AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Younge, John M. | U.S. District Court for the Eastern District of Pennsylvania | 04/10/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge - Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2023 **to** 12/31/2023 |
| **7. Chambers or Office Address** | | |
| U.S. District Court for the Eastern District of Pennsylvania<br>601 Market Street<br>Philadelphia, PA 19106 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director | West Philadelphia Community Mental Health Consortium |
| 2. | Director | New Life Credit Union |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 2005 | Pennsylvania State Employees Retirement System Defined Pension Plan (no control) |
| 2. | 1995 | Redevelopment Authority of the City of Philadelphia Defined Pension Plan (no control) |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2023 | Pennsylvania State Employees Retirement System Defined Pension Plan | $102,789.00 |
| 2. | 2023 | Redevelopment Authority of the City of Philadelphia (pension) | $17,490.00 |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2023 | Inglis House (pension benefits) |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | Sallie Mae | Educational Loans | L |
| 2. | New York Life Insurance Company | Whole Life Insurance Policy Loans | K |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Younge, John M.** | 04/10/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | Individual Assets (H) | | | | | | | | | |
| 2. | Philadelphia Federal Credit Union (cash) | A | Interest | J | T | | | | | |
| 3. | PNC Bank (cash) | A | Interest | J | T | | | | | |
| 4. | New York Life Insurance Whole Life Policy | A | Dividend | J | T | | | | | |
| 5. | Realvest LLC | | None | J | U | | | | | |
| 6. | Account #1 (H) | | | | | | | | | |
| 7. | Charles Schwab Bank Sweep (cash) | A | Interest | K | T | | | | | |
| 8. | Abbott Laboratories (ABT) | A | Dividend | J | T | | | | | |
| 9. | Account #2 (H) | | | | | | | | | |
| 10. | Charles Schwab Sweep Account (cash) | B | Interest | K | T | | | | | |
| 11. | Charles Schwab US REIT ETF (SCHH) | A | Dividend | J | T | Sold (part) | 03/29/23 | J | | |
| 12. | Schwab Fundamental Intl Large Co ETF (FNDF) | A | Dividend | K | T | Sold (part) | 03/29/23 | J | A | |
| 13. | Schwab Fundamental US Large Co ETF (FNDX) | A | Dividend | K | T | Sold (part) | 03/29/23 | J | | |
| 14. | Schwab Fundamental US Small Co ETF (FNDA) | A | Dividend | K | T | | | | | |
| 15. | Schwab Intermediate Term US Treasury ETF (SCHR) | A | Dividend | K | T | Sold (part) | 03/29/23 | J | | |
| 16. | Schwab International Equity ETF (SCHF) | A | Dividend | K | T | | | | | |
| 17. | Schwab US Large Cap ETF (SCHX) | A | Dividend | J | T | Sold (part) | 03/29/23 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Younge, John M.** | 04/10/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 18. | Schwab US Small Cap ETF (SCHA) | A | Dividend | J | T | Sold (part) | 03/29/23 | J | A | |
| 19. | Schwab US Tips ETF (SCHP) | A | Dividend | J | T | Sold (part) | 03/29/23 | J | | |
| 20. | Schwab 5-10 Year Corporate Bond ETF (SCHI) | B | Dividend | L | T | Buy | 03/27/23 | K | | |
| 21. | | | | | | Buy (add'l) | 03/29/23 | K | | |
| 22. | | | | | | Buy (add'l) | 10/02/23 | J | | |
| 23. | Vanguard Intermediate Term Core ETF (VCIT) | A | Dividend | | | Sold | 03/27/23 | K | | |
| 24. | Vanguard Mortgage Backed Securities ETF (VMBS) | B | Dividend | L | T | Buy (add'l) | 07/06/23 | J | | |
| 25. | Account #3 (H) | | | | | | | | | |
| 26. | TD Bank NA (cash) (X) | A | Interest | J | T | | | | | |
| 27. | Vanguard Russell 100 Growth ETF (VONG) | A | Dividend | J | T | Sold (part) | 07/12/23 | J | | |
| 28. | | | | | | Sold (part) | 10/16/23 | J | A | |
| 29. | Capital One Bank (cash) (X) | A | Interest | | | Closed | 11/24/23 | J | | |
| 30. | Morgan Stanley BA (cash) (X) | A | Interest | | | Closed | 11/10/23 | J | | |
| 31. | Charles Schwab Bank (cash) | A | Interest | | | Closed | 11/21/23 | J | | |
| 32. | United States Treasury Notes (Y) | | | | | | | | | |
| 33. | American Century Growth Fund (ADSIX) (Y) | | | | | | | | | |
| 34. | Account #4 (H) | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 35. American Century Growth Fund (ADSIX) | | None | J | T | Sold (part) | 08/03/23 | J | | |
| 36. Account #5 (H) | | | | | | | | | |
| 37. Cisco (CSCO) | A | Dividend | J | T | | | | | |
| 38. Coty Inc. (COTY) (X) | | None | J | T | | | | | |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

| Name of Person Reporting | Date of Report |
|---|---|
| **Younge, John M.** | 04/10/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ John M. Younge**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
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