AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Woods, Gregory H.	Southern District of New York	08/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Trustees	Williams College
2.	Board Member	MGJ Realty Corporation
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 03/1999	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
2. 01/2005	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
3. 01/2005	Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners. No further contributions by employer.

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Woods, Gregory H.	08/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. JP MORGAN CHASE ACCOUNTS (CASH)	A	Interest	N	T					
3. MGJ REALTY CORPORATION (COMMON STOCK OF PRIVATE CORP.)	B	Distribution	L	W					
4. [redacted] (COMMON STOCK)		None			Sold	06/01/21	M		
5. PROMISSORY NOTE: [redacted]	D	Interest	N	T					
6. RENTAL PROPERTY #1, NEW YORK, NY	E	Rent	O	W					
7. ACCOUNT #1 (H)									
8. BLACKROCK FOCUS GROWTH INVESTOR CL C (MCFOX)		None	L	T					
9. ACCOUNT #2 (H)									
10. BLACKROCK FOCUS GROWTH INVESTOR CL C (MCFOX) fmrly (MCLHX)		None	L	T					
11. ACCOUNT #3 (H)									
12. VANGUARD CASH RES FED MM ADMIRAL	A	Int./Div.	L	T	Buy	01/26/21	L		
13. VANGUARD US VALUE FUND		None			Sold	01/26/21	J		
14. VANGUARD FEDERAL MONEY MARKET FUND (cash)		None			Sold	01/26/21	L		
15. VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
16. VANGUARD MID-CAP GROWTH FUND	D	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Woods, Gregory H.	08/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. VANGUARD WELLINGTON FUND	A	Dividend	J	T					
18. VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
19. VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					
20. VANGUARD HEALTH CARE FUND	B	Dividend	K	T					
21. VANGUARD TOTAL INT'L STOCK INDEX	A	Dividend	K	T					
22. VANGUARD WINDSOR FUND	C	Dividend	K	T	Buy (add'l)	01/26/21	J		
23. VANGUARD EXPLORER FUND	C	Dividend	K	T					
24. VANGUARD INST INDEX FUND PLUS	B	Dividend	K	T					
25. VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
26. VANGUARD US GROWTH ADMIRAL FUND	D	Dividend	L	T					
27. ACCOUNT #4 (H)									
28. VANGUARD US VALUE FUND		None			Sold	01/26/21	J		
29. VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
30. VANGUARD MID-CAP GROWTH FUND	B	Dividend	J	T					
31. VANGUARD WELLINGTON FUND	A	Dividend	J	T					
32. VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
33. VANGUARD RETIRE SAVINGS TRUST III	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. VANGUARD TOTAL BOND MKT INDEX	A	Dividend	J	T					
35. VANGUARD HEALTH CARE FUND	A	Dividend	J	T					
36. VANGUARD TOTAL INT'L STOCK INDEX	B	Dividend	L	T					
37. VANGUARD WINDSOR FUND	B	Dividend	K	T	Buy (add'l)	01/26/21	J		
38. VANGUARD EXPLORER FUND	B	Dividend	J	T					
39. VANGUARD INST INDEX FUND PLUS	E	Dividend	N	T					
40. VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
41. VANGUARD US GROWTH FUND ADMIRAL	D	Dividend	K	T					
42. ACCOUNT #5 (H)									
43. DEBEVOISE & PLIMPTON LLP CASH BALANCE RETIREMENT PLAN FOR PARTNERS	D	Interest	M	T					
44. ACCOUNT #6 (H)									
45. CREF STOCK R2		None	J	T					
46. CREF GROWTH R2		None	K	T					
47. CREF SOCIAL CHOICE R2		None	J	T					
48. ACCOUNT #7 (H)									
49. JP MORGAN DEPOSIT SWEEP ACCOUNT (CASH)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

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50.	BLACKROCK INDEX FDS INC INTL (BTMKX)	A	Dividend	K	T	Sold (part)	01/26/21	J	B	
51.	FIDELITY 500 INDEX FUND INST PREM CL (FXAIX)	A	Dividend	J	T	Sold (part)	01/26/21	J	A	
52.	ISHARES CORE MSCI EMERG MKTS ETF (IEMG)		None			Sold	10/28/21	K	C	
53.	ISHARES MCSI JAPAN ETF (EWJ)	A	Dividend	J	T					
54.	JPMORG EXCH TRADED FD TR BETABLDRS CDA (BBCA)	A	Dividend	K	T	Sold (part)	07/13/21	J	A	
55.	JPMORG EXCH TRADED FD BETABLDRS DEV ASIA EX JAP (BBAX)	B	Dividend	K	T	Buy (add'l)	01/26/21	J		
56.						Buy (add'l)	07/13/21	J		
57.	JPMORG EXCH TRADED FD BETABLDRS EUROPE (BBEU)	B	Dividend	K	T	Buy (add'l)	01/26/21	J		
58.						Buy (add'l)	04/21/21	J		
59.						Buy (add'l)	05/24/21	J		
60.	JPMORG EXCH TRADED FD TR BETABLDRS JAP ETF (BBJP)	A	Dividend			Buy (add'l)	07/13/21	J		
61.						Sold	10/28/21	J	B	
62.	SIX CIRCLES TR INTL UNCONST EQ FD (CIUEX)	B	Dividend	L	T	Buy (add'l)	04/21/21	J		
63.						Buy (add'l)	10/28/21	J		
64.	SIX CIRCLES TR US UNCONST EQ FD (CUSUX)	E	Dividend	M	T	Buy (add'l)	02/12/21	J		
65.						Sold (part)	07/13/21	J	B	
66.	SPDR S&P 500 ETF TRUST (SPY)	C	Dividend	N	T	Sold (part)	02/12/21	J	B	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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67.					Sold (part)	07/13/21	J	D	
68. VANGUARD INDEX TR 500 INDEX FD (VFIAX)	B	Dividend	M	T	Sold (part)	01/26/21	J	C	
69.					Sold (part)	02/12/21	K	D	
70.					Buy (add'l)	10/28/21	J		
71. AMERICAN CENTURY MUN TR INT TERM TAX FREE (ATBYX)	B	Dividend	M	T					
72. BERNSTEIN SANFORD C FD INC AB INTR DIVERSIFIED MUN PORT CL Z (AIDZX)	B	Dividend	K	T	Sold (part)	01/26/21	J	A	
73.					Sold (part)	04/21/21	J	A	
74. BLACKROCK FDS V HGH YLD BND PORT K (BRHYX)	A	Dividend			Sold (part)	04/12/21	K	C	
75.					Sold	05/24/21	K	C	
76. BLACKROCK MUN BOND FUND INC CL K (BNMLX)	A	Dividend	K	T	Buy (add'l)	07/13/21	J		
77. DODGE & COX INCOME FUND (DODIX)	A	Dividend	J	T	Buy (add'l)	07/13/21	J		
78. ISHARES TRUST ISHARES 1 3 YEAR TREAS (SHY)	A	Dividend	J	T	Buy	05/24/21	J		
79. ISHARES TRUST 3 TO 7 YEAR TREAS (IEI)	A	Dividend			Sold	04/21/21	J	A	
80. ISHARES TR SHORT TREAS BD (SHV)		None	J	T	Buy (add'l)	07/13/21	J		
81. JPMORGAN TR II HI YIELD FD ULTRA CL (JHYUX)	A	Dividend			Sold	02/16/21	K	B	
82. JP MORGAN TR II SHORT-INTER MUN BD FD CL R6 (OSTSX)		None			Sold	01/26/21	K	A	

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83. SCHWAB STRATEGIC TR INTERMEDIATE TERM US (SCHR)	A	Dividend			Sold	04/21/21	J		
84. SIX CIRCLES TR TAX AWARE BD FD (CBTAX)	B	Dividend	M	T	Buy (add'l)	07/13/21	J		
85. SIX CIRCLES TR TAX AWARE ULT SHT DUR FD (CUTAX)	A	Dividend	J	T	Buy (add'l)	07/13/21	J		
86. SIX CIRCLES TRUST CREDIT OPPORTUNITIES FUND (CRDOX)	A	Dividend	K	T	Buy	02/16/21	K		
87. VANGUARD MUN BOND FUND (VWIUX)	A	Dividend	K	T	Buy	02/12/21	J		
88.					Buy (add'l)	07/13/21	J		
89. VANGUARD SCOTTSDALE FUNDS VANGUARD SHORT TERM TREASURY (VGHS)	A	Dividend	K	T	Buy	04/21/21	K		
90.					Buy (add'l)	07/13/21	J		
91. ACCOUNT #8 (H)									
92. NY529 CONSERVATIVE AGE-BASED OPTION: CONSERVATIVE INCOME PORTFOLIO		None			Distributed (part)	01/04/21	K		
93.					Distributed (part)	08/17/21	K		
94.					Sold	12/06/21	M		
95. CONSERVATIVE AGE-BASED OPTION: CONSERVATIVE INTEREST ACC. PORTFOLIO		None	M	T	Buy	12/06/21	M		
96. ACCOUNT #9 (H)									
97. NY529 AGGRESSIVE AGE-BASED OPTION: DISCIPLINED GROWTH PORTFOLIO		None			Buy (add'l)	09/07/21	J		
98.					Sold	10/06/21	L		

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99. NY529 AGGRESSIVE AGE-BASED OPTION: CONSERVATIVE GROWTH PORTFOLIO		None	L	T	Buy	10/06/21	L		
100. NY529 MODERATE AGE-BASED OPTION: CONSERVATIVE PORTFOLIO		None			Sold	10/06/21	K		
101. NY529 MODERATE AGE-BASED OPTION: INCOME PORTFOLIO		None	K	T	Buy	10/06/21	K		
102. NY529 INFLATION PROTECTED SECURITIES PORTFOLIO		None	L	T					
103. NY529 CONSERVATIVE AGE-BASED OPTION: BALANCED INCOME PORTFOLIO		None			Sold	10/06/21	L		
104. NY529 CONSERVATIVE AGE-BASED OPTION: CONSERVATIVE INCOME PORTFOLIO		None	L	T	Buy	10/06/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Woods, Gregory H.	08/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory H. Woods

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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