AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Woods, Gregory H.	U.S. District Court for the Southern District of New York	08/14/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States Courthouse 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Trustees	Williams College
2.	Board Member	MGJ Realty Corporation
3.	Co-Executor	Estate #1
4.	Co-Executor	Estate #2
5.	Co-Trustee	Trust #1
6.	Co-Trustee	Trust #2

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	03/1999	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
2.	01/2005	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
3.	01/2005	Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners. No further contributions by employer.

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Self-employed (executor/fees)	$42,531.91
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Woods, Gregory H.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	INDIVIDUAL ASSETS (H)									
2.	JP MORGAN CHASE ACCOUNTS (CASH)	A	Interest	L	T					
3.	MGJ REALTY CORPORATION (COMMON STOCK OF PRIVATE CORP.)	B	Distribution	L	W					
4.	PROMISSORY NOTE: [redacted]	D	Interest			Redeemed	11/13/22	N		
5.	RENTAL PROPERTY #1, NEW YORK, NY	E	Rent	J	W					
6.	ACCOUNT #1 (H)									
7.	BLACKROCK FOCUS GROWTH INVESTOR CL C (MCFOX)		None	L	T					
8.	ACCOUNT #2 (H)									
9.	BLACKROCK FOCUS GROWTH INVESTOR CL C (MCFOX) fmrly (MCLHX)		None	L	T					
10.	ACCOUNT #3 (H)									
11.	VANGUARD CASH RES FED MM ADMIRAL (CASH EQ.)	B	Int./Div.	L	T					
12.	VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
13.	VANGUARD MID-CAP GROWTH FUND	A	Dividend	K	T					
14.	VANGUARD WELLINGTON FUND	A	Dividend	J	T					
15.	VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
16.	VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
17.	VANGUARD HEALTH CARE FUND	A	Dividend	K	T					
18.	VANGUARD TOTAL INT'L STOCK INDEX	A	Dividend	K	T					
19.	VANGUARD WINDSOR FUND	C	Dividend	K	T					
20.	VANGUARD EXPLORER FUND	B	Dividend	K	T					
21.	VANGUARD INST INDEX FUND PLUS	A	Dividend	K	T					
22.	VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
23.	VANGUARD US GROWTH ADMIRAL FUND	A	Dividend	L	T					
24.	ACCOUNT #4 (H)									
25.	VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
26.	VANGUARD MID-CAP GROWTH FUND	A	Dividend	J	T					
27.	VANGUARD WELLINGTON FUND	A	Dividend	J	T					
28.	VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
29.	VANGUARD RETIRE SAVINGS TRUST III	A	Dividend	J	T					
30.	VANGUARD TOTAL BOND MKT INDEX	A	Dividend	J	T					
31.	VANGUARD HEALTH CARE FUND	A	Dividend	J	T					
32.	VANGUARD TOTAL INT'L STOCK INDEX	B	Dividend	L	T					
33.	VANGUARD WINDSOR FUND	B	Dividend	K	T					

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34. VANGUARD EXPLORER FUND	B	Dividend	J	T					
35. VANGUARD INST INDEX FUND PLUS	D	Dividend	N	T					
36. VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
37. VANGUARD US GROWTH FUND ADMIRAL	A	Dividend	K	T					
38. ACCOUNT #5 (H)									
39. DEBEVOISE & PLIMPTON LLP PLAN FOR PARTNERS (cash) (Y)									
40. VANGUARD EXTENDED MARKET FUND		None	J	T					
41. VANGUARD INSTITUTIONAL MARKET FUND		None	K	T					
42. VANGUARD TOTAL INTERNATIONAL STOCK FUND		None	K	T					
43. VANGUARD TOTAL BOND MARKET FUND		None	K	T					
44. VANGUARD TOTAL INTERNATIONAL BOND FUND		None	J	T					
45. ACCOUNT #6 (H)									
46. CREF STOCK R2		None	J	T					
47. CREF GROWTH R2		None	J	T					
48. CREF SOCIAL CHOICE R2		None	J	T					
49. ACCOUNT #7 (H)									
50. JP MORGAN DEPOSIT SWEEP ACCOUNT (CASH)	A	Interest			Closed	08/01/22	J		

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51. JP MORGAN TR II MUN MONEY MKT FD (QWESQ) (cash)		None	K	T	Open	07/29/22	J		
52. AMERICAN CENTURY MUN TR INT TERM TAX FREE (ATBYX)	B	Dividend			Sold	08/08/22	M		
53. ARTISAN PARTNERS FDS INCS INTL VALUE (APHKK)	B	Dividend	L	T	Buy	08/02/22	L		
54.					Buy (add'l)	08/03/22	J		
55. BERNSTEIN SANFORD C FD INC AB INTR DIVERSIFIED MUN PORT CL Z (AIDZX)	A	Dividend			Sold	08/02/22	K		
56. BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K (BTMKX)	A	Dividend			Sold	08/02/22	K	B	
57. BLACKROCK FDS V HIGH YLD (BRHYX)	B	Dividend	L	T	Buy	08/02/22	L		
58. BLACKROCK MUN BOND FUND INC CL K (BNMLX)	A	Dividend			Sold	08/02/22	K		
59. DELAWARE GROUP GLOBAL & INTL FDS EMERG MKTS (DEMZX)	B	Dividend	L	T	Buy	08/02/22	L		
60.					Buy (add'l)	08/03/22	J		
61. FIDELITY 500 INDEX FUND INST PREM CL (FXAIX)	A	Dividend			Buy (add'l)	02/02/22	K		
62.					Sold (part)	05/27/22	J		
63.					Sold	08/02/22	K		
64. FUNDVANTAGE TR POLLEN GROWTH FD INSTL CL (POLIX)	D	Dividend	M	T	Buy	08/02/22	M		
65.					Buy (add'l)	08/03/22	J		
66.					Buy (add'l)	12/09/22	L		

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67.	ISHARES MCSI JAPAN ETF (EWJ)		None			Sold	08/02/22	J		
68.	ISHARES TRUST ISHARES 1 3 YEAR TREAS (SHY)	A	Dividend			Sold	08/02/22	J		
69.	ISHARES TRUST ISHARES 7 10 YEAR TREASURY (IEF)	A	Dividend			Buy	02/16/22	J		
70.						Sold	08/02/22	J		
71.	ISHARES TR SHORT TREAS BD (SHV)	A	Dividend			Sold	08/02/22	J		
72.	JPMORGAN TR I EQUITY INCOME FD (OIEJX)	C	Dividend	M	T	Buy	08/02/22	L		
73.						Buy (add'l)	08/03/22	J		
74.	JPMORGAN TR I (VINRX)	B	Dividend	M	T	Buy	08/02/22	M		
75.						Buy (add'l)	08/03/22	K		
76.	JP MORGAN EXCHANGE TRADED FUND TRUST (JEPI)	C	Dividend	M	T	Buy	08/02/22	M		
77.						Buy (add'l)	08/03/22	J		
78.	JPMORG EXCH TRADED FD TR BETABLDRS CDA (BBCA)	A	Dividend			Sold	08/02/22	K		
79.	JPMORG EXCH TRADED FD BETABLDRS DEV ASIA EX JAP (BBAX)	A	Dividend			Sold	08/02/22	K		
80.	JPMORG EXCH TRADED FD BETABLDRS EUROPE (BBEU)	A	Dividend			Sold (part)	06/01/22	K		
81.						Sold	08/02/22	K		
82.	JPMORG EXCH TRADED FD BETABLDRS JAPAN (BBJP)	A	Dividend			Sold	08/02/22	K		
83.	PIMCO INCOME FD (PIMIX)	A	Dividend			Buy	07/25/22	J		

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84.						Sold	08/02/22	J	A	
85.	SCHWAB STRATEGIC TR INTERMEDIATE-TERM US (SCHR)	A	Dividend			Buy	06/01/22	J		
86.						Sold	08/02/22	K		
87.	SIX CIRCLES TR TAX AWARE BD FD (CBTAX)	A	Dividend			Sold	08/02/22	M		
88.	SIX CIRCLES TR TAX AWARE ULT SHT DUR FD (CUTAX)	A	Dividend			Sold	08/02/22	J		
89.	SIX CIRCLES TRUST CREDIT OPPORTUNITIES FUND (CRDOX)	A	Dividend			Sold (part)	02/16/22	J		
90.						Sold	08/02/22	K		
91.	SIX CIRCLES TR INTL UNCONST EQ FD (CIUEX)		None			Sold	08/02/22	L		
92.	SIX CIRCLES TR US UNCONST EQ FD (CUSUX)		None			Sold	08/02/22	M	B	
93.	SPDR S&P 500 ETF TRUST (SPY)	C	Dividend	N	T	Buy (add'l)	08/02/22	K		
94.						Buy (add'l)	08/03/22	K		
95.						Buy (add'l)	08/03/22	K		
96.	VANGUARD INDEX TR 500 INDEX FD (VFIAX)	B	Dividend			Sold	08/02/22	L	A	
97.	VANGUARD MUN BOND FUND (VWIUX)	A	Dividend			Sold	08/02/22	J		
98.	VANGUARD SCOTTSDALE FUNDS VANGUARD SHORT TERM TREASURY (VGHS)	A	Dividend			Sold (part)	02/02/22	K		
99.						Sold	08/02/22	J		

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Name of Person Reporting	Date of Report
Woods, Gregory H.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	 (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	 (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	 (2) Date mm/dd/yy	 (3) Value Code 2 (J-P)	 (4) Gain Code 1 (A-H)	
100. VANGUARD WORLD FUND INTL GROWTH (VWILX)	D	Dividend	L	T	Buy	08/02/22	L		
101.					Buy (add'l)	08/03/22	J		
102.					Buy (add'l)	08/04/22	J		
103. DODGE & COX INCOME FUND (DODIX)	A	Dividend			Sold	07/25/22	J		
104. ACCOUNT #8 (H)									
105. CONSERVATIVE AGE-BASED OPTION: CONSERVATIVE INTEREST ACC. PORTFOLIO		None	L	T	Sold (part)	01/21/22	K		
106.					Sold (part)	07/11/22	K		
107.					Sold (part)	12/08/22	K		
108. ACCOUNT #9 (H)									
109. NY529 AGGRESSIVE AGE-BASED OPTION: CONSERVATIVE GROWTH PORTFOLIO		None			Sold (part)	01/21/22	L		
110.					Buy (add'l)	01/21/22	K		
111.					Sold	12/08/22	K		
112. NY529 MODERATE AGE-BASED OPTION: INCOME PORTFOLIO		None	K	T	Sold (part)	01/21/22	K		
113.					Buy (add'l)	01/21/22	K		
114. NY529 CONSERVATIVE AGE-BASED OPTION: CONSERVATIVE INCOME PORTFOLIO		None	L	T	Sold (part)	01/21/22	L		
115.					Buy (add'l)	01/21/22	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Woods, Gregory H.	08/14/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
116. NY529 INFLATION-PROTECTED SECURITIES PORTFOLIO		None	K	T	Buy (add'l)	01/21/22	K		
117.					Sold (part)	01/21/22	L		
118.					Sold (part)	12/08/22	J		
119. NY529 INTEREST ACCUMULATION PORTFOLIO		None	K	T	Buy	01/21/22	L		
120.					Sold (part)	07/11/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, lines 3-6: Details of the underlying assets are being assembled for further reporting.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Woods, Gregory H.	08/14/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory H. Woods

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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