM INC COMMON STOCK		None	M	T	Sold (part)	12/03/20	L	E	
1754. ---AMERICAN CAMPUS CMMTYS INC COMMON STOCK	B	Dividend	M	T	Buy	08/27/20	J		
1755.					Buy (add'l)	08/28/20	K		
1756.					Buy (add'l)	08/31/20	L		
1757.					Buy (add'l)	09/01/20	L		
1758. ---APOLLO GLOBAL MANAGEMENT INC COMMON STOCK	A	Dividend			Buy	11/02/20	K		
1759.					Sold	12/29/20	L	D	
1760. ---ARES CAPITAL CORP COMMON STOCK	D	Dividend	M	T	Buy (add'l)	03/26/20	K		
1761.					Buy (add'l)	03/27/20	K		
1762. ---BLACKSTONE MTG TRUST INC COMMON STOCK	C	Dividend	M	T	Buy	07/09/20	L		
1763.					Buy (add'l)	08/21/20	L		
1764. ---BOOKING HOLDINGS INC		None	M	T	Buy	10/05/20	L		
1765. ---CARNIVAL CP NEW PAIRED COM		None	L	T	Buy	12/03/20	L		
1766. ---CIGNA CORP COMMON STOCK (SEE NOTE 23 IN SECT VIII)	A	Dividend	M	T	Sold	03/23/20	L		
1767.					Buy	10/21/20	L		
1768. ---CITIGROUP INC COMMON STOCK	B	Dividend	M	T	Buy	09/16/20	L		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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1769. ---COMCAST CORP COMMON STOCK	B	Dividend			Sold	11/11/20	M	E	
1770. ---CORBUS PHARMACEUTICALS HLDGS		None			Sold	09/08/20	K		
1771. ---CROWN CASTLE INTL CORP COMMON STOCK	C	Dividend			Sold (part)	08/27/20	K	E	
1772.					Sold	12/03/20	L	E	
1773. ---DANAHER CORP COMON STOCK	A	Dividend	M	T					
1774. ---E V ADV FLOATING RATE I FUND	C	Dividend			Sold (part)	08/04/20	K		
1775.					Sold	08/27/20	K		
1776. ---EV SHT DURATION GOVT INC FUND	A	Dividend			Sold	08/12/20	K		
1777. ---ESTEE LAUDER CO INC COMMON STOCK	A	Dividend	M	T	Buy	08/27/20	L		
1778. ---FACEBOOK INC COMMON STOCK		None	M	T	Sold (part)	12/03/20	L	E	
1779. ---FALCON MINERALS CORP COMMON STOCK	C	Dividend	L	T					
1780. ---FEDERATED GOVT OBLIG ADV	A	Dividend			Buy	02/03/20	J		
1781.					Sold	08/04/20	J		
1782. ---FIDELITY ADV HEALTH CARE MUTUAL FUND	D	Dividend	M	T	Buy	03/06/20	M		
1783. ---FIRST TRUST SENIOR LOAN FUND	B	Dividend			Sold	08/27/20	L		
1784. ---FRANKLIN INTL GROWTH ADV MUTUAL FUND	B	Dividend	L	T	Buy	08/04/20	L		
1785. ---GOLUB CAPITAL BDC INC COMMON STOCK	C	Dividend	M	T	Buy	08/27/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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1786. ---GQG PARTNERS EMRG MKTS EQ INS MTL FND (NOTE 23 SECT VIII)	A	Dividend	M	T	Sold	04/20/20	M		
1787.					Buy	08/27/20	L		
1788. ---HONEYWELL INTERNATIONAL INC COMMON STOCK	A	Dividend			Sold	03/20/20	L	D	
1789. ---HP WORLDQUANT - FOUNDERS ESC HDGE FUND		None	N	T					
1790. ---IQVIA HOLDINGS COMMON STOCK		None			Sold	03/23/20	L		
1791. ---ISHARES CORE S&P US GROWTH FUND	B	Dividend	M	T	Buy	03/27/20	M		
1792. ---ISHARES EDGE MSCI MUL INTL ETF		None			Sold	03/23/20	L		
1793. ---ISHARES EDGE MSCI US MOMENTUM FUND	B	Dividend	M	T					
1794. ---ISHARES EDGE MSCI US QLTY FAC	C	Dividend	M	T	Buy (add'l)	03/30/20	K		
1795. ---ISHARES FACTORSELECT MSCI EM FUND		None			Sold	03/23/20	L		
1796. ---ISHARES MSCI EAFE GROWTH ETF		None			Sold	03/23/20	K		
1797. ---ISHARES S&P MIDCAP 400 INDEX FUND (NOTE 23 SECT VIII)	B	Dividend	M	T	Sold	03/23/20	L		
1798.					Buy	05/27/20	M		
1799. ---JPMORGAN CHASE & CO COMMON STOCK	B	Dividend	M	T	Buy	05/27/20	L		
1800. ---KINDER MORGAN INCORP COMMON STOCK	A	Dividend			Sold	02/27/20	L	D	
1801. ---KRANESH BOSERA MSCI CHINA	A	Dividend	L	T	Sold (part)	11/02/20	K	C	
1802. ---MICROSOFT CORP COMMON STOCK	B	Dividend	M	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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1803. ---NORWEGIAN CRUISE LINE HLDG LTD		None	L	T	Buy	12/03/20	L		
1804. ---OAKTREE SPEACILTY LENDING CORP COMMON STOCK	D	Dividend	M	T	Buy	03/26/20	K		
1805.					Buy (add'l)	03/27/20	K		
1806.					Buy (add'l)	03/30/20	K		
1807. ---ONEOK INC COMMON STOCK	B	Dividend			Sold	03/23/20	K		
1808. ---PENNANTPARK FLTG RATE CAP LTD COMMON STOCK	D	Dividend	L	T					
1809. ---PENNANTPARK INVESTMENT CORP COMMON STOCK	D	Dividend	K	T					
1810. ---PLAINS GP HOLDINGS LP COMMON STOCK	B	Distribution			Sold	02/27/20	K		
1811. ---INVESCO INTL BUYBACK ACH	A	Dividend			Sold	03/23/20	L		
1812. ---PROSHARES TR S&P 500 DV ARIST FUND	C	Dividend	M	T					
1813. ---RAYTHEON CO/RAYTHEON TECH		None			Buy	03/30/20	L		
1814.					Sold	05/11/20	L		
1815. ---SALESFORCE.COM, INC COMMON STOCK		None	M	T					
1816. ---SLR INVESTMENT CORP (FKA SOLAR CAPITAL LTD) COMMON STOCK	D	Dividend	M	T	Buy (add'l)	03/26/20	K		
1817. ---STARWOOD PROPERTY TRUST INC COMMON STOCK	D	Dividend			Buy (add'l)	03/27/20	K		
1818.					Sold	08/21/20	L		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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1819. ---STRYKER CORP COMMON STOCK	A	Dividend	M	T	Buy	03/30/20	L		
1820. ---THERMO FISHER SCIENTIFIC COMMON STOCK	A	Dividend	L	T	Sold (part)	03/23/20	L	E	
1821. ---UNITEDHEALTH GP INC COMMON STOCK	B	Dividend	M	T	Buy	03/20/20	M		
1822. ---VANGUARD INFO TECH ETF	B	Dividend	M	T	Sold (part)	08/27/20	L	E	
1823. ---VERIZON COMMUNICATIONS COMMON STOCK	D	Dividend			Sold (part)	08/27/20	L	E	
1824.					Sold	10/21/20	M	D	
1825. ---VISA INC COMMON STOCK	B	Dividend	N	T					
1826. ---WALT DISNEY CO HLDG CO COMMON STOCK	A	Dividend			Sold	02/25/20	M	E	
1827. ---WELLS FARGO & CO COMMON STOCK		None	M	T	Buy	11/11/20	L		
1828. ---WISDOMTREE SMALL CAP DIV FUND	A	Dividend			Sold	03/06/20	L	D	
1829. ---WISDOMTREE TR CHINA DIV EX FI FUND	A	Dividend			Sold	08/27/20	L	E	
1830. ---WISDOMTREE TRUST MDCP EARN FUND		None			Sold	03/12/20	L		
1831. NON-PUBLICS (NOTES 5 & 7 IN SECT VIII) (LNES 1831-1950) (H)									
1832. ELGIN CAPITAL (SEE NOTE 1 IN SECT VIII)		None	K	W					
1833. CENTERBRIDGE CREDIT PARTNERS (SEE NOTE 1 IN SECT VIII)		None	M	U	Distributed (part)	01/30/20	K		
1834.					Distributed (part)	03/30/20	K		
1835.					Distributed (part)	10/30/20	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1836. TAMPA BAY RAYS (SEE NOTE 1 IN SECT VIII)	H1	Distribution	O	W	Distributed (part)	07/10/20	N		
1837. OFFIT CAPITAL ADVISORS (SEE NOTE 1 IN SECTION VIII)	F	Distribution	M	W	Distributed (part)	06/15/20	L		
1838. SUMMERFIELD ROTOR HSI (SEE NOTE 1 IN SECT VIII)	A	Distribution	N	W					
1839. WHOLESOME FOODS (SEE NOTE 1 IN SECTION VIII)		None	O	W					
1840. IRVING PLACE CAPITAL II (SEE NOTE 9 IN SECT VIII)		None			Distributed	12/16/20	J		
1841. BLACKSTONE REAL ESTATE VI & VIII (NOTE 9 IN SECT VIII)	G	Distribution	P1	W	Buy (add'l)	02/14/20	J		
1842.					Distributed (part)	03/02/20	K		
1843.					Distributed (part)	03/03/20	L		
1844.					Buy (add'l)	04/02/20	L		
1845.					Buy (add'l)	04/16/20	J		
1846.					Buy (add'l)	05/12/20	J		
1847.					Distributed (part)	05/22/20	J		
1848.					Distributed (part)	06/01/20	J		
1849.					Distributed (part)	06/02/20	J		
1850.					Distributed (part)	06/24/20	J		
1851.					Distributed (part)	07/17/20	K		
1852.					Distributed (part)	08/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1853.					Distributed (part)	09/02/20	K		
1854.					Buy (add'l)	10/29/20	J		
1855.					Distributed (part)	11/30/20	J		
1856.					Distributed (part)	12/02/20	O		
1857. CENTERVIEW CAPITAL (SEE NOTE 9 IN SECT VIII)	F	Distribution	O	W					
1858. DGNL VENTURES (SEE NOTE 9 IN SECT VIII)		None	M	W	Buy (add'l)	08/20/20	J		
1859. ELGIN CAPITAL PARTNERS II (SEE NOTE 9 IN SECT VIII)	G	Distribution	P1	W					
1860. FIRST NECK SELF STORAGE LP (SEE NOTE 9 IN SECT VIII)		None	N	W	Distributed (part)	01/23/20	J		
1861.					Buy (add'l)	02/03/20	J		
1862.					Distributed (part)	02/24/20	J		
1863.					Buy (add'l)	03/05/20	K		
1864.					Distributed (part)	04/30/20	J		
1865.					Distributed (part)	05/29/20	J		
1866.					Distributed (part)	06/30/20	J		
1867.					Distributed (part)	07/30/20	J		
1868.					Distributed (part)	08/25/20	J		
1869.					Distributed (part)	09/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1870.					Distributed (part)	10/30/20	J		
1871.					Distributed (part)	11/25/20	J		
1872.					Distributed (part)	12/23/20	K		
1873. GEMSPRING CAPITAL (SEE NOTE 9 IN SECTION VIII)	H1	Distribution	P1	W	Distributed (part)	04/22/20	K		
1874.					Buy (add'l)	04/22/20	M		
1875.					Buy (add'l)	05/27/20	N		
1876.					Buy (add'l)	06/25/20	N		
1877.					Buy (add'l)	09/24/20	M		
1878.					Distributed (part)	12/17/20	P1		
1879. HIGHCAPE PARTNERS (SEE NOTE 9 IN SECT VIII)	E	Distribution	P1	W	Distributed (part)	01/09/20	N		
1880.					Buy (add'l)	12/21/20	M		
1881.					Distributed (part)	12/29/20	M		
1882. KAYNE ANDERSON (SEE NOTE 9 IN SECT VIII)	A	Distribution	K	W					
1883. KITCHEN FUND (SEE NOTE 9 IN SECT VIII)		None	N	W					
1884. VESTAR CAPL PRTNRS/ASSC IV/AIV (SEE NOTE 9 SECT VIII)		None	M	W	Distributed (part)	02/10/20	J		
1885. VESTAR CAP/ASSOC V (SEE NOTE 9 IN SECT VIII)	F	Distribution	M	W	Distributed (part)	03/30/20	K		
1886.					Distributed (part)	04/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1887.					Distributed (part)	10/13/20	N		
1888. VESTAR ASSOCIATES, VI (SEE NOTE 18 IN SECT VIII)	E	Distribution			Distributed	11/18/20	K		
1889. RAZOR'S EDGE FUND (SEE NOTE 9 IN SECT VIII)	A	Distribution	P1	W					
1890. RAZOR'S EDGE FUND II (SEE NOTE 9 IN SECT VIII)	B	Distribution	P1	W	Buy (add'l)	03/26/20	L		
1891.					Buy (add'l)	09/21/20	M		
1892. RED ABBEY (SEE NOTE 9 IN SECT VIII)	B	Distribution	J	W	Distributed (part)	02/07/20	J		
1893. SENTINEL CAPITAL IV (SEE NOTE 10 IN SECT VIII)		None							
1894. SENTINEL CAPITAL V (SEE NOTE 10 IN SECT VIII)		None							
1895. SENTINEL CAPITAL VI (SEE NOTE 10 IN SECT VIII)	E	Distribution							
1896. ALTIMA DENTAL (SEE NOTE 15 IN SECT VIII)		None	N	W	Buy (add'l)	08/10/20	K		
1897. CABI HOLDING (SEE NOTE 15 IN SECT VIII)		None	N	W					
1898. APEX COMPANIES (SEE NOTE 15 IN SECT VIII)		None	O	W					
1899. CAPTAIN D'S (SEE NOTE 15 IN SECT VIII)		None	P1	W					
1900. COLSON GROUP HOLDINGS (SEE NOTE 15 IN SECTION VIII)		None	O	W					
1901. CORPORATE VISIONS (SEE NOTE 15 IN SECT VIII)		None	N	W					
1902. AIRBOSS DEFENSE (FKA CRITICAL SOLUTIONS) (NOTE 15 SECT VIII)		None	N	W	Distributed (part)	03/31/20	J		
1903.					Distributed (part)	11/19/20	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1904. ECM INDUSTRIES (SEE NOTE 15 IN SECT VIII)		None	P1	W	Buy (add'l)	05/04/20	O		
1905. HOLLEY (FKA DRIVEN PERF BRANDS (SEE NOTE 15 IN SECT VIII)		None	P1	W					
1906. FAZOLI GROUP (SEE NOTE 15 IN SECT VIII)		None	N	W					
1907. GSM OUTDOOR (SEE NOTE 15 IN SECT VIII)		None			Sold	12/01/20	P1	H1	GRIDIRON CAPITAL
1908. HOLLANDER (SEE NOTES 11 & 24 SECT VIII)		None			Distributed	01/01/20	J	B	
1909. HUDDLE HOUSE (SEE NOTE 11 IN SECT VIII)		None			Distributed (part)	03/30/20	J	D	
1910.					Distributed	11/19/20	J	D	
1911. LUMINAIRES (SEE NOTES 11 & 24 IN SECT VIII)		None			Distributed (part)	01/01/20	J	D	
1912.					Distributed	03/31/20	J	D	
1913. MARKETPLACE EVENTS (SEE NOTES 15 & 24 IN SECT VIII)		None			Buy (add'l)	01/01/20	K		
1914. MB2 DENTAL (SEE NOTE 15 IN SECT VIII)		None	P1	W	Buy (add'l)	12/01/20	L		
1915. MOBILE COMMUNICATIONS (SEE NOTE 15 IN SECT VIII)		None	O	W					
1916. NEKOOSA (SEE NOTE 15 IN SECT VIII)		None	M	W					
1917. NEW ERA TECHNOLOGIES (SEE NOTE 15 IN SECT VIII)		None	O	W	Buy (add'l)	04/16/20	O		
1918. NEWK'S HOLDINGS (SEE NOTE 15 IN SECT VIII)		None	M	W					
1919. PET SUPPLIES PLUS (SEE NOTE 15 IN SECT VIII)		None	P1	W	Distributed (part)	03/31/20	J		
1920. PLAYCORE (SEE NOTE 11 IN SECT VIII)		None			Distributed	12/01/20	J	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1921. QUICK WEIGHT LOSS CENTERS (SEE NOTE 15 IN SECT VIII) (Y)									
1922. REVENEW INTERNATIONAL (SEE NOTES 15 & 24 IN SECT VIII)		None	N	W	Buy (add'l)	01/01/20	K		
1923. SONNY'S ENTERPRISES (SEE NOTE 15 IN SECT VIII)		None			Buy (add'l)	01/01/20	J		
1924.					Sold	08/10/20	P1	H1	GENSTAR
1925.					Distributed (part)	09/30/20	K	E	
1926.					Distributed	12/01/20	J	D	
1927. NEW YOU BARIATRIC (FKA SSJA BARIATRIC) (NOTE 15 SECT VIII)		None	N	W	Distributed (part)	09/30/20	J		
1928. TOTAL MILITARY MANAGEMENT (SEE NOTE 15 IN SECT VIII)		None	O	W					
1929. UBEO (SEE NOTE 15 IN SECT VIII)		None	N	W	Distributed (part)	06/30/20	K		
1930.					Distributed (part)	09/30/20	J		
1931. QUINTANA ENERGY PARTNERS (SEE NOTE 9 IN SECT VIII)		None	K	W	Distributed (part)	09/09/20	J		
1932. TINICUM LP (SEE NOTES 9 & 24 IN SECT VIII)	G	Distribution	P1	W	Buy (add'l)	01/01/20	K		
1933.					Buy (add'l)	02/27/20	J		
1934.					Distributed (part)	02/27/20	P1		
1935.					Buy (add'l)	04/02/20	L		
1936.					Buy (add'l)	07/13/20	J		
1937.					Distributed (part)	07/13/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1938.					Distributed (part)	10/02/20	L		
1939.					Buy (add'l)	12/18/20	J		
1940.					Distributed (part)	12/18/20	M		
1941.					Buy (add'l)	12/23/20	N		
1942. 3G KRAFT HEINZ (NOTE 9 IN SECT VIII)	G	Distribution	P1	W	Distributed (part)	03/27/20	K		
1943.					Distributed (part)	06/26/20	K		
1944.					Distributed (part)	09/25/20	K		
1945.					Distributed (part)	12/18/20	K		
1946. 3G FUND IV (SEE NOTE 9 IN SECT VIII)	F	Distribution	P1	W	Distributed (part)	03/30/20	K		
1947.					Distributed (part)	06/30/20	K		
1948.					Distributed (part)	09/25/20	K		
1949.					Distributed (part)	12/18/20	K		
1950. VALINOR LP (SEE NOTE 9 IN SECT VIII)	G	Distribution	O	W	Distributed (part)	07/15/20	N		
1951.					Distributed (part)	09/21/20	J		
1952. OTHER INVESTMENTS/NOTES RECEIVABLES (LINES 1952-2000) (H)									
1953. AMBULNZ LLC		None	O	W					
1954. CSM VININGS (FKA ATLANTA VININGS) (NOTE 1 SECT VIII)		None	O	W	Distributed (part)	01/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1955.					Distributed (part)	02/14/20	J		
1956.					Distributed (part)	03/13/20	J		
1957.					Distributed (part)	04/15/20	J		
1958.					Distributed (part)	05/15/20	J		
1959.					Distributed (part)	06/15/20	J		
1960.					Distributed (part)	07/15/20	J		
1961.					Distributed (part)	08/14/20	J		
1962.					Distributed (part)	09/15/20	J		
1963.					Distributed (part)	10/15/20	J		
1964.					Distributed (part)	11/13/20	J		
1965.					Distributed (part)	12/15/20	J		
1966. BLACKSTONE REIT	G	Dividend	P1	U					
1967. BLUE LOTUS INVESTMENT FUND (SEE NOTE 1 IN SECT VIII)	B	Distribution	O	U					
1968. BLUEPRINT BALTIMORE OPPTY ZONE FUND (NOTE 1 SECT VIII)	C	Distribution	P1	U					
1969. BLUEPRINT TEXAS OPPTUNITY ZONE FUND (NOTE 1 SECT VIII)	G	Distribution	P1	U	Distributed (part)	03/15/20	N		
1970.					Buy (add'l)	03/15/20	N		
1971.					Distributed (part)	07/15/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1972. CEDAR ROCK CAPITAL PARTNERS (SEE NOTE 1 IN SECT VIII)	G	Distribution			Sold	12/08/20	P2	H1	
1973. CHEETAH MEDICAL DIRECT (SOLD IN PRIOR YEAR/ESCROW DISTRIB)		None			Distributed	10/07/20	J	D	
1974. GOLD BULLION		None	O	T					
1975. F. E. RICHARDSON & CO (SEE NOTES 1 & 13 IN SECT VIII)		None	L	V	Buy (add'l)	01/10/20	K		
1976.					Buy (add'l)	01/31/20	K		
1977.					Buy (add'l)	02/14/20	L		
1978.					Buy (add'l)	03/12/20	K		
1979.					Buy (add'l)	03/26/20	K		
1980.					Buy (add'l)	04/09/20	K		
1981.					Buy (add'l)	04/24/20	L		
1982.					Buy (add'l)	05/21/20	K		
1983.					Buy (add'l)	05/29/20	M		
1984.					Buy (add'l)	06/11/20	K		
1985.					Buy (add'l)	06/30/20	L		
1986.					Buy (add'l)	08/05/20	L		
1987.					Buy (add'l)	08/27/20	L		
1988.					Buy (add'l)	09/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1989.					Buy (add'l)	10/02/20	K		
1990.					Buy (add'l)	11/05/20	L		
1991.					Buy (add'l)	12/04/20	L		
1992. OVERLOOK PARTNERS (SEE NOTE 1 IN SECT VIII)	G	Distribution	P1	U					
1993. OVERLOOK 3G PARTNERS (SEE NOTE 1 IN SECT VIII)	E	Distribution	O	U					
1994. BLACK LYNX (AKA RE SIDECAR 2) (SEE NOTE 1 IN SECT VIII)		None	K	U					
1995. KF CHECKMATE (NOTE 1 SECT VIII)		None	M	U	Buy	01/27/20	M		
1996. GOSECURE (FKA COUNTER TACK) (AKA RE 6)(NOTE 1 IN SECT VIII)		None	N	U	Buy (add'l)	06/01/20	M		
1997. RYFT (AKA RE SIDECAR 2) (SEE NOTE 1 IN SECT VIII)		None	O	U					
1998. WHALE ROCK FLAGSHIP FUND (NOTE 1 IN SECT VIII)		None	P1	U					
1999. [redacted] PERSONAL LOAN - NOTE RECEIVABLE		None			Redeemed	05/31/20	K		
2000. [redacted] PERSONAL LOAN - NOTE RECEIVABLE	A	Interest	K	U					
2001. [redacted] PERSONAL LOAN - NOTE RECEIVABLE		None			Redeemed	05/31/20	J		
2002. TRUST #1 (LINES 2002-2015) (SEE NOTES 1 & 2 SECT VIII)(H)									
2003. ---CITI TRUST CHECKING ACCOUNT (2010)	A	Interest	K	T					
2004. ---GOLDMAN SACHS BANK DEPOSIT/ CASH #4	A	Interest	K	T					
2005. ---ABB-VIE INC. COMMON STOCK	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2006. ---ALIBABA GROUP HOLDING LIMITED COMMON STOCK		None	M	T	Buy (add'l)	01/28/20	L		
2007. ---AMAZON.COM INC COMMON STOCK		None	N	T	Sold (part)	06/05/20	L	F	
2008. ---BLACKROCK INC COMMON STOCK	C	Dividend	M	T					
2009. ---CHUBB LTD COMMON		None			Sold	01/09/20	M	E	
2010. ---ENTERPRISE PRODUCTS		None			Sold	01/09/20	K	D	
2011. ---FACEBOOK INC COMMON STOCK		None	M	T					
2012. ---HOME DEPOT, INC COMMON STOCK	D	Dividend	N	T	Buy (add'l)	01/28/20	L		
2013. ---JOHNSON & JOHNSON COMMON STOCK	C	Dividend	M	T					
2014. ---MASTERCARD INC COMMON STOCK	B	Dividend	N	T					
2015. ---MICROSOFT CORPORATION COMMON STOCK	B	Dividend	M	T					
2016. ---UNITEDHEALTH GROUP INC COMMON STOCK	B	Dividend	M	T					
2017. TRUST #1B (LINES 2017-2079) (NOTES 2 & 5 IN SECT VIII) (H)									
2018. ---CITI TRUST CHECKING ACCOUNT (2012)		None	J	T					
2019. ---FARALLON CAPITAL (SEE NOTE 9 IN SECT VIII)		None	M	W	Distributed (part)	02/21/20	J		
2020.					Distributed (part)	05/19/20	J		
2021.					Distributed (part)	08/17/20	J		
2022.					Distributed (part)	11/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2023. ---VESTAR III (SEE NOTE 9 IN SECT VIII)		None			Distributed	12/14/20	J		
2024. ---TD AMERITRADE MONEY MARKET/ CASH	A	Interest	N	T					
2025. ---ADOBE INC COMMON STOCK		None	N	T	Buy (add'l)	10/26/20	J		
2026.					Buy (add'l)	10/30/20	K		
2027. ---ALIBABA GROUP HOLDING LTD		None	L	T	Buy	03/25/20	K		
2028.					Buy (add'l)	05/07/20	K		
2029. ---ALPHABET INC COMMON STOCK		None	N	T	Sold (part)	04/29/20	J	B	
2030. ---AMAZON.COM INC COMMON STOCK		None	M	T	Sold (part)	07/09/20	K	E	
2031.					Sold (part)	07/14/20	K	D	
2032. ---BERKSHIRE HATHAWAY INC CL B COMMON STOCK		None			Sold (part)	02/06/20	J	C	
2033.					Sold	09/08/20	M	E	
2034. ---BLACKSTONE GROUP INC	C	Dividend	M	T	Buy	03/09/20	M		
2035.					Buy (add'l)	03/17/20	J		
2036.					Buy (add'l)	03/25/20	J		
2037.					Buy (add'l)	03/26/20	J		
2038. ---CROWDSTRIKE HOLDINGS COMMON STOCK		None			Buy (add'l)	03/06/20	K		
2039.					Sold	03/17/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2040. ---DOMINOS PIZZA INC COMMON STOCK	B	Dividend	M	T	Sold (part)	02/20/20	K	E	
2041. ---HCA HEALTHCARE INC COMMON STOCK	A	Dividend	N	T	Buy (add'l)	03/25/20	J		
2042.					Buy (add'l)	05/14/20	K		
2043.					Sold (part)	07/29/20	J	A	
2044. ---HOME DEPOT INC		None			Buy	03/25/20	K		
2045.					Sold	05/18/20	K	D	
2046. ---HONEYWELL INTL INC COMMON STOCK	D	Dividend	N	T	Buy (add'l)	03/26/20	J		
2047.					Buy (add'l)	05/14/20	K		
2048. ---JOHNSON & JOHNSON COMMON STOCK	B	Dividend	L	T	Buy (add'l)	03/23/20	J		
2049. ---L3 HARRIS TECHNOLOGIES INC COMMON STOCK	C	Dividend	M	T	Buy (add'l)	02/28/20	K		
2050.					Buy (add'l)	03/20/20	K		
2051.					Buy (add'l)	03/25/20	J		
2052.					Buy (add'l)	05/07/20	J		
2053.					Buy (add'l)	06/23/20	J		
2054.					Buy (add'l)	07/14/20	K		
2055.					Sold (part)	08/04/20	K		
2056.					Sold (part)	12/28/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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2057. ---LOWES COMPANIES INC COMMON STOCK	A	Dividend			Sold (part)	02/03/20	L	D	
2058.					Sold	02/05/20	L	E	
2059. ---LULULEMON ATHLETICA INC		None	M	T	Buy	06/12/20	M		
2060.					Buy (add'l)	06/23/20	J		
2061.					Buy (add'l)	06/24/20	J		
2062.					Buy (add'l)	09/11/20	K		
2063. ---MASTERCARD INC COMMON STOCK	A	Dividend	L	T	Buy (add'l)	03/26/20	J		
2064. ---MICROSOFT CORP COMMON STOCK	B	Dividend	M	T	Sold (part)	07/09/20	K	E	
2065.					Sold (part)	07/14/20	K	D	
2066. ---PRIME CAP ODYSSEY AGGRESSIVE GROWTH MUTUAL FUND	E	Dividend	N	T	Sold (part)	02/03/20	K	D	
2067.					Sold (part)	02/27/20	K	D	
2068.					Sold (part)	03/17/20	L		
2069. ---TELADOC HEALTH INC		None			Buy	08/06/20	M		
2070.					Buy (add'l)	08/12/20	J		
2071.					Buy (add'l)	08/28/20	K		
2072.					Buy (add'l)	09/03/20	J		
2073.					Buy (add'l)	10/26/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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2074.					Buy (add'l)	10/30/20	J		
2075.					Buy (add'l)	11/09/20	J		
2076.					Sold	12/28/20	M		
2077. ---VANGUARD CONSUMER DISCRETIONARY ETF	C	Dividend	M	T	Sold (part)	01/09/20	K	D	
2078.					Sold (part)	03/17/20	K	B	
2079. ---VANGUARD DIVIDEND APPRECIATION FUND	D	Dividend	N	T					
2080. ---VANGUARD INFORMATION TECHNOLOGY ETF	D	Dividend	O	T					
2081. TRUST #2 (LINES 2081-2093) (SEE NOTE 19 IN SECT VIII) (H)									
2082. ---TD AMERITRADE INSURED DEPOSIT/CASH ACCOUNT	A	Interest	K	T					
2083. ---PRIMECAP ODYSSEY GR FD	D	Dividend	L	T	Sold (part)	01/09/20	J	B	
2084.					Sold (part)	02/03/20	J	C	
2085. ---INVESCO QQQ TRUST SERVICES FUND	A	Dividend	L	T	Buy (add'l)	02/06/20	J		
2086.					Buy (add'l)	03/25/20	J		
2087.					Buy (add'l)	05/14/20	J		
2088.					Buy (add'l)	07/31/20	J		
2089. ---ISHARES CORE S&P MID-CAP ETF	A	Dividend			Sold	03/25/20	K		
2090. ---ISHARES NATL MUNI BND ETF	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2091. ---ISHARES RUSSELL MID-CAP GROWTH ETF	A	Dividend	L	T	Buy (add'l)	03/25/20	J		
2092.					Buy (add'l)	07/31/20	J		
2093. ---SSGA ACTIVE ETF TR SPDR (FKA SPDR DOUBLELINE TOT RETURN)	B	Dividend	K	T	Buy (add'l)	02/06/20	J		
2094. ---VANGUARD DIVIDEND APPRECIATION ETF	B	Dividend	M	T	Buy (add'l)	03/25/20	J		
2095. TRUST #3 (LINES 2095-2129) (SEE NOTE 19 IN SECT VIII) (H)									
2096. ---TD AMERITRADE CASH ACCOUNT	A	Interest	J	T					
2097. ---ADOBE INC COMMON STOCK		None	L	T	Buy (add'l)	03/26/20	J		
2098. ---AMAZON.COM INC COMMON STOCK		None	K	T	Sold (part)	07/15/20	K	D	
2099. ---AMGEN INC COMMON STOCK	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
2100. ---APPLE INC COMMON STOCK	A	Dividend	L	T					
2101. ---CARILLON EAGLE SM CAP MUTL FUND		None			Sold	02/06/20	J	C	
2102. ---FASTLY INC COMMON STOCK		None	K	T	Buy	07/21/20	J		
2103.					Buy (add'l)	07/24/20	J		
2104.					Buy (add'l)	08/06/20	J		
2105.					Buy (add'l)	09/03/20	J		
2106.					Buy (add'l)	09/11/20	J		
2107. ---FIRST TRUST DJ INTERNET COMMON STOCK		None	K	T	Sold (part)	02/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2108. ---ALPHABET INC COMMON STOCK		None	K	T					
2109. ---HOME DEPOT INC COMMON STOCK	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
2110. ---ISHARES S&P MID-CAP ETF	A	Dividend	K	T					
2111. ---ISHARES NATL MUNI BND FUND	B	Dividend	L	T	Buy (add'l)	03/23/20	J		
2112.					Buy (add'l)	07/15/20	J		
2113. ---ISHARES TRUST TIPS BOND ETF	A	Dividend			Buy	04/16/20	J		
2114.					Sold	07/15/20	J	A	
2115. ---ISHARES US MED DVC ETF	A	Dividend	K	T	Buy (add'l)	03/20/20	J		
2116.					Buy (add'l)	03/23/20	J		
2117.					Buy (add'l)	03/26/20	J		
2118. ---ISHARES 0-5 YRS TIPS ETF	A	Dividend	K	T	Buy (add'l)	07/15/20	J		
2119. ---JP MORGAN CHASE & CO COMMON STOCK	A	Dividend	K	T					
2120. ---L3 HARRIS TECHNOLOGIES INC COMMON STOCK	A	Dividend	K	T	Sold (part)	01/28/20	J	A	
2121.					Sold (part)	02/03/20	J	A	
2122.					Buy (add'l)	03/20/20	J		
2123.					Buy (add'l)	03/23/20	J		
2124.					Sold (part)	08/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2125.					Sold (part)	09/10/20	J		
2126.					Buy (add'l)	10/13/20	J		
2127. ---MICROSOFT CORP COMMON STOCK	A	Dividend	L	T	Sold (part)	02/06/20	J	D	
2128.					Sold (part)	07/15/20	J	C	
2129. ---UNITEDHEALTH GROUP INC COMMON STOCK	A	Dividend	K	T					
2130. ---VISA INC COMMON STOCK	A	Dividend	K	T	Buy (add'l)	10/13/20	J		
2131. TRUST #4 (SEE NOTES 2 & 5 IN SECT VIII) (H)									
2132. ---PARK AVENUE EQUITY LP (SEE NOTES 6 & 9 IN SECT VIII)		None	J	V					
2133. TRUST #5 (NOTES 2 & 5 IN SECT VIII) (LINES 2133-2141) (H)									
2134. ---TD AMERITRADE DEPOSIT ACCOUNT/CASH	A	Interest	J	T					
2135. ---INVESCO ETF TAXABLE MUN BD	A	Dividend	K	T	Buy	03/25/20	K		
2136. ---INVESCO QQQ ETF	A	Dividend	M	T	Sold (part)	02/06/20	J	B	
2137. ---NEXTERA ENERGY INC COMMON STOCK	A	Dividend	K	T	Buy (add'l)	03/23/20	J		
2138. ---PARK AVENUE EQUITY LP (SEE NOTES 6 & 9 IN SECT VIII)		None	J	V					
2139. ---PIMCO ETF TRUST ACTIVE BD ETF	A	Dividend	K	T	Buy	06/02/20	K		
2140. ---SSGA ACTIVE ETF (FKA SPDR DOUBELINE TOTAL RETURN)	A	Dividend			Sold	03/18/20	L		
2141. ---VANGUARD DIVIDEND APPRECIATION ETF	B	Dividend	L	T	Sold (part)	02/06/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2142. --- VANGUARD TOTAL STOCK MARKET ETF	B	Dividend	L	T	Buy (add'l)	03/26/20	J		
2143. TRUST #6 (NOTES 2 & 5 IN SECT VIII) (LINES 2143-2205) (H)									
2144. ---TD AMERITRADE CASH/INSURED DEPOSIT ACCOUNT #3	B	Int./Div.	K	T	Open	07/31/20	J		
2145. ---VANGUARD PRIME MONEY MKT FUND ACCT #3	A	Dividend			Buy (add'l)	01/09/20	L		
2146.					Sold (part)	05/20/20	M		
2147.					Buy (add'l)	06/26/20	J		
2148.					Sold	07/30/20	J		
2149. ---VANGUARD STAR INVESTOR (FKA STAR FUND)	E	Dividend	O	T	Sold (part)	01/09/20	L	D	
2150. ---VANGUARD FEDL MONEY MKT FUND ACCT #3	A	Dividend			Buy (add'l)	03/31/20	J		
2151.					Buy (add'l)	05/21/20	M		
2152.					Sold (part)	06/02/20	K		
2153.					Sold (part)	06/10/20	L		
2154.					Buy (add'l)	07/02/20	J		
2155.					Sold	08/25/20	J		
2156. ---INVESCO QQQ ETF	B	Dividend	N	T	Buy (add'l)	06/08/20	J		
2157.					Buy (add'l)	09/03/20	J		
2158. ---SSGA ACTIVE ETF (FKA SPDR DOUBLELINE TOTAL RETURN)	B	Dividend	M	T	Buy (add'l)	05/29/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2159. ---VANGUARD DIVIDEND APPRECIATION ETF	B	Dividend	M	T	Buy (add'l)	06/08/20	K		
2160.					Buy (add'l)	09/03/20	J		
2161. ---VANGUARD TOTAL STOCK MARKET ETF	B	Dividend	M	T	Buy (add'l)	06/08/20	K		
2162. ---PARK AVENUE EQUITY LP (SEE NOTES 6 & 9 IN SECT VIII)		None	J	V					
2163. ---TD AMERITRADE CASH/INSURED DEPOSIT ACCOUNT #3A	A	Interest	K	T	Open	08/28/20	J		
2164. ---VANGUARD FEDL MONEY MKT FUND ACCT #3A	A	Dividend			Buy (add'l)	01/31/20	K		
2165.					Sold (part)	02/03/20	J		
2166.					Sold (part)	02/28/20	J		
2167.					Sold (part)	03/09/20	J		
2168.					Sold (part)	03/30/20	J		
2169.					Buy (add'l)	05/22/20	J		
2170.					Sold (part)	06/05/20	J		
2171.					Buy (add'l)	06/08/20	J		
2172.					Sold (part)	06/09/20	J		
2173.					Buy (add'l)	06/16/20	J		
2174.					Sold (part)	06/30/20	J		
2175.					Sold (part)	07/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2176.					Buy (add'l)	08/21/20	J		
2177.					Sold	08/27/20	J		
2178. ---ALIBABA GROUP HOLDING LTD COMMON STOCK		None	K	T	Buy (add'l)	01/30/20	J		
2179.					Buy (add'l)	03/26/20	J		
2180. ---FASTLY INC COMMON STOCK		None	K	T	Buy	08/06/20	K		
2181.					Buy (add'l)	09/28/20	J		
2182.					Buy (add'l)	10/26/20	J		
2183.					Buy (add'l)	10/29/20	J		
2184. ---HOME DEPOT INC COMMON STOCK	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
2185. ---INTUITIVE SURGICAL INC COMMON STOCK		None			Sold	05/20/20	J	B	
2186. ---ISHARES US MEDICAL DEVICES ETF	A	Dividend	K	T					
2187. ---LIVE NATION ENTERTAINMENT INC COMMON STOCK		None	K	T	Buy (add'l)	02/26/20	J		
2188.					Buy (add'l)	03/05/20	J		
2189.					Buy (add'l)	03/26/20	J		
2190.					Sold (part)	06/04/20	J		
2191.					Buy (add'l)	06/26/20	J		
2192. ---MASTERCARD INC CL A COMMON STOCK	A	Dividend	L	T	Sold (part)	09/23/20	J	C	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2193. ---MICROSOFT CORP COMMON STOCK	A	Dividend	K	T					
2194. ---MONGODB INC COMMON STOCK		None	K	T	Buy (add'l)	06/05/20	J		
2195. ---PIMCO ENHANCED SHORT MATURITY ACTIVE ETF	A	Dividend	L	T	Buy (add'l)	06/23/20	J		
2196. ---PRIME CAP ODYSSEY GROWTH FUND	D	Dividend	L	T	Sold (part)	01/30/20	K	D	
2197.					Sold (part)	06/04/20	J	C	
2198.					Sold (part)	06/15/20	J	C	
2199.					Sold (part)	08/20/20	J	C	
2200. ---TELADOC HEALTH INC COMMON STOCK		None	L	T	Sold (part)	06/23/20	J	D	
2201.					Sold (part)	09/23/20	J	D	
2202. ---VANGUARD DIVIDEND APPRECIATION ETF	A	Dividend	L	T					
2203. ---WARNER MUSIC GROUP CORP COMMON STOCK		None			Buy	06/03/20	J		
2204.					Buy (add'l)	06/26/20	J		
2205.					Buy (add'l)	07/01/20	J		
2206.					Sold	08/06/20	K		
2207. TRUST #7 (SEE NOTE 4 IN SECT VIII) (H)									
2208. ---CITIBANK CHECKING ACCOUNT		None	J	T					
2209. UTMA ACCOUNT AT GOLDMAN (SEE NOTES 2 & 20 IN SECT VIII)					Open	01/07/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
2210. ---GOLDMAN CASH ACCOUNT	A	Interest	K	T					
2211. ---AMERICAN EXPRESS CO COMMON STOCK	A	Dividend			Buy	06/05/20	J		
2212.					Sold	07/20/20	J		
2213. ---AUTOMATIC DATA PROCESSING INC COMMON STOCK	A	Dividend			Buy	06/05/20	J		
2214.					Sold	07/20/20	J		
2215. ---BLACKSTONE MORTGAGE TRUST INC COMMON STOCK	A	Dividend			Buy	03/02/20	J		
2216.					Sold	04/01/20	J		
2217. ---DOCUSIGN, INC COMMONS STOCK		None	J	T	Buy	05/06/20	J		
2218. ---MICROSOFT CORP COMMON STOCK	A	Dividend	J	T	Buy	03/02/20	J		
2219. ---PAYPAL HOLDINGS, INC COMMON STOCK		None	J	T	Buy	11/04/20	J		
2220. ---VISA INC COMMON STOCK	A	Dividend	J	T	Buy	04/07/20	J		
2221. ---ZENDESK, INC COMMON STOCK		None	J	T	Buy	08/26/20	J		
2222. ---SEA LIMITED SPONSORED ADR COMMON STOCK		None	K	T	Buy	03/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ALL FOOTNOTES RELATE TO SECTION VII

GENERAL NOTE: Based on information obtained from the Financial Disclosure Office, it is the understanding of this Report's preparer that there were no substantive changes to the instructions accompanying the 2020 Financial Disclosure Report. As such, the preparer used the same format to produce this 2020 report as she used to produce the 2019 report.

NOTE 1: If a 2020 K-1 is issued for this entity, it is a non-traded entity, and there is an investment remaining at year-end, all activity (interest, dividends, capital gains, ordinary income, etc.) was netted together, and, if that net figure was larger than the required income threshold, it was included in Column B.(1) with Column B.(2) noting "Distribution." If the K-1 activity netted to a loss, then Column B.(1) was left blank and "None" was included in Column B.(2). If the entity (non traded) received distributions during the year, those amounts are included as transactions in Column D. regardless of K-1 amounts included in Column B.

If the interest was either sold or fully withdrawn from during the reporting year, the capital gain amount is included in Column D along with other transaction details. The remaining K-1 figures were netted together, and if larger than the required income threshold, included in Column B.(1) with Column B.(2) noting "Distribution."

Both of the above approaches were obtained from [redacted] former Financial Disclosure Report Examiner.

If a 2020 K-1 is issued for this investment, and it is traded, all K-1 information is summed and if it meets the required income threshold, reported in Column B. (1) with Column B.(2) noting "Distribution." If the K-1 netted to a loss, then "NONE" is included in Column B.(2). If the traded partnership made distributions throughout the year, the sum total of all the distributions was included in the amount reported in Column B.(1).

NOTE 2: Reporting person's spouse is either the Trustee or the Investment Trustee of this Trust and the Trust was formed by the reporting person and/or her spouse. Therefore, its assets are deemed reportable. For the UTMA, reporting person's spouse has investment oversight and the reporting person and/or her spouse opened the account. As such, assets are deemed reportable.

NOTE 3: For the contracts that were opened (sold short), any related gains that occur will be included on the FDR when the options either expire or require action. When closing a contract requires the purchase of shares, the transaction may produce a gain if the amount received when the option was sold is more than the amount required to purchase the shares at the closing of the contract. If the contract was fulfilled (closed) over successive days, each purchase is shown on a separate line and the related gain (if any), is shown. There is no year end value reported because the contracts had no value at the end of 2020. Please note that if a contract is opened and closed in the same year, the two transactions are included on successive lines and refer to the same contract.

NOTE 4: The trust's only asset is a non-interest bearing checking account.

NOTE 5: There are many investments in non-public companies. The estimated market values used for all such investments, unless otherwise noted, are the amounts shown either on the 12/31/2020 valuation from the fund or on the [redacted] books as of 12/31/2020. The reporting [redacted] book amounts are based upon the current knowledge of the worth of such companies, available company issued reports and the cost of the initial investment, less distributions plus additional investments, if no updated value is available.

NOTE 6: Either the Ending Capital Account value from the entity's 2020 K-1 or an estimate of the same was used to determine the year-end value code for Column C.(1).

NOTE 7: For those entities which issued K-1s, if the K-1 netted to a loss but there was another source of income from the entity, the source of the other income and the related income are included. If there was K-1 income and an additional source of income, both amounts were summed to determine the code for Columns B.(1) and "Distribution" is noted in Column B.(2).

NOTE 8: Neither the income nor the cash balance at year end met the threshold for reporting. However, since the cash account is associated with either a reportable investment or financial institution that is listed separately on this report, the account is deemed reportable.

NOTE 9: There is a limited partnership interest in this entity. The Financial Disclosure Report instructions no longer offer specific guidance on this type of investment. Per my discussion with an examiner in the Financial Disclosure Office, this partnership should be presented the same way as it has been in the past. Since neither the filer nor her spouse can direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity, the assets held by the partnership are not reportable (in accordance with previous Financial Disclosure Instructions).

If the partnership had a capital call for an investment in a specific company, either "Buy" or "Buy (add'l)" is noted. The name of the underlying company is not listed. Further, if the partnership distributed funds for any reason (sale of underlying asset, return of capital, current income, etc), "Distributed (part)" is listed in Column D.(1).

The entity's K-1 is considered the source document for all income from this investment (including capital gains/losses). All K-1 activity was netted together and if greater than the required income threshold, included in Column B.(1) with Column B.(2) noting "Distribution." If the K-1 activity netted to a loss, then Column B. (1) was left blank and "None" was included in Column B.(2).

NOTE 10: There are several Sentinel Partnerships including Sentinel IV, V and VI. The Financial Disclosure Report instructions no longer offer specific guidance on this type of investment. Per my discussion with a Financial Dislcosure Examiner, these Partnerships should be presented the same way as they have been in the past. For previous reports, portfolio companies were listed separately because the filer's spouse could potentially direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity (in accordance with previous Financial Disclosure Instructions).

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

There are both limited partner and general partner interests. For this report, the K-1 information for both interests is combined and included on one line. This approach matches the way the Funds' portfolio companies are included on this report.

Each year, there are K-1s issued for these partnerships. The K-1s summarize all of the ordinary income (loss), portfolio income, capital gains/losses, etc., for all of the underlying companies. To avoid double counting, if an underlying company was sold, the capital gain/loss is included on the line where the actual company is listed and is excluded from the K-1 income reported in Column B.(1) for each of the partnerships.

The remaining K-1 items were netted together. If the net amount was larger than the required income threshold, that amount was included in Column B.(1) with Column B.(2) noting "Distribution." If the K-1 activity netted to a loss, then "None" is included in Column B.(2). As a result, since all K-1 activity is accounted for at the partnership level, the underlying companies which are listed separately do not show any income in Column B.(1) (unless there is an item that is not included on the K-1).

Generally, there are no year-end market values listed for the Partnerships because the portfolio companies are listed separately. If a market value code is shown, it is most likely due to an unclassified investment piece.

NOTE 11: Either this investment was sold or the private equity fund LP was dissolved in a prior year. So, although the reporting [redacted] received distributions (e.g. escrow, dividends, etc) and/or a K-1, there is no year-end value to report. If the entity was issued a K-1, any income was summed and included in Column B.(1) with Column B.(2) noting "Distribution.".

NOTE 12: Not used.

NOTE 13: The filer's spouse is the sole shareholder of this S Corporation. The company's assets are limited to office furniture, office equipment, a checking account and a money market account. The value used to determine the year-end valuation code was the checking/money market account balances, A/R and the office furniture and equipment balance (less accumulated depreciation).

NOTE 14: For the money market funds, if the investment bank could not produce a report showing mmf activity, preparer reviewed all 2020 cash activity for the investment account because all activity is funded by the associated money market fund. For amounts over the reporting threshhold, preparer assumed the amount was swept from/into the money market fund and included the information on this report.

NOTE 15: This investment is made through one of the Sentinel Partnerships. Per my discussion with a Financial Disclosure Examiner, the aforementioned Partnerships' holdings should be listed individually on this report as they have been in the past. Therefore, this portfolio company is deemed reportable. See Note 10 for further information.

All transactions (investments, sales and related gain/(loss), etc.) are reported for the company. If a company paid a dividend/distribution, it is included in Column B. and noted as "Distribution." Any K-1 income (excluding gain/(loss) on sales) attributable to the company, however, is included in the partnership's line. If income was received separate from the K-1, it is recorded on the portfolio company's line in Column B. If the reporting person carries no year end value for a portfolio company, there is no year end value included in Column C of this report. But, the company is still noted on this report because it is a portfolio company of a reportable limited partnership. See Note 10 for more information.

For purposes of this report, deemed contributions/distributions that occurred in 2020 are dated as of the end of the quarter in which they were deemed to have occurred.

NOTE 16: Gains/Losses on Foundation activity are included on a tax basis.

NOTE 17: This position was sold in 2019. However, a reportable dividend was received in 2020.

NOTE 18: Carried interest allocation due to a relationship with the original Vestar funds not because the reporting [redacted] made an investment in the entity. Since there is no investment in this partnership, there is no year-end value to report.

NOTE 19: The reporting person's spouse has a beneficial interest in this trust. Therefore, its assets are deemed reportable.

NOTE 20: There are no codes in Columns B and C because all assets are listed separately on this report.

NOTE 21: Not used.

NOTE 22: Not necessary with 2020 Amended version because all K-1s have been received and were compared to the estimated used in original report filed for 2020. If the actual differed from the estimate, the code was amended.

NOTE 23: The stock was not held in the interim period between when the entire position was sold and then later in the year when shares in the same company were purchased. In the Foundation, the reporting [redacted] gifted shares and each time the Foundatiion immediately sold those shares. The gifted shares are not reportable but the sales are reportable. Hence, several line items of the same stock are shown although each time the gifted shares were all sold. As such, there were no shares held in the interim.

NOTE 24: Some transactions were the result of prior year reclasses. Since the activity did not change either the prior year-end market valuation or the overall amount of income, filing an amended 2019 Report is deemed unnecessary. For your review purposes, date noted for this activity is 1/1/2020.

Name of Person Reporting	Date of Report
Wood, Kimba M.	02/04/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kimba M. Wood

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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