AO-10T
Rev. 01/2023

# FINANCIAL DISCLOSURE
# PERIODIC TRANSACTION REPORT

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| *1. First Name | *2. Middle Initial | *3. Last Name | 4. Suffix |
|---|---|---|---|
| Kimba | M | Wood | |
| *5. Title | *6. District/Court/Unit | | *7. Circuit |
| US District Judge | NEW YORK SOUTHERN | | 02 |
| 8. Report Type | 9. Calendar Year | *10. Date Filed | 11. Check box if amending prior report and enter date of prior submission. |
| Periodic Transaction Report | 2023 | 06/08/2023 | |
| *12. Date of First Transaction on this Report | | *13. Date of Last Transaction on this Report | |
| 05/01/2023 | | 05/31/2023 | |

## TRANSACTIONS

*Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

| A. Description of Assets | B. Transaction Type | C. Date of Transaction | D. Date Notified of Transaction | E. Amount of Transaction |
|---|---|---|---|---|
| 1. INVESTMENT ACCOUNTS (H) | | | | |
| 2. Ameriprise Financial, Inc. | Buy (add'l) | 05/19/2023 | 06/01/2023 | $1,000 - $15,000 |
| 3. Chevron Corp. | Buy (add'l) | 05/19/2023 | 06/01/2023 | $15,001 - $50,000 |
| 4. JPMorgan Chase & Co. | Buy (add'l) | 05/19/2023 | 06/01/2023 | $15,001 - $50,000 |
| 5. Oracle Corp. | Buy (add'l) | 05/19/2023 | 06/01/2023 | $15,001 - $50,000 |
| 6. Phillips 66 | Sold (Part) | 05/19/2023 | 06/01/2023 | $100,001 - $250,000 |
| 7. Visa, Inc. | Buy (add'l) | 05/19/2023 | 06/01/2023 | $1,000 - $15,000 |
| 8. Crowdstrike Holdings, Inc. | Sold | 05/02/2023 | 06/01/2023 | $100,001 - $250,000 |
| 9. Accolade, Inc. | Buy (add'l) | 05/18/2023 | 06/01/2023 | $1,000 - $15,000 |
| 10. Azenta Inc. | Sold (Part) | 05/19/2023 | 06/01/2023 | $1,000 - $15,000 |
| 11. | Sold (Part) | 05/22/2023 | 06/01/2023 | $1,000 - $15,000 |
| 12. | Sold (Part) | 05/23/2023 | 06/01/2023 | $1,000 - $15,000 |
| 13. | Sold (Part) | 05/26/2023 | 06/01/2023 | $1,000 - $15,000 |
| 14. | Sold (Part) | 05/30/2023 | 06/01/2023 | $1,000 - $15,000 |
| 15. | Sold (Part) | 05/31/2023 | 06/01/2023 | $1,000 - $15,000 |

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| First Name Kimba | Middle Initial M | Last Name Wood | Suffix |
|---|---|---|---|

| A. Description of Assets | B. Transaction Type | C. Date of Transaction | D. Date Notified of Transaction | E. Amount of Transaction |
|---|---|---|---|---|
| 16. Blackline, Inc. | Buy (add'l) | 05/09/2023 | 06/01/2023 | $1,000 - $15,000 |
| 17. ChampionX Corp. | Buy (add'l) | 05/15/2023 | 06/01/2023 | $1,000 - $15,000 |
| 18. Charles River Laboratories International | Sold (Part) | 05/10/2023 | 06/01/2023 | $1,000 - $15,000 |
| 19. Choice Hotels International Inc. | Sold (Part) | 05/23/2023 | 06/01/2023 | $1,000 - $15,000 |
| 20. Clear Secure, Inc. Cl A | Buy (add'l) | 05/09/2023 | 06/01/2023 | $1,000 - $15,000 |
| 21. | Buy (add'l) | 05/10/2023 | 06/01/2023 | $1,000 - $15,000 |
| 22. | Buy (add'l) | 05/12/2023 | 06/01/2023 | $1,000 - $15,000 |
| 23. | Buy (add'l) | 05/16/2023 | 06/01/2023 | $1,000 - $15,000 |
| 24. Curtiss-Wright Corp. | Buy | 05/31/2023 | 06/01/2023 | $15,001 - $50,000 |
| 25. Genpact Ltd. | Buy (add'l) | 05/15/2023 | 06/01/2023 | $1,000 - $15,000 |
| 26. Infinera Corp. | Buy (add'l) | 05/31/2023 | 06/01/2023 | $1,000 - $15,000 |
| 27. Knight-Swift Transportation Holdings Inc | Buy (add'l) | 05/15/2023 | 06/01/2023 | $1,000 - $15,000 |
| 28. Makemytrip Ltd. | Sold (Part) | 05/08/2023 | 06/01/2023 | $1,000 - $15,000 |
| 29. | Sold (Part) | 05/15/2023 | 06/01/2023 | $1,000 - $15,000 |
| 30. | Sold (Part) | 05/16/2023 | 06/01/2023 | $1,000 - $15,000 |
| 31. | Sold (Part) | 05/17/2023 | 06/01/2023 | $1,000 - $15,000 |
| 32. Neurocrine Biosciences, Inc. | Buy (add'l) | 05/15/2023 | 06/01/2023 | $1,000 - $15,000 |
| 33. Pinterest, Inc. Cl A | Buy (add'l) | 05/10/2023 | 06/01/2023 | $1,000 - $15,000 |
| 34. | Buy (add'l) | 05/15/2023 | 06/01/2023 | $1,000 - $15,000 |
| 35. Power Integrations, Inc. | Buy (add'l) | 05/09/2023 | 06/01/2023 | $1,000 - $15,000 |
| 36. Pros Holdings, Inc. | Buy (add'l) | 05/09/2023 | 06/01/2023 | $1,000 - $15,000 |
| 37. RB Global Inc. | Sold | 05/10/2023 | 06/01/2023 | $1,000 - $15,000 |
| 38. Woodward, Inc. | Buy (add'l) | 05/10/2023 | 06/01/2023 | $1,000 - $15,000 |
| 39. Aspen Technology, Inc. | Buy | 05/02/2023 | 06/01/2023 | $15,001 - $50,000 |
| 40. | Buy (add'l) | 05/03/2023 | 06/01/2023 | $15,001 - $50,000 |

| First Name Kimba | Middle Initial M | Last Name Wood | Suffix |
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| 41. CyberArk Software, Ltd. | Buy (add'l) | 05/03/2023 | 06/01/2023 | $1,000 - $15,000 |
| 42. Fortinet, Inc. | Sold (Part) | 05/22/2023 | 06/01/2023 | $1,000 - $15,000 |
| 43. Paycom Software, Inc. | Buy (add'l) | 05/16/2023 | 06/01/2023 | $1,000 - $15,000 |
| 44. MONEY MARKET FUNDS (H) | | | | |
| 45. FIRST AM GOVT OB FD CL Z | Sold (Part) | 05/01/2023 | 06/01/2023 | $100,001 - $250,000 |
| 46. | Buy (add'l) | 05/02/2023 | 06/01/2023 | $1,000 - $15,000 |
| 47. | Sold (Part) | 05/04/2023 | 06/01/2023 | $15,001 - $50,000 |
| 48. | Sold (Part) | 05/05/2023 | 06/01/2023 | $15,001 - $50,000 |
| 49. | Buy (add'l) | 05/09/2023 | 06/01/2023 | $100,001 - $250,000 |
| 50. | Buy (add'l) | 05/10/2023 | 06/01/2023 | $1,000 - $15,000 |
| 51. | Sold (Part) | 05/10/2023 | 06/01/2023 | $250,000 - $500,000 |
| 52. | Sold (Part) | 05/11/2023 | 06/01/2023 | $1,000 - $15,000 |
| 53. | Buy (add'l) | 05/12/2023 | 06/01/2023 | $1,000,001 - $5,000,000 |
| 54. | Sold (Part) | 05/12/2023 | 06/01/2023 | $1,000,001 - $5,000,000 |
| 55. | Buy (add'l) | 05/15/2023 | 06/01/2023 | $100,001 - $250,000 |
| 56. | Sold (Part) | 05/16/2023 | 06/01/2023 | $1,000 - $15,000 |
| 57. | Buy (add'l) | 05/16/2023 | 06/01/2023 | $1,000 - $15,000 |
| 58. | Sold (Part) | 05/17/2023 | 06/01/2023 | $1,000 - $15,000 |
| 59. | Sold (Part) | 05/18/2023 | 06/01/2023 | $1,000 - $15,000 |
| 60. | Buy (add'l) | 05/19/2023 | 06/01/2023 | $1,000 - $15,000 |
| 61. | Sold (Part) | 05/22/2023 | 06/01/2023 | $1,000 - $15,000 |
| 62. | Buy (add'l) | 05/22/2023 | 06/01/2023 | $1,000 - $15,000 |
| 63. | Buy (add'l) | 05/23/2023 | 06/01/2023 | $1,000 - $15,000 |
| 64. | Buy (add'l) | 05/24/2023 | 06/01/2023 | $15,001 - $50,000 |
| 65. | Buy (add'l) | 05/25/2023 | 06/01/2023 | $100,001 - $250,000 |

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| 66. | Buy (add'l) | 05/26/2023 | 06/01/2023 | $1,000 - $15,000 |
| 67. | Buy (add'l) | 05/31/2023 | 06/01/2023 | $1,000 - $15,000 |
| 68. THE FOUNDATION (H) | | | | |
| 69. Federated Hermes Govt Obl Avr | Buy (add'l) | 05/11/2023 | 06/01/2023 | $15,001 - $50,000 |
| 70. OPTIONS (H) | | | | |
| 71. Put S&P 500 Mini Index $384 Exp 7/21 | Sold | 05/04/2023 | 06/01/2023 | $1,000 - $15,000 |
| 72. Put S&P 500 Mini Index $387 Exp 7/31 | Sold | 05/11/2023 | 06/01/2023 | $1,000 - $15,000 |
| 73. Put S&P 500 Mini Index $397 Exp 8/18 | Sold | 05/23/2023 | 06/01/2023 | $1,000 - $15,000 |
| 74. FIXED INCOME INVESTMENTS (H) | | | | |
| 75. | | | | |
| 76. | | | | |
| 77 Lower Colorado River TX Auth | Sold | 05/15/2023 | 06/01/2023 | $100,001 - $250,000 |
| 78. NY State Dorm Auth Memorial Sloan | Sold | 05/30/2023 | 06/01/2023 | $100,001 - $250,000 |
| 79. PRIVATE EQUITY INVESTMENTS (H) | | | | |
| 80. First Neck Self-Storage Fund | Sold (Part) | 05/15/2023 | 05/05/2023 | $1,000 - $15,000 |
| 81. Blackstone Real Estate VII/VIII | Sold (Part) | 05/31/2023 | 05/31/2023 | $1,000 - $15,000 |
| 82. | Buy (add'l) | 05/04/2023 | 05/04/2023 | $1,000 - $15,000 |
| 83. Blackstone Real Estate Income Trust | Sold (Part) | 05/25/2023 | 05/25/2023 | $100,001 - $250,000 |
| 84. Sentinel Capital VI | Sold (Part) | 05/25/2023 | 05/25/2023 | $1,000,001 - $5,000,000 |
| 85. CS Venture | Buy (add'l) | 05/30/2023 | 05/30/2023 | $100,001 - $250,000 |
| 86. Sentinel Continuation Fund | Buy (add'l) | 05/09/2023 | 05/09/2023 | $250,000 - $500,000 |
| 87. F.E. RICHARDSON & CO, INC | Buy (add'l) | 05/01/2023 | 05/01/2023 | $15,001 - $50,000 |
| 88. | Buy (add'l) | 05/02/2023 | 05/02/2023 | $15,001 - $50,000 |
| 89. | Buy (add'l) | 05/26/2023 | 05/26/2023 | $100,001 - $250,000 |
| 90. | | | | |

| First Name Kimba | Middle Initial M | Last name Wood | Suffix |
|---|---|---|---|

## ADDITIONAL INFORMATION OR EXPLANATIONS

Report 1 of 2.

*CERTIFICATION.*
*I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.*

*NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)*

**SIGNATURE:*

s/ Kimba M Wood

Committee on Financial Disclosure
Administrative Office of the United States Courts
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